



THIRD EDITION

CULTURAL ANTHROPOLOGY

IN A GLOBALIZING WORLD



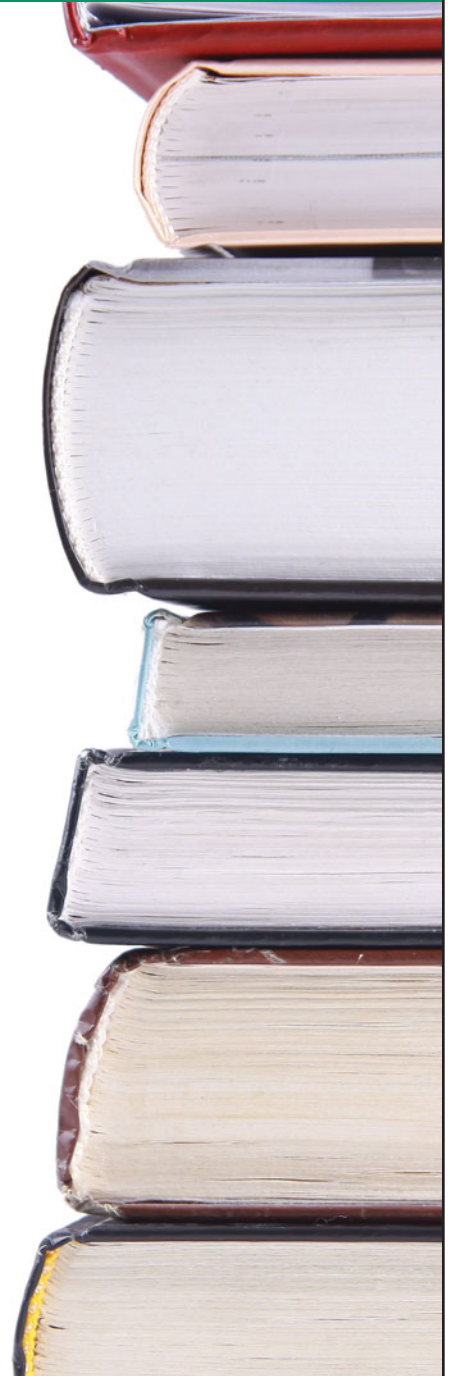
BARBARA MILLER

Why Do You Need this New Edition?

If you're wondering why you should buy this new edition of *Cultural Anthropology*, here are 6 good reasons!

1. Every chapter now contains a box called Anthropology Works that provides compelling examples of how knowledge and methods in cultural anthropology can prevent or solve social problems. Examples include Paul Farmer's work in providing health care in Haiti, Laura Tabac's applied research on men's risky sexual practices in New York City, and Australian Aboriginal women's collaboration with an anthropologist to document and preserve their cultural heritage.
2. Updates throughout the book provide currency and accuracy and strengthen student engagement—for example, how people in the United States and Canada now get most of their health information from the Internet (Chapter 5), a new section on homelessness (Chapter 6), the emergence of Textese (Chapter 9), and discussion of oil-related environmental disasters (Chapter 13).
3. A revised map in Chapter 13 shows South Sudan—the newest country in the world.
4. Several new Key Concepts respond to important current issues: food security, asexuality, social justice, sectarian conflict, corporate social responsibility, and Textese.
5. Many new photographs have been carefully chosen to enliven the textual material and prompt discussion. Photographs arranged in pairs or trios, with linked captions, offer students inspiration for thoughtful mini-essays.
6. MyAnthroLibrary offers a selection of brief, current articles on engaging topics that will enrich each chapter and lead to exciting class discussions.

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BARBARA MILLER

George Washington University

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brief CONTENTS

- 1** ANTHROPOLOGY AND THE STUDY OF CULTURE 3
- 2** RESEARCHING CULTURE 27
- 3** ECONOMIC SYSTEMS 47
- 4** REPRODUCTION AND HUMAN DEVELOPMENT 71
- 5** DISEASE, ILLNESS, AND HEALING 95
- 6** KINSHIP AND DOMESTIC LIFE 117
- 7** SOCIAL GROUPS AND SOCIAL STRATIFICATION 139
- 8** POLITICAL AND LEGAL SYSTEMS 159
- 9** COMMUNICATION 181
- 10** RELIGION 203
- 11** EXPRESSIVE CULTURE 229
- 12** PEOPLE ON THE MOVE 251
- 13** PEOPLE DEFINING DEVELOPMENT 271

CONTENTS

PREFACE xv

ABOUT THE AUTHOR xxi



1

ANTHROPOLOGY AND THE STUDY OF CULTURE 3

The Big Questions 3

Introducing Anthropology 4

Biological or Physical Anthropology 5
Archaeology 5

- ANTHROPOLOGY WORKS Orangutan Research Leads to Orangutan Advocacy 6
- Linguistic Anthropology 7
- Cultural Anthropology 8
- Applied Anthropology: Separate Field or Cross-Cutting Focus? 8

Introducing Cultural Anthropology 8

A Brief History of Cultural Anthropology 9
The Concept of Culture 11

- EVERYDAY ANTHROPOLOGY Latina Power in the Kitchen 14
- Multiple Cultural Worlds 17

CULTURAMA San Peoples of Southern Africa 19
Distinctive Features of Cultural Anthropology 20
Three Theoretical Debates in Cultural Anthropology 22

Cultural Anthropology and Careers 23

Majoring in Anthropology 23
Graduate Study in Anthropology 23
Living an Anthropological Life 23

The Big Questions Revisited 24

Key Concepts 24 Suggested Readings 25



2

RESEARCHING CULTURE 27

The Big Questions 27

Changing Research Methods 28

From the Armchair to the Field 28
Participant Observation 28

Doing Fieldwork in Cultural Anthropology 29

Beginning the Fieldwork Process 29

- ANTHROPOLOGY WORKS What's for Breakfast in California? 30

CULTURAMA The Trobriand Islanders of Papua New Guinea 32

Working in the Field 33
Fieldwork Techniques 35

- EYE ON THE ENVIRONMENT Inuit Place Names and Landscape Knowledge 39
- Recording Culture 40
- Data Analysis 41

Urgent Issues in Cultural Anthropology Research 41

Ethics and Collaborative Research 41
Safety in the Field 43

The Big Questions Revisited 44

Key Concepts 45 Suggested Readings 45



3

ECONOMIC SYSTEMS 47

The Big Questions 47

Modes of Livelihood 48

Foraging 48

- EVERYDAY ANTHROPOLOGY The Importance of Dogs 50
- Horticulture 51
- Pastoralism 54
- Agriculture 54
- Industrialism and the Information Age 57

Modes of Consumption and Exchange 57

Modes of Consumption 58
Modes of Exchange 61

- ANTHROPOLOGY WORKS Evaluating Indian Gaming 64

Globalization and Changing Economies 65

Sugar, Salt, and Steel Tools in the Amazon 65
Alternative Food Movements in Europe and North America 66

Continuities and Resistance: The Enduring Potlatch 66

CULTURAMA The Kwakwaka'wakw of Canada 67

The Big Questions Revisited 68

Key Concepts 68 Suggested Readings 69



4

REPRODUCTION AND HUMAN DEVELOPMENT 71

The Big Questions 71

Modes of Reproduction 72

- The Foraging Mode of Reproduction 72
- The Agricultural Mode of Reproduction 72
- The Industrial/Informatic Mode of Reproduction 73

CULTURAMA The Old Order Amish of the United States and Canada 74

Culture and Fertility 75

Sexual Intercourse 75

- **ANTHROPOLOGY WORKS** Studying Sexual Behavior among MSM in New York City 76
- Fertility Decision Making 77
- Fertility Control 79
- Infanticide 80

Personality and the Life Cycle 81

- Birth, Infancy, and Childhood 81
- Socialization During Childhood 83
- Adolescence and Identity 83

- **CRITICAL THINKING** Cultural Relativism and Female Genital Cutting 86
- Adulthood 88

The Big Questions Revisited 92

Key Concepts 92 Suggested Readings 93



5

DISEASE, ILLNESS, AND HEALING 95

The Big Questions 95

Ethnomedicine 96

- Defining and Classifying Health Problems 96
- Ethno-Etiologies 99
- Healing Ways 99

- **EYE ON THE ENVIRONMENT** Local Botanical Knowledge and Child Health in the Bolivian Amazon 102

Three Theoretical Approaches 103

- The Ecological/Epidemiological Approach 104
- The Interpretivist Approach 105
- Critical Medical Anthropology 105

Globalization and Change 108

New Infectious Diseases 108

Diseases of Development 108

Medical Pluralism 109

CULTURAMA The Sherpa of Nepal 110

- **ANTHROPOLOGY WORKS** Delivering Health Care in Rural Haiti 112
- Applied Medical Anthropology 112

The Big Questions Revisited 114

Key Concepts 114 Suggested Readings 115



6

KINSHIP AND DOMESTIC LIFE 117

The Big Questions 117

How Cultures Create Kinship 118

- Studying Kinship: From Formal Analysis to Kinship in Action 119
- Descent 120

- **EVERYDAY ANTHROPOLOGY** What's in a Name? 122
- Sharing 122

CULTURAMA The Minangkabau of Indonesia 124

Marriage 125

Households and Domestic Life 130

- The Household: Variations on a Theme 130
- Intrahousehold Dynamics 130

- **ANTHROPOLOGY WORKS** Preventing Wife Abuse in Rural Kentucky 132

Changing Kinship and Household Dynamics 133

- Change in Descent 133
- Change in Marriage 133
- Changing Households 134

The Big Questions Revisited 136

Key Concepts 136 Suggested Readings 137



7

SOCIAL GROUPS AND SOCIAL STRATIFICATION 139

The Big Questions 139

Social Groups 140

Friendship 141

- **EVERYDAY ANTHROPOLOGY** Making Friends 142
- Clubs and Fraternities/Sororities 143
- Countercultural Groups 144
- Cooperatives 146

Social Stratification 147

Achieved Status: Class 148

Ascribed Status: “Race,” Ethnicity, Gender, and Caste 148

CULTURAMA The Roma of Eastern Europe 151

Civil Society 153

Civil Society for the State: The Chinese Women’s Movement 154

Activist Groups: CO-MADRES 154

New Social Movements and the New Social Media 154

■ **ANTHROPOLOGY WORKS** Forensic Anthropology for the Maya of Guatemala 155

The Big Questions Revisited 156

Key Concepts 156 Suggested Readings 157



8

POLITICAL AND LEGAL SYSTEMS 159

The Big Questions 159

Politics, Political Organization, and Leadership 160

Bands 161

Tribes 162

Chiefdoms 163

States 164

Social Order and Social Conflict 165

Norms and Laws 166

Systems of Social Control 167

■ **CRITICAL THINKING** Yanomami: The “Fierce People”? 170
Social Conflict and Violence 170

■ **ANTHROPOLOGY WORKS** Anthropology and Community Activism in Papua New Guinea 174

Change in Political and Legal Systems 175

Emerging Nations and Transnational Nations 175

Democratization 175

CULTURAMA The Kurds of the Middle East 176

The United Nations and International Peacekeeping 177

The Big Questions Revisited 178

Key Concepts 178 Suggested Readings 179



9

COMMUNICATION 181

The Big Questions 181

The Varieties of Human Communication 182

Language and Verbal Communication 182

Nonverbal Language and Embodied Communication 184

■ **ANTHROPOLOGY WORKS** Narrating Troubles 185

Communicating with Media and Information Technology 187

Language, Diversity, and Inequality 189

Language and Culture: Two Theories 189

Critical Discourse Analysis: Gender and “Race” 190

Language Change 192

The Origins and History of Language 192

Historical Linguistics 193

Writing Systems 194

Colonialism, Nationalism, and Globalization 195

Endangered Languages and Language Revitalization 197

CULTURAMA The Saami of Sápmi, or Lapland 198

■ **CRITICAL THINKING** Should Dying Languages Be Revived? 199

The Big Questions Revisited 200

Key Concepts 201 Suggested Readings 201



10

RELIGION 203

The Big Questions 203

Religion in Comparative Perspective 204

What Is Religion? 204

Varieties of Religious Beliefs 205

■ **EYE ON THE ENVIRONMENT** Eagle Protection, National Parks, and the Preservation of Hopi Culture 206
Ritual Practices 209

■ **ANTHROPOLOGY WORKS** Aboriginal Women’s Culture and Sacred Site Protection 210
Religious Specialists 212

World Religions and Local Variations 213

Hinduism 214

Buddhism 215

Judaism 217

Christianity 219

Islam 220

CULTURAMA Hui Muslims of Xi’an, China 221

African Religions 222

Directions of Religious Change 224

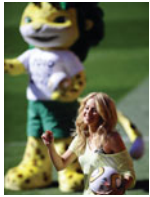
Revitalization Movements 224

Contested Sacred Sites 225

Religious Freedom as a Human Right 225

The Big Questions Revisited 226

Key Concepts 226 Suggested Readings 227



11

EXPRESSIVE CULTURE 229

The Big Questions 229

Art and Culture 230

What Is Art? 230

- **CRITICAL THINKING** Probing the Categories of Art 231
- Studying Art in Society 232
- Performance Arts 234
- Architecture and Decorative Arts 236

Play, Leisure, and Culture 238

Games and Sports as a Cultural Microcosm 239
 Leisure Travel 240

CULTURAMA The Gullah of South Carolina 242

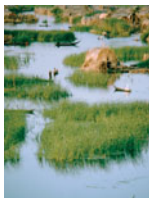
Change in Expressive Culture 243

Colonialism and Syncretism 243
 Tourism's Complex Effects 244

- **ANTHROPOLOGY WORKS** A Strategy on Cultural Heritage for the World Bank 246

The Big Questions Revisited 248

Key Concepts 248 Suggested Readings 249



12

PEOPLE ON THE MOVE 251

The Big Questions 251

Categories of Migration 252

Categories Based on Spatial Boundaries 252

- **CRITICAL THINKING** Haitian Cane Cutters in the Dominican Republic: Structure or Agency? 254
- Categories Based on Reason for Moving 254

CULTURAMA The Maya of Guatemala 258

The New Immigrants to the United States and Canada 259

The New Immigrants from Latin America and the Caribbean 260
 The New Immigrants from Asia 262
 The New Immigrants from the Former Soviet Union 264

Migration Policies and Programs in a Globalizing World 265

Protecting Migrants' Health 265
 Inclusion and Exclusion 265

- **ANTHROPOLOGY WORKS** Mapping African Pastoralists' Movements for Risk Assessment and Service Delivery 266
- Migration and Human Rights 267

The Big Questions Revisited 268

Key Concepts 268 Suggested Readings 269



13

PEOPLE DEFINING DEVELOPMENT 271

The Big Questions 271

Defining Development and Approaches to It 272

Two Processes of Cultural Change 273
 Theories and Models of Development 273

- **ANTHROPOLOGY WORKS** The Saami, Snowmobiles, and Social Impact Analysis 274
- Institutional Approaches to Development 276
- The Development Project 278

CULTURAMA Peyizan Yo of Haiti 279

Development, Indigenous People, and Women 281

Indigenous People and Development 282
 Women and Development 285

Urgent Issues in Development 287

- **EYE ON THE ENVIRONMENT** Oil, Environmental Degradation, and Human Rights in the Niger Delta 288
- Life Projects and Human Rights 289
- Cultural Heritage and Development: Linking the Past and Present to the Future 290
- Cultural Anthropology and the Future 291

The Big Questions Revisited 292

Key Concepts 293 Suggested Readings 293

PHOTO CREDITS 295

GLOSSARY 297

REFERENCES 303

INDEX 315

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ANTHROPOLOGY works

- Orangutan Research Leads to Orangutan Advocacy 6
- What's for Breakfast in California? 30
- Evaluating Indian Gaming 64
- Studying Sexual Behavior among MSM in New York City 76
- Delivering Health Care in Rural Haiti 112
- Preventing Wife Abuse in Rural Kentucky 132
- Forensic Anthropology for the Maya of Guatemala 155
- Anthropology and Community Activism in Papua New Guinea 174
- Narrating Troubles 185
- Aboriginal Women's Culture and Sacred Site Protection 210
- A Strategy on Cultural Heritage for the World Bank 246
- Mapping African Pastoralists' Movements for Risk Assessment and Service Delivery 266
- The Saami, Snowmobiles, and Social Impact Analysis 274

everyday ANTHROPOLOGY

- Latina Power in the Kitchen 14
- The Importance of Dogs 50
- What's in a Name? 122
- Making Friends 142

CRITICAL thinking

- Cultural Relativism and Female Genital Cutting 86
- Yanomami: The "Fierce People"? 170
- Should Dying Languages Be Revived? 199
- Probing the Categories of Art 231
- Haitian Cane Cutters in the Dominican Republic: Structure or Agency? 254

C U L T U R A M A

- San Peoples of Southern Africa 19
The Trobriand Islanders of Papua New Guinea 32
The Kwakwaka'wakw of Canada 67
The Old Order Amish of the United States and Canada 74
The Sherpa of Nepal 110
The Minangkabau of Indonesia 124
The Roma of Eastern Europe 151
The Kurds of the Middle East 176
The Saami of Sápmi, or Lapland 198
Hui Muslims of Xi'an, China 221
The Gullah of South Carolina 242
The Maya of Guatemala 258
Peyizan Yo of Haiti 279



eye on the ENVIRONMENT

- Inuit Place Names and Landscape Knowledge 39
Local Botanical Knowledge and Child Health in the Bolivian Amazon 102
Eagle Protection, National Parks, and the Preservation of Hopi Culture 206
Oil, Environmental Degradation, and Human Rights in the Niger Delta 288

CHAPTER 1

- MAP 1.1** Orangutan Regions in Malaysia and Indonesia 6
- MAP 1.2** Weyéwa Region in Indonesia 12
- MAP 1.3** Papua New Guinea 15
- MAP 1.4** Ju/'hoansi Region in Namibia and Botswana 19

CHAPTER 2

- MAP 2.1** Trobriand Islands of Papua New Guinea 32
- MAP 2.2** Syria 33
- MAP 2.3** Baffin Island in Northeast Canada 39
- MAP 2.4** Spain 40

CHAPTER 3

- MAP 3.1** Hare Region Near Colville Lake in Northwest Canada 51
- MAP 3.2** Precolonial Iroquois Region 53
- MAP 3.3** Yanomami Region in Brazil and Venezuela 53
- MAP 3.4** Location of the Kuru Epidemic in Papua New Guinea 60
- MAP 3.5** Lese and Efe Region in the Democratic Republic of Congo 65
- MAP 3.6** The Kwakwaka'wakw Region in Canada 67

CHAPTER 4

- MAP 4.1** Old Order Amish Population of North America 74
- MAP 4.2** Morocco 77
- MAP 4.3** Mexico 78
- MAP 4.4** Maasai Region of Kenya and Tanzania 85
- MAP 4.5** Sierra Leone 87
- MAP 4.6** Aka Region of the Central African Republic and the Democratic Republic of Congo 90

CHAPTER 5

- MAP 5.1** The Philippines 97
- MAP 5.2** The Republic of Bolivia 102
- MAP 5.3** Precolonial Distribution of Indian Tribes in the 48 United States 106
- MAP 5.4** Designated Reservations in the 48 United States 107
- MAP 5.5** Nepal 110
- MAP 5.6** Samoa and American Samoa 111

CHAPTER 6

- MAP 6.1** Ireland 118
- MAP 6.2** Hong Kong 123
- MAP 6.3** Minangkabau Region in Indonesia 124
- MAP 6.4** Ghana 125
- MAP 6.5** Kentucky, United States 132

CHAPTER 7

- MAP 7.1** Bangladesh 140
- MAP 7.2** Caribbean Countries of South America 143
- MAP 7.3** The Solomon Islands 145
- MAP 7.4** Kuna Region in Panama 147
- MAP 7.5** South Africa 150
- MAP 7.6** Roma Population in Eastern Europe 151

CHAPTER 8

- MAP 8.1** Melanesia 163
- MAP 8.2** Central Asian States 172
- MAP 8.3** Kurdish Region in the Middle East 176
- MAP 8.4** Puerto Rico 177

CHAPTER 9

- MAP 9.1** Pirahã Reservation in Brazil 183
- MAP 9.2** Bosnia and Herzegovina 185
- MAP 9.3** Western Apache Reservation in Arizona 187
- MAP 9.4** Two Sites of Proto-Indo-European Origins 194
- MAP 9.5** The Bantu Migrations in Africa 194
- MAP 9.6** The Saami of Sápmi, or Lapland 198

CHAPTER 10

- MAP 10.1** Klamath and Modoc Region in Oregon and California 206
- MAP 10.2** Hopi Reservation in Arizona 207
- MAP 10.3** England 209
- MAP 10.4** Hindmarsh Island in Southeast Australia 210
- MAP 10.5** Mainland Southeast Asia 217
- MAP 10.6** Sacred Sites in the Old City of Jerusalem, Israel 218
- MAP 10.7** The City of Xi'an in China 221

CHAPTER 11

MAP 11.1 Costa Rica 241

MAP 11.2 The Gullah Region of South Carolina 242

MAP 11.3 Turkey 244

CHAPTER 12

MAP 12.1 Tonga 253

MAP 12.2 Site of Three Gorges Dam in China 257

MAP 12.3 Guatemala 258

MAP 12.4 El Salvador 261

MAP 12.5 Sahel Region 265

CHAPTER 13

MAP 13.1 Walpole Island Reservation in Southern Ontario, Canada 272

MAP 13.2 Kerala, South India 276

MAP 13.3 Haiti 279

MAP 13.4 Senegal 281

MAP 13.5 Nunavut Territory, Canada 284

MAP 13.6 Sudan and South Sudan 285

MAP 13.7 Nigeria and the Niger Delta 289

“I had no idea all those cultures were out there,” said one of my students after taking my introductory cultural anthropology course. Another commented, “I’m a business major, but I am going to keep the books from this course because they will help me in my career. I need to understand people.”

Cultural anthropology opens up whole new worlds. Not just “out there,” but here, there, and everywhere. The subject matter of cultural anthropology may seem distant, exotic, and “other”—jungle drumbeats and painted faces, for example. This book helps students to encounter those faraway cultures and also to realize that their culture has its own versions of jungle drumbeats and painted faces. “Making the strange familiar” is essential learning in a globalizing world where cultural diversity may equal cultural survival for all of us. “Making the familiar strange” is a priceless revelation because it reduces the divide between “us” and the “other.” “We” become “other” through the insights of cultural anthropology.

To achieve this double goal, *Cultural Anthropology in a Globalizing World*, Third Edition, delivers rich and exciting information about the world’s cultures and promotes critical thinking and reflective learning. Students will find many points at which they can interact with the material, view their own culture as a culture, and make connections between anthropology and their everyday life in, for example, hair-styles, food symbolism, sleep deprivation, doctor–patient dialogues, racism and sexism, and the meaning of gestures.

The study of the world’s cultures involves learning new words and analytical categories, but the effort will pay off in terms of bringing the world’s peoples and cultures closer to you. If this book achieves my aspirations, anyone who reads it will live a life that is more culturally aware, enriched, and tolerant.

How This Book Is Organized

The book’s organization and pedagogical features are designed to help ensure student engagement and enhanced learning. The 13 chapters are organized in the following way—but professors will find it easy to assign chapters out of order.

The first chapter describes the discipline of anthropology as a whole and provides the foundation for the rest of the book. The second chapter moves to the subject of how cultural anthropologists define research topics, carry out research, and present their findings.

The next three chapters discuss how people make a living and their patterns of consumption and exchange, how they reproduce and raise children, and how different cultures deal with illness, suffering, and death. While these three chapters address basic questions of how people feed themselves, reproduce, and stay alive and well, the discussion in

each case fans out to include a wide array of cultural interpretations and practices that go far beyond sheer basics.

The next three chapters look at people in groups. One chapter addresses kinship and its changing forms. Another looks at social ties that are not based on kinship. The third considers how people organize themselves politically, how they seek to maintain order, and how they deal with conflict.

While symbolic behavior permeates the entire book, three chapters most directly focus on meaning and symbolism. The chapter on communication pulls much of the book together as it considers the origins and evolution of communication and language, with special attention to contemporary change. The chapter on religion provides cross-cultural categories of religious belief and practice as well as linking “world religions” to specific local transformations. Expressive culture is a wide-ranging subject, and the chapter on it embraces expected topics such as art and music and unexpected topics such as sports, leisure, and travel.

The last two chapters consider two of the most important topics shaping cultural change in our times: migration and international development. These chapters explicitly put culture into motion and show how people are affected by larger structures, such as globalization or violence, and exercise agency in attempting to create meaningful and secure lives.

New to This Edition

Every chapter now contains a box called Anthropology Works that provides compelling examples of how knowledge and methods in cultural anthropology can prevent or solve social problems. Examples include Paul Farmer’s work in providing health care in Haiti, Laura Tabac’s applied research on men’s risky sexual practices in New York City, and Australian Aboriginal women’s collaboration with an anthropologist to document and preserve their cultural heritage.

Updates throughout the book provide currency and accuracy and strengthen student engagement; for example, how people in the United States and Canada now get most of their health information from the Internet (Chapter 5), a new section on homelessness (Chapter 6), the emergence of Textese (Chapter 9), and discussion of oil-related environmental disasters (Chapter 13).

- A revised map in Chapter 13 shows South Sudan—the newest country in the world.
- Several new Key Concepts respond to important current issues: food security, asexuality, social justice, sectarian conflict, corporate social responsibility, and Textese.
- Many new photographs have been carefully chosen to enliven the textual material and prompt discussion.

Photographs arranged in pairs or trios, with linked captions, offer students inspiration for thoughtful mini-essays.

- MyAnthroLibrary offers a selection of brief, current articles on engaging topics that will enrich each chapter and lead to exciting class discussions.

Continued Features

Several features continued from the previous edition make this textbook distinctive and effective.



CULTURAMA All chapters include a one-page profile of a cultural group, accompanied by a mini-panorama of two photographs and a map with captions. These brief summaries provide an enticing glimpse into the culture presented.

IN-TEXT GLOSSARY Definitions of the key concepts are provided on the page where the concept is first

mentioned and defined. A paginated list of the key concepts appears at the end of each chapter. The glossary at the end of the book contains a complete list of key concepts together with their definitions.

THINKING OUTSIDE THE BOX The Thinking Outside the Box feature provides three or four thought-provoking questions in each chapter, displayed

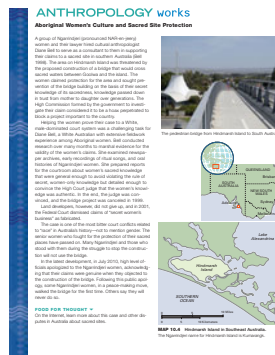
at the bottom of the page. These questions prompt readers to relate an issue to their own cultural experiences or provide an avenue for further research. They can promote class discussion and serve as a basis for a class project.

THE BIG QUESTIONS Three Big Questions are posed at the beginning of each chapter to alert readers to the chapter's overarching themes. They are carried through in the chapter outline as the three major headings. At the end of the chapter, The Big Questions Revisited provides a helpful review of the key points related to each Big Question.



Boxed Features

Everyday Anthropology boxes present cultural examples that connect to everyone's lives and prompt reflective learning. **Critical Thinking** boxes introduce an issue and show how it has been studied or analyzed from



different anthropological perspectives. These boxes provide tie-ins to the major theoretical debates in cultural anthropology presented in Chapter 1. Although students may appreciate the interesting material that cultural anthropology offers, they are still likely to ask, "Does this knowledge have any practical applications?" **Anthropology Works** boxes highlight how applied anthropologists work and the relevance of anthropology to preventing and solving social problems. **Eye on the Environment** boxes provide material that links culture with some aspect of the environment.

These boxes, along with many in-text references to how culture and the environment interact, enable students to make new connections and think critically.

MyAnthroLab is an interactive and instructive multimedia site designed to offer access to a wealth of resources geared to meet the individual teaching and learning needs of every instructor and student. Combining an e-book, video, audio, multimedia simulations, research support, and assessment, MyAnthroLab engages students and gives them the tools they need to enhance their performance in the course.

The Importance of Names

Since the beginning of modern humanity, people have been naming each other, naming their groups and other groups, and naming features of the places they inhabit. People of earlier times often referred to themselves in terms that translate roughly into "The People." As far as they were concerned, they were The People: the only people on earth.

Things are more complicated now. European colonialism, starting in the fifteenth century, launched centuries of rapid contact between Europeans and thousands of indigenous groups around the world. The Europeans named and described these groups in their European languages. The names were not those that the people used for themselves, or if they were, the transliteration into a European language altered local names into something very different from the original.

The Spanish explorers' naming of all the indigenous peoples of North America as Indians is a famous example of a misnomer. Beyond being wrong by thinking they had reached India, the Spanish conquerors who renamed thousands of people and claimed their territory simultaneously erased much of the indigenous people's heritage and identity.

The challenge of using the preferred names for people and places of the world faces us today as people worldwide

wrestle with the issue of what they want to be called. Until recently, indigenous peoples of the present-day United States mainland preferred to be called Native Americans, rejecting the pejorative term “Indian.” Now, they are claiming and recasting the term “Indian.” In Alaska, the preferred term is “Alaska Native,” and in Hawai’i it is “Native Hawai’ian.” In Canada, preferred terms are “First Nations,” “Native Peoples,” and “Northern Peoples.” From small-scale groups to entire countries, people are attempting to revive precolonial group names and place names. Bombay is now Mumbai, and Calcutta is Kolkata. Group names and place names are frequently contested. Is someone Hispanic or Latino? Is it the Persian Gulf or the Arabian Gulf? Is it Greenland or Kalaallit Nunaat? Does it matter? The answer is yes, resoundingly, yes.

This book strives to provide the most currently accepted names for people, places, objects, activities, and ideas. By the time it is printed, however, some names and their English spellings will have changed. It is an ongoing challenge to keep track of such changes, but doing so is part of our job as citizens of a transforming world.

The Cover Image

Rajasthan is the largest state in India. Mostly desert, it includes some of the most impressive medieval cities in the country, such as Jaipur and Udaipur, that attract thousands of tourists from around the world every year. It also has the lowest rates of female literacy in all of India. Today, the girl on the cover of this book has little chance of going to school and learning to read and write. But literacy rates are rising in Rajasthan, even for girls. India, with its diverse peoples and cultures, is definitely a place you want to get to know.

In Thanks

The breadth, depth, and quality of this edition are the result of many people’s ideas, comments, corrections, and care. For the first edition of *Cultural Anthropology*, four anthropologists carefully reviewed multiple drafts of the book. I will always be grateful to them for their monumental contribution that helped make this book what it is today: Elliot Fratkin, Smith College; Maxine Margolis, University of Florida; Russell Reid, University of Louisville; and Robert Trotter II, University of Arizona.

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Barbara Miller
anthropologyworks@gmail.com
Washington, DC

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This book is accompanied by an extensive learning package to enhance the experience of both instructors and students. The author played a major role in developing and updating the material in the Instructor's Resource Manual with Tests, MyTest, and the PowerPoint™ slides.

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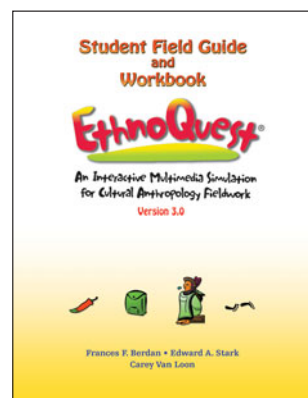
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Barbara D. Miller

Barbara Miller is Associate Dean for Faculty Affairs and Professor of Anthropology and International Affairs in the Elliott School of International Affairs of George Washington University. She is Director of the Culture in Global Affairs Program and the Global Gender Initiative in the Elliott School. Before coming to GW in 1994, she taught at Syracuse University, the University of Rochester, SUNY Cortland, Ithaca College, Cornell University, and the University of Pittsburgh. For 30 years, Barbara's research has focused on gender-based inequalities in India, especially the nutritional and medical neglect of daughters in northern regions of the country, and sex-selective abortion. She has also conducted research on culture and rural development in Bangladesh, on low-income household dynamics in Jamaica, and on Hindu adolescents in Pittsburgh. Her current interests include continued research on gender inequalities in health in South Asia and the role of cultural anthropology in informing policy especially as related to women, children, and other disadvantaged groups. She teaches courses on introductory cultural anthropology, medical anthropology, development anthropology, culture and population, health and development in South Asia, and migration and mental health.

Barbara has published many journal articles, book chapters, and several books: *The Endangered Sex: Neglect of Female*

Children in Rural North India, Second Edition (Oxford University Press 1997); an edited volume, *Sex and Gender Hierarchies* (Cambridge University Press 1993); and a co-edited volume with Alf Hildebeitel, *Hair: Its Power and Meaning in Asian Cultures* (SUNY Press 1998). In addition to *Cultural Anthropology in a Globalizing World*, Third Edition, she is the author of *Cultural Anthropology*, Sixth Edition, (Pearson 2011) and the lead author of *Anthropology*, Second Edition (Pearson 2008).




She launched a blog in 2009 (anthropologyworks.com) which includes her thoughts about important findings and debates in anthropology, a weekly feature covering anthropologists in the mainstream media, and guests' posts. Since its beginning, the blog has had 40,000 visits from people in over 150 countries. You can also follow her, along with 4000 other people worldwide, via Twitter @anthroworks.


“CULTURAL ANTHROPOLOGY is exciting because it
CONNECTS with everything, from **FOOD** to **ART**.
And it can help prevent or **SOLVE** world problems
related to *social inequality* and injustice.”


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the **BIG** questions

 What is anthropology?

 What is cultural anthropology?

 How is cultural anthropology relevant to a career?

ANTHROPOLOGY AND THE STUDY OF CULTURE

◀ A Maya dancer performs in Mexico City. Maya is a cluster term referring to 7 million indigenous people of southern Mexico and northern Central America. Many thousands of Maya have migrated to the United States.

1

OUTLINE

Introducing Anthropology

Anthropology Works: Orangutan Research Leads to Orangutan Advocacy

Introducing Cultural Anthropology

Everyday Anthropology: Latina Power in the Kitchen

Culturama: San Peoples of Southern Africa

Cultural Anthropology and Careers



A member of the Dani people, of Irian Jaya, New Guinea, holding a stone adze, photographed in the 1990s.

Old bones, *Jurassic Park*, cannibalism, hidden treasure, *Indiana Jones and the Temple of Doom*. The popular impression of anthropology is based mainly on movies and television shows that depict anthropologists as adventurers and heroes. Many anthropologists do have adventures and discover treasures such as ancient pottery, medicinal plants, and jade carvings. But most of their research is not glamorous. Some anthropologists spend years in difficult physical conditions, searching for the earliest fossils of

anthropology the study of humanity, including its prehistoric origins and contemporary human diversity.

biological anthropology the study of humans as biological organisms, including evolution and contemporary variation.

archaeology the study of past human cultures through their material remains.

linguistic anthropology the study of human communication, including its origins, history, and contemporary variation and change.

cultural anthropology the study of living peoples and their cultures, including variation and change.

culture people's learned and shared behaviors and beliefs.

applied anthropology the use of anthropological knowledge to prevent or solve problems or to shape and achieve policy goals.

our ancestors. Others live among people in Silicon Valley, California, and study firsthand how they work and organize family life in a setting permeated by modern technology. Some anthropologists conduct laboratory analyses of the contents of tooth enamel to reveal where an individual once lived. Others study designs on prehistoric pottery to learn what the symbols mean, or observe nonhuman primates such as chimpanzees or orangutans in the wild to learn how they live.

Anthropology is the study of humanity, including prehistoric origins and contemporary human diversity. Compared with other disciplines that study humanity (such as history, psychology, economics, political science, and sociology), anthropology is broader in scope. Anthropology covers a much greater span of time than these disciplines, and it encompasses a broader range of topics.

🔊 Listen to the **Chapter Audio** on myanthrolab.com



Introducing Anthropology

In North America, anthropology is divided into four fields (Figure 1.1) that focus on separate, but connected, subject matter related to humanity:

- **Biological anthropology** or *physical anthropology*—the study of humans as biological organisms, including evolution and contemporary variation.
- **Archaeology**—the study of past human cultures through their material remains.

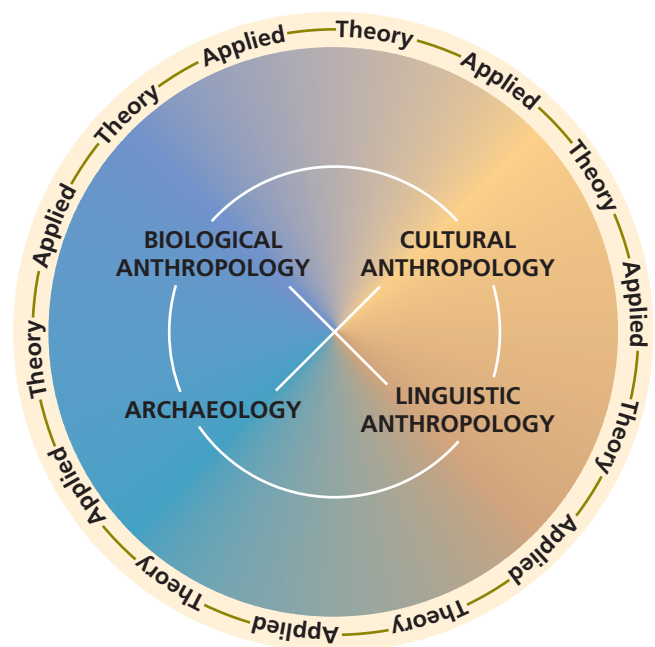


FIGURE 1.1 The Four Fields of Anthropology.



Members of a team of anthropologists and students discuss their research project on Silicon Valley culture.

► If you were given a grant to conduct anthropological research, where would you go and what would you study?

- **Linguistic anthropology**—the study of human communication, including its origins, history, and contemporary variation and change.
- **Cultural anthropology**—the study of living peoples and their cultures, including variation and change. **Culture** refers to people’s learned and shared behaviors and beliefs.

Some anthropologists argue that a fifth field, applied anthropology, should be added. **Applied anthropology** (or *practicing anthropology* or *practical anthropology*) is the use of anthropological knowledge to prevent or solve problems or to shape and achieve policy goals.

Biological or Physical Anthropology

Biological anthropology encompasses three subfields. The first, *primatology*, is the study of the nonhuman members of the order of mammals called primates, which includes a wide range of animals from very small, nocturnal creatures to gorillas, the largest members. Primatologists study nonhuman primates in the wild and in captivity. They record and analyze how the animals spend their time, collect and share food, form social groups, rear offspring, develop leadership patterns, and experience and resolve conflicts. Primatologists are alarmed about the decline in numbers, and even the extinction, of nonhuman primates. Many apply their knowledge to nonhuman primate conservation (see Anthropology Works).

The second subfield is *paleoanthropology*, the study of human evolution on the basis of the fossil record. One important activity is the search for fossils to increase the amount and quality of the evidence related to the way human evolution occurred.



Maya people watch as forensic anthropologist Francisco de León conducts an exhumation of more than 50 bodies in a highland Guatemalan village in 1997.

► Are courses in forensic anthropology offered at your school?

The third subfield is the study of *contemporary human biological variation*. Anthropologists working in this area define, measure, and seek to explain differences in the biological makeup and behavior of contemporary humans. They study such biological factors as DNA within and across populations, body size and shape, human nutrition and disease, and human growth and development.

Archaeology

Archaeology means, literally, the “study of the old,” but “the old” is limited to human culture. Therefore, the time depth of archaeology goes back only to the beginnings of *Homo sapiens*, between 300,000 and 160,000 years ago, when they first emerged in Africa. Archaeology encompasses two major

THINKING
OUTSIDE
THE BOX

What are your impressions of anthropology? How did you acquire them? Make notes of these impressions and review them at the end of the course.

ANTHROPOLOGY works

Orangutan Research Leads to Orangutan Advocacy

Primatologist Biruté Galdikas (pronounced Beer-OOH-tay GAL-dee-kas) first went to Indonesia to study orangutans in 1971 (Galdikas 1995). She soon became aware of the threat to the orangutans from local people who, as a way of making money, capture them for sale to zoos around the world. The poachers separate the young from their mothers, often killing the mothers in the process.

Orangutan juveniles are highly dependent on their mothers, maintaining close bodily contact with them for at least two years and nursing until they are around 8 years old. Because of this long period of orangutans' need for maternal contact, Galdikas set up her camp to serve as a

way station for orphans. She became the maternal figure. Her first "infant" was an orphaned orangutan, Sugito, who clung to her as though she were its own mother for years.

The survival of orangutans on Borneo and Sumatra (their only habitats worldwide) is critically endangered by massive commercial logging and illegal logging, population resettlement programs, plantations, and other pressures on the rainforests where the orangutans live. A **rainforest** is an environment, found at mid-latitudes, of tall, broad-leaf evergreen trees, with annual rainfall of 400 centimeters (or 60 inches) and no dry season.

Galdikas is focusing her efforts on orangutan preservation. She says, "I feel like I'm viewing an animal holocaust, and holocaust is not a word I use lightly. . . . The destruction of the tropical rainforest is accelerating daily" (Dreifus 2000:D3). Across all of the orangutan's ranges, it is estimated that during the twentieth century the orangutan population experienced a huge decrease, from 315,000 in 1900 to 44,000 in 2000 (IUCN/SSC Conservation Breeding Specialist Group 2004). Aerial surveys (Ancrenaz et al. 2005) and DNA analysis of living orangutans (Goossens et al. 2006) confirm recent and dramatic declines



MAP 1.1 Orangutan Regions in Malaysia and Indonesia.

Orangutans are the only great apes living outside Africa. Fossil evidence indicates that their habitats in the past extended throughout Southeast Asia and southern China. They are now limited to pockets of rainforest on the islands of Sumatra and Borneo.

areas: *prehistoric archaeology*, which concerns the human past before written records, and *historical archaeology*, which deals with the human past in societies that have written documents. Prehistoric archaeologists often identify themselves with broad geographic regions, studying, for example, Old World archaeology (Africa, Europe, and Asia) or New World archaeology (North, Central, and South America).

Another set of specialties within archaeology is based on the context in which the archaeology takes place. For example,

rainforest an environment found at mid-latitudes, of tall, broad-leaf evergreen trees, with annual rainfall of 400 centimeters (or 60 inches) and no dry season.

underwater archaeology is the study of submerged archaeological sites. Underwater archaeological sites may be from either prehistoric or historic times. Some prehistoric sites include early human settlements in parts of Europe, such as household sites discovered in Switzerland that were once near lakes but are now submerged.

The archaeology of the recent past is another important research direction. An example of what could be called the *archaeology of contemporary life* is the "Garbage Project" conducted by archaeologists at the University of Arizona at Tucson (Rathje and Murphy 1992). They have excavated part of the Fresh Kills landfill on Staten Island, near New York City. Its mass is estimated at 100 million tons and its volume at 2.9 billion cubic feet. Thus, it is one



(LEFT) Lowland rainforest in Borneo in the morning mist. (RIGHT) Biruté Galdikas has been studying orangutans in Borneo, Indonesia, for over three decades and is an active supporter of conservation of their habitat.

► Learn about the work of Biruté Galdikas and the status of wild orangutans by searching on the web.

that, if not halted, will lead to extinction of the animal in the next few decades.

Galdikas has studied orangutans longer than anyone else. She links her knowledge of and love for the orangutans with applied anthropology and advocacy on their behalf. Since the beginning of her fieldwork in Borneo, she has maintained and expanded the Camp Leakey field site and research center (named after her mentor, Lewis Leakey, who inspired her research on orangutans). In 1986, she cofounded the Orangutan Foundation International (OFI), which now has several chapters worldwide. She has published scholarly articles and given public talks around

the world on her research. Educating the public about the imminent danger to the orangutans is an important part of her activism. Galdikas and other orangutan experts are lobbying international institutions such as the World Bank to promote forest conservation as part of their loan agreements.

Camp Leakey employs many local people in diverse roles, including anti-poaching guards. The OFI sponsors study tours to Borneo for international students and opportunities for them to contribute to conservation efforts.

The success of Galdikas's activism depends on her deep knowledge of orangutans. Over the decades, she has filled thousands of notebooks with

her observations of orangutan behavior, along with such details about their habitat as the fruiting times of different species of trees. A donor recently gave software and funding for staff to analyze the raw data (Hawn 2002). The findings will indicate how much territory is needed to support a viable orangutan population and will ultimately facilitate conservation policy and planning.

FOOD FOR THOUGHT ▼

Some people claim that science should not be linked with advocacy because it will create biases in research. Others say that scientists have an obligation to use their knowledge for good causes. Where do you stand in this debate and why?

of the largest human-made structures in North America. The excavation of pop-top can tabs, disposable diapers, cosmetics containers, and telephone books reveals much about recent consumption patterns and how they affect the environment. One surprising finding is that the kinds of garbage people often blame for filling up landfills, such as fast-food packaging and disposable diapers, cause less serious problems than paper. Newspaper, especially, is a major culprit because of its sheer quantity. This information can improve recycling efforts worldwide. The Fresh Kills landfill continues to grow rapidly due to everyday trash accumulation and other, less common sources of debris, such as the remains from the World Trade Center in Manhattan following the 9/11 attacks.

Linguistic Anthropology

Linguistic anthropology is devoted to the study of communication, mainly (but not exclusively) among humans (Chapter 9 is devoted to this field). Linguistic anthropology has three subfields: *historical linguistics*, the study of language change over time and how languages are related; *descriptive linguistics*, or structural linguistics, the study of how contemporary languages differ in terms of their formal structure; and *sociolinguistics*, the study of the relationships among social variation, social context, and linguistic variation, including nonverbal communication.

New directions in linguistic anthropology are connected to important current issues. First is a trend to study language in everyday use, or discourse, and how it relates to power structures



Stephen Lubkemann, trained as both a cultural anthropologist and an underwater archaeologist, documents the remains of the hull of DRTO-036, a vessel that wrecked in the Dry Tortugas in the mid-nineteenth century. The vessel lies within Dry Tortugas National Park in the Florida Keys.

► You can access UNESCO's Convention on the Protection of Underwater Heritage on the Internet.

at local, regional, and international levels (Duranti 1997a). In some contexts, powerful people speak more than less powerful people, whereas sometimes the more powerful people speak less. Power relations may also be expressed through intonation, word choice, and such nonverbal forms of communication as posture and dress. Second is increased attention to the role of information technology in communication, especially the Internet and cell phones. Third is attention to the increasingly rapid extinction of indigenous languages and what can be done about it.

Cultural Anthropology

Cultural anthropology is the study of contemporary people and their cultures. The term *culture* refers to people's learned and shared behaviors and beliefs. Cultural anthropology considers variations and similarities across cultures, and how cultures change over time. Cultural anthropologists learn about culture by spending a long time, typically a year or more, living with the people they study (discussed in Chapter 2).

Prominent areas of specialization in cultural anthropology include economic anthropology, psychological anthropology, medical anthropology, political anthropology, and international development anthropology.

Applied Anthropology: Separate Field or Cross-Cutting Focus?

In the United States, applied anthropology emerged during and after World War II. Its first concern was with improving the lives of contemporary peoples and their needs, so it was more closely associated with cultural anthropology than with the other three fields.

Many anthropologists feel that applied anthropology should be considered a fifth field of anthropology, standing on its own. Many others think that the application of knowledge to solve problems, just like theory, should be part of each field (see Figure 1.1). The latter is the author's position, and therefore, many examples of applied anthropology appear throughout this book.

Applied anthropology is an important thread that weaves through all four fields of anthropology:

- Archaeologists are employed in *cultural resource management (CRM)*, assessing the presence of possible archaeological remains before construction projects, such as roads and buildings, can proceed.
- Biological anthropologists are employed as *forensic anthropologists*, participating in criminal investigations through laboratory work identifying bodily remains. Others work in nonhuman primate conservation, helping to protect their habitats and survival.
- Linguistic anthropologists consult with educational institutions about how to improve standardized tests for bilingual populations and conduct policy research for governments.
- Cultural anthropologists apply their knowledge to improve policies and programs in every domain of life, including education, health care, business, poverty reduction, and conflict prevention and resolution (see Anthropology Works throughout this book).



Introducing Cultural Anthropology

Cultural anthropology is devoted to studying human cultures worldwide, both their similarities and differences. Cultural anthropology makes “the strange familiar and the familiar strange” (Spiro 1990). Therefore, it teaches us to look at ourselves from the “outside” as a somewhat “strange” culture. A good example of making the familiar strange is the case of the Nacirema (nah-see-RAY-muh), a culture first described in 1956:

The Nacirema are a North American group living in the territory between the Canadian Cree, the Yaqui and the Tarahumara of Mexico, and the Carib and the Arawak of the Antilles. Little is known of their origin, though tradition states that they came from the east. According to Nacirema mythology, their nation was originated by a culture hero, Notgnihsaw, who is otherwise known for two great feats of strength—the throwing of a piece of wampum across the river Pa-To-Mac and the chopping down of a cherry tree in which the Spirit of Truth resided (Miner 1965 [1956]: 415).

The anthropologist goes on to describe the Nacirema's intense focus on the human body. He provides a detailed account of a daily ritual performed within the home in a specially constructed shrine area:

The focal point of the shrine is a box or chest which is built into the wall. In this chest are kept the many charms and magical potions without which no native believes he could live. These preparations are secured from a variety of specialized practitioners. The most powerful of these are the medicine men, whose assistance must be rewarded with substantial gifts. . . . Beneath the charm-box is a small font. Each day every member of the family, in succession, enters the shrine room, bows his head before the charm-box, mingles different sorts of holy water in the font, and proceeds with a brief rite of ablution (1965: 415–416).

If you do not recognize this tribe, try spelling its name backwards. (*Note:* Please forgive Miner for his use of the masculine pronoun in describing Nacirema society in general; his writings are several decades old.)

A Brief History of Cultural Anthropology

The beginning of cultural anthropology goes back to writers such as Herodotus (fifth century BCE; note: BCE stands for “Before the Common Era,” a secular transformation of BC, or “Before Christ”), Marco Polo (thirteenth to fourteenth centuries), and Ibn Khaldun (fourteenth century), who traveled extensively and wrote reports about cultures they encountered. More recent conceptual roots are found in writers of the French Enlightenment, such as the philosopher Montesquieu, who wrote in the first half of the eighteenth century. His book *The Spirit of the Laws*, published in 1748 [1949], discussed the temperament, appearance, and government of various people around the world. He explained cultural differences as due to the different climates in which people lived.

In the second half of the nineteenth century, the discovery of the principles of biological evolution by Charles Darwin and others offered for the first time a scientific explanation for human origins. Biological evolution says that early forms evolve into later forms through the process of natural selection, whereby the most biologically fit organisms survive to reproduce while those that are less fit die out. Darwin's model is thus one of continuous progress toward increasing fitness through struggle among competing organisms. The concept of evolution was important in the thinking of early cultural anthropologists.

The most important founding figures of cultural anthropology in the late eighteenth and early nineteenth centuries

were Sir Edward Tylor and Sir James Frazer in England and Lewis Henry Morgan in the United States (Figure 1.2). Inspired by the concept of biological evolution, they developed a model of cultural evolution whereby all cultures evolve from lower to higher forms over time. This view placed non-Western peoples at a “primitive” stage and Euro-American culture as “civilization” and assumed that non-Western cultures would either catch up to the level of Western civilization or die out.

Polish-born Bronislaw Malinowski is a major figure in modern cultural anthropology (see photo, p. 31). In the first half of the twentieth century, he established a theoretical approach called **functionalism**: the view that a culture is similar to a biological organism, in which the parts work to support the operation and maintenance of the whole. Religion and family organization, for example, contribute to the functioning of the whole culture. Functionalism is linked to the concept of **holism**, the view that one must study all aspects of a culture in order to understand it.

Franz Boas is considered the founder of North American cultural anthropology. Born in Germany and educated in physics and geography, he came to the United States in 1887 (Patterson 2001:46ff). He brought with him a skepticism toward Western science gained from a year's study with the Inuit, the indigenous people of Baffin Island (see Map 2.3, p. 39). He learned from the Inuit that people in different cultures may have different perceptions of even basic physical substances, such as “water.” Boas came to recognize the individuality and validity of different cultures. He introduced the now widely known concept of **cultural relativism**, or the view that each culture must be understood in terms of the values and ideas of that culture and not be judged by the standards of another. According to Boas, no culture is more advanced than any other. His position thus contrasted markedly with that of the nineteenth-century cultural evolutionists.

Margaret Mead is Boas's most famous student. She contributed to knowledge of South Pacific cultures, gender roles, and the impact of child-rearing practices on personality. Her scholarly works, as well as her columns in popular magazines, had wide influence on U.S. child-care patterns in the 1950s. Mead was thus an early *public anthropologist* who took

functionalism the theory that a culture is similar to a biological organism, in which parts work to support the operation and maintenance of the whole.

holism the perspective in anthropology that cultures are complex systems that cannot be fully understood without paying attention to their different components, including economics, social organization, and ideology.

cultural relativism the perspective that each culture must be understood in terms of the values and ideas of that culture and not judged by the standards of another culture.

FIGURE 1.2 Key Contributors to Cultural Anthropology.

Late Nineteenth Century	
Sir Edward Tylor	Armchair anthropology, first definition of culture
Sir James Frazer	Armchair anthropology, comparative study of religion
Lewis Henry Morgan	Insider's view, cultural evolution, comparative method
Early Twentieth Century	
Bronislaw Malinowski	Functionalism, holism, participant observation
Franz Boas	Cultural relativism, historical particularism, advocacy
Margaret Mead	Personality and culture, cultural constructionism, public anthropology
Ruth Benedict	Personality and culture, national character studies
Zora Neale Hurston	Black culture, women's roles, ethnographic novels
Mid- and Late Twentieth Century and Early Twenty-First Century	
Claude Lévi-Strauss	Symbolic analysis, French structuralism
Beatrice Medicine	Native American anthropology
Eleanor Leacock	Anthropology of colonialism and indigenous peoples
Marvin Harris	Cultural materialism, comparison, theory building
Mary Douglas	Symbolic anthropology
Michelle Rosaldo	Feminist anthropology
Clifford Geertz	Interpretive anthropology, thick description of local culture
Laura Nader	Legal anthropology, "studying up"
George Marcus	Critique of culture, critique of cultural anthropology
Gilbert Herdt	Gay anthropology
Nancy Scheper-Hughes	Critical medical anthropology
Leith Mullings	Anti-racist anthropology
Sally Engle Merry	Globalization and human rights

seriously the importance of bringing cultural anthropology knowledge to the general public in order to create positive social change.

Following World War II, cultural anthropology in the United States expanded substantially in terms of the number

cultural materialism a theory that takes material features of life, such as the environment, natural resources, and mode of livelihood, as the bases for explaining social organization and ideology.

interpretive anthropology the view that cultures are best understood by studying what people think about, their ideas, and the meanings that are important to them.

structuralism a theoretical position concerning human behavior and ideas that says large forces such as the economy, social and political organization, and the media shape what people do and think.

agency the ability of humans to make choices and exercise free will even within dominating structures.

microculture a distinct pattern of learned and shared behavior and thinking found within a larger culture.

of trained anthropologists and departments of anthropology in colleges and universities. Along with this growth came increased theoretical diversity. Several anthropologists developed theories of culture based on environmental factors. They suggested that similar environments (for example, deserts or tropical rainforests or mountains) would predictably lead to the emergence of similar cultures.

At the same time, French anthropologist Claude Lévi-Strauss was developing a quite different theoretical perspective, known as *French structuralism*. He maintained that the best way to understand a culture is to collect its myths and stories and analyze the underlying themes in them. French structuralism inspired the development of *symbolic anthropology*, or the study of culture as a system of meanings, which was especially prominent in the United States in the latter part of the twentieth century.

In the 1960s, Marxist theory emerged in anthropology, stating the importance of people's access to the means of livelihood. It inspired the emergence of a new theoretical school

in the United States called **cultural materialism**. Cultural materialism is an approach to studying culture by emphasizing the material aspects of life, especially the natural environment and how people make a living. Also arising in the 1960s was the theoretical position referred to as **interpretive anthropology**, or *interpretivism*. This perspective developed from both U.S. symbolic anthropology and French structural anthropology. It says that understanding culture should focus on what people think about, their ideas, and the symbols and meanings that are important to them. These two positions are discussed in more detail later in this section.

Since the 1990s, two other theoretical directions have gained prominence. Both are influenced by *postmodernism*, an intellectual pursuit that asks whether modernity is truly progress and questions such aspects of modernism as the scientific method, urbanization, technological change, and mass communication. The first theory is termed **structurism** (I coined this term), the view that powerful structures such as economics, politics, and media shape cultures, influencing how people behave and think, even when they don't realize it. The second theory emphasizes human **agency**, or free will, and the power of individuals to create and change culture by acting against structures. These two positions are revisited at the end of this section.

Cultural anthropology continues to be rethought and refashioned. Over the past few decades, several new theoretical perspectives have transformed and enriched the field. *Feminist anthropology* is a perspective that emphasizes the need to study female roles and gender-based inequality. In the 1970s, early feminist anthropologists realized that anthropology had overlooked women. To address this gap, feminist anthropologists undertook research that explicitly focused on women and girls, half of the world's people. A related area is *gay and lesbian anthropology*, or *queer anthropology*, a perspective that emphasizes the need to study gay people's cultures and discrimination based on sexual identity and preferences. This book presents findings from both these areas.

In North American anthropology, African American, Latino, and Native American anthropologists are increasing in number and visibility. Yet anthropology in North America and Europe remains one of the "whitest" professions (Shanklin 2000). Some steps for moving the discipline toward *antiracist anthropology* include the following (Mullings 2005):

- Examine and recognize anthropology's history of, and implications for, racism.
- Work to increase the diversity of professors, researchers, staff, and students in the discipline.
- Teach about racism in anthropology classes and textbooks.

Worldwide, non-Western anthropologists are increasingly questioning the dominance of Euro-American anthropology and offering new perspectives (Kuwayama 2004). Their work provides useful critiques of anthropology as a largely Western-defined discipline and promises to lead it in new directions in the future.

The Concept of Culture

Although cultural anthropologists are united in the study of *culture*, the question of how to define it has been debated for decades. This section discusses definitions of culture today, characteristics of culture, and bases for cultural identity.

DEFINITIONS OF CULTURE Culture is the core concept in cultural anthropology, so it might seem likely that cultural anthropologists would agree about what it is. In the 1950s, an effort to collect definitions of culture produced 164 different ones (Kroeber and Kluckhohn 1952). Since then, no one has tried to count the number of definitions of culture used by anthropologists.

British anthropologist Sir Edward Tylor proposed the first definition in 1871. He stated, "Culture, or civilization . . . is that complex whole which includes knowledge, belief, art, law, morals, custom, and any other capabilities and habits acquired by man as a member of society" (Kroeber and Kluckhohn 1952:81). The phrase "that complex whole" has been the most durable feature of his definition.

In contemporary cultural anthropology, the cultural materialists and the interpretive anthropologists support two different definitions of culture. Cultural materialist Marvin Harris says, "A culture is the total socially acquired life-way or life-style of a group of people. It consists of the patterned repetitive ways of thinking, feeling, and acting that are characteristic of the members of a particular society or segment of society" (1975:144). In contrast, Clifford Geertz, speaking for the interpretivists, believes that culture consists of symbols, motivations, moods, and thoughts and does not include behavior as a part of culture. This book defines culture as learned and shared behavior and beliefs, a definition broader than Geertz's.

Culture exists among all human beings. Some anthropologists refer to this universal concept of culture as *Culture* with a capital *C*. Culture also exists in a more specific way. The term **microculture**, or local culture, refers to distinct patterns of learned and shared behavior and ideas found in local regions and among particular groups. Microcultures are based on ethnicity, gender, age, and more.

CHARACTERISTICS OF CULTURE Understanding of the complex concept of culture can be gained by looking at its characteristics.

Culture Is Not the Same as Nature The relationship between nature and culture is of great interest to cultural anthropologists in their quest to understand people's behavior and thinking. This book emphasizes the importance of culture.

A good way to see how culture diverges from, and shapes, nature is to consider basic natural demands of life within different cultural contexts. Universal human functions that everyone must perform to stay alive are eating, drinking, sleeping, and eliminating. Given the primary importance of these four functions in supporting a human being's life, it seems logical that people would fulfill them in similar ways everywhere. But that is not the case.

Eating Culture shapes what people eat, how they eat, when they eat, and the meanings of food and eating. Culture also defines foods that are acceptable and unacceptable. In China, most people think that cheese is disgusting, but in France, most people love cheese. Throughout China, pork is a widely favored meat. The religions of Judaism and Islam, in contrast, forbid the consumption of pork. In many cultures where gathering wild plant foods, hunting, and fishing are important, people value the freshness of food. They would consider a package of frozen food on a grocery store shelf as way past its time.

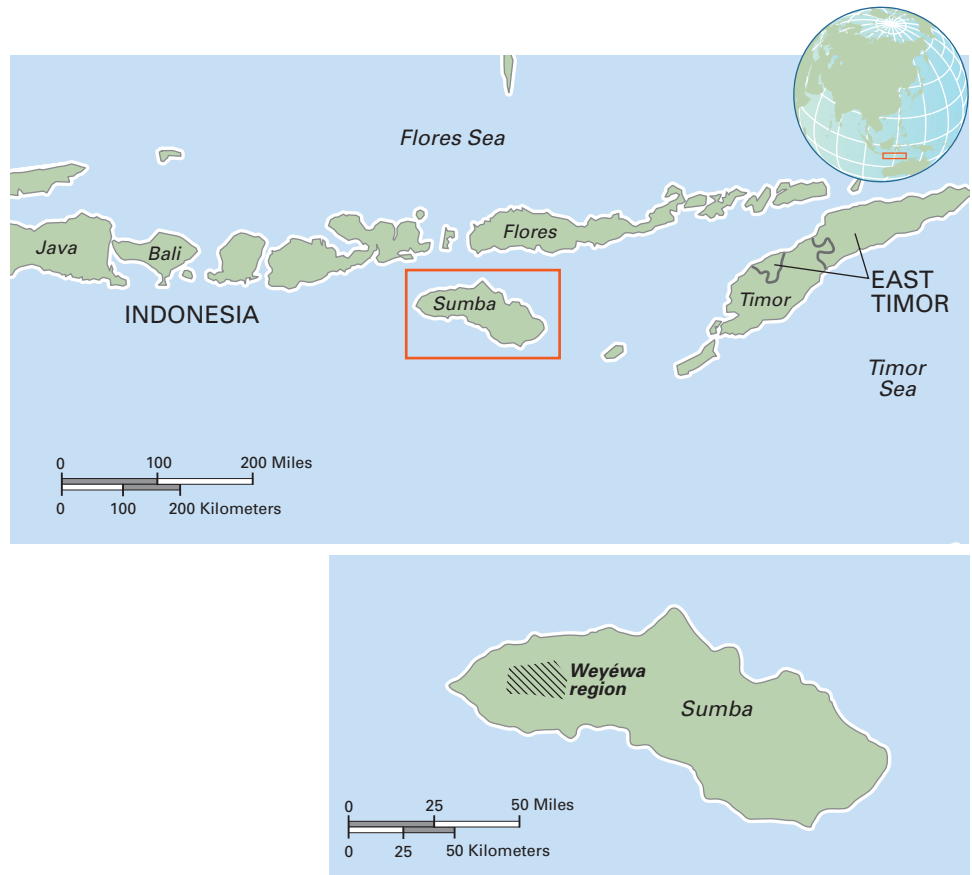
Perceptions of taste vary dramatically. Western researchers have defined four supposedly universal taste categories: sweet, sour, bitter, and salty. Cross-cultural research disproves these categories as universals. A prominent East Asian flavor, not on the Western list, is *umami*, or savoriness. To add even more complexity, the Weyéwa (wuh-YAY-wuh) people of the highlands of Sumba, Indonesia (Map 1.2), define seven categories of taste: sour, sweet, salty, bitter, tart, bland, and pungent (Kuipers 1991).

How to eat is also an important aspect of food behavior. The proper way to eat is one of the first things a person needs to learn when living in a foreign culture. Dining rules in India require using only the right hand. The left hand is considered polluted because it is used for personal cleansing after elimination. A person's clean right hand is the preferred eating utensil. Silverware that has been touched by others, even if it has been washed, is considered unclean. In some cultures, it is important to eat only from one's own plate, whereas in others, eating from a shared central platter is considered proper.

Another area of cultural variation involves who is responsible for cooking and serving food. In many cultures, domestic cooking is women's responsibility, but cooking for public feasts is more often something that men do. Power issues may arise about who cooks what for whom (see *Everyday Anthropology*).

MAP 1.2 Weyéwa Region in Indonesia.

Sumba, one of Indonesia's many islands, is 75 miles long. The Weyéwa people number about 85,000 and live in small settlements on grassy plateaus in the western part of the island. They grow rice, maize, and millet, and they raise water buffaloes and pigs.





Ethiopian women dining at an Ethiopian restaurant. The main meal consists of several meat and vegetable dishes, cooked with special spices and laid out on injera bread, a soft, flat bread that is torn into small pieces and used to wrap bite-sized bits of meat and vegetables. The entire meal can be eaten without utensils.

► How does this dining scene resemble or differ from a recent meal that you have had in a restaurant?

Drinking Cross-cultural variations related to drinking are also complex. Every culture defines the appropriate substances to drink, when to drink and with whom, and the meanings of the beverages and drinking occasions. French culture allows for the consumption of relatively large amounts of table wine with family meals, including lunch. In the United States, water is generally served and consumed during family meals. In India, water is served and consumed at the end of the meal. Around the world, different categories of people drink different beverages. In cultures where alcoholic beverages are consumed, men tend to consume more than women.

Culture often defines the meaning of particular drinks and the style of drinking and serving them. Social drinking—whether the beverage is coffee, beer, or vodka—creates and reinforces bonds. Beer-drinking rituals in U.S. college fraternities are a good example. In an ethnographic film entitled *Salamanders*, filmed at a large university in the northeastern United States, the fraternity brothers run to various “stations” in the fraternity house, downing a beer at each (Hornbein and Hornbein 1992). At one point, a brother chugs a beer, turns with a stagger toward the next station, falls flat on his face, and passes out. The movie documents another drinking ritual in which both young men and women at fraternity parties swallow live salamanders, sometimes two or three at a time, with large gulps of beer. (This practice is now forbidden by law.)

Sleeping Common sense might say that sleep is the one natural function that is not shaped by culture because people tend to do it at least once every 24 hours, everyone shuts their

eyes to do it, everyone lies down to do it, and most people sleep at night. Going without sleep for an extended period can lead to insanity and even death.

Sleep, however, is at least as much culturally shaped as it is biologically determined. Cultural influences on sleep include the questions of who sleeps with whom, how much sleep a person should have, and why some people have insomnia or what are called sleep disorders. Across cultures, marked variation exists in rules about where infants and children should sleep: with the mother, with both parents, or by themselves in a separate room? Among indigenous peoples of the Amazon region of South America, mothers and babies share the same hammock for many months and breastfeeding occurs whenever the baby is hungry.

Culture shapes the amount of time a person sleeps. In rural India, women sleep fewer hours than men because they have to get up early to start the fire for the morning meal. In fast-track, corporate North America, “type A” males sleep relatively few hours and are proud of that fact—to sleep too much is to be a wimp. A disorder in Japan called *excessive daytime sleepiness (EDS)* is common in Tokyo and other large cities (Doi and Minowa 2003). Excessive sleepiness is correlated with more accidents on the job, more absenteeism, decreased productivity, deteriorated personal and professional relationships, and increased rates of illness and death. Women are almost twice as likely as men to experience EDS, and married women are especially vulnerable.

Eliminating In spite of its basic importance to people everywhere, elimination receives little attention (in print) from anthropologists. Anyone who has traveled internationally knows that there is much to learn about elimination in an unfamiliar context.

The first question is where to eliminate. Differences emerge in the degree to which elimination is a private act or can be done in more or less public areas. In many European cities, public options include street urinals for males but not for females. In most villages in India, houses do not have interior bathrooms. Instead, early in the morning, groups of women and girls leave the house and head for a certain field, where they squat and chat. Men go to a different area. Everyone carries, in his left hand, a small brass pot full of water with

**THINKING
OUTSIDE
THE BOX**

Think about your everyday drinking patterns (no matter what the liquid), and then think about your drinking patterns on special occasions, including weekends, holidays, or special events such as weddings. What beverages do you consume, and with whom, and what are the meanings and wider social implications involved?

everyday ANTHROPOLOGY

Latina Power in the Kitchen

Within a family, cooking food for other members can be a sign of love and devotion. It may carry a message that love and devotion are expected in return. Among Tejano (tay-HAH-no) migrant farm workers in the United States, preparing tamales is a symbol of a woman's commitment to her family and thus of the "good wife" (Williams 1984). The Tejanos are people of Mexican descent who live in Texas. Some of them move to Illinois in the summer, where they are employed as migrant workers.

For Tejanos, tamales are a central cultural identity marker. Tamales contain a rich inner mash of pig's head meat wrapped in corn husks. Making tamales is extremely time consuming, and it is women's work. Typically, several women work together over a few days to do the necessary tasks: buying the pigs' heads, stripping the meat, preparing the stuffing, wrapping the stuffing with the corn husks, and baking or boiling the tamale.

Tamales symbolize and emphasize women's nurturance of their husbands. One elderly woman, at home in Texas for Christmas, made 200 tamales with her daughters-in-law, nieces, and goddaughter. They distributed the tamales to friends, relatives, and local taverns. The effort and expense involved were enormous. But for the women, it was worth it. Through their tamale making, they celebrate the holiday, build ties with people whom they may need to call on for support, and maintain communication with tavern owners so that they will watch over male kin who drink at their bars.

Tejano women also use tamale making as a statement of domestic protest. A woman who is dissatisfied



Tamales consist of fried meat and peppers in a cornmeal dough that is encased in cornhusks.

► *What is a similarly important food item in your cultural world?*

with her husband's behavior will refuse to make tamales, a serious statement on her part. The link between being a good wife and making tamales is strong, so a husband can take his wife's unwillingness to make tamales as grounds for divorce. One young Tejano sued his wife for divorce in Illinois on the grounds that she refused

to cook tamales for him, in addition to dancing with other men at fiestas. The judge refused to grant a divorce.

FOOD FOR THOUGHT ▼

Provide an example from your microcultural experience about food being used as a way of expressing social solidarity or social protest.

which he splashes himself clean. Think about the ecological advantages: This system adds fertilizer to the fields and leaves no paper litter. Westerners may consider the village practice unclean and unpleasant, but village-dwelling people in India would think that the Western system is unsanitary because

using toilet paper does not clean one as well as water does, and they would find the practice of sitting on a toilet less comfortable than squatting.

In many cultures, the products of elimination (urine and feces) are considered polluting and disgusting. Among some



The United Nations is promoting a simple practice to help save millions of lives: handwashing with soap. In rural Bangladesh (left) a poster demonstrates proper handwashing. In Lima, Peru (right), schoolchildren practice handwashing as part of Global Handwashing Day, which is October 12.

► Be prepared to discuss how the UN's promotion of handwashing with soap might need to take into account contexts where people do not have access to soap and clean water.

groups in Papua New Guinea (Map 1.3), people take great care to bury or otherwise hide their fecal matter for fear that someone will find it and use it for magic against them. A negative assessment of the products of elimination is not universal, however. Among some Native American cultures of the Pacific Northwest region of Canada and the United States, urine, especially women's urine, was believed to have medicinal and cleansing properties and was considered the "water of life" (Furst 1989). In some death rituals, it was sprinkled over the corpse in the hope that it might rejuvenate the deceased. People stored urine in special wooden boxes for ritual use, including for a baby's first bath. (The urine was mixed with water.)

What about hand-washing practices among people in rich countries? One study investigated fecal bacteria on the hands of 404 commuters in five cities in the United Kingdom (Judah et al. 2010). It found that 28 percent of commuters' hands had fecal bacteria on them, and men were more likely to have fecal bacteria on their hands than women. The researchers are now following up to learn more about hand-washing practices with attention to gender differences.

Culture Is Based on Symbols Our entire lives—from eating breakfast to greeting our friends, making money, creating art, and practicing religion—are based on and organized through



MAP 1.3 Papua New Guinea.

The Independent State of Papua New Guinea (PNG) gained its autonomy from Australia in 1975. Mostly mountainous with coastal lowlands, PNG is richly endowed with gold, copper, silver, natural gas, timber, oil, and fisheries. Its population is around 5,700,000. Port Moresby, the capital, has a high rate of HIV/AIDS infection among the working-age population.



In India, a white sari (women's garment) symbolizes widowhood.

► What might these women think about the Western custom of a bride wearing white?

symbols. A **symbol** is an object, word, or action with a culturally defined meaning that stands for something else with which it has no necessary or natural relationship. Symbols are arbitrary (bearing no necessary relationship to that which is symbolized), unpredictable, and diverse. Because symbols are arbitrary, it is impossible to predict how a particular culture will symbolize something. Although one might assume that people who are hungry would have an expression for hunger involving the stomach, no one could predict that in Hindi, the language of northern India, a colloquial expression for being hungry is saying that “rats are jumping in my stomach.” The linguistic history of *Barbara*—the name of the author of this book—reveals that originally, in the Greek, it referred to people who were outsiders, “barbarians,” and, by extension, uncivilized and savage. On top of that, the Greek term referred to such people as “bearded.” The symbolic content of the American name *Barbara* does not immediately convey a sense of beardedness in its current context because symbolic meaning can change. It is through symbols, arbitrary and amazingly rich in their attributions, that culture is shared, stored, and transmitted over time.

Culture Is Learned Because culture is based on symbols that are arbitrary, culture must be learned anew in each context. Cultural learning begins from the moment of birth, if not before. (Some people think that an unborn baby takes in and stores information through sounds heard from the outside world.) A large but unknown amount of people's cultural learning is unconscious, occurring as a normal part of life through observation. Learning in schools, in contrast, is a

formal way to acquire culture. Most cultures throughout history have not passed on learning through formal schooling. Instead, children acquire cultural patterns through observation and practice and advice from family members and elder members of the group.

Cultures Are Integrated To state that cultures are internally integrated is to assert the principle of holism. Thus, studying only one or two aspects of culture provides an understanding so limited that it is more likely to be misleading or wrong than are more comprehensive approaches.

Consider what would happen if a researcher were to study intertribal warfare in highland Papua New Guinea (see Map 1.3) and focused only on the actual practice of warfare without examining other aspects of culture. A key feature of highland culture is the exchange of pigs at political feasts. To become a political leader, a man must acquire many pigs. Pigs eat yams, which men grow, but pigs are cared for by women. This division of labor means that a man with more than one wife will be able to maintain more pigs and rise politically by giving more feasts. Such feasting enhances an aspiring leader's status and makes his guests indebted to him. With more followers attracted through feasting, a leader can gather forces and wage war on neighboring villages. Success in war brings gains in territory. So far, this example pays attention mainly to economics, politics, and marriage systems. But other aspects of culture are involved, too. Supernatural powers affect the success of warfare. Painting spears and shields with particular designs is believed to increase their power. At feasts and marriages, body decoration (including paint, shell ornaments, and elaborate feather headdresses) is an important expression of identity and status. Looking at warfare without attention to its wider cultural context yields an incomplete picture.

Cultural integration is relevant to applied anthropologists interested in proposing ways to promote positive change. Years of experience show that introducing programs for change in one aspect of culture without considering their effects in other domains is often detrimental to the welfare and survival of a culture. For example, Western missionaries and colonialists in parts of Southeast Asia banned the practice of head-hunting. This practice was connected to many other aspects of the people's culture, including politics, religion, and psychology. A man's sense of identity depended on the taking of a head. While preventing head-hunting might seem like a good thing, its cessation had disastrous consequences for the cultures in which it was practiced because of its central importance.

Cultures Interact and Change Cultures interact with each other and change each other through contact such as trade networks, international development projects, telecommunications, education, migration, and tourism. **Globalization**, the process of intense global interconnectedness and movement of goods, information, and people, is a major

force of contemporary cultural change. It has gained momentum through recent technological change, especially the boom in information and communication technologies.

Globalization does not spread evenly, and its interactions with, and effects on, local cultures vary substantially from positive change to cultural destruction and extinction. Four models of cultural interaction capture some of the variation (Figure 1.3).

The *clash of civilizations* argument says that the spread of Euro-American capitalism and lifeways throughout the world has created disenchantment, alienation, and resentment among other cultural systems. This model divides the world into the “West and the rest.”

The *McDonaldization* model says that, under the powerful influence of U.S.-dominated corporate culture, the world is becoming culturally homogeneous. “Fast-food culture,” with its principles of mass production, speed, standardization, and impersonal service, is taken to be at the center of this new global culture.

Hybridization, also called *syncretism* and *creolization*, occurs when aspects of two or more cultures combine to form something new—a blend. In Japan, for instance, a grandmother might bow in gratitude to an automated banking machine. In the Amazon region and in the Arctic, indigenous people use satellite imagery to map and protect the boundaries of their ancestral lands.

A fourth pattern is **localization**, the transformation of global culture by local microcultures into something new. Consider the example of McDonald’s restaurants. In many Asian settings, people resist the pattern of eating quickly and insist on leisurely family gatherings (Watson 1997). The McDonald’s managers accommodate this preference and alter the pace of service to allow for a slower turnover of tables. In Saudi Arabia, McDonald’s provides separate areas for families and for single men. Single women cannot enter a McDonald’s, but the company does offer delivery services. Many other examples of cultural localization exist, throwing into question the notion that a form of Western “mono-culture” is taking over the entire world and erasing cultural diversity.

Multiple Cultural Worlds

Within large cultures, a variety of microcultures exist, as discussed in this section (Figure 1.4). A particular individual in such a complex situation is likely to be a member of several

Clash of civilizations	Conflict model
McDonaldization	Takeover and homogenization model
Hybridization	Blending model
Localization	Local cultural remaking and transformation of global culture

FIGURE 1.3 Four Models of Cultural Interaction.

Class	Gender and sexuality
“Race”	Age
Ethnicity and Indigeneity	Institution

FIGURE 1.4 Some Bases of Microcultures.

microcultures. Microcultures may overlap or may be related to each other hierarchically in terms of power, status, and rights.

In discussing microcultures, the contrast between *difference* and *hierarchy* is important. People and groups can be considered different from each other in terms of a particular characteristic, but they may or may not be unequal on the basis of it. For example, people with blue or brown eyes might be recognized as different, but this difference does not entail unequal treatment or status. In other instances, such differences do become the basis for inequality.

CLASS **Class** is a category based on people’s economic position in society, usually measured in terms of income or wealth and exhibited in terms of lifestyle. Class societies may be divided into upper, middle, and lower classes. Separate classes are, for example, the working class (people who trade their labor for wages) and the landowning class (people who own land on which they or others labor). Classes are related in a hierarchical system, with upper classes dominating lower classes. Class struggle, in the classic Marxist view, is inevitable, as those at the top seek to maintain their position while those at the bottom seek to improve theirs. People at the bottom may attempt to improve their class position by gaining access to resources and by adopting aspects of upper-class symbolic behavior, such as speech, dress, and leisure and recreation activities.

Class is a recent social development in human history, extending back in time for only about 10,000 years. It does not exist today in remote local cultures where everyone has equal wealth and sharing food and other resources among the group is expected.

“RACE,” ETHNICITY, AND INDIGENOUS PEOPLES

“**Race**” refers to groups of people with supposedly homogeneous biological traits. The term “race” is extremely complicated

symbol an object, word, or action with culturally defined meaning that stands for something else; most symbols are arbitrary.

globalization increased and intensified international ties related to the spread of Western, especially U.S., capitalism that affect all world cultures.

localization the transformation of global culture by local cultures into something new.

class a way of categorizing people on the basis of their economic position in society, usually measured in terms of income or wealth.

“**race**” a classification of people into groups on the basis of supposedly homogeneous and biological traits such as skin color or hair characteristics.



A view into the yard of a house in a low-income neighborhood of Kingston, Jamaica. The author of this book learned much from the people of Jamaica during her research there, including that people with few assets and living in difficult circumstances rejected the term “poor” and preferred to be labelled “low-income.” They may not have wealth, but they have pride.

as it is used in diverse ways in different parts of the world and among different groups of people. Therefore, it makes sense to put the word in quotation marks in order to indicate that it has no single meaning. In South Africa, as in the United States, “race” is defined mainly on the basis of skin color. In pre-twentieth-century China, body hair was the key biological basis for racial classification (Dikötter 1998). The “barbarian” races had more body hair than the “civilized” Chinese people.

Chinese writers referred to bearded, male missionaries from Europe as “hairy barbarians.” Into the twentieth century, some Chinese anthropologists divided humans into evolutionary stages on the basis of amounts of body hair.

Anthropological and other scientific research demonstrates that biological features alone do not explain or account for a person’s behavior or lifestyle. Rather than being a biological category, racial classifications are cultural constructions. They are often associated with discrimination against, and cruelty toward, those “races” considered less worthy by those in power.

Ethnicity refers to a sense of identity among a group based on a sense of a common heritage, language, religion, or other aspect of culture. Examples include African Americans and Italian Americans in the United States, the Croats of Eastern Europe, the Han of China, and the Hutu and Tutsi of Rwanda. This sense of identity may be expressed through political movements to gain or protect group rights and recognition or more quietly stated in how one lives one’s daily life. Compared with the term

ethnicity a shared sense of identity among members of a group based on heritage, language, or culture.

indigenous people groups of people who have a long-standing connection with their home territories that predates colonial or outside societies.

gender culturally constructed and learned behaviors and ideas attributed to males, females, or blended genders.

“race,” “ethnicity” appears to be a more neutral, less stigmatizing term. But it, too, has been, and still is, a basis for discrimination, segregation, and oppression. The “ethnic cleansing” campaigns conducted in the early 1990s by the Serbs against Muslims in the former Yugoslavia are an extreme case of ethnic discrimination. In China, Han ethnic domination over minority ethnic groups has been a reality for centuries. Han political repression of the Tibetan people prompted thousands of Tibetans to flee their homeland. Living in exile, they struggle to keep their ethnic heritage alive.

Indigenous peoples, according to guidelines laid down by the United Nations, are defined as groups that have a long-standing connection with their home territories, a connection predating colonial or other societies that prevail in that territory (Sanders 1999). They are typically a numerical minority and often have lost the rights to their original territory. The United Nations distinguishes between indigenous peoples and *minority ethnic groups* such as the Roma, the Tamils of Sri Lanka, and African Americans. The San peoples of Southern Africa, as well as their several subgroups, are an important example of indigenous peoples whose way of life was dramatically affected first by colonialism and now by globalization (see Culturama).

GENDER **Gender** refers to culturally constructed and learned behaviors and ideas attributed to males, females, or sometimes a blended, or “third,” gender. Gender differs from *sex*, which is based on biological markers, such as genitals and hormones, to define categories of male and female. Cultural anthropology shows that a person’s biological makeup does not necessarily correspond to gender. Biology directly determines only a few roles and tasks, such as giving birth and nursing infants.

Cross-culturally, gender differences vary from societies in which male and female roles and worlds are similar or overlapping to those in which gender roles are sharply differentiated. In much of rural Thailand, men and women

CULTURAMA

San Peoples of Southern Africa

San is a cluster name for many groups of people in southern Africa who speak related languages that have glottal click sounds. Around 2,000 years ago, the San were the only people living in southern Africa, but today they are restricted to scattered locations throughout the region. European colonialists referred to San people as “Bushmen,” a derogatory term at the time but one that San people now prefer over what some locals call them. Some San also refer to themselves with the English term “First People.”

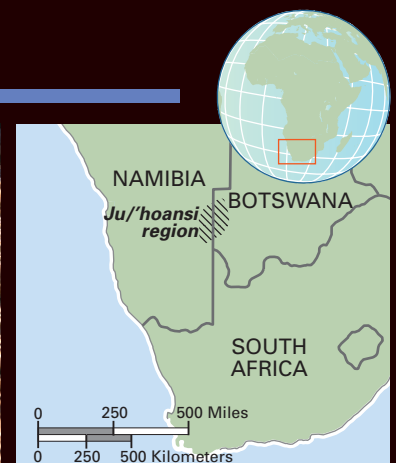
For many centuries, the San supported themselves through collecting food such as roots and birds’ eggs and by hunting eland, giraffe, and other animals. Now, pressure from African governments, farmers, ranchers, game reserves, diamond companies, and international tourism has greatly reduced the San’s access to

their ancestral land and their ability to survive. Some have been arrested for hunting on what they consider their land.

The Ju/’hoansi (“True People”) are a subgroup of San numbering between 10,000 and 15,000 people who live in a region crossing the borders of Namibia and Botswana. As described by Richard Lee in the early 1960s, they were highly mobile food collectors and quite healthy (1979). Today, most have been forced from their homeland and live as poor, urban squatters or in government-built resettlement camps. Many work as farm laborers or in the international tourist industry, serving as guides and producing and selling crafts. Others are unemployed. The specific living conditions of the San people depend on government policy toward indigenous people in the particular country in which they live.

Transnational advocacy organizations, including the Working Group of Indigenous Minorities in Southern Africa (WIMSA) and First People of the Kalahari (FPK), are making progress in protecting the rights of San peoples. Recently, WIMSA waged an international legal case with a large pharmaceutical company and succeeded in ensuring that the San receive a portion of the profits from the commercial development of hoodia (*Hoodia gordonia*). Hoodia is extracted from a cactus indigenous to the Kalahari region. An effective appetite suppressant, it is now widely available in North America and on the Internet as diet pills.

Thanks to Alison Brooks, George Washington University, for reviewing this material.



(LEFT) Richard Lee (wearing a shirt) asks Ju/’hoansi men about food plants of the Kalahari desert. This photograph was taken in 1968. Lee and many other researchers affiliated with the Harvard Kalahari research project learned to speak the Ju/’hoansi language.

(CENTER) San people eat part of the hoodia cactus when on long treks in the desert because it suppresses hunger and thirst. Now they cultivate it for commercial production in a diet pill sold in rich countries.

MAP 1.4 Ju/’hoansi Region in Namibia and Botswana. Before country boundaries were drawn, the Ju/’hoansi ranged freely across their traditional territory (shaded area), depending on the seasonal availability of food and water. Now they must show a passport when crossing from one country to another.

are about the same size, their clothing is similar, and their agricultural tasks are complementary and often interchangeable (Potter 1977). In contrast, among many groups in highland New Guinea, extreme gender segregation exists in most aspects of life, including the kinds of food men and women eat (Meigs 1984). The men's house physically and symbolically separates the worlds of men and women. Men engage in rituals that purge them of female substances: nose or penis bleeding, vomiting, tongue scraping, sweating, and eye washing. Men possess sacred flutes, which they parade through the village from time to time. If women dare to look at the flutes, men have the right, by tradition, to kill them.

AGE The human life cycle, from birth to old age, takes people through cultural stages for which appropriate behavior and thinking must be learned anew. In many African herding societies, elaborate age categories for males define their roles and status as they move from being boys with few responsibilities and little status, to young men who are warriors and live apart from the rest of the group, to adult men who are allowed to marry, have children, and become respected elders. “The Hill,” or the collective members of the U.S. Senate and the House of Representatives, is a highly age-graded microculture (Weatherford 1981). The Hill is a *gerontocracy* (a group ruled by senior members) in which the older politicians dominate younger politicians in terms of amount of time they speak and how much attention their words receive. It may take a junior member between 10 and 20 years to become as effective and powerful as a senior member.

INSTITUTIONS *Institutions*, or enduring group settings formed for a particular purpose, have their own characteristic microcultures. Institutions include hospitals, schools and universities, and prisons. Anyone who has entered such an institution has experienced a feeling of strangeness. Until you gain familiarity with the often unwritten cultural rules, you may do things that offend or puzzle people, that fail to get you what you want, and that make you feel marginalized and insecure.

Anthropologists who study educational institutions have shown that schools often replicate and reinforce stereotypes, power relations, and inequalities of the wider society. A study of middle schools in the southwestern Rocky Mountain region of the United States found a situation in which teachers marginalized Mexican immigrant girls (Meador 2005). In this school, Mexican immigrant students are labeled as

ESL (English as a second language) students because they are not fluent in English and take special courses designed to improve their English. In addition, the teachers' mental model of a “good student” is a student who is

- motivated to do well in school and gets good grades.
- an athlete.
- popular and has good students as friends.
- comes from a stable family.

It is difficult for many Mexican immigrant children to conform to this image. Mexican girls, or Mexicanas, are especially disadvantaged because most are not interested in, or good at, sports. The few Mexicanas who are motivated to try to get good grades are consistently overlooked by the teachers, who instead call on students who are confident, bright, and popular, and who sit in the front of the classroom and raise their hands eagerly.

Distinctive Features of Cultural Anthropology

Cultural anthropology has two distinct research goals and two distinct guiding concepts. Researchers and teachers in other disciplines have begun to adopt these goals and concepts in recent decades, so they are now found beyond cultural anthropology. Such cross-disciplinary contributions are something of which cultural anthropology can be proud.

CULTURAL RELATIVISM Most people grow up thinking that their culture is *the* way of life and that other ways of life are strange and inferior. Cultural anthropologists label this attitude **ethnocentrism**: judging other cultures by the standards of one's own culture rather than by the standards of other cultures. Ethnocentrism has fueled centuries of efforts to change “other” people in the world, sometimes through religious missionary work, sometimes in the form of colonial domination.

The opposite of ethnocentrism is cultural relativism, the idea that each culture must be understood in terms of its own values and beliefs and not by the standards of another culture. Cultural relativism assumes that no culture is better than any other.

One way that some anthropologists have interpreted cultural relativism is *absolute cultural relativism*, which says that whatever goes on in a particular culture must not be questioned or changed because it would be ethnocentric to question any behavior or idea anywhere (Figure 1.5). The position of absolute cultural relativism, however, can lead in dangerous directions. Consider the example of the Holocaust during World War II, in which millions of Jews, Roma, and other minorities in much of Eastern and Western Europe

ethnocentrism judging another culture by the standards of one's own culture rather than by the standards of that particular culture.

Absolute Cultural Relativism	Whatever goes on within a particular culture cannot be questioned or changed by outsiders, as that would be ethnocentric.
Critical Cultural Relativism	Anyone can pose questions about what goes on in various cultures, including their own culture, in terms of how particular practices or beliefs may harm certain members; follows Lévi-Strauss's comment that no society is perfect and that, therefore, all societies may be able to learn from others and improve.

FIGURE 1.5 Cultural Relativism: Two Views.

were killed as part of the German Nazis' Aryan supremacy campaign. The absolute cultural relativist position becomes boxed in, logically, to saying that because the Holocaust was undertaken according to the values of the culture, outsiders have no business questioning it. Can anyone feel comfortable with such a position?

Critical cultural relativism offers an alternative view that poses questions about cultural practices and ideas in terms of who accepts them and why, and whom they might be harming or helping. In terms of the Nazi Holocaust, a critical cultural relativist would ask, "Whose culture supported the values that killed millions of people on the grounds of racial purity?" Not the cultures of the Jews, Roma, and other victims. It was the culture of Aryan supremacists, who were just one group among many. In other words, the situation was far more complex than a simple absolute cultural relativist statement suggests. Rather, it was a case of *cultural imperialism*, in which one dominant group claimed supremacy over minority cultures and took actions in its own interests and at the expense of the subjugated cultures. Critical cultural relativism avoids the trap of adopting a homogenized view. It recognizes internal cultural differences: winners and losers, and oppressors and victims. It pays attention to the interests of various power groups. It can illuminate the causes and consequences of recent and contemporary conflicts, such as those in Rwanda, Iraq, and Afghanistan.

Many cultural anthropologists seek to *critique* (which means "to probe underlying power interests," not "to offer negative comments," as in the general usage of the term "criticism") the behavior and values of groups from the standpoint of a set of generally agreed-on human rights and values. Two issues emerge in this endeavor. First, it is difficult, if not impossible, to generate a universal list of what all cultures would agree to as good and right. Second, as Claude Lévi-Strauss said, "No society is perfect" (1968:385).

VALUING AND SUSTAINING DIVERSITY Anthropologists value and are committed to maintaining cultural diversity throughout the world, as part of humanity's rich heritage. Many cultural anthropologists share their expertise and knowledge to support the survival of indigenous peoples and other small-scale groups worldwide.

In the United States, an organization called Cultural Survival helps indigenous peoples and ethnic minorities deal as equals in their interactions with outsiders. Cultural Survival's guiding principle is outlined in the preface of this book. Cultural Survival sponsors programs to help indigenous peoples and ethnic minorities protect and manage their natural environment, claim land rights, and protect their cultural heritage.



American Indian dancers at the 13th annual Chumash Inter-tribal Pow Wow in the Santa Ynez Valley, California.

► Think of examples in your microculture, or another, of attempts to revitalize or maintain the culture across generations. Are such attempts successful or not, and why?

Three Theoretical Debates in Cultural Anthropology

Transitioning to theory, this section describes three debates in cultural anthropology that go to the heart of its basic questions about how people behave and think cross-culturally and why people behave and think the way they do. Introduced briefly here, they reappear throughout the book.

BIOLOGICAL DETERMINISM VERSUS CULTURAL CONSTRUCTIONISM

Biological determinism seeks to explain why people do and think what they do by considering biological factors such as people's genes and hormones. Thus, biological determinists search for the gene or hormone that contributes to behavior such as homicide, alcoholism, or adolescent stress. They also examine cultural practices in terms of how they contribute to the "reproductive success of the species," or how they contribute to the gene pool of subsequent generations by boosting the number of surviving offspring produced in a particular population. In this view, behaviors and ideas that have reproductive advantages are more likely than others to be passed on to future generations. Biological determinists, for example, have provided an explanation for why human males apparently have "better" spatial skills than females. They say that these differences are the result of evolutionary selection because males with "better" spatial skills would have an advantage in securing both food and mates. Males with "better" spatial skills impregnate more females and have more offspring with "better" spatial skills.

Cultural constructionism, in contrast, maintains that human behavior and ideas are best explained as products of culturally shaped learning. In terms of the example of "better" male spatial skills, cultural constructionists would provide evidence that such skills are passed on culturally through learning, not genes. They would say that parents and teachers socialize boys and girls differently in spatial skills and are more likely to promote learning of certain kinds of spatial skills among boys. Though recognizing the role of biological factors such as genes and hormones, anthropologists who favor cultural construction and learning as an explanation for behaviors such as homicide and alcoholism point to childhood experiences and family roles as being perhaps even more important than genes or hormones. Most cultural anthropologists are cultural constructionists, but some connect biology and culture in their work.

INTERPRETIVE ANTHROPOLOGY VERSUS CULTURAL MATERIALISM

Interpretive anthropology, or interpretivism, focuses on understanding culture by studying

what people think about, their explanations of their lives, and the symbols that are important to them. For example, in understanding the eating habits of Hindus, interpretivists ask why Hindus do not eat beef. Hindus point to their religious beliefs, where cows are sacred and it is a sin to kill and eat them. Interpretivists accept this explanation as sufficient.

Cultural materialism attempts to learn about culture by first examining the material aspects of life: the natural environment and how people make a living within particular environments. Cultural materialists believe that these basic facts of life shape culture, even though people may not realize it. They use a three-level model to explain culture. The bottom level is *infrastructure*, a term that refers to basic material factors such as natural resources, the economy, and population. According to this model, infrastructure tends to shape the other two domains of culture: *structure* (social organization, kinship, and political organization) and *superstructure* (ideas, values, and beliefs). This book's chapters are organized roughly in terms of these three categories, but with the recognition that the layers are not neat and tidy but have interconnections.

A cultural materialist explanation for the taboo on killing cows and eating beef involves the fact that cattle in India play a more important role alive than dead or carved into steaks (Harris 1974). The many cattle wandering the streets of Indian cities and villages look useless to Westerners. A closer analysis, however, shows that the seemingly useless population of bovines serves many useful functions. Ambling along, they eat paper trash and other edible refuse. Their excrement is "brown gold," useful as fertilizer or, when mixed with straw and formed into dried patties, as cooking fuel. Most important, farmers use cattle to plow fields. Cultural materialists take into account Hindu beliefs about the sacred meaning of cattle, but they see its relationship to the material value of cattle, as symbolic protection keeping these extremely useful animals out of the meat factory.

Some cultural anthropologists are strong interpretivists, whereas some are strong cultural materialists. Many combine the best of both views.

INDIVIDUAL AGENCY VERSUS STRUCTURISM

This debate concerns the question of how much individual will, or agency, affects the way people behave and think, compared with the power of forces, or *structures*, that are beyond individual control. Western philosophical thought gives much emphasis to the role of agency, the ability of individuals to make choices and exercise free will. In contrast, structurism emphasizes that free choice is an illusion because choices are structured by larger forces such as the economy, social and political organization, and ideological systems.

A prime example is the study of poverty. Those who emphasize agency focus their research on how individuals attempt to act as agents, even in situations of extreme poverty, in order to change their situation as best they can. Structurists,

biological determinism a theory that explains human behavior and ideas as shaped mainly by biological features such as genes and hormones.

cultural constructionism a theory that explains human behavior and ideas as shaped mainly by learning.

by contrast, would emphasize that the poor are trapped by large and powerful forces. They would describe how the political economy and other forces provide little room for agency for those at the bottom. An increasing number of cultural anthropologists seek to blend a structural perspective with attention to agency.



Cultural Anthropology and Careers

Some of you reading this book may take only one anthropology course to satisfy a requirement. Others may become interested in the subject matter and take a few more. Some will decide to major or minor in anthropology. Just one course in anthropology may change your way of thinking about the world and your place in it. More than that, anthropology coursework may enhance your ability to get a job.

Majoring in Anthropology

An anthropology B.A. is a liberal arts degree. It is not, however, a professional degree, such as a business degree or a degree in physical therapy. It provides a solid education relevant to many career directions that are likely to require further study, such as law, criminal justice, medicine and health services, social services, education, humanitarian assistance, international development programs, and business. Students interested in pursuing a B.A. major in anthropology should know that anthropology is at least as useful as other liberal arts majors for either graduate study or a professional career.

Anthropology has several clear advantages over other liberal arts majors, and employers and graduate schools are increasingly recognizing these features. Cultural anthropology provides knowledge about the world's people and diversity. It offers insights about a variety of specialized research methods. Cross-cultural awareness and communication skills are valuable assets sought by business, government, health-care providers, and nongovernmental organizations.

The recurrent question is this: Will it be possible to get a good job related to anthropology with a B.A. in anthropology? The answer is yes, but it takes planning and hard work. Do the following: Gain expertise in at least one foreign language, study abroad, do service learning during your undergraduate years, and conduct an independent research

project and write up the results as a professional report or conference paper. Package these skills on your résumé so that they appear relevant to employers. Do not give up. Good jobs are out there, and coursework and skills in anthropology are increasingly valued.

Anthropology is also an excellent minor. It complements almost any other area of study by adding a cross-cultural perspective. For example, if you are majoring in music, courses about world music will enrich your primary interest. The same applies to subjects such as interior design, psychology, criminal justice, international affairs, economics, political science, and more.

Graduate Study in Anthropology

Some of you may go on to pursue a master's degree (M.A.) or doctoral degree (Ph.D.) in anthropology. If you do, here is some advice: Be passionate about your interest, but also be aware that a full-time job as a professor or as a professional anthropologist is not easy to get.

To expand your possibilities of getting a good job, it is wise to consider combining a professional skill or degree with your degree program in anthropology, such as a law degree, an M.A. degree in project management, a master of public health (M.P.H.), a certificate in disaster relief, or participation in a training program in conflict prevention and resolution.

Living an Anthropological Life

Studying cultural anthropology makes for smart people and people with breadth and flexibility. In North America, college graduates are likely to change careers (not just jobs, but careers) several times in their lives. Because you never know where you are going to end up working, or in what endeavor, it pays to be broadly informed about the world.

Cultural anthropology prompts you to ask original and important questions about the world's people and their relationships with one another, and it helps provide some useful answers.

Beyond career value, cultural anthropology will enrich your daily life by increasing your exposure to the world's cultures. When you pick up a newspaper, you will find several articles that connect with what you have learned in your anthropology classes. You will be able to view your own everyday life as culturally constructed in interesting and meaningful ways. You will be a different person, and you will live a richer life.

1

the **BIG** questions REVISITED

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What is anthropology?

Anthropology is an academic discipline, like history or economics. It comprises four interrelated fields in its attempt to explore all facets of humanity from its origins through the present. Biological or physical anthropology is the study of humans as biological organisms, including their evolution and contemporary variation. Archaeology is the study of past human cultures through their material remains. Linguistic anthropology is the study of human communication, including its origins, history, and contemporary variation and change. Cultural anthropology is the study of living peoples and their cultures, including variation and change. Culture is people's learned and shared behaviors and beliefs.

Each field makes both theoretical and applied contributions. The perspective of this book is that applied anthropology, just like theoretical anthropology, should be an integrated and important part of all four fields, rather than a separate, fifth field. Examples of applied anthropology in the four fields include forensic anthropology, nonhuman primate conservation, global health programs, literacy programs for refugees, and social marketing.

What is cultural anthropology?

Cultural anthropology is the field within general anthropology that focuses on the study of contemporary humans and their cultures. It has several distinctive features that set it apart from the other fields of general anthropology and from other academic disciplines. The concept of cultural relativism, attributed to Franz Boas, is a guiding principle that other disciplines have widely adopted. Cultural anthropology values and works to sustain cultural diversity.

Culture is the key concept of cultural anthropology, and many definitions for it have been proposed throughout the history of anthropology. Many anthropologists define culture as learned

and shared behavior and ideas, whereas others equate culture with ideas alone and exclude behavior as a part of culture. It is easier to understand culture by considering its characteristics: Culture is related to nature but is not the same as nature; it is based on symbols and it is learned; cultures are integrated within themselves; and cultures interact with other cultures and change. Four models of cultural interaction involve varying degrees of conflict, blending, and resistance. People participate in cultures of different levels, including local microcultures shaped by such factors as class, "race"/ethnicity/indigeneity, gender, age, and institutions.

Cultural anthropology has a rich history of theoretical approaches and changing topical focuses. Three important theoretical debates are biological determinism versus cultural constructionism, interpretive anthropology versus cultural materialism, and individual agency versus structuralism. Each, in its own way, attempts to understand and explain why people behave and think the way they do and to account for differences and similarities across cultures.

How is cultural anthropology relevant to a career?

Coursework in cultural anthropology expands one's awareness of the diversity of the world's cultures and the importance of cross-cultural understanding. Employers in many fields—such as public health, humanitarian aid, law enforcement, business, and education—increasingly value a degree in cultural anthropology. In today's diverse and connected world, being culturally informed and culturally sensitive is essential.

Graduate degrees in cultural anthropology, either at the M.A. or Ph.D. level, are even more likely to lead to professional positions that directly use your anthropological education and skills. Combining graduate coursework in anthropology with a professional degree, such as a master's degree in public health or public administration, or a law degree, is a successful route to a meaningful career outside academia. Cultural anthropology, beyond its career relevance, will enrich your life with its insights.

KEY CONCEPTS

agency, p. 10

anthropology, p. 4

applied anthropology, p. 4

archaeology, p. 4

biological anthropology, p. 4

biological determinism, p. 22

class, p. 17

cultural anthropology, p. 4

cultural constructionism,
p. 22

cultural materialism, p. 10

cultural relativism, p. 9

culture, p. 4

ethnicity, p. 18

ethnocentrism, p. 20

functionalism, p. 9

gender, p. 18

globalization, p. 17

holism, p. 9

indigenous people, p. 18

interpretive anthropology, p. 10

linguistic anthropology, p. 4

localization, p. 17

microculture, p. 10

"**race**", p. 17

rainforest, p. 6

structuralism, p. 10

symbol, p. 17

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 Read the Document on myanthrolab.com


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the **BIG** questions

 How do cultural anthropologists conduct research?

 What does fieldwork involve?

 What are some urgent issues in cultural anthropology research today?

RESEARCHING CULTURE

◀ Cultural anthropologist Robert Bailey and biological anthropologist Nadine Peacock, members of a Harvard University fieldwork team, conversing with some Ituri people who live in the rainforests of the eastern part of the Democratic Republic of Congo.

2

OUTLINE

Changing Research Methods

Doing Fieldwork in Cultural Anthropology

Anthropology Works: What's for Breakfast in California?

Culturama: The Trobriand Islanders of Papua New Guinea

Eye on the Environment: Inuit Place Names and Landscape Knowledge

Urgent Issues in Cultural Anthropology Research

This chapter is about how cultural anthropologists do research to learn about people’s shared and learned behavior and beliefs. The first section discusses how methods in cultural anthropology have evolved since the late nineteenth century. The second section covers the steps involved in a research project. The chapter concludes by addressing two urgent topics in cultural anthropology research.

 [Listen to the Chapter Audio on myanthrolab.com](#)



Changing Research Methods

Methods in cultural anthropology today are different in several ways from those used during the nineteenth century. Most cultural anthropologists now gather data by doing **fieldwork**, going to the *field*, which is wherever people and cultures are, to learn about culture through direct observation. They also use a variety of specialized research techniques depending on their particular goals.

From the Armchair to the Field

The term *armchair anthropology* refers to how early cultural anthropologists conducted research by sitting at home in their library and reading reports about other cultures written by travelers, missionaries, and explorers. These early thinkers never visited the places they wrote about and had no direct experience with the people whose customs they discussed.

In the late nineteenth and early twentieth centuries, anthropologists hired by European colonial governments moved a step closer to learning directly about people of other cultures. They traveled to their home country’s colonies in Africa and Asia, where they lived near, but not with, the people they were studying. This approach is called *verandah anthropology* because, typically, the anthropologist would send out for “natives” to come to his verandah (verandah anthropologists, like armchair anthropologists, were men).

In the United States during the mid-nineteenth century, Lewis Henry Morgan took steps toward learning about people and their culture through direct observation and interactions with more than just a few individuals out of context. A lawyer, Morgan lived in Rochester, New York, near the Iroquois territory. He became well acquainted with many of the Iroquois and gained insights into their everyday lives (Tooker 1992). Morgan showed that Iroquois behavior and beliefs make sense

fieldwork research in the field, which is any place where people and culture are found.

participant observation basic fieldwork method in cultural anthropology that involves living in a culture for a long time while gathering data.

multisited research fieldwork conducted in more than one location in order to understand the culture of dispersed members of the culture or relationships among different levels of culture.



Ethnographic research in the early twentieth century often involved photography. This Andaman girl wears the skull of her deceased sister. Indigenous people of the Andaman Islands revere the bones of their dead relatives and would not want them to be taken away, studied, or displayed in a museum.

if an outsider spends time learning about them, in context and through direct interactions and experience. His writings changed the prevailing Euro-American perception of the Iroquois, and other Native American tribes, as “dangerous savages.”

Participant Observation

Another major turning point occurred in the early twentieth century, during World War I, laying the foundation for the cornerstone method in cultural anthropology: fieldwork combined with participant observation. **Participant observation** is a research method for learning about culture that involves living in a culture for an extended period while gathering data.

The “father” of participant observation is Bronislaw Malinowski. He is credited with inventing a new approach to learning about culture while he was in the Trobriand Islands in the South Pacific during World War I (see *Culturama*, p. 32). For two years, he resided in a tent alongside the local people, participating in their activities and living, as much as possible, as one of them. He also learned to understand and speak their language.

With these innovative approaches that are now standard features of field research in cultural anthropology (Figure 2.1), Malinowski was able to learn about Trobriand culture in context, rather than through secondhand reports. By learning the local language, he could talk with the people without the use of interpreters and thus gain a much more accurate understanding of their culture.

- Living with the people for an extended period of time
- Participating in and observing people’s everyday life
- Learning the local language

FIGURE 2.1 Three Elements of Field Methods in Cultural Anthropology.

Through the mid-twentieth century, a primary goal of cultural anthropologists was to record as much as possible of a people's language, songs, rituals, and social life because many cultures were disappearing. At this time, most cultural anthropologists did fieldwork in small, relatively isolated cultures, and they thought they could study everything about such cultures, following the principle of holism (defined in Chapter 1). Typically, the anthropologist (a White man) would go off with his notebooks to collect data on a standardized list of topics, including economics, family life, politics, religion, language, art and crafts, and more. If he was married, his wife might help out by providing information on women's lives.

Today, few if any such seemingly isolated cultures remain due to globalization and mass communication including the Internet. Cultural anthropologists have devised new research methods so that they can study larger-scale cultures, global-local connections, and cultural change. One methodological innovation of the late twentieth century helps to address these new issues: **multisited research**, which is fieldwork conducted on a topic in more than one location (Marcus 1995). Although especially helpful in studying migrant populations in both their place of origin and their new location, multisited research is useful for studying many topics.

Lanita Jacobs-Huey conducted multisited fieldwork in order to learn about the language and culture of hair styles among African American women (2002). She chose a range of sites throughout the United States and in London, England, in order to explore the many facets of the far-from-simple topic of hair: beauty salons, regional and international hair expos and training seminars, Bible study meetings of a nonprofit group of Christian cosmetologists, stand-up comedy clubs, a computer-mediated discussion about the politics of Black hair, and a cosmetology school in Charleston, South Carolina.



Doing Fieldwork in Cultural Anthropology

Fieldwork in cultural anthropology can be exciting, frustrating, scary, boring, and sometimes dangerous. One thing is true: It transforms the lives of everyone involved. This section explores the stages of a fieldwork research project, starting with the initial planning and ending with the analysis and presentation of the findings.

Beginning the Fieldwork Process

Before going to the field, the prospective researcher must select a research topic and prepare for the fieldwork itself. These steps are critical to the success of the project.

PROJECT SELECTION Finding a topic for a research project is a basic first step. The topic should be important and



Lanita Jacobs-Huey's field sites include hair-styling competitions throughout the United States and in London, England. Here, a judge evaluates the work of a student stylist at the Afro Hair & Beauty Show in London.

feasible. Cultural anthropologists often find a topic to research by carrying out a *literature review*, which is the formal term for reading what others have already written about the subject and assessing its strengths and gaps. For example, cultural anthropologists realized during the 1970s that anthropological research to date had ignored women and girls, and this is how feminist anthropology began (Miller 1993).

Important events and trends often inspire a research project. The HIV/AIDS epidemic and its rapid spread continue to prompt much research as do other new health threats. The plight of many international migrants and refugees provides a pressing topic for study. Conflicts in Afghanistan, Iraq, Sudan, and other places spur cultural anthropologists to ask what causes such conflicts and how post-conflict reconstruction can be most effectively accomplished (Lubkemann 2005). Climate change and environmental issues have become important in recent years, and cultural anthropologists are busy

THINKING
OUTSIDE
THE BOX

As you read this chapter, consider the similarities and differences between research methods in cultural anthropology and research in other disciplines.

ANTHROPOLOGY works

What's for Breakfast in California?

Cultural anthropologist Susan Squires is one of the brains behind the General Mills breakfast food Go-Gurt®. During its first year of production in 1991, Go-Gurt generated sales of \$37 million. Squires, who earned a Ph.D. in cultural anthropology from Boston University, is a pioneer in *consumer anthropology*, or the use of anthropological research methods to identify what people do and say in their everyday lives in order to inform product development and design.

In contrast to traditional anthropological methods that involve long-term participant observation, consumer research relies on short-term, drop-in visits, often of a small sample of people who are representative of a larger population. Typically, an anthropologist and a designer work as a team in the field.

Research into the development of Go-Gurt took Squires and an industrial

designer into the homes of middle-class families in suburban California to observe their breakfast behavior and food choices. On their first day of research, they arrived at a residence at 6:30 a.m., laden with video cameras and other equipment, prepared to have breakfast with a family they had never met. They repeated this process with more families at breakfast time and were able to build up a picture of habits and preferences.

General Mills had learned from focus group interviews that mothers want their families to eat whole-grain breakfast foods. Squires, in contrast, found that a major factor shaping breakfast food choice was the need to leave home early for work or school. Breakfast time is often a rushed affair, cut short by the need to get in the car or meet the bus. At the same time, she learned that parents want their children to eat

healthy food for breakfast while children are frequently uninterested in eating anything so early in the morning.

Squires realized that the ideal breakfast food for such busy families should be portable, healthy, fun, and come in a disposable container. The answer: yogurt packaged so that it can be eaten by squeezing it out of the package, bypassing the need for a spoon. One mother said that her daughter thinks she is eating a popsicle when she has Go-Gurt for breakfast.

The work of Susan Squires demonstrates how cultural anthropology can benefit business and the everyday lives of consumers. Two assets of consumer anthropology are its attention to people's behavior and preferences in everyday life and its ability to describe cultural variation and similarities that can translate to effective product design.



A middle-class family breakfast in California. Recent studies claim that multitasking involving telephone conversations and being on the Internet distracts from the quality of social relationships and the ability to concentrate. Whether or not such claims are true, a media-saturated lifestyle does affect eating in terms of the kind of food consumed and social interaction at mealtime.

documenting how local groups are affected by drought, declining animal and fish populations, and rising temperatures.

Some cultural anthropologists examine a particular item or commodity within its cultural context, such as sugar (Mintz 1985), cars (D. Miller 2001), beef (Caplan 2000), money (R. Foster 2002), shea butter (Chalfin 2004), wedding dresses (Foster and Johnson 2003), coca (Allen 2002), or cocaine (Taussig 2004). The item provides a window for understanding

the social relations surrounding its production, use, and trade, and what it means in terms of people's identities.

Another advance in methods is related to the need for applied research to produce knowledge with usable results for governments, nongovernmental organizations (NGOs) and businesses (see *Anthropology Works*). Rather than spending a year or more in the field, they rely on expert knowledge of the culture, a teamwork approach, and shortcut methods, *or*

rapid-research methods, to provide information within a few weeks. Admittedly such research lacks the depth and nuance of traditional extended fieldwork, but it has the advantage of providing “good enough” insights for practical applications.

Another idea for a research project is a *restudy*, or fieldwork conducted in a previously researched community. Many previous studies offer a foundation on which later studies can build, providing insights into changes that have occurred or offering a new angle. One of Bronislaw Malinowski’s major contributions to anthropology is his classic study, *Argonauts of the Western Pacific* (1961 [1922]) and its detailed examination of the Trobriand Island **kula**, a trading network linking many islands through which men maintain long-standing partnerships involving the exchange of everyday goods, such as food, as well as highly valued necklaces and armlets (see Culturama). More than half a century later, Annette Weiner traveled to the Trobriand Islands to study wood carving. She settled in a village near the place Malinowski had done his research and immediately began making startling observations: “On my first day in the village, I saw women performing a mortuary [death] ceremony in which they distributed thousands of bundles of strips of dried banana leaves and hundreds of beautifully decorated fibrous skirts” (1976:xvii). Weiner was intrigued and decided to change her research project to investigate women’s exchange patterns. Power and prestige derive from both men’s and women’s exchange networks. Reading Malinowski alone informs us about the former, but in isolation from half of the islands’ population: women. Weiner’s book *Women of Value, Men of Renown* (1976) provides an account of women’s trading and prestige activities as well as how they are linked to those of men. Building on the work of her predecessor, Weiner shows how a full understanding of one domain requires knowledge of the other.

PREPARING FOR THE FIELD After defining the research topic, it is important to secure funding to carry out the research. Academic anthropologists can apply for grants from a variety of sources, governmental and nongovernmental. Several



Bronislaw Malinowski during his fieldwork in the Trobriand Islands, 1915–1918.

sources of funding are also available for advanced graduate students. Undergraduate students have a more difficult time finding grants to support fieldwork, but some succeed.

Related to the funding question is whether it is appropriate for an anthropologist to conduct research while employed in the research setting. Employment provides financial support for the research, but it raises some problems. A basic dilemma, discussed later in the chapter, is the ethical principle that anthropologists cannot do “undercover” research. If you are working in a factory, for example, while studying what goes on in the factory, you must get people’s permission for your study, something that is not always easy. More positively, a work role can help gain people’s trust and respect. A British graduate student worked as a bartender in a tourist town in Ireland (Kaul 2004). This position placed him at the center of the village, and people respected him as a hard-working person, thus greatly adding to his ability to learn about the local culture, at least as revealed from a bartender’s perspective.

If the project involves international travel, the host government may require a visa and an application for permission to conduct research. These formalities may take a long time and may even be impossible to obtain. The government of India, for example, restricts research by foreigners, especially research related to “sensitive” topics such as tribal people, border areas, and family planning. China’s restrictions against foreign anthropologists doing fieldwork have been eased since the 1980s, but it is still not easy to get permission to do fieldwork and participant observation.

Many countries require that researchers follow official guidelines for the *protection of human subjects*. In the United States, universities and other institutions that support or conduct research with living people must establish *institutional review boards (IRBs)* to monitor research to make sure that it conforms to ethical principles. IRB guidelines follow a medical model related to the need to protect people who participate as “subjects” in medical research. Normally, IRBs require informed consent, in writing, from the research participants. **Informed consent** is an aspect of research ethics requiring that the researcher inform the research participants of the intent, scope, and possible effects of the study and seek their agreement to be in the study. Obtaining written consent from research participants is reasonable and feasible in many anthropological research projects. Written consent, however, is often not reasonable or feasible, especially in oral-based cultures where most people are not literate. Fortunately, IRBs are gaining more experience with the contexts in which cultural anthropologists do research. Some universities’ IRBs

kula a trading network, linking many of the Trobriand Islands, in which men have long-standing partnerships for the exchange of everyday goods, such as food, as well as highly valued necklaces and armlets.

informed consent an aspect of fieldwork ethics requiring that the researcher inform the research participants of the intent, scope, and possible effects of the proposed study and seek their consent to be in the study.

CULTURAMA

The Trobriand Islanders of Papua New Guinea

The Trobriand Islands are named after eighteenth-century French explorer Denis de Trobriand. They include 22 flat coral atolls east of the island of New Guinea. The indigenous Trobriand population lives on four main islands. Kiriwina is by far the most populated, with about 28,000 people (digim'Rina, personal communication 2006). The Papua New Guinea (PNG) district office and an airstrip are located on Kiriwina at Losuia.

The islands were first colonized by Great Britain and then ceded to Australia in 1904 (Weiner 1988). The British attempted to stop local warfare and to change many other aspects of Trobriand culture. Christian missionaries introduced the game of cricket as a substitute for warfare (see Chapter 11 for further discussion). In 1943, Allied troops landed as part of their Pacific operations. In 1975, the islands became part of the state of Papua New Guinea (PNG).

Island-to-island cultural differences exist. Even within one island, people may speak different dialects, although everyone speaks a version of the language called Kilivila (Weiner 1988). The Trobrianders grow much of their own food, including root crops such as yams, sweet potatoes, and taro; beans and squash; and bananas, breadfruit, coconuts, and betel nuts. Pigs are the main animal raised for food and as prestige items. In the latter part of the twentieth century, Trobrianders were increasingly dependent on money sent to them by relatives working elsewhere in PNG. Current development projects are encouraging people to plant more fruit trees, such as mango (digim'Rina 2005).

Kinship emphasizes the female line, and mothers and daughters form the core of household groups along with males related by blood. A woman's husband, and her child's father, lives with his female relatives

by blood, and not with his wife and children. Fathers, even though just visitors, spend as much time caring for their children as mothers do (Weiner 1988). Fathers of political status give their children, both boys and girls, highly valued shell earrings and necklaces to wear. Mothers give daughters prized red skirts.

Trobriand children attend Western-style schools on the islands, and many go to mainland PNG and beyond for further studies.

Today, elders worry that young people do nothing but dream about "money" and fail to care for the heritage of their ancestors. Another concern is that commercial overfishing is endangering the coral reefs.

Thanks to Linus S. digim'Rina, University of Papua New Guinea, and Robert Foster, University of Rochester, for reviewing this material.



(LEFT) Trobriand men's coveted trade goods include this shell necklace and armband.



(CENTER) A Trobriand girl wears a valued skirt at a dance in honor of the ancestors on Kiriwina Island. She and other female participants coat their skin with coconut oil and herbs and wear decorative flowers.



MAP 2.1 Trobriand Islands of Papua New Guinea. Also known as the Kiriwina Islands, these islands are an archipelago of coral atolls lying off the eastern coast of the island of New Guinea.

will waive the requirement for written informed consent, allowing oral informed consent instead. IRB guidelines do change, so check your institution's website for the latest policy.

Depending on the project's location, preparation for the field may involve buying specialized equipment, such as a tent, warm clothing, waterproof clothing, and sturdy boots. Health preparations may require immunization against contagious diseases such as yellow fever. For research in a remote area, a well-stocked medical kit and basic first-aid training are essential. Research equipment and supplies are another important aspect of preparation. Cameras, video recorders, tape recorders, and laptop computers are now basic field equipment.

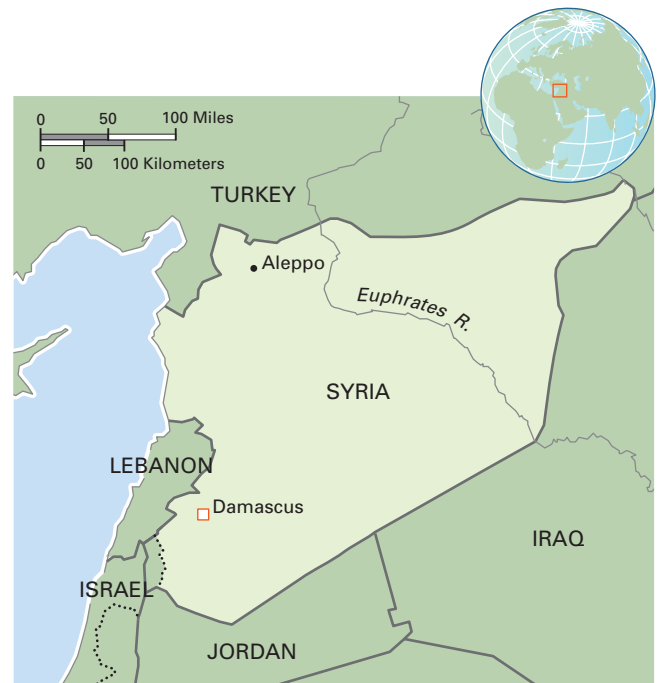
If a researcher is unfamiliar with the local language, intensive language training before going to the field is critical. Even with language training in advance, cultural anthropologists often find that they cannot communicate in the local version of the language they studied in a classroom. Therefore, many fieldworkers rely on help from a local interpreter throughout their study or at least in its early stages.

Working in the Field

A basic first step in establishing a fieldwork project is to decide on the particular location or locations for the research. The second is to find a place to live.

SITE SELECTION A research *site* is the place where the research takes place. The researcher often has a basic idea of the area where the fieldwork will occur—for example, a *favela* (shantytown) in Brazil, a village in Scotland, or a factory in Malaysia. But it is often impossible to know in advance exactly where the project will be located. Selecting a research site depends on many factors. It may be necessary to find a large village if the project involves class differences in work patterns, or a clinic if the study concerns health-care behavior. It may be difficult to find a village, neighborhood, or institution in which the people welcome the researcher and the project. Often, housing shortages mean that even the most welcoming community cannot provide space for an anthropologist.

GAINING RAPPORT **Rapport** is a trusting relationship between the researcher and the study population. In the early stages of research, the primary goal is to establish rapport with key leaders or decision makers in the community who may serve as *gatekeepers* (people who formally or informally control access to the group or community). Gaining rapport involves trust on the part of the study population, and that trust depends on how the researcher presents herself or himself. In many cultures, people have difficulty understanding why a person would come to study them because they do not know about universities and research and cultural anthropology. They may provide their own explanations based on previous experience with outsiders whose goals differed from those of cultural anthropologists, such as tax collectors, family planning promoters, and law-enforcement officials.



MAP 2.2 Syria.

The Syrian Arab Republic historically included the present-day territories of Lebanon, Israel, the Palestinian Territories, and parts of Jordan. The population of Syria is 19 million people. The capital city, Damascus, with a population of 3 million people, is one of the oldest continually occupied cities in the world.

Stories about *false role assignments* can be humorous. During his 1970s fieldwork in northwest Pakistan, Richard Kurin reports that, in the first stage of his research, the villagers thought he was an international spy from America, Russia, India, or China (1980). Over time, he convinced them that he was not a spy. So what was he? The villagers came up with several roles for Kurin. First, they speculated that he was a teacher of English because he was tutoring one of the village boys. Second, they guessed that he must be a doctor because he gave people aspirin. Third, they thought he might be a lawyer who could help them in local disputes because he could read court orders. Last, they decided that he was a descendant of a local clan because of the similarity of his last name and that of an ancestral king. For Richard Kurin, the last of these—being a true “Karan”—was best of all.

Being labeled a spy continues to be a problem for anthropologists. Christa Salamandra, a Western-trained doctoral student in anthropology, went to Damascus, Syria (Map 2.2), to do research for her dissertation in anthropology (2004). Although Damascus has an ancient history, it is increasingly cosmopolitan. Damascenes, however, have little exposure to anthropology. Syria has no university with a department of anthropology, and there are no Syrian anthropologists. Salamandra's research interests in popular culture (movies, cafés, and fashion) perplexed the local

rapport a trusting relationship between the researcher and the study population.

- What an appropriate gift is
- How to deliver a gift
- How to behave as a gift-giver
- How to behave when receiving a gift
- If and how to give a follow-up gift

FIGURE 2.2 Culture and Gift-Giving in the Field.

people, who decided she must be a foreign spy. One person said to her, “Your question is CIA, not academic” (2004:5). Nevertheless, she managed to carry out her study and write a book about popular culture in Damascus.

GIFT-GIVING AND EXCHANGE Giving gifts to people involved in the research can help the project proceed, but gifts should be culturally and ethically appropriate. Learning the local rules of exchange is important (Figure 2.2).

Matthews Hamabata, a Japanese American who did fieldwork in Japan, learned about the complexities of gift-giving among Japanese business families (1990). He developed a close relationship with one family, the Itoos, and helped their daughter apply for admission to universities in the United States. When the applications were completed, Mrs. Itoo invited him to an expensive restaurant to celebrate. After the dinner, she handed him a small, carefully wrapped package, expressing her embarrassment at the inadequacy of her gift in relation to all that he had done for her daughter. When he returned home, he opened the gift. It was a box of chocolates. Upon opening the box, he discovered 50,000 yen (about US\$250). Hamabata felt insulted: “Who do the Itoos think they are? They can’t buy me or my services!” (1990:21–22). He asked some Japanese friends what he should do. They told him that the gift signaled the Itoos’ wish to have a long-standing relationship and that returning the money to the Itoos would be an insult. They advised him to give a return gift later on, in order to maintain the relationship. His gift should leave him ahead by about 25,000 yen, given his status as an anthropologist in relation to the Itoos’ status as a rich business family. This strategy worked, and the relationship between Hamabata and the Itoos remained intact.

MICROCULTURES AND FIELDWORK Class, “race”/ethnicity, gender, and age all affect how the local people will perceive and welcome an anthropologist. Some examples illustrate how microcultures influence rapport and affect the research in other ways.

Class In most fieldwork situations, the anthropologist is more wealthy and powerful than the people studied. This difference is obvious to the people. They know that the anthropologist must have spent hundreds or thousands of dollars to travel to the research site. They see the anthropologist’s expensive equipment (camera, tape recorder, video recorder,

even a vehicle) and valuable material goods (stainless steel knives, cigarettes, flashlights, canned food, and medicines).

Many years ago, Laura Nader urged that anthropologists should also *study up* by doing research among powerful people such as members of the business elite, political leaders, and government officials (1972). As one example of this approach, research on the high-fashion industry of Japan placed the anthropologist in touch with members of the Japanese elite—influential people capable of taking her to court if they felt she wrote something defamatory about them (Kondo 1997). Studying up has prompted greater attention to accountability to the people being studied, whether or not they are able to read what the anthropologist has written about them or are wealthy enough to hire a lawyer if they do not like how they and their culture have been presented.

“Race”/Ethnicity For most of its history, cultural anthropology has been dominated by Euro-American White researchers who study “other” cultures that are mainly non-White and non-Euro-American. The effects of “Whiteness” on role assignments range from the anthropologist being considered a god or ancestral spirit to being reviled as a representative of a colonialist past or neocolonialist present. While doing research in a village in Jamaica called Haversham, Tony Whitehead learned how “race” and status interact (1986). Whitehead is an African American from a low-income family. Being of a similar “race” and class as the rural Jamaicans with whom he was doing research, he assumed that he would quickly build rapport because of a shared heritage. The people of Haversham, however, have a complex status system that relegated Whitehead to a position that he did not predict, as he explains:

I was shocked when the people of Haversham began talking to me and referring to me as a “big,” “brown,” “pretty-talking” man. “Big” was not a reference to my weight but to my higher social status as they perceived it, and “brown” referred not only to my skin color but also to my higher social status. . . . More embarrassing than bothersome were the references to how “pretty” I talked, a comment on my Standard English speech pattern. . . . Frequently mothers told me that their children were going to school so that they could learn to talk as pretty as I did. (1986:214–215)

This experience prompted Whitehead to ponder the complexities of “race” and status cross-culturally.

Gender If a female researcher is young and unmarried, she is likely to face more difficulties than a young unmarried man or an older woman, married or single, because people in most cultures consider a young unmarried female on her own as extremely unusual. Rules of gender segregation may dictate that a young unmarried woman should not move about freely without a male escort, attend certain events, or be in certain places. A woman researcher who studied a community of gay men in the United States says:

I was able to do fieldwork in those parts of the setting dedicated to sociability and leisure—bars, parties, family gatherings. I was not, however, able to observe in those parts of the setting dedicated to sexuality—even quasi-public settings such

as homosexual bath houses. . . . Thus my portrait of the gay community is only a partial one, bounded by the social roles assigned to females within the male homosexual world. (Warren 1988:18)

Gender segregation may also prevent male researchers from gaining access to a full range of activities. Liza Dalby, a White American, lived with the geishas of Kyoto, Japan, and trained to be a geisha (1998). This research would have been impossible for a man to do.

Age Typically, anthropologists are adults, and this fact tends to make it easier for them to gain rapport with people their age than with children or the aged. Although some children and adolescents welcome the participation of a friendly adult in their daily lives and respond to questions openly, others are more reserved.

CULTURE SHOCK **Culture shock** is the feeling of uneasiness, loneliness, and anxiety that occurs when a person shifts from one culture to a different one. The more different the two cultures are, the more severe the shock is likely to be. Culture shock happens to many cultural anthropologists, no matter how much they have tried to prepare themselves for fieldwork. It also happens to students who study abroad, Peace Corps volunteers, and others who spend a long time living in another culture.

Culture shock can range from problems with food to language barriers and loneliness. Food differences were a major problem in adjustment for a Chinese anthropologist who came to the



American anthropologist Liza Dalby in formal geisha dress during her fieldwork on geisha culture in Kyoto, Japan.

► Besides learning to dress correctly, what other cultural skills did Liza Dalby probably have to learn?



Tobias Hecht plays a game with some of the street children in his study in Rio de Janeiro, Brazil.

United States (Huang 1993). American food never gave him a “full” feeling. An American anthropologist who went to Pohnpei, an island in the Federated States of Micronesia (see Map 5.6, p. 111), found that her lack of skills in the local language caused her the most serious adjustment problems (Ward 1989). She says, “Even dogs understood more than I did. . . . [I will never] forget the agony of stepping on a woman’s toes. Instead of asking for forgiveness, I blurted out, ‘His canoe is blue’” (1989:14).

A frequent psychological aspect of culture shock is the feeling of reduced competence as a cultural actor. At home, the anthropologist is highly competent, carrying out everyday tasks, such as shopping, talking with people, and mailing a package, without thinking. In a new culture, the simplest tasks are difficult and one’s sense of self-efficacy is undermined.

Reverse culture shock may occur after coming home. An American anthropologist describes his feelings on returning to San Francisco after a year of fieldwork in a village in India:

We could not understand why people were so distant and hard to reach, or why they talked and moved so quickly. We were a little frightened at the sight of so many white faces and we could not understand why no one stared at us, brushed against us, or admired our baby. (Beals 1980:119)

Fieldwork Techniques

The goal of fieldwork is to collect information, or *data*, about the research topic. In cultural anthropology, variations exist about what kinds of data to emphasize and the best ways to collect data.

culture shock persistent feelings of uneasiness, loneliness, and anxiety that often occur when a person has shifted from one culture to a different one.

THINKING OUTSIDE THE BOX

Think of an occasion in which you experienced culture shock, even if as the result of just a brief cross-cultural encounter. How did you feel? How did you cope? What did you learn from the experience?

DEDUCTIVE AND INDUCTIVE RESEARCH A **deductive approach** is a form of research that starts from a research question or *hypothesis*, and then involves collecting relevant data through observation, interviews, and other methods. An **inductive approach** is a form of research that proceeds without a hypothesis and involves gathering data through unstructured, informal observation, conversation, and other methods. Deductive methods are more likely to collect **quantitative data**, or numeric information, such as the amount of land in relation to the population or the numbers of people with particular health problems. The inductive approach in cultural anthropology emphasizes **qualitative data**, or nonnumeric information, such as recordings of myths and conversations and filming of events. Most anthropologists, to varying degrees, combine deductive and inductive approaches and quantitative and qualitative data.

Cultural anthropologists have labels for data collected in each approach. **Etic** (pronounced like the last two syllables of “phonetic,” or eh-tik) refers to data collected according to the researcher’s questions and categories, with the goal of being able to test a hypothesis (Figure 2.3). In contrast, **emic** (pronounced like the last two syllables of “phonemic,” or ee-mik) refers to data collected that reflect what insiders say and understand about their culture, and insiders’ categories of thinking. Cultural materialists (review Chapter 1) are more likely to collect etic data, whereas interpretivists are more likely to collect emic data. Again, however, most cultural anthropologists collect both types of data.

PARTICIPANT OBSERVATION The phrase *participant observation* includes two processes: participating, or being part of the people’s lives, and, at the same time, carefully observing. These two activities may sound simple, but they are actually quite complex.

Being a participant means that the researcher adopts the lifestyle of the people being studied, living in the same kind of housing, eating similar food, wearing similar clothing, learning

Research Approach	Process	Data
Deductive (Etic)	Hypothesis followed by data collection	Quantitative data for hypothesis testing
Inductive (Emic)	No hypothesis, data collection follows from participants’ lead	Qualitative data for descriptive insights

FIGURE 2.3 Two Research Approaches in Cultural Anthropology.

the language, and participating in the daily round of activities and in special events. The rationale is that participation over a long period improves the quality of the data. The more time the researcher spends living among the people, the more likely it is that the people will live their “normal” lives. In this way, the researcher is able to overcome the *Hawthorne effect*, a research bias that occurs when participants change their behavior to conform to the perceived expectations of the researcher. The Hawthorne effect was discovered in the 1930s in a study of an industrial plant in the United States. During the study, research participants altered their behavior in ways they thought would please the researcher.

TALKING WITH PEOPLE Common sense tells you that participating and observing are important, but what about talking to people and asking questions such as “What is going on here?” “What does that mean?” and “Why are you doing that?” The process of talking to people and asking them questions is such an important component of participant observation that the method should actually be called *participant observation and talking*. Cultural anthropologists use a variety of data-collection techniques that rely on talking with people, from informal, casual, and unplanned conversations to more formal methods.

An **interview** is a technique for gathering verbal data through questions or guided conversation. It is more purposeful than a casual conversation. An interview may involve only two people, the interviewer and the interviewee, or several people in what are called *group interviews* or *focus groups*. Cultural anthropologists use different interview styles and formats, depending on the kinds of information they seek, the amount of time they have, and their language skills. The least structured type of interview is an *open-ended interview*, in which the respondent (interviewee) takes the lead in setting the direction of the conversation, determining the topics to be covered, and choosing how much time to devote to a particular topic. The interviewer does not interrupt or provide prompting questions. In this way, the researcher discovers what themes are important to the person.

A **questionnaire** is a formal research instrument containing a preset series of questions that the anthropologist asks in a face-to-face setting or by mail or e-mail. Cultural anthropologists who use questionnaires favor a face-to-face setting. Like interviews,

deductive approach (to research) a research method that involves posing a research question or hypothesis, gathering data related to the question, and then assessing the findings in relation to the original hypothesis.

inductive approach (to research) a research approach that avoids hypothesis formation in advance of the research and instead takes its lead from the culture being studied.

quantitative data numeric information.

qualitative data non-numeric information.

etic an analytical framework used by outside analysts in studying culture.

emic insiders’ perceptions and categories, and their explanations for why they do what they do.

interview a research technique that involves gathering verbal data through questions or guided conversation between at least two people.

questionnaire a formal research instrument containing a pre-set series of questions that the anthropologist asks in a face-to-face setting, by mail, or by e-mail.

questionnaires vary in the degree to which the questions are *structured* (close ended) or *unstructured* (open ended). Structured questions limit the range of possible responses—for example, by asking research participants to rate their positions on a particular issue as “very positive,” “positive,” “negative,” “very negative,” or “no opinion.” Unstructured interviews generate more *emic* responses.

When designing a questionnaire, the researcher should have enough familiarity with the study population to be able to develop questions that make cultural sense. Researchers who take a ready-made questionnaire to the field with them should ask another researcher who knows the culture to review the questionnaire in advance to see whether it makes sense. Further revisions may be required in the field to make the questionnaire fit local conditions. A *pilot study* using the questionnaire among a small number of people in the research area can expose areas that need further revision.

COMBINING OBSERVATION AND TALKING A combination of observation of what people actually do with verbal data about what people *say* they do and think is essential for a well-rounded view of a culture (Sanjek 2000). People may say that they do something or believe something, but their behavior may differ from what they say. For example, people may say that sons and daughters inherit equal shares of family property when the parents die. Research into what really happens may reveal that daughters do not, in fact, inherit equal shares. Similarly, an anthropologist might learn from people and their laws that discrimination on the basis of skin color is illegal. Research on people’s behavior might reveal clear examples of discrimination. It is important for an anthropologist to learn about both what people say and what happens. Both are “true” aspects of culture.

SPECIALIZED METHODS Cultural anthropologists also use several kinds of specific research methods. The choice depends on the anthropologist’s research goals.

Life History A *life history* is a qualitative, in-depth description of an individual’s life as narrated to the researcher. Anthropologists differ in their views about the value of the life history as a method in cultural anthropology. Early in the twentieth century, Franz Boas rejected this method as unscientific because research participants might lie or exaggerate (Peacock and Holland 1993). Others disagree, saying that a life history reveals rich information on individuals and how they think, no matter how “distorted” their reports are. For example, some anthropologists have questioned the accuracy of parts of *Nisa: The Life and Times of a !Kung Woman* (Shostak 1981), probably the most widely read life history in anthropology. It is a book-length story of a Ju/hoansi woman of the Kalahari Desert of southern Africa (review Culturama, Chapter 1, p. 19). Presented in Nisa’s voice, the book offers details about her childhood and several marriages. The value of the narrative is not so much whether it is “true” or not; rather, the value is that we learn from Nisa what she wants to tell us, her view of her experiences. That counts as “data” in cultural anthropology, for it is “truly” what she reported to Marjorie Shostak.



Life histories are a longstanding method of data collection in cultural anthropology. Marjorie Shostak interviewing Nisa during fieldwork among the Ju/hoansi in 1975.

► What would you tell an anthropologist about your life?

In the early days of life history research, anthropologists tried to choose an individual who was somehow typical, average, or representative. It is not possible, however, to find one person who is representative of an entire culture in the scientific sense. Instead, anthropologists seek individuals who occupy particularly interesting social niches. For example, Gananath Obeyesekere (oh-bay-yuh-sek-eruh) analyzed the life histories of four Sri Lankan people, three women and one man (1981). Each became a Hindu religious devotee and ascetic, distinguished by their hair, which is permanently matted into long, twisted coils that look like snakes. If they try to comb out the tangles, they cannot succeed because, according to the devotees, a deity is present in their matted hair. Obeyesekere suggests that all four people had suffered deep psychological afflictions during their lives, including sexual anxieties. Their matted hair symbolizes their suffering and provides them with a special status as holy, thus placing them beyond the rules of married life including conjugal sexual relations.

Time Allocation Study A *time allocation study* is a quantitative method that collects data on how people spend their time each day on particular activities. This method relies on standard time units and then labeling or coding the activities that occur within certain time segments (Gross 1984). Activity codes must be adapted to fit local contexts. For example, activity codes for various kinds of work would not be useful

THINKING
OUTSIDE
THE BOX

Given the emphasis on observation in fieldwork, is it possible for a blind person to become a cultural anthropologist?



This Sri Lankan woman, whose life story Gananath Obeyesekere analyzed, is a priestess to a deity. She stands in the shrine room of her house, holding her matted, snaky hair.

► How do hair styles in your culture express a person's religion, marital status, or sexuality?

in a time allocation study in a retirement home. Data can be collected through observation that may be continuous, at fixed intervals (for instance, every 48 hours), or on a random basis. Continuous observation is extremely time-consuming and means that the number of people observed is limited. Spot observations help increase the number of observations but may inadvertently miss important activities. Another option for data collection is to ask people to keep daily time logs or diaries.

Texts Many cultural anthropologists collect and analyze *textual material*, a category that includes written or oral stories, myths, plays, sayings, speeches, jokes, transcriptions of people's everyday conversations, and material on the Internet and social media outlets.

In the early twentieth century, Franz Boas recorded thousands of pages of texts from American Indians of the Northwest Coast of Canada, including myths, songs, speeches, and accounts of how to perform rituals. These collections provide valuable records of cultures that have changed since the time of

his fieldwork. Surviving tribal members have consulted them in order to recover forgotten aspects of their culture. Boas would be interested to know about new studies in cultural anthropology that analyze Internet websites for their social meaning. The Internet has been labeled a modern-day Pandora's box because it makes available to the viewing public any and all knowledge and opinions, right or wrong, evidence-based or not. Anna Kata, a graduate student in anthropology at McMaster University in Canada, examined several Internet sites for the *social discourse*, or shared themes, about the dangers of vaccination (2010). As background, she consulted published data showing that around 74 percent of Americans and 72 percent of Canadians are online. Of them, between 75 and 80 percent of users search for health information, and of them, 70 percent say that the information they access influences their medical treatment decisions, so the Internet plays a large role in people's medical decision making. Using Google as her search engine, Kata applied several criteria to label a particular website as "anti-vaccination." In all, she examined eight American and Canadian sites for content analysis. The prominent themes that emerged are safety (vaccines are poisons); effectiveness (vaccines are not effective); alternative medicine favored over vaccines ("back to nature"); civil liberties (parental rights); conspiracy theories (accusations of cover-up); religion (go with God-given immune system); misinformation about vaccine studies; and emotional appeals (personal testimonies). Combating anti-vaccination views with education is necessary but not sufficient, Kata concludes. Analysis of the social discourse on the Internet can help pinpoint areas that need specific attention.

Multiple Research Methods and Team Projects Most cultural anthropologists use a mix of several different methods for their research because just one would not provide all the varieties of data necessary to understand a given topic. For example, consider what interviews with people in 100 households would provide in breadth of coverage, and then add what you could learn from life histories collected from a subset of five men and



In Sudan, a multidisciplinary team comprising anthropologists, engineers, and agricultural experts from the United States and Sudan meet to discuss a resettlement project.

toponymy the naming of places.

indigenous knowledge local understanding of the environment, climate, plants, animals, and making a living.

eye on the ENVIRONMENT

Inuit Place Names and Landscape Knowledge

The South Baffin Island Place Name Project is dedicated to collecting and recording Inuit place names and landscape knowledge as a means to preserving climatically important information (Henshaw 2006).

Inuit is a cluster name for many indigenous peoples who live in the Arctic region of Canada, Alaska, and Greenland. Before contact with Europeans, Inuit life was one of constant mobility. Now, most Inuit are settled in villages and towns. As a result, their detailed knowledge of migration routes, locations along these routes, and how to adapt to changing conditions when on the move are being lost.

One project looks at **toponymy** (to-PAH-nuh-mee), or the naming of places. Inuit toponymy is one aspect of a rich set of **indigenous knowledge**, or local understanding of the environment, climate, plants, and animals.

The South Baffin Island Place Names Project used several methods for collecting data. The first step was community-wide workshops, with 10 to 15 people gathered together in a community hall. The researchers laid out large maps, and the Inuit added place names to the map and explained their importance.

The second step was conducting one-on-one interviews with Inuit elders. These elders have lived in particular areas and can provide specialized knowledge about their use (for shelter, fishing and hunting, and storage), routes to and from the site, and likely weather conditions.

The third step was participant observation. The anthropologists, with Inuit collaborators, went to many of the sites. They gained first-hand experience



MAP 2.3 Baffin Island in Northeast Canada.

Baffin Island is the largest island in the Canadian Arctic and has a population of around 11,000. The Inuit name for the island is Qikiqtaaluk. It is part of the territory of Nunavut of which Iqaluit, a town of about 3,000 people, is the capital.

about travel conditions to and from the sites and conditions at the sites. They made video recordings and took photographs.

The fourth step was analytical and archival. The researchers created a computer database, linking the ethnographic data to maps.

This research project has many uses. It will provide a data baseline, starting with elders' memories and narratives of important sites and migration routes. It will show, over time, environmental changes that have occurred and how people are adapting to them.

It will create an archive of indigenous knowledge that can be used by future generations of Inuit in protecting their cultural heritage.

FOOD FOR THOUGHT ▼

Choose an ordinary day in your week, create a map of where you go, and take notes about how key locations are named (such as your dorm room, dining hall, classrooms, and other locations). What names do you use for key sites, and what do the place names mean to you? How would you change your daily route depending on differences in the weather or season?

five women to provide depth, as well as what long-term participant observation with these people would contribute.

Anthropologists, with their in-depth insights about real people and real people's lives, are increasingly taking part in multidisciplinary research projects, especially projects with an applied focus. Such teamwork strengthens the research

by adding more perspectives and methods. For example, a team project uses mixed methods such as data from group interviews, one-on-one interviews, participant observation, and mapping to provide detailed information on Inuit place names and environmental knowledge (see Eye on the Environment).



Asturias is located in the far north of Spain. It has extensive coastal beaches but the inland is mainly mountainous. The traditional economy was based in fishing and agriculture. Coal mining and steel production were important in the mid-twentieth century but have declined.



MAP 2.4 Spain.

The Kingdom of Spain is the largest of the three countries occupying the Iberian Peninsula. The geography is dominated by high plateaus and mountain ranges. Spain's population exceeds 40 million. Spain's administrative structure is complex, including autonomous communities, such as Andalucia and Catalonia, and provinces. The central government is granting more autonomy to some localities, including the Basque area.

Recording Culture

How does an anthropologist keep track of all the information collected in the field and record it for future analysis? As with everything else about fieldwork, things have changed since the early times when a notebook and pencil were the major recording tools. Taking detailed notes, nonetheless, is still a cultural anthropologist's trademark method of recording data.

FIELD NOTES *Field notes* consist of daily logs, personal journals, descriptions of events, and notes about those notes. Ideally, researchers should write up their field notes each day. Trying to capture, in the fullest way possible, the events of even a single day is a monumental task and can result in dozens of pages of handwritten or typed field notes. Laptop computers now enable anthropologists to enter their daily observations directly into the computer.

TAPE RECORDINGS, PHOTOGRAPHS, AND VIDEOS

Tape recorders are a major aid to fieldwork. Their use may raise problems, however, such as research participants' suspicions about a machine that can capture their voices, and the ethical issue of protecting the identities of people whose voices are preserved on tape. María Cedra reports on her use of tape recording during her research in the Asturias region of rural Spain (Map 2.4):

At first the existence of the "apparatus," as they called it, was part wonder and part suspect. Many had never seen one before

and were fascinated to hear their own voice, but all were worried about what I would do with the tapes. . . . I tried to solve the problem by explaining what I would do with the tapes: I would use them to record correctly what people told me, since my memory was not good enough and I could not take notes quickly enough. . . . One event helped people to accept my integrity in regard to the "apparatus." In the second *braña* [small settlement] I visited, people asked me to play back what the people of the first *braña* had told me, especially some songs sung by a group of men. At first I was going to do it, but then I instinctively refused because I did not have the first people's permission. . . . My stand was quickly known in the first *braña* and commented on with approval. (1992:21–22)

To be useful for analysis, tape recordings have to be transcribed (typed up), either partially or completely. Each hour of recorded talk takes between 5 and 8 hours to transcribe.

Like tape recordings, photographs or videos capture more detail than scratch notes. Any researcher who has watched people performing a ritual, taken scratch notes, and then tried to reconstruct the details of the ritual later on will know how much of the sequencing and related activity is lost to memory within just a few hours. Reviewing photographs or a video recording of the ritual provides a surprising amount of forgotten or missed material. The trade-off, however, is that if you are using a camera or video recorder, you cannot take notes at the same time.

Data Analysis

During the research process, an anthropologist collects a vast amount of data in many forms. How does he or she put the data into a meaningful form? In data analysis, as with data collection, two basic varieties of data exist: *qualitative* (prose-based description) and *quantitative* (numeric presentation).

ANALYZING QUALITATIVE DATA Qualitative data include descriptive field notes, narratives, myths and stories, songs and sagas, and more. Few guidelines exist for undertaking a qualitative analysis of qualitative data. One procedure is to search for themes or patterns. This approach involves exploring the data, or “playing” with the data, either “by hand” or with the use of a computer.

Many qualitative anthropologists use computers to help sort the data for *tropes* (key themes). Computer scanning offers the ability to search vast quantities of data more quickly and perhaps more accurately than with the human eye. The range of software available for such data management is expanding. The quality of the results, though, still depends on careful and complete inputting of the data, as well as an intelligent coding scheme that will tell the computer what it should be scanning for in the data.

The presentation of qualitative data relies on people’s own words—their stories, explanations, and conversations. Lila Abu-Lughod followed this approach in conveying Egyptian Bedu (bed-oo) women’s narratives in her book *Writing Women’s Worlds* (1993). Abu-Lughod offers a light authorial framework that organizes the women’s stories into thematic clusters such as marriage, production, and honor. Although she provides an introduction to the narratives, she offers no conclusion, thereby prompting readers to think for themselves about the meanings of the stories and what they say about Egyptian Bedu women’s lives.

Some anthropologists question the value of such artistic, interpretive approaches because they lack scientific verifiability. Too much depends, they say, on the individual selection process of the anthropologist, and interpretation often depends on a small number of cases. Interpretive anthropologists respond that verifiability, in the scientific sense, is not their goal and, in fact, is not a worthwhile goal for cultural anthropology. Instead, they seek to provide a plausible interpretation or a fresh understanding of people’s lives that offers detail and richness.

ANALYZING QUANTITATIVE DATA Analysis of quantitative, or numeric, data can proceed in several directions. Some of the more sophisticated methods require knowledge of statistics, and many require the use of a computer and a software package that can perform statistical computations. The author’s research on low-income household budgets in Jamaica involved the use of computer analysis, first to divide the sample households into three income groups (lower, medium, and higher) and second to calculate percentages of expenditures in three categories of goods and groups of goods: food, housing, and transportation (Figure 2.4). Because the number of households was quite small (120), the

analysis could have been done “by hand,” but using the computer made the analysis proceed more quickly and more accurately.

REPRESENTING CULTURE **Ethnography**, or a detailed description of a living culture based on personal observation and study, is the main way that cultural anthropologists present their findings about culture. The early ethnographers tended to treat a particular local group or village as a unit unto itself with clear boundaries. Since the 1980s, ethnographies have changed in several ways:

- Ethnographers now treat local cultures as connected with larger regional and global structures and forces.
- Ethnographers tend to focus on one topic of interest and avoid a more holistic approach.
- Ethnographers study Western, industrialized cultures as well as other cultures.



Urgent Issues in Cultural Anthropology Research

This section considers two urgent issues in cultural anthropology research: fieldwork ethics and safety during fieldwork.

Ethics and Collaborative Research

Anthropology was one of the first disciplines to create and adopt a code of ethics. Two events in the 1950s and 1960s prompted cultural anthropologists to reconsider their role in research in relation to the sponsors of their research and to the people with whom they were studying. The first was *Project Camelot* of the 1950s; it was a plan of the U.S. government to influence political leadership in South America in order to strengthen U.S. interests (Horowitz 1967). The U.S. government employed several anthropologists to collect information on political leaders and events, without revealing their purpose.

The second major event was the Vietnam War (or the American War, as people in Vietnam refer to it). It brought to the forefront of anthropology questions about government interests in ethnographic information, the role of anthropologists during wartime, and the protection of the people with whom anthropologists conduct research. Two bitterly opposed positions emerged within anthropology. On one side was the view that all Americans, as citizens, should support the U.S. military effort in Vietnam. People on this side said that any anthropologist who had information that could help subvert communism should provide it to the U.S. government. The other position stated that an anthropologist’s responsibility is, first and always, to protect the people being studied, a responsibility that takes

ethnography a detailed description of a living culture, based on personal observation and study.

Item	Urban				Rural			
	Group 1	Group 2	Group 3	Total	Group 1	Group 2	Group 3	Total
Number of Households	26	25	16	67	32	30	16	78
Food	60.5	51.6	50.1	54.7	74.1	62.3	55.7	65.8
Alcohol	0.2	0.4	1.5	0.6	0.5	1.1	1.0	0.8
Tobacco	0.8	0.9	0.9	0.9	1.1	1.7	1.2	1.4
Dry Goods	9.7	8.1	8.3	8.7	8.8	10.2	14.3	10.5
Housing	7.3	11.7	10.3	9.7	3.4	5.7	3.9	4.4
Fuel	5.4	6.0	5.0	5.6	3.7	3.9	4.1	3.9
Transportation	7.4	8.2	12.4	8.9	3.0	5.3	7.6	4.9
Health	0.3	0.6	0.7	0.5	1.5	1.4	1.7	1.5
Education	3.5	2.8	3.1	3.2	1.2	2.1	3.0	1.9
Entertainment	0.1	0.9	1.1	0.6	0.0	0.1	0.3	0.2
Other	5.2	8.3	6.9	6.8	2.1	6.0	6.9	4.6
Total*	100.4	99.5	100.3	100.2	99.4	99.8	99.7	99.9

*Totals may not add up to 100 due to rounding.

Source: From "Social Patterns of Food Expenditure Among Low-Income Jamaicans" by Barbara D. Miller in *Papers and Recommendations of the Workshop on Food and Nutrition Security in Jamaica in the 1980s and Beyond*, ed. by Kenneth A. Leslie and Lloyd B. Rankine, 1987.

FIGURE 2.4 Mean Weekly Expenditure Shares (Percentage) in 11 Categories by Urban and Rural Expenditure Groups, Jamaica, 1983–1984.

priority over politics. Anthropologists taking this position opposed the war and saw the people of South Vietnam as victims of Western imperialism. They uncovered cases in which some anthropologists submitted information about people's political affiliations to the U.S. government, with the result being military actions and death of the people exposed by the research.

This period was the most divisive in the history of U.S. anthropology. It led, in 1971, to the adoption by the American Anthropological Association (AAA) of a code of ethics. The AAA code of ethics states that an anthropologist's primary responsibility is to ensure the safety of the people participating in the research. A related principle is that cultural anthropology does not condone covert, or "undercover," research. Both of these central principles now create controversy among anthropologists in terms of whether or not cultural anthropologists should participate in the U.S. Human Terrain System (HTS). The HTS seeks to reduce wartime casualties of the U.S. military and civilians by employing cultural anthropologists and others knowledgeable about the local culture in on-the-ground operations. The rationale is reasonable: culturally informed and sensitive militaries will avoid offending local people and will be more effective in bringing closure to war or counter-insurgency operations (González 2009). While that sounds good, a major problem arises because joining the HTS is likely to place the anthropologist in a position of providing

information about local people to the military whose war interests may, in fact, end up harming people. The principle of "do no harm" is impossible, some argue, to reconcile with military action.

COLLABORATIVE RESEARCH A new direction in methods explicitly seeks to involve members of the study population in collaborative research—from data collection to analysis and presentation. **Collaborative research** is an approach to learning about culture that involves the anthropologist working with members of the study population as partners and teammates rather than as "subjects." This strategy, from the start, forces a reconsideration of how anthropologists refer to the people being studied, especially the long-standing term "informant." The term sounds related to espionage or war and implies a passive role on handing over information to someone else. As noted earlier in this chapter, IRBs use the term "human subject," which cultural anthropologists reject for similar reasons. Cultural anthropologists favor the term *research participant*.

Luke Eric Lassiter is a pioneer in collaborative methods. In a recent project, Lassiter involved his undergraduate anthropology students in a collaboration with members of the African American community of Muncie, Indiana. This project resulted in a book with shared authorship among Lassiter, the students, and the community members (2004). The project collected information about African American life that is now housed in a library archive.

Cultural anthropologists are working to find better ways to share the benefits of research with the people and places

collaborative research an approach to learning about culture that involves anthropologists working with members of the study population as partners and participants rather than as "subjects."



The collaborative research team led by Luke Eric Lassiter includes Muncie community members (far left and far right) and students and faculty from Ball State University.

they study. Research methods in cultural anthropology have come a long way from the armchair, to new strategies for non-hierarchical research. More progress lies ahead, however, in democratizing anthropology and making everyone a “barefoot anthropologist.”

Safety in the Field

Fieldwork can involve serious physical and psychological risks to the researcher and to members of his or her family. The image of “the anthropologist as hero” has muffled, to a large degree, both the physical dangers and the psychological risks of fieldwork.

Dangers from the physical environment are often serious and can be fatal. In the 1980s, the slippery paths of the highland Philippines claimed the life of Michelle Zimbalist Rosaldo, a major figure in late twentieth-century cultural anthropology (review Figure 1.2, p. 10). Disease is a frequent problem. Many anthropologists have contracted infectious diseases that have chronic effects or that may be fatal.

Violence figures prominently in some, but not most, fieldwork experiences. During the five years that Philippe Bourgois conducted fieldwork in East Harlem, New York, he witnessed the following: a shooting outside his window, a bombing and machine-gunning of a numbers joint, a shoot-out and police car chase in front of the pizza parlor where he was eating, the aftermath of a fire-bombing of a heroin house, a dozen serious fights, and “almost daily exposure to broken-down human beings, some of them in fits of crack-induced paranoia, some suffering from delirium tremens, and others in unidentifiable pathological fits of screaming and shouting insults to all around them” (1995:32). He was rough-handled by the police several times because they did not believe that he was a professor and not a drug-dealer. He was once mugged

for the sum of \$8. Although his research placed him in danger, it also enabled him to gain an understanding, from the inside, of everyday violence in the lives of desperately poor and addicted people.

Anthropological research may involve danger from political violence or even war. *War zone anthropology*, or research conducted within zones of violent conflict, can provide important insights into topics such as the militarization of civilian lives, civilian protection, the cultural dynamics of military personnel, and postconflict reconstruction (Hoffman and Lubkemann 2005). This kind of research requires skills and judgment that anthropology classes or books on research methods do not typically address (Nordstrom 1997, Kovats-Bernat 2002). Previous experience in conflict zones as a worker in international aid organizations or the military is helpful.

What about fieldwork danger in supposedly normal situations? After more than 20 years of fieldwork in the Kalahari Desert, Nancy Howell (1990) suddenly had to confront the issue of danger in the field when one of her teenage sons was killed and another injured in a truck accident in Botswana, while with their father, Richard Lee, who was doing fieldwork there. In the months following the accident, she heard from many anthropologist friends who shared stories about other fieldwork accidents.

Howell contacted the American Anthropological Association (AAA) to see what advice it provides about fieldwork safety. The answer, she learned, was “not much.” The AAA responded with financial support for her to undertake a detailed inquiry into fieldwork hazards in anthropology. Howell drew a sample of 311 anthropologists listed as employed in the AAA’s *Guide to Departments*. She sent them a questionnaire asking for information on gender, age, work status, health status, and work habits in the field; she also asked about health problems and other hazards they had experienced. She received 236 completed questionnaires, a high response rate indicating strong interest in the study.

Her analysis revealed regional variation in risk and danger. The highest rates were in Africa, followed by India, the Asia/Pacific region, and Latin America. Howell offers recommendations about how anthropologists can prepare themselves more effectively for preventing and dealing with fieldwork risks. They include increasing risk awareness, training in basic medical care, and learning about fieldwork safety in anthropology classes.

Research methods in cultural anthropology have come a long way from the time of the armchair anthropologists. Topics have changed, as have techniques of data gathering and data analysis. New concerns about ethical research, including for the military, and fieldworkers’ safety continue to arise and reshape research practices.

2 the **BIG** questions REVISITED

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How do cultural anthropologists conduct research?

Cultural anthropologists conduct research by doing fieldwork and using participant observation. In the nineteenth century, early cultural anthropologists did armchair anthropology, meaning that they learned about other cultures by reading reports written by explorers and other untrained observers. The next stage was verandah anthropology, in which an anthropologist went to the field but did not live with the people. Instead, the anthropologist would interview a few members of the study population where he (there were no women cultural anthropologists at this time) lived, typically on his verandah.

Fieldwork and participant observation became the cornerstones of cultural anthropology research only after Malinowski's innovations in the Trobriand Islands during World War I. His approach emphasized the value of living for an extended period in the field, participating in the daily activities of the people, and learning the local language. These features are the hallmarks of fieldwork in cultural anthropology today.

New techniques continue to develop in response to changing times. They include multisited research, in which the anthropologist studies a topic at more than one location, and consumer research that relies on rapid research techniques to deliver information for product design and development that responds to users' needs and preferences.

What does fieldwork involve?

Fieldwork in cultural anthropology involves several stages. The first is to choose a research topic. A good topic is timely, important, and feasible. Ideas for topics can come from a literature review, restudies, current events and pressing issues, and sheer luck. Once in the field, the first steps include site selection, gaining rapport, and dealing with culture shock. Microcultures affect how anthropologists gain rapport and shape their access to particular cultural domains. Participating

appropriately in the culture involves learning local forms of gift-giving and other types of exchange to express gratitude for people's hospitality, time, and trust.

Research techniques vary between being more deductive or more inductive and accordingly will emphasize gathering quantitative or qualitative data. Cultural materialists tend to focus on quantitative data, whereas interpretivists gather qualitative data. When in the field, anthropologists take daily notes, often by hand but now also using computers. Several other methods of documenting culture include photography, audio recording, and video recording.

Anthropologists' theoretical orientation, research goals, and the types of data collected affect their approach to data analysis and presentation. Quantitative data may involve statistical analysis and presentation in graphs or tables. The presentation of qualitative data is more likely to be descriptive.

What are some urgent issues in cultural anthropology research today?

Questions of ethics have been paramount to anthropologists since the 1950s. In 1971, U.S. anthropologists adopted a set of ethical guidelines for research to address their concern about what role, if any, anthropologists should play in research that might harm the people being studied. The AAA code of ethics states that an anthropologist's primary responsibility is to avoid doing harm to the people involved. Further, cultural anthropologists should never engage in covert research and should always explain their purpose to the people in the study and preserve the anonymity of the location and of individuals.

Collaborative research is a recent development that responds to ethical concerns by pursuing research that involves the participants as partners rather than as subjects.

Safety during fieldwork is another important issue. Danger to anthropologists can come from physical sources such as infectious diseases and from social sources such as political violence. A survey of anthropologists in the 1980s produced recommendations about increasing safety during fieldwork.

KEY CONCEPTS

- collaborative research**, p. 42 **emic**, p. 36
culture shock, p. 35 **fieldwork**, p. 28
deductive approach (to research), p. 36 **indigenous knowledge**, p. 38
emic, p. 36 **inductive approach (to research)**, p. 36
ethnography, p. 41 **informed consent**, p. 31
interview, p. 36 **questionnaire**, p. 36
kula, p. 31 **rapport**, p. 33
multisited research, p. 28 **toponymy**, p. 38
participant observation, p. 28
qualitative data, p. 36
quantitative data, p. 36

SUGGESTED READINGS

- Michael V. Angrosino. *Projects in Ethnographic Research*. Long Grove, IL: Waveland Press, 2005. This brief manual provides students with ideas about what conducting research in anthropology is like. It discusses the fundamental stages of three projects, with insights into how students can conduct their own research.
- H. Russell Bernard. *Research Methods in Cultural Anthropology: Qualitative and Quantitative Approaches*, 3rd ed. Newbury Park, CA: Sage Publications, 2002. This is a sourcebook of anthropological research methods providing information about how to design a research project, methods of data collection, and data analysis and presentation.
- Kathleen M. DeWalt and Billie R. DeWalt. *Participant Observation: A Guide for Fieldworkers*. New York: AltaMira Press, 2002. This book is a comprehensive guide to doing participant observation.
- Alexander Ervin. *Applied Anthropology: Tools and Perspectives for Contemporary Practice*. Boston: Allyn and Bacon, 2005. Chapters discuss links between anthropology and policy, the history of applied anthropology, ethics, and specialized methods.
- Carolyn Fluehr-Lobban. *Ethics and the Profession of Anthropology: A Dialogue for Ethically Conscious Practice*, 2nd ed. Philadelphia: University of Pennsylvania Press, 2003. The chapters in this volume address topics such as covert research, indigenous people's cultural rights, informed consent, and ethics in researching culture in cyberspace.
- Peggy Golde, ed. *Women in the Field: Anthropological Experiences*. 2nd ed. Berkeley: University of California Press, 1986. Chapters in this classic collection discuss Margaret Mead's fieldwork in the Pacific, Laura Nader's fieldwork in Mexico and Lebanon, Ernestine Friedl's fieldwork in Greece, and Jean Briggs's fieldwork among the Inuit of the Canadian Arctic.
- Joy Hendry. *An Anthropologist in Japan: Glimpses of Life in the Field*. London: Routledge, 1999. This book describes the author's original research design, how her focus changed, and how she reached unanticipated conclusions.
- Choong Soon Kim. *One Anthropologist, Two Worlds: Three Decades of Reflexive Fieldwork in North America and Asia*. Knoxville: University of Tennessee Press, 2002. The author reflects on his fieldwork, conducted over 30 years, on Japanese industry in the American South and on Korean families displaced by the Korean war and partition.
- Luke Eric Lassiter. *The Chicago Guide to Collaborative Ethnography*. Chicago: University of Chicago Press, 2005. This handbook for doing collaborative anthropology includes historical and theoretical perspectives on collaborative anthropology, exposing its roots in feminist, humanist, and critical anthropology.
- Carolyn Nordstrom and Antonius C. G. M. Robben, eds. *Fieldwork under Fire: Contemporary Studies of Violence and Survival*. Berkeley: University of California Press, 1995. The chapters of this book discuss fieldwork experiences in Palestine, China, Sri Lanka, the United States, Croatia, Guatemala, and Ireland.
- Tom Ric with Mette Louise Berg, eds. *Future Fields*, special issue of the online journal *Anthropology Matters*, Vol. 6, No. 2, 2004. This issue includes 11 articles that address a range of methodological issues cultural anthropologists face today, including emotional, financial, and ethical challenges as well as how to cope in situations of physical danger. The journal is open access at <http://www.anthropologymatters.com>.


 Read the Document on myanthrolab.com


- Anna Kata. 2010. A Postmodern Pandora's Box: Anti-Vaccination Information on the Internet. *Vaccine* 28:1709–1716. A graduate student in cultural anthropology mines the Internet for data.
- Ann E. Kingsolver. 2010. "Like Frogs in a Well": Young People's Views of the Future Expressed in Two Collaborative Research Projects in Sri Lanka. *Human Organization* 69:1–9. This article discusses collaborative research conducted with youth in Sri Lanka about their perceived needs and views of the future.


- Susan Squires. 2002. Doing the Work: Customer Research in the Product Development and Design Industry. In Susan Squires and Bryan Byrne, eds. *Creating Breakthrough Ideas: The Collaboration of Anthropologists and Designers in the Product Development Industry*. Pp. 102–124. Westport, CT: Bergin and Garvey. A leading consumer anthropologist describes the fieldwork she did that led to a new breakfast food product for suburban American families.



the **BIG** questions

 What are the five major modes of livelihood and their characteristics?

 How are modes of livelihood related to consumption and exchange?

 How are livelihood, consumption, and exchange changing in contemporary times?

ECONOMIC SYSTEMS

◀ Members of a family living in a village in the interior Amazon region of Brazil preparing farinha (fuh-reen-yuh), a food staple made from manioc, a root. They also eat fruit and vegetables harvested from the rainforest, but these foods are no longer easily available due to clearing of the rainforest by illegal loggers, cattle ranchers, and industrial farms that grow export crops such as soybeans.

3

OUTLINE

Modes of Livelihood

Everyday Anthropology: The Importance of Dogs

Modes of Consumption and Exchange

Anthropology Works: Evaluating Indian Gaming

Globalization and Changing Economies

Culturama: The Kwakwaka'wakw of Canada

Foraging	Horticulture	Pastoralism	Agriculture	Industrialism/Informatics
Reason for Production			Reason for Production	
Production for use			Production for profit	
Division of Labor			Division of Labor	
Family-based			Class-based	
Overlapping gender roles			High degree of occupational specialization	
Property Relations			Property Relations	
Egalitarian and collective			Stratified and private	
Resource Use			Resource Use	
Extensive and temporary			Intensive and expanding	
Sustainability			Sustainability	
High degree			Low degree	

FIGURE 3.1 Modes of Livelihood.

During the many thousands of years of human prehistory, people made their living by collecting food and other necessities from nature. All group members had equal access to life-sustaining resources. Most people throughout the world now live in economies much different from this description.

Economic anthropology is the subfield of cultural anthropology that focuses on economic systems cross-culturally. The term *economic system* includes three areas: *livelihood*, or making goods or money; *consumption*, or using up goods or money; and *exchange*, or the transfer of goods or money between people or institutions.

This chapter first discusses the subject of production and introduces the concept of **mode of livelihood**: the dominant way of making a living in a culture. Ethnographic examples illustrate each of the five major modes of livelihood.

The section provides cross-cultural examples of the other two components of economic systems: the **mode of consumption**: the dominant pattern, in a culture, of using up goods and services, and the **mode of exchange**: the dominant pattern, in a culture, of transferring goods, services, and other

items between and among people and groups. The chapter's last section presents examples of contemporary change in consumption and exchange.

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Modes of Livelihood

Anthropologists define five major modes of livelihood. The modes of livelihood are discussed in order of their historical appearance in the human record (Figure 3.1). This continuum does not mean that a particular mode of livelihood evolves into the one following it. For example, foragers do not necessarily transform into horticulturalists, and so on. Nor does this model imply a judgment about the sophistication or superiority of more recent modes of livelihood. The oldest system involves complex and detailed knowledge about the environment that a contemporary city dweller would find difficult to learn quickly enough to ensure survival.

While reading this section, please bear in mind that most anthropologists are uneasy about typologies because they often do not reflect the complexity of life in any particular context. The purpose of the categories is to help you organize the ethnographic information presented in this book.

Foraging

Foraging is a mode of livelihood based on resources that are available in nature through gathering, fishing, or hunting. The oldest way of making a living, foraging is a strategy that humans share with our nonhuman primate relatives. Although

mode of livelihood the dominant way of making a living in a culture.

mode of consumption the dominant pattern, in a culture, of using things up or spending resources in order to satisfy demands.

mode of exchange the dominant pattern, in a culture, of transferring goods, services, and other items between and among people and groups.

foraging obtaining food available in nature through gathering, hunting, or scavenging.

extensive strategy a form of livelihood involving temporary use of large areas of land and a high degree of spatial mobility.

	Temperate-Region Foragers	Circumpolar-Region Foragers
Diet	Wide variety of nuts, tubers, fruits, small animals, and occasional large game	Large marine and terrestrial animals
Gender division of labor in food procurement	Men and women forage; men hunt large game	Men hunt and fish
Shelter	Casual construction, nonpermanent, little maintenance	Time-intensive construction and maintenance, some permanent

FIGURE 3.2 Temperate and Circumpolar Foraging Systems Compared.

foraging supported humanity since our beginnings, it is in danger of extinction. Only around 250,000 people worldwide provide for their livelihood predominantly from foraging now. Most contemporary foragers live in what are considered marginal areas, such as deserts, tropical rainforests, and the circumpolar region. These areas, however, often contain material resources that are in high demand in core areas, such as oil, diamonds, gold, and expensive tourist destinations. Thus, the basis of their survival is threatened by what is called the *resource curse*: people in rich countries desire the natural resources in their areas, which leads to conversion of foraging land to mines, plantations, or tourist destinations, in turn leading to the displacement of foragers from their homeland.

Depending on the environmental context, foragers' food sources include nuts, berries, and other fruits, as well as surface-growing vegetables such as melons, roots, honey, insects, and eggs. Foragers trap and hunt a wide variety of birds, fish, and animals. Successful foraging requires sophisticated knowledge of the natural environment and seasonal changes in it. Most critical is knowledge about the location of water sources and of various foods, how to follow animal tracks, how to judge the weather, and how to avoid predators. This unwritten knowledge is passed down over the generations (review *Eye on the Environment*, Chapter 2, p. 39).

Foragers rely on many kinds of tools for gathering, transporting, and processing wild foods. Tools include digging sticks for removing roots from the ground and for penetrating the holes dug by animals in order to get the animals out, bows and arrows, spears, nets, and knives. Baskets are important for carrying food. For processing raw materials into edible food, foragers use stones to mash, grind, and pound. Meat can be dried in the sun or over fire, and fire is used for cooking either by boiling or by roasting. These activities involve few nonrenewable fuel sources beyond wood or other combustible substances for cooking. Foraging is an **extensive strategy**, a mode of livelihood requiring access to large areas of land and unrestricted population movement. Cultural anthropologists distinguish two major varieties of foraging that are related to different environmental contexts: *temperate-climate foraging* and *circumpolar foraging* (Figure 3.2).

The Ju/'hoansi people of southern Africa, as studied in the early 1960s, moved several times during a year, depending on the seasonal availability of water sources (review *Culturama*, Chapter 1, p. 19). Each cluster of families regularly returned to "their" territory, reconstructing or completely rebuilding their shelters with sticks for frames and leaf or thatch coverings. Shelters are sometimes attached to two or three small trees or bushes for support. The amount of time involved in gathering and processing food and constructing shelters is modest.

In contrast to foragers of temperate climates, those living in the circumpolar regions of North America, Europe, and Asia devote more time and energy to obtaining food and providing shelter. The specialized technology of circumpolar peoples includes spears, nets, and knives, as well as sleds and the use of domesticated animals to pull them. Dogs or other animals used to pull sleds are an important aspect of circumpolar peoples' technology and social identity (see *Everyday Anthropology*). Considerable amounts of labor are needed to construct and maintain igloos or log houses. Protective clothing, including coats, gloves, and boots, is another feature of circumpolar foraging that is time intensive in terms of making and maintaining.



A Ju/'hoansi traditional shelter.

everyday ANTHROPOLOGY

The Importance of Dogs

Dogs were the first domesticated animal, with evidence of their domestication from sites in eastern Europe and Russia dating to around 18,000 years ago. In spite of dogs' long-standing importance to humans around the world, few cultural anthropologists have focused attention on humans and their dogs. One of the rare ethnographies to do so provides insights about the economic, social, and psychological importance of dogs among a group of circumpolar foragers.

Fewer than 100 Hare Indians constitute the community of Colville Lake in Canada's Northwest Territories (Savishinsky 1974). They live by hunting, trapping, and fishing in one of the harshest environments in the world. Joel Savishinsky went to Colville Lake to study stress, tension, and anxiety among the Hare and how people cope with environmental stress. Environmental stress factors include extremely cold temperatures, long and severe winters, extended periods of isolation, hazardous travel conditions along with

the constant need for mobility during the harshest periods of the year, and sometimes food scarcity. Social and psychological stress factors also exist, including contact with White fur traders and missionaries.

Savishinsky discovered the importance of dogs to the Hare people early in his research:

Later in the year when I obtained my own dogteam, I enjoyed much greater freedom of movement, and was able to camp with many people whom I had previously not been able to keep up with. Altogether I travelled close to 600 miles by dogsled between mid-October and early June. This constant contact with dogs, and the necessity of learning how to drive, train and handle them, led to my recognition of the social and psychological, as well as the ecological, significance of these animals in the lives of the people. (1974:xx)

Among the 14 households, there are a total of 224 dogs. Some households

have as many as four teams, with an average of six dogs per team, corresponding to people's estimation that six dogs are required for travel.

More than being economically useful, dogs play a significant role in people's emotional lives. They are a frequent topic of conversation:

Members of the community constantly compare and comment on the care, condition, and growth of one another's animals, noting special qualities of size, strength, color, speed, and alertness (1974:169).

Emotional displays, uncommon among the Hare, are significant between people and their dogs:

The affectionate and concerned treatment of young animals is participated in by people of all ages, and the nature of the relationship bears a striking resemblance to the way in which people treat young children. Pups and infants are, in essence, the only recipients of unreserved positive affect in the band's social life . . . (1974:169–170)

DIVISION OF LABOR Among foraging peoples, the *division of labor*, or occupational specialization (assigning particular tasks to particular individuals), is based on gender and age. Among temperate foraging cultures, a minimal gender-based division of labor exists. Temperate foragers get most of their everyday food by gathering roots, berries, larvae, small birds and animals, and fish, and both men and women collect these basic foods. Hunting large animals, however, tends to involve only men, who go off together in small groups on long-range expeditions. Large game provides a small and irregular part of the diets of temperate-climate foragers. In circumpolar

groups, a significant part of people's diet comes from large animals such as seals, whales, bears, and fish. Men do most of the hunting and fishing. Among circumpolar foragers, therefore, the division of labor is strongly gender-divided.

Age is a basis for task allocation in all modes of livelihood, including foraging. Young boys and girls help collect food. Elderly people tend to stay at the camp area where they are responsible for caring for young children.

PROPERTY RELATIONS The concept of *private property*, in the sense of owning something that can be sold to someone else, does not exist in foraging societies. Instead, the term **use rights** is more appropriate. It means that a person or group has socially recognized priority in access to particular resources such as gathering areas, hunting and fishing areas, and water holes. This access is willingly shared with others by permission. Among the Ju/'hoansi, family groups control access to particular water holes and the territory surrounding them (Lee 1979:58–60). Visiting groups are welcome and will

use rights a system of property relations in which a person or group has socially recognized priority in access to particular resources such as gathering, hunting, and fishing areas and water holes.

horticulture a mode of livelihood based on growing domesticated crops in gardens, using simple hand tools.



MAP 3.1 Hare Region Near Colville Lake in Northwest Canada.

Early European colonialists named the local people Hare because of their reliance on snowshoe hares for food and clothing. The Hare people became involved in the wage-labor economy and were afflicted by alcoholism, tuberculosis, and other diseases. Efforts to reestablish claims to ancestral lands began in the 1960s.

FOOD FOR THOUGHT ▼

Think of a culture (perhaps yours) in which dogs or some other domesticated animals

are a focus of intense human interest. How do people and the animals in question interact? Are there age and gender

differences in human relationships with domesticated animals?

Source: (Joel Savishinsky)



Hare Indian children use their family's sled to haul drinking water to their village.

be given food and water. In turn, the host group, at another time, will visit other camps and be offered hospitality there.

FORAGING AS A SUSTAINABLE SYSTEM When untouched by outside influences and with abundant land available, foraging systems are *sustainable*, which means that crucial resources are regenerated over time in balance with the demand that the population makes on them. North Sentinel Island, one island in the Andaman Islands, provides a clear case because its inhabitants have long lived in a “closed” system. So far, the few hundred indigenous people live in almost complete isolation from the rest of the world, other than the occasional helicopter flying overhead and the occasional attempt by outsiders to land on their territory.

One reason for the sustainability of foraging is that foragers’ needs are modest. Anthropologists have typified the foraging lifestyle as the *original affluent society* because needs are satisfied with minimal labor efforts. This term is used metaphorically to remind people living in contemporary consumer

cultures that foraging is not a miserable, inadequate way to make a living, contrary to most ethnocentric thinking.

Because foragers’ needs for goods are limited, minimal labor efforts are required to satisfy them. Foragers typically work fewer hours a week than the average employed North American. In traditional (undisturbed) foraging societies, the people spend as few as five hours a week collecting food and making and repairing tools. They have much time for storytelling, playing games, and resting. Foragers also traditionally enjoyed good health. During the early 1960s, the age structure and health status of the Ju/’hoansi compared well with people in the United States of around 1900 (Lee 1979:47–48). They had few infectious diseases or health problems related to aging such as arthritis.

Horticulture

Horticulture is a mode of livelihood based on cultivating domesticated plants in gardens using hand tools. Garden crops are often supplemented by foraging and by trading with



[TOP] In Uganda, as elsewhere throughout the tropics, families grow bananas on small plots of land. Bananas were originally domesticated in New Guinea, in the Pacific. Bananas and plantains are an important food crop for millions of people.

[BOTTOM] A woman and her daughter cook bananas for the evening meal in rural Uganda. Green bananas have a texture like potatoes and can be fried, boiled, or baked or made into chips.

► Find a recipe for green bananas and see if you can purchase green bananas locally to prepare the dish.

pastoralists for animal products. Horticulture is still practiced by many thousands of people throughout the world. Prominent horticultural regions are found in sub-Saharan Africa, South Asia, Southeast Asia and the Pacific, Central America, South America, and the Caribbean islands. Major horticultural crops include yams, corn, beans, grains such as millet and sorghum, and several types of roots, all of which are rich in protein, minerals, and vitamins.

Horticulture involves the use of handheld tools, such as digging sticks, hoes, and carrying baskets. Rain is the sole source of moisture. Horticulture requires rotation of garden plots in order for them to regenerate. Thus, another term for horticulture is *shifting cultivation*. Average plot sizes are less than 1 acre, and 2.5 acres can support a family of five to eight members for a year. Yields can support semipermanent

villages of 200 to 250 people. Overall population density per square mile is low because horticulture, like foraging, is an extensive strategy. Horticulture is more labor intensive than foraging because of the energy required for plot preparation and food processing. Anthropologists distinguish five phases in the horticultural cycle (Figure 3.3).

DIVISION OF LABOR Gender and age are the key factors structuring the division of labor, with men's and women's work roles often being clearly differentiated. Typically, men clear the garden area while both men and women plant and tend the staple food crops. This pattern exists in Papua New Guinea, much of Southeast Asia, and parts of West and East Africa. Food processing involves women often working in small groups, whereas men more typically form small groups for hunting and fishing for supplementary food. Among many horticultural groups, women grow the staple food crops while men grow the "prestige foods" used in ritual feasts. In such contexts, men have higher public status than women.

Two unusual horticultural cases involve extremes in terms of gender roles and status. The first is the precontact Iroquois of central New York State, that is, before the arrival of Europeans (Brown 1975) (Map 3.2). Iroquois women cultivated maize, the most important food crop, and they controlled its distribution. This control meant that they were able to decide whether the men would go to war, because a war effort depended on the supply of maize to support it. A contrasting example is that of the Yanomami of the Venezuelan Amazon (Map 3.3) (Chagnon 1992). Yanomami men clear

Clearing: A section of the forest is cleared, partially or completely, by cutting down trees and brush and then setting the area on fire to burn off other growth. The fire creates a layer of ash that is rich fertilizer. The term *slash and burn cultivation* refers to this stage of clearing.

Planting: People use digging sticks to loosen the soil. They place seeds through the broadcasting method (scattering the seeds by hand) or place slips of plants by hand into the loose soil.

Weeding: Horticulture involves little weeding because the ash cover and shady growing conditions keep weed growth down.

Harvesting: This phase requires substantial labor to cut or dig crops and carry them to the residential area.

Fallowing: Depending on the soil and the crop grown, the land must be left unused for a specified number of years so that it regains its fertility.

FIGURE 3.3 Five Stages in Horticulture.

the fields and tend and harvest the crops. They also do much of the cooking for ritual feasts. Yanomami women, though, are not idle. They play an important role in providing the staple food that comes from manioc, a starchy root crop that requires substantial processing work—it has to be soaked for a long time to remove toxins and then scraped into a mealy consistency. Among the Yanomami, however, men are the dominant decision makers and have more social power than Yanomami women do.

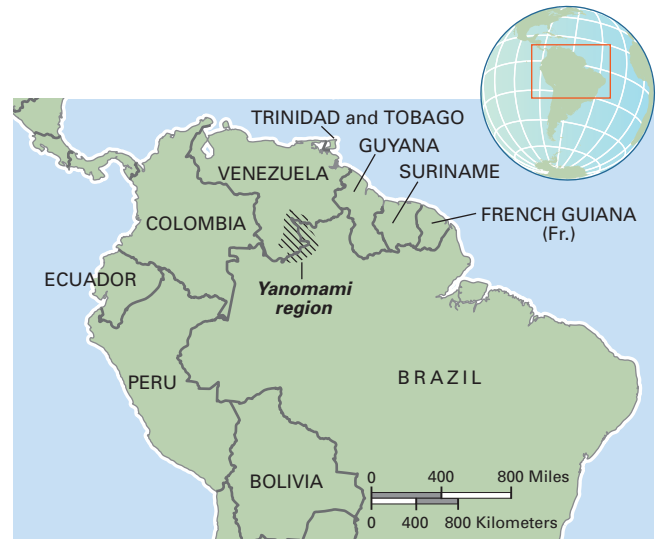
Although anthropologists cannot explain the origins of the different divisions of labor in horticulture, they do know that the differences are related to men's and women's status (Sanday 1973). Analysis of many horticultural societies shows that women's contribution to food production is a necessary but not sufficient basis for women's high status. In other words, if women do not contribute to producing food, their status will be low. If they do contribute, their status may, or may not, be high. The critical factor appears to be control over the distribution of what is produced, especially public distribution beyond the family. Slavery is a clear example of how a major role in production does not bring high status because slaves have no control over the product and its distribution.

Children work more in horticultural societies than in any other mode of livelihood (Whiting and Whiting 1975). *The Six Cultures Study* is a research project that examined



MAP 3.2 Precolonial Iroquois Region.

At the time of the arrival of the European colonialists, the six nations of the Iroquois extended over a wide area. The Mohawk stood guard over the eastern door of the confederacy's symbolic long house, and the Seneca guarded the western door. The six nations worked out a peace treaty among them and established a democracy. A great orator named Hiawatha promoted the plan throughout the tribes, and a Mohawk woman was the first to approve it.



MAP 3.3 Yanomami Region in Brazil and Venezuela.

The Yanomami region is supposedly protected from outsiders. But miners, ranchers, loggers, and other commercial developers have encroached on the reserve, extracting natural resources and sexually exploiting women and children.

children's behavior in horticultural, farming, and industrial settings. Among the Gusii (goo-see-eye), a horticultural group of western Kenya, children perform the most tasks at the youngest ages. Gusii boys and girls care for siblings, collect fuel, and carry water. Among the Gusii and in other horticultural societies, children do so many tasks because adults, especially women, are busy working in the fields and markets.

PROPERTY RELATIONS Private property, as something that an individual can own and sell, is not characteristic of horticultural societies. Use rights are typically important, although they are more clearly defined and formalized than among foragers. By clearing and planting an area of land, a family puts a claim on it and its crops. The production of surplus goods allows the possibility of social inequality in access to goods and resources. Rules about sharing within the larger group decline in importance as some people gain higher status.

HORTICULTURE AS A SUSTAINABLE SYSTEM

Fallowing is crucial in maintaining the viability of horticulture. Fallowing allows the plot to recover lost nutrients and improves soil quality by allowing the growth of weeds whose root systems keep the soil loose. The benefits of a well-managed system of shifting cultivation are clear as are the two major constraints involved: the time required for fallowing and the need for access to large amounts of land so that some land is in use while other land is fallowed. Using a given plot for too many seasons or reducing fallowing time quickly results in depletion of soil nutrients, decreased crop production, and soil erosion.

Pastoralism

Pastoralism is a mode of livelihood based on domesticated animal herds and the use of their products, such as meat and milk, for 50 percent or more of the diet. Pastoralism has long existed in the Middle East, Africa, Europe, and Central Asia, especially where rainfall is limited and unpredictable. In the Western Hemisphere, the only indigenous pastoralist system in existence before the arrival of the Spanish in the fifteenth century was in the Andean region of the New World; it was based on domesticated llamas (Barfield 2001). Sheep, goats, horses, and cattle became prominent after the Spanish conquest. Some Indian tribal groups in the southwestern United States still rely on herding animals.

Worldwide, the six major species of herd animals are sheep, goats, cattle, horses, donkeys, and camels. Three others have more restricted distribution: yaks at high altitudes in Asia, reindeer in northern sub-Arctic regions, and llamas in highland South America. Many pastoralists keep dogs for protection and for help with herding.

In terms of food, pastoralism provides primarily milk and milk products, with occasional slaughtering of animals for meat. Thus, pastoralists typically form trade links with foragers, horticulturalists, or farmers in order to obtain food and other goods that they cannot produce themselves. Prominent trade items are food grains and manufactured items, such as cooking pots, for which they offer milk, animals, hides, and other animal products.

Like foraging and horticulture, pastoralism is an extensive strategy. A common problem for all pastoralists is the continued need for fresh pasture and water for their animals. Herds must move or else the grazing area will become depleted.

DIVISION OF LABOR Families and clusters of related families are the basic production unit. Gender and age are, again, key factors in the allocation of work. In many pastoralist cultures, gender roles are clearly divided. Men are in charge of herding—moving the animals from place to place. Women are responsible for processing the herd's products, especially the milk. A cultural emphasis on masculinity characterizes many herding populations. Reindeer herding among the Saami of Finland is closely connected to male identity to the extent that the definition of being a man is to be a reindeer herder (see Culturama, Chapter 9, p. 198). In contrast, women are

the herders among the Navajo of the American Southwest. Navajo men's major work role is crafting silver jewelry.

PROPERTY RELATIONS The most important forms of property among pastoralists are, by far, animals, followed by housing (such as tents or yurts) and domestic goods (rugs and cooking ware). Depending on the group, ownership of animals is inherited through males, most commonly, or, less frequently, through females, as among the Navajo. A concept of private property exists for animals, which the family head may trade for other goods. A family's housing materials are also their own. Use rights, however, regulate pasture land and migratory routes, and these rights tend to be informally regulated through an oral tradition.

PASTORALISM AS A SUSTAINABLE SYSTEM

Pastoralists have developed sustainable cultures in extremely varied environments, from the relative lushness of Iran to the more depleted situation of Mongolia. Pastoralism is a highly successful and sustainable economic system that functions in coexistence with other economic systems. As with foraging and horticulture, however, when outside forces reduce the space available for migration, overexploitation of the environment soon results.

Agriculture

Agriculture is a mode of livelihood that involves growing crops on permanent plots with the use of plowing, irrigation, and fertilizer; it is also called *farming*. In contrast to foraging, horticulture, and pastoralism, agriculture is an **intensive strategy**. Intensification involves the use of techniques that allow the same plot of land to be used repeatedly without losing its fertility. Crucial inputs include substantial amounts of labor for weeding, use of natural and chemical fertilizers, and control of water supply. The earliest agricultural systems are documented from the time of the Neolithic period, beginning around 12,000 years ago in the Middle East. Agricultural systems now exist worldwide, on all continents except Antarctica.

Agriculture relies on the use of domesticated animals for plowing, transportation, and organic fertilizer either in the form of manure or composted materials. It is highly dependent on artificial water sources such as irrigation channels or terracing the land. Like the modes of livelihood already discussed, agriculture involves complex knowledge about the environment, plants, and animals, including soil types, precipitation patterns, plant varieties, and pest management. Long-standing agricultural traditions are now being increasingly displaced by methods introduced from the outside, and so the world's stock of indigenous knowledge about agriculture is declining rapidly. In many cases, it has become completely lost, along with the cultures and languages associated with it.

Two types of agriculture are discussed next: family farming and industrial agriculture.

pastoralism a mode of livelihood based on keeping domesticated animals and using their products, such as meat and milk, for most of the diet.

agriculture a mode of livelihood that involves growing crops with the use of plowing, irrigation, and fertilizer.

intensive strategy a form of livelihood that involves continuous use of the same land and resources.

family farming (formerly termed peasant agriculture): a form of agriculture in which farmers produce mainly to support themselves and also produce goods for sale in the market system; formerly called *peasant farming*.

Men and Plowing Hypothesis

This hypothesis is based on the importance of plowing fields in preparation for planting and on the fact that plowing is almost exclusively a male task (Goody 1976). Some anthropologists say that men plow because they are stronger than women and have the advantage of greater aerobic capacity. In southern India, for example, weather patterns require that plowing be accomplished in a very narrow time period (Maclachlan 1983). Assigning the task to the physically stronger gender ensures that the work is done more quickly and is thus an adaptive cultural strategy because it increases the chances for a good crop.

Women and Child Care Hypothesis

This hypothesis says that women are not involved in plowing and other agricultural field labor as much as men because such tasks are incompatible with child care (J. K. Brown 1970).

Women and Food Processing Hypothesis

This hypothesis notes that agriculture increases the demand for labor within and near the house (Ember 1983). Winnowing, husking, grinding, and cooking agricultural products are extremely labor-intensive processes. Linked to women's primary roles in child care and increased fertility in farm families, these labor demands restrict women to the household domain.

FIGURE 3.4 Three Hypotheses to Explain Male Dominance in the Gender Division of Labor in Family Farming.

FAMILY FARMING Family farming (formerly termed *peasant farming*) is a form of agriculture in which production is geared to support the family and to produce goods for sale. Today, more than one billion people, or about one-sixth of the world's population, make their living from family farming. Found throughout the world, family farming is more common in countries such as Mexico, India, Poland, and Italy than in more industrialized countries. Family farmers exhibit much cross-cultural variety. They may be full-time or part-time farmers; they may be more or less closely linked to urban markets; and they may be poor and indebted or wealthy and powerful. Activities in family farming include plowing, planting seeds and cuttings, weeding, caring for irrigation systems and terracing, harvesting crops, and processing and storing crops.

Division of Labor The family is the basic labor unit of production, and gender and age are important in organizing work. Most family-farming societies have a marked gender-based division of labor. Cross-cultural analysis of gender roles reveals that men perform most of the labor in over three-fourths of the societies (Michaelson and Goldschmidt 1971). Anthropologists have proposed various theories to explain why productive work on so many family farms is male dominated (Figure 3.4).

In family farms in the United States and Canada, men typically have the main responsibility for daily farm operations; women's participation ranges from equal to minimal (Barlett 1989). Women do run farms in the United States and Canada but generally only when they are divorced or widowed. Women are usually responsible for managing the domestic domain. On average, women's daily work hours are 25 percent more than those of men.

Balanced work roles between men and women in family farming frequently involve a pattern in which men do the

agricultural work and women do marketing. This gender division of labor is common among highland indigenous groups of Central and South America. For example, among the Zapotec Indians of Mexico's southern state of Oaxaca (wuh-HAK-uh), men grow maize, the staple crop, and cash crops such as bananas, mangoes, coconuts, and sesame (Chiñas 1992) (see Map 4.3, page 78). Women sell produce in the town markets, and they make tortillas, which they sell from their houses. The family thus derives its income from the labor of both men and women working interdependently. Male status and female status are roughly equal in such contexts.

Female farming systems, in which women and girls play the major role in livelihood, are found mainly in southern India and Southeast Asia where *wet rice agriculture* is practiced. This is a highly labor-intensive way of growing rice that involves starting the seedlings in nurseries and transplanting them to flooded fields. Men are responsible for plowing the fields using teams of water



Family farming in highland Ecuador. A man plows while women in the family follow, planting seed potatoes.

- Increased use of complex technology including machinery, chemicals, and genetic research on new plant and animal varieties.

Social effects: This feature results in displacement of small landholders and field laborers. For example, replacing mules and horses with tractors for plowing in the U.S. South during the 1930s led to the eviction of small-scale sharecroppers from the land because the landowners could cultivate larger units.

- Increased use of capital (wealth used in the production of more wealth) in the form of money or property.

Social effects: The high ratio of capital to labor enables farmers to increase production but reduces flexibility. If a farmer invests in an expensive machine to harvest soybeans and then the price of soybeans drops, the farmer cannot simply switch from soybeans to a more profitable crop. Capitalization is most risky for smaller farms, which cannot absorb losses easily.

- Increased use of energy (primarily gasoline to run the machinery and nitrates for fertilizer) to grow crops. This input of energy often exceeds the calories of food energy yielded in the harvest. Calculations of how many calories of energy are used to produce a calorie of food in industrial agricultural systems reveal that some 2.5 calories of fossil fuel are invested to harvest 1 calorie of food—and more than 6 calories are invested when processing, packaging, and transport are taken into account.

Social effects: This energy-heavy mode of production creates farmers' dependence on the global market of energy supplies.

Source: Adapted from "Industrial Agriculture" by Peggy F. Barlett in *Economic Anthropology*, ed. by Stuart Plattner. Copyright © 1989. Published by Stanford University Press.

FIGURE 3.5 Three Features of Industrial Agriculture and Their Social Effects.

buffaloes. Women own land and make decisions about planting and harvesting. Women's labor is the backbone of this type of farming. Standing calf-deep in muddy water, they transplant rice seedlings, weed, and harvest the rice. Why women predominate in wet rice agriculture is an intriguing question but impossible to answer. Its consequences for women's status, however, are clear. In female farming systems, women have high status: they own land, play a central role in household decision making, and have substantial personal autonomy (Stevens et al. 1994).

Children's roles in agricultural societies range from prominent to minor, depending on the context (Whiting and Whiting 1975). The *Six Cultures Study*, mentioned earlier, found low rates of child labor in agricultural villages in North India and Mexico compared to high rates among the horticultural Gusii in Kenya. In many agricultural contexts, however, children's labor participation is high. In villages in Java, Indonesia, (see Map 1.2, page 12) and Nepal (see Map 5.5, page 110), children spend more time caring for farm animals than adults do (Nag, White, and Peet 1978).

Property Relations Family farmers make substantial investments in land, such as clearing, terracing, and fencing, and

industrial capital agriculture a form of agriculture that is capital-intensive, substituting machinery and purchased inputs for human and animal labor.

industrialism/informatics a mode of livelihood in which goods are produced through mass employment in business and commercial operations and through the creation and movement of information through electronic media.

potlatch a grand feast of Pacific Northwest cultures in which guests are invited to eat and to receive gifts from the hosts.

these investments are linked to the development of firmly defined and protected property rights. Rights to land can be acquired and sold. Formalized, often written, guidelines exist about inheritance of land and transfer of rights to land through marriage. Social institutions such as law and police exist to protect private property rights.

In family farming systems where male labor and decision making predominate, women and girls are excluded from land rights. Conversely, in female farming systems, inheritance rules regulate the transmission of property rights more often through females.

INDUSTRIAL AGRICULTURE **Industrial capital agriculture** produces crops through capital-intensive means, using machinery and inputs such as processed fertilizers instead of human and animal labor (Barlett 1989). It is commonly practiced in the United States, Canada, Germany, Russia, and Japan and is increasingly being adopted in developing countries such as India, Brazil, Mexico, and China.

Industrial agriculture has brought with it the *corporate farm*, a huge agricultural enterprise that produces goods solely for sale and are owned and operated by companies entirely reliant on hired labor. Industrial agriculture has major social effects (Figure 3.5).

Much of the labor demand in industrial agriculture is seasonal, creating an ebb and flow of workers, depending on the task and time of year. Large ranches hire seasonal cowboys for roundups and fence mending. Crop harvesting is another high-demand point. Some anthropologists study the lives of undocumented (illegal) migrant laborers. One researcher did participant observation with migrant laborers who work in the huge tomato, strawberry, and avocado fields owned by



Migrant workers picking broccoli in Salinas, California.

corporate farms in southern California (Chavez 1992). Many of the migrants are Maya people from Oaxaca, Mexico (see Map 4.3, page 78). They cross the border illegally in order to find work to support their families back home. In the San Diego area of southern California, they live temporarily in shantytowns, or camps, with minimal social services.

THE SUSTAINABILITY OF AGRICULTURE Agriculture requires more in the way of labor inputs, technology, and the use of nonrenewable natural resources than the economic systems discussed earlier. The ever-increasing spread of corporate agriculture worldwide is now displacing other long-standing practices and resulting in the destruction of important habitats and cultural heritage sites in its search for land, water, and energy sources. Intensive agriculture is not a sustainable system. Furthermore, it is undermining the sustainability of foraging, horticulture, and pastoralism. For many years, anthropologists have pointed to the high costs of agriculture to the environment and to humanity.

Industrialism and the Information Age

Industrialism/informatics is the mode of livelihood in which goods and services are produced through mass employment in business and commercial operations and through the creation, manipulation, management, and transfer of information through electronic media. In industrial capitalism, the form of capitalism found in most industrialized nations, most goods are produced not to meet basic needs but to satisfy consumer demands for nonessential goods. Employment in agriculture decreases while jobs in manufacturing and the service sector increase. In industrialized countries, the number of manufacturing jobs is declining, with more people being employed in

service occupations and information processing such as computer programming, data processing, and communications. Unemployment is an increasingly serious problem in industrial/informatic societies.



Modes of Consumption and Exchange

Imagine that it is the late eighteenth century and you are a member of the Kwakwaka'wakw (KWA- kwuh- kayuh'- wah- kwah) of British Columbia in Canada's Pacific Northwest region (see *Culturama* at the end of this chapter, page 67). You and your tribal group are invited to a **potlatch**, a feast in which the host lavishes the guests with abundant quantities of the best food and many gifts (Suttles 1991). The most honorable foods are fish oil, high-bush cranberries, and seal meat, and they will be served in ceremonial wooden bowls. Gifts include embroidered blankets, household articles such as carved wooden boxes and woven mats, canoes, and items of food. The more the chief gives, the higher his status rises and the more his guests are indebted to him. Later, when it is the guests' turn to hold a potlatch, they will give away as much as, or more than, their host did.

The Pacific Northwest region is rich in fish, game, berries, and nuts, among other foods. Nonetheless, given regional climatic variation, food supplies were often uneven, with some groups each year having surpluses while others faced scarcity. The potlatch system helped to smooth out these variations: Groups with a surplus would sponsor a potlatch and those experiencing a leaner year were guests. In this way, potlatching

Foraging	Horticulture	Pastoralism	Agriculture	Industrialism/Informatics
<u>Mode of Consumption</u>			<u>Mode of Consumption</u>	
Minimalism			Consumerism	
Finite needs			Infinite needs	
<u>Social Organization of Consumption</u>			<u>Social Organization of Consumption</u>	
Equality/sharing			Class-based inequality	
Personalized products are consumed			Depersonalized products are consumed	
<u>Primary Budgetary Fund</u>			<u>Primary Budgetary Fund</u>	
Basic needs			Rent/taxes, luxuries	
<u>Mode of Exchange</u>			<u>Mode of Exchange</u>	
Balanced exchange			Market exchange	
<u>Social Organization of Exchange</u>			<u>Social Organization of Exchange</u>	
Small groups, face-to-face			Anonymous market transactions	
<u>Primary Category of Exchange</u>			<u>Primary Category of Exchange</u>	
The gift			The sale	

FIGURE 3.6 Modes of Livelihood, Consumption, and Exchange.

established a *social safety net* across a wide area of the Northwest. This brief sketch of potlatching shows the linkages among the three economic processes of livelihood, consumption, and exchange (review Figure 3.1, page 48). Potlatches are related to food supply; they are opportunities for consumption, and involve exchange, the topics of this section.

Modes of Consumption

Consumption has two meanings: First, it is a person's "intake" in terms of eating or other ways of using things; second, it is "output" in terms of spending or using resources to obtain those things. Thus, for example, "intake" is eating a sandwich; "output" is spending money at the store to buy a sandwich. Both activities fit within the term "consumption."

People consume many things. Food, beverages, clothing, and shelter are the most basic consumption needs in most cultures. People also may acquire tools, weapons, means of transportation, computers, books and other items of communication, art and other luxury goods, and energy for heating and cooling their residence. In noncash economies, such

as that of foragers, people "spend" time or labor in order to provide for their needs. In money-based economies, such as industrialized contexts today, most consumption depends on having cash or some virtual form of money.

In categorizing varieties of consumption, it makes sense to consider two contrasting modes, with mixed modes in the middle (Figure 3.6). They are based on the relationship between *demand* (what people need or want) and *supply* (the resources available to satisfy demand):

- **Minimalism:** a mode of consumption characterized by few and finite consumer demands and an adequate and sustainable means to achieve them. It is most characteristic of free-ranging foragers but is also found to some degree among horticulturalists and pastoralists.
- **Consumerism:** a mode of consumption in which people's demands are many and infinite, and the means of satisfying them are never sufficient, thus driving colonialism, globalization, and other forms of expansionism. Consumerism is the distinguishing feature of industrial/informatic cultures. Globalization is spreading consumerism throughout the world.

The social organization and meaning of consumption varies cross-culturally. As noted previously, foragers are generally egalitarian, whereas social inequality characterizes most agricultural and industrialism/informatics societies. In foraging peoples, sharing within the group is the norm, and everyone has equal access to all resources. Among the Ju/'hoansi (review Culturama in Chapter 1, page 19): "Even though only a fraction of the able-bodied foragers go out each day, the day's return of meat and

minimalism a mode of consumption that emphasizes simplicity, is characterized by few and finite consumer demands, and involves an adequate and sustainable means to achieve them.

consumerism a mode of consumption in which people's demands are many and infinite and the means of satisfying them are insufficient and become depleted in the effort to satisfy these demands.



Well-stocked and brightly lit candy shops are a prominent part of urban nightlife in Valencia, Spain. Sugarcane was introduced into Spain by the Arabs. Later, the Spanish established the first sugarcane plantations on Madeira and the Canary Islands using enslaved laborers from Africa.

► Log your food and drink consumption every day for a week and assess the role that sugar plays in the results.

gathered foods are divided in such a way that every member of the camp receives an equitable share” (Lee 1979:118).

The distribution of personal goods such as clothing, beads, musical instruments, or smoking pipes is also equal. *Leveling mechanisms* are unwritten, culturally embedded rules that prevent an individual from becoming wealthier or more powerful than anyone else. They are maintained through social pressure and gossip. An important leveling mechanism among the Ju/hoansi requires that any large game animal killed be shared with the group, and its killer must be modest, insisting that the meat is meager (Lee 1969). Ju/hoansi hunters gain no social status or power through their provision of meat. The same applies to other foragers. Leveling mechanisms are important in horticultural and pastoralist societies, too. For example, when someone’s herd grows “too large,” that person will be subject to social pressure to sponsor a large feast in which many of the herd animals are eaten.

The mode of consumption that contrasts with minimalism is consumerism, with the United States being the major consumerist country of the world. Since the 1970s, consumption levels in the United States have been the highest of any society in human history, and they show no sign of decline. Since China adopted features of capitalism, it has quickly become a consumerist giant. In the world’s poorest countries, too, rising numbers of middle- and upper-class people pursue consumerism.

As consumerism spreads throughout the world, changes in the social relations involved in consumption also occur. In small-scale societies—such as those of foragers, horticulturalists, and pastoralists—consumption items are typically produced by the consumers themselves for their own use. If not, they are likely to be produced by people with whom the consumer has a personal, face-to-face relationship—in other words, *personalized consumption*. Everyone knows where products came from

and who produced them. This pattern contrasts markedly with consumption in our contemporary globalized world, which is termed *depersonalized consumption*. Depersonalized consumption, by distancing consumers from workers who actually produce goods, makes it more possible for workers to be exploited.

Even in the most industrialized/informatic contexts, though, depersonalized consumption has not completely replaced personalized consumption. The popularity of farmers’ markets in urban centers in North America is an example of personalized consumption in which the consumer buys



Homeless children rest by a storefront grate in Ho Chi Minh City, Vietnam.

► Consider how the entitlement system affected children under pure socialism compared to the current transition to a more capitalist system.

produce from the person who grew it and with whom the consumer may have a friendly conversation, perhaps while sampling one of the farmer's apples.

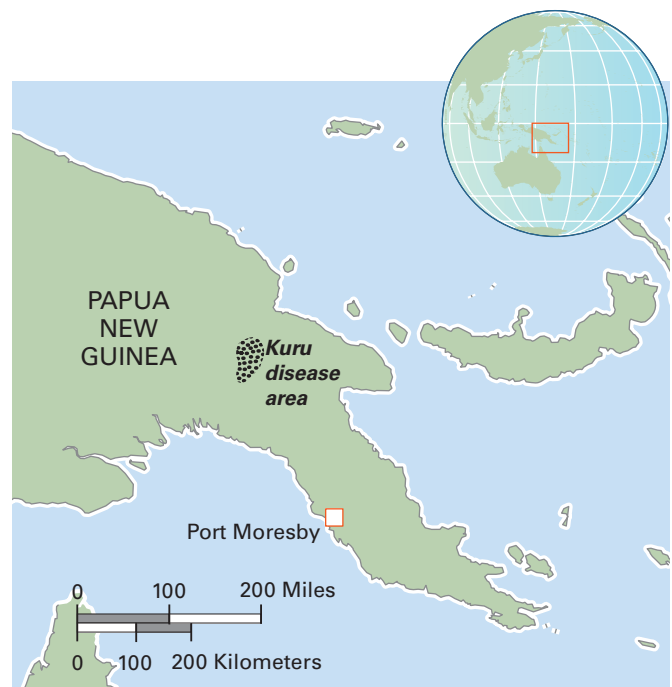
CONSUMPTION MICROCULTURES This section provides examples of three consumption microcultures: class, gender, and “race.” Microcultures have distinct entitlement patterns, related levels of health and welfare, and identity associated with consumption. Depending on the cultural context, social inequality may play an important role and have major effects on human welfare.

Women's Deadly Diet in Papua New Guinea Consumption patterns are often marked by gender and related to discrimination and inequality. Specific foods may be considered “men's food” or “women's food.” An example of lethal gender inequalities in food consumption comes from highland Papua New Guinea (Map 3.4).

The story begins with the eruption of a mysterious disease, with the local name of *kuru* (koo-roo), among the Fore (for-ay), a horticultural people of the highlands (Lindenbaum 1979). Between 1957 and 1977, about 2500 people died of *kuru*. The first signs of *kuru* are shivering tremors, followed by a progressive loss of motor ability along with pain in the head and limbs. *Kuru* victims could walk unsteadily at first but would later be unable to get up. Death occurred about a year after the first symptoms appeared. Most victims were women.

American medical researchers revealed that *kuru* was a neurological disease. Australian cultural anthropologist Shirley Lindenbaum pinpointed the cultural cause of *kuru*: cannibalism. *Kuru* victims had eaten the flesh of deceased people who had died of *kuru*.

Why were most of the *kuru* victims women? Lindenbaum learned that among the Fore, it was considered acceptable to cook and eat the meat of a deceased person, although it was not a preferred food. The preferred source of animal protein is meat from pigs, and men receive preferential access to the best food. Fore women had begun to eat human flesh more often because of increased scarcity of pigs. Population density in the region had risen, more land was being cultivated, and forest areas had decreased. Pigs live in forest areas, so as their habitat became more restricted, their numbers declined. The Fore could not move to more pig-abundant areas because they were bounded on the east, west, and north sides by other



MAP 3.4 Location of the *Kuru* Epidemic in Papua New Guinea.

groups. The south was a harsh and forbidding region. These factors, combined with the Fore's male-biased system of protein consumption, forced women to turn to the less-preferred protein source of human flesh. By eating the flesh, including brains, of *kuru* victims, they contracted the disease.

“Race” and Children's Shopping Throughout the world, in countries with “race”-based social categories, inequalities in consumption and quality of life exist, often in spite of anti-discrimination legislation. In the United States, racism affects many areas of life from access to housing, neighborhood security and services, schooling, health, and whether a person is likely to be ignored or picked up by a taxi or be stopped by a police officer for speeding. Racial inequality between Black and White Americans has risen steadily since the 1970s in terms of income, wealth, and property ownership, especially house-ownership (Shapiro 2004). Those at the top of the income distribution have increased their share of the wealth most. The share of total income that goes to the top 1 percent of families is nearly the same size as the total income share of the bottom 40 percent.

How is this happening in a country dedicated to equality of opportunity? A large part of the answer lies in the simple fact that, in a capitalist system, inequality leads to more inequality through the transfer of wealth and property across generations. Those who have wealth and property are able to establish their children's wealth through college tuition payments, house down payments, and other financial gifts. The children of poor parents have to provide for their education and housing costs from their wages alone, a fact that makes it far less likely that they will be able to pursue higher education or buy a home.

balanced exchange a system of transfers in which the goal is either immediate or eventual equality in value.

unbalanced exchange a system of transfers in which one party seeks to make a profit.

generalized reciprocity exchange involving the least conscious sense of interest in material gain or thought of what might be received in return.

pure gift something given with no expectation or thought of a return.

As a graduate student in anthropology at Yale University, Elizabeth Chin decided to do her dissertation research on consumption patterns among schoolchildren in a poor, African American neighborhood in New Haven, Connecticut (2001). In terms of per capita income, Connecticut is the wealthiest state in the United States. It also harbors some of the most severe poverty and racial inequality in its major cities. New Haven exemplifies this racialized inequality in its clearly defined neighborhoods in the fear and suspicion with which Whites and Blacks view each other. During her research in a Black neighborhood, Chin found that 50 percent of the children age 5 and under were living in poverty.

Chin formed a relationship with one fifth-grade class of 22 students. She spent time in the classroom, visited the children and their families in their homes, and accompanied the children on shopping trips to the mall. The children are bombarded with media messages about consumption, but they have little money to spend. Some receive an allowance for doing household chores; some receive small amounts of pocket money on an ad hoc basis; and some earn money from small-scale ventures such as a cucumber stand. They learn about the basics of household finances and the costs of daily life early on. Seeing their families strain every day to put meals on the table teaches them about the negative effects of overindulgence: “From divvying up the milk to figuring out where to sleep there is an emphasis on sharing and mutual obligation” (2001:5).

These practical lessons shape how the children spend their money when they go to the mall. Practicality and generosity guide their shopping choices. In order to learn about the children’s decisions, Chin would give a child \$20 and go with him or her to the mall. Most of the girls spent over half their money on gifts for family members, especially their mothers and grandmothers (2001:139). The girls knew their mothers’ shoe sizes and clothing sizes. One boy, just before school was to start in the fall, spent \$10 on a T-shirt to wear on the first day of school, \$6 on a pair of shorts, and the rest on school supplies: pencils, pens, notebook paper, and a binder (2001:135). In her two years of research, Chin never heard a Newhallville child nag a caretaker about buying him or her something.

Modes of Exchange

Exchange is the transfer of something that may be material or immaterial between at least two persons, groups, or institutions. Cultural anthropologists have done much research on gifts and other forms of exchange, such as Malinowski’s early work on the kula in the South Pacific (review *Culturama*, Chapter 2, page 32). In all economic systems, individuals and groups exchange goods and services with others. But variation exists in what is exchanged, how goods are exchanged, when exchange takes place, and the meaning of exchange.

Parallel to the two contrasting modes of consumption described earlier (minimalism and consumerism) two distinct modes of exchange can be delineated (Figure 3.7):

- **Balanced exchange:** a system of transfers in which the goal is either immediate or eventual balance in value.
- **Unbalanced exchange:** a system of transfers in which one party attempts to make a profit.

BALANCED EXCHANGE The category of balanced exchange contains two subcategories based on the social relationship of the two parties involved in the exchange and the degree to which a “return” is expected. **Generalized reciprocity** is a transaction that involves the least conscious sense of interest in material gain or thought of what might be received in return, and when. Such exchanges often involve goods and services of an everyday nature, such as a cup of coffee. Generalized reciprocity is the main form of exchange between people who know each other well and trust each other. Therefore, it is the main form of exchange in foraging societies. It is also found among close kin and friends cross-culturally.

A **pure gift** is something given with no expectation or thought of a return. The pure gift is an extreme form of generalized reciprocity. Examples of a pure gift include donating money for a food drive, or making donations to famine relief, blood banks, and religious organizations. Some people say that a truly pure gift does not exist because one always gains

	Balanced Exchange			Unbalanced Exchange	
	Generalized Reciprocity	Expected Reciprocity	Redistribution	Market Exchange	Theft, Exploitation
Actors	Kin, friends	Trading partners	Leader and pooling group	Buyers/sellers	Nonkin, nonfriends, unknown
Return	Not calculated or expected	Expected at some time	Feast and give-away	Immediate payment	No return
Example	Buying coffee for a friend	Kula	Moka	Internet shopping	Shoplifting

FIGURE 3.7 Keeping Track of Exchange.

something in giving, no matter how difficult to measure—even if it is just the good feeling of generosity. Parental care of children is said to be a pure gift by some, but others do not agree. Those who say that parental care is a pure gift argue that most parents do not consciously calculate how much they have spent on their children with the intention of “getting it back” later on. Those who do not consider parental care a pure gift say that even if the “costs” are not consciously calculated, parents have unconscious expectations about what their children will “return” to them, whether the return is material (care in old age) or immaterial (making the parent feel proud).

Expected reciprocity is the exchange of approximately equally valued goods or services, usually between people of roughly equal social status. The exchange may occur simultaneously between both parties, or it may involve an understanding about the time period within which the exchange will be completed. This aspect of timing contrasts with generalized reciprocity, in which there is no fixed time limit for the return. In expected reciprocity, if the second party fails to complete the exchange, the relationship will break down. Balanced reciprocity is less personal than generalized reciprocity and, according to Western definitions, more “economic.”

The kula system as found in the Trobriand Islands (review Culturama, Chapter 2, page 32) is an example of an expected reciprocity. Men exchange necklaces and armlets, giving them to their exchange partners after keeping them for a while. Partners include neighbors as well as people on faraway islands who are visited via long canoe voyages on high seas. Trobriand men are distinguished by the particular armlets and necklaces that they exchange, and certain armlets and necklaces are more prestigious than others. One cannot keep one’s trade items for long because the kula code dictates that “to possess is great, but to possess is to give.” Generosity is the essence of goodness, and stinginess is the most despised vice. Kula exchanges should involve items of equivalent value. If a man trades a very valuable necklace with his partner, he expects to receive in return a very valuable armlet as an equivalent gift. The equality of exchange ensures a strong bond between the trading partners and is a statement of trust. When a man arrives in an area where it may be dangerous because of previous raids or warfare, he can count on having a friend to give him hospitality.

expected reciprocity an exchange of approximately equally valued goods or services, usually between people roughly equal in social status.

redistribution a form of exchange that involves one person collecting goods or money from many members of a group who then, at a later time and at a public event, “returns” the pooled goods to everyone who contributed.

market exchange the buying and selling of commodities under competitive conditions in which the forces of supply and demand determine value; a form of unbalanced exchange.

trade the formalized exchange of one thing for another according to set standards of value.

Redistribution is a form of exchange in which one person collects goods or money from many members of a group and provides a social return at a later time. At a public event, even several years later, the organizer “returns” the pooled goods to everyone who contributed by sponsoring a generous feast. Compared to the two-way pattern of exchange involved in reciprocity, redistribution involves some “centricity.” It contains the possibility of inequality because what is returned may not always equal, in a material sense, what each individual contributed. The pooling group may continue to exist, however, because it benefits from the leadership skills of the person who mobilizes contributions. If a neighboring group threatens a raid, people turn to their redistributive leader for political leadership (discussed further in Chapter 8).

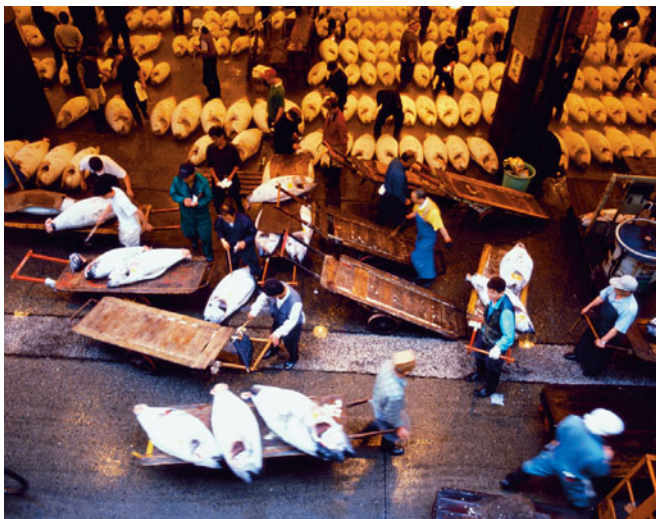
UNBALANCED EXCHANGE **Market exchange**, a prominent form of unbalanced exchange, is the buying and selling of commodities under competitive conditions in which the forces of supply and demand determine value and the seller seeks to make a profit. In market transactions, the seller and buyer may or may not have a personal relationship. They may or may not be social equals. Their exchange is not likely to generate social bonding. Many market transactions take place in a *marketplace*, a physical location in which buying and selling occur. The market system evolved from other, less formal contexts of **trade**, formalized exchange of one thing for another according to set standards of value.

The market system is associated with regional specialization in producing particular goods and trade between regions. Certain products are often identified with a town or region. In Oaxaca, Mexico (see Map 4.3, page 78), some villages are known for their blankets, pottery, stone grinders, rope, and chili peppers (Plattner 1989). In Morocco, the city of Fez (see Map 4.2, page 77) is famous for its blue-glazed pottery, whereas the Berber people of the Atlas Mountains are known for their fine wool blankets and rugs. Increasingly, producers of regionally distinct products, such as champagne, are legally copyrighting the regional name to protect it from use by producers of similar products from outside the region. Marketplaces range from informal, small stands that appear in the morning and disappear at night, to huge multistoried shopping centers. One variety found in many parts of the world is a *periodic market*, a site for buying and selling that takes place on a regular basis (for example, monthly) in a particular location but without a permanent physical structure. Sellers appear with their goods and set up a table with perhaps an awning. In contrast, *permanent markets* are built structures situated in fixed locations. Marketplaces, however, are more than just places for buying and selling. They involve social interactions and even performances. Sellers solicit customers, shoppers meet and chat, government officials drop by, religious organizations may hold services, and traditional healers may treat toothaches.

Ted Bestor conducted research over many years in Tsukiji (tsee-kee-jee), the world's largest fish market, located in Tokyo (2004). Tsukiji connects large-scale corporations that supply most of the seafood with small-scale family-run firms that continue to dominate Tokyo's retail food trade. Bestor describes the layout of the huge market, with inner and outer sections as a basic division. The outer market attracts younger, hipper shoppers looking for unusual, trendy gourmet items and a more authentic-seeming shopping experience. It contains sushi bars, noodle stalls, knife shops, and chopstick dealers, as well as temples and graveyards. The inner market contains 11 fresh produce market subdivisions. The seafood section by far overshadows the "veggie" markets in size and transaction level. It is subdivided into several main buildings where auctions occur, activities such as deliveries and dispatches take place, and rows of retail stalls serve 14,000 customers each morning. Bestor gained insight into the verbally coded conversations between experienced buyers and sellers in the stalls that are more likely to yield a better price than what an inexperienced first-time buyer will get. Stalls do not typically post prices, so buyers and sellers have to negotiate them. The verbal codes involve phrases such as "morning mist on a white beach" which, depending on the number of syllables in the phrase, conveys a price offer.

OTHER FORMS OF UNBALANCED EXCHANGE

Several forms of unbalanced exchange other than market transactions exist. In extreme instances, no social relationship is involved; in others, sustained unequal relationships are maintained over time between people. These forms include taking something with no expectation of giving any return. They can occur in any mode of livelihood but are most likely to be found in large-scale societies where more options (other than face-to-face) for balanced exchange exist.



Workers at Tsukiji, the world's largest fish market in Tokyo, transport frozen tuna on hand carts for the upcoming auction.

► For a research project, learn more about Ted Bestor's research on Tsukiji.

Gambling *Gambling*, or gaming, is the attempt to make a profit by playing a game of chance in which a certain item of value is staked in hopes of acquiring the much larger return that one receives if one wins the game. If one loses, that which was staked is lost. Gambling is an ancient practice and is common cross-culturally. Ancient forms of gambling include dice throwing and card playing. Investing in the stock market can be considered a form of gambling, as can gambling of many sorts through the Internet. Although gambling may seem an odd category within unbalanced exchange, its goals of making a profit seem to justify its placement here. The fact that gambling within "high" capitalism is on the rise justifies anthropological attention to it.

Indian tribal gambling establishments in the United States have mushroomed in recent years. Throughout the United States, Indian casinos are so financially successful that they are perceived as an economic threat to many state lotteries. The Pequot Indians of Connecticut, a small tribe of around 200 people, now operate the most lucrative gaming establishment in the world, Foxwoods Resort and Casino, established in 1992. Through gaming, many other Indian tribal groups have become successful capitalists. An important question is what impact casinos will have on Indian tribal people, and anthropologists are involved in trying to answer this question (see *Anthropology Works*).

Theft *Theft* is taking something with no expectation or thought of returning anything to the original owner for it. It is the logical opposite of a pure gift. Anthropologists have neglected the study of theft, no doubt a reasonable response because theft is an illegal activity that is difficult to study and might involve danger.

A rare study of theft focused on food stealing by children in West Africa (Bledsoe 1983). During fieldwork among the Mende people of Sierra Leone (see Map 4.5, page 87), Caroline Bledsoe learned that children in town stole fruits such as mangoes, guavas, and oranges from neighborhood trees. Bledsoe at first dismissed cases of food stealing as rare exceptions, but then she realized that she "rarely walked through town without hearing shouts of anger from an adult and cries of pain from a child being whipped for stealing food" (1983:2). Deciding to look into children's food stealing more closely, she asked several children to keep diaries. Their writings were dominated by themes of *tiefing*, the local term for stealing. Fostered children, who are temporarily placed in the care of friends or relatives, do more food *tiefing* than children living with their birth families do. Such food stealing can be seen as children's attempts to compensate for their less-than-adequate food shares in their foster homes.

Thinking
Outside
the Box

Propose some examples of what might qualify as a "pure gift."

ANTHROPOLOGY works

Evaluating Indian Gaming

In 2006, the Center for California Native Nations (CCNN) at the University of California at Riverside released an evaluation of the effects of Indian gaming in California (Spilde Contreras 2006). Note: According to current preferences of the people involved, the terms Indian or Indian tribe are used instead of Native American.

Kate Spilde Contreras, applied cultural anthropologist, directed the multidisciplinary team of anthropologists, political scientists, economists, and historians. The research objective was to evaluate the social and economic effects of Indian gaming operations on tribal and local governments in California. The study relies mainly on public data, especially the 1990 and 2000 U.S. Censuses, to supply a “before” and “after” picture during the initial growth phase of Indian gaming in the state. To learn about more recent changes, the research team conducted surveys of tribal and local government officials and in-depth case studies of individual tribal governments.

Findings indicate two important factors that shape the effects of Indian gaming in California: Gaming establishments are owned by tribal governments, and gaming establishments are located on existing tribal trust lands. Therefore, gaming revenues support community and government activities of the tribal communities, and employment generation is localized within the tribal communities.

Indian reservations in California are more economically heterogeneous than elsewhere in the United States. Since the development of gaming, California also has greater economic inequality between gaming and non-gaming reservations than is found in other states. By 2000, the fastest average income growth on California reservations occurred on gaming reservations. A policy response to this situation is a tribal-state gaming contract, the Revenue Sharing Trust Fund (RSTF) that provides for sharing of gaming revenue with non-gaming communities.

Spilde Contreras's team considered the effects on gaming beyond the reservation. They found that areas within 10 miles of gaming reservations experienced significant employment increases, greater income growth, and more educational expansion than those farther away. Given the fact that reservations in California are located in the poorest regions, this location effect is progressive; that is, it helps poorer communities in favor of helping better-off communities.

Although the income and other effects of gaming in California are clearly substantial for Indians and their neighbors, Spilde Contreras points to the large gaps that still exist between conditions on Indian reservations and those for most Americans.

FOOD FOR THOUGHT ▼

How does the recent development of Indian casinos connect with the theoretical perspective of structure versus agency? (Review the discussion of these perspectives in Chapter 1.)



Casino Sandia, located in the Sandia Pueblo in northern New Mexico, is one of many casinos in the state established in the hope of raising funds to improve the lives of Indian people.

While much theft worldwide is motivated by skewed entitlements and need, much is also driven by greed. Cultural anthropologists, for obvious reasons, have not done research on high-level theft involving expensive commodities such as drugs, gems, and art, nor have they examined corporate financial malpractice as a form of theft. Given the ethical requirement of informed consent, it is highly unlikely that any anthropologist would be given permission to study such criminal activity.

Exploitation *Exploitation*, or getting something of greater value for less in return, is a form of extreme and persistent unbalanced exchange. Slavery is a form of exploitation in which people's labor power is appropriated without their consent and with no recompense for its value. Slavery is rare among foraging, horticultural, and pastoralist societies. Social relationships that involve sustained unequal exchange do exist between members of different social groups that, unlike pure slavery, involve no overt coercion and entail a certain degree of return by the dominant member to the subdominant member. Some degree of covert compulsion or dependence is likely to be present, however, in order for relationships of unequal exchange to endure.

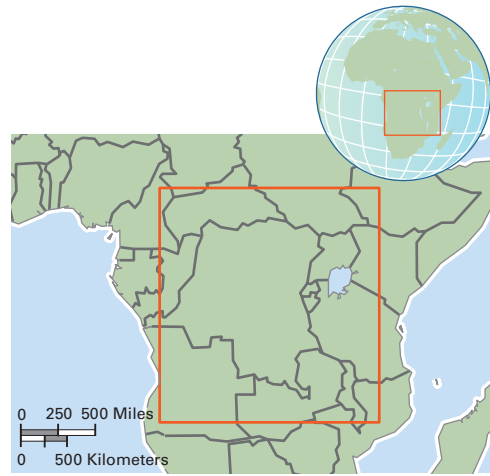
Relationships between the Efe (eff-ay), who are "pygmy" foragers, and the Lese (less-ay), who are farmers, in the Democratic Republic of Congo exemplify sustained unequal exchange (Grinker 1994) (Map 3.5). The Lese live in small villages. The Efe are seminomadic and live in temporary camps near Lese villages. Men of each group maintain long-term, hereditary exchange partnerships with each other. The Lese give cultivated foods and iron to the Efe, and the Efe give meat, honey, and other forest goods to the Lese.

Each Efe partner is considered a member of the "house" of his Lese partner, although he lives separately. Their main link is the exchange of food items, a system conceptualized by the Lese not as trade but as sharing of coproduced goods by partners living in a single unit. Evidence of inequality exists, however, in these relationships, with the Lese having the advantage. The Efe provide much-wanted meat to the Lese, but this role gives them no status. Rather, it is the giving of cultivated foods by the Lese to the Efe that conveys status. Another area of inequality is marital and sexual relationships. Lese men may marry Efe women, and their children are considered Lese. Efe men, however, cannot marry Lese women.



Globalization and Changing Economies

Powerful market forces controlled by the core countries are the main factors affecting changing patterns of consumption and exchange. Local cultures, though, variously adopt and adapt globalizing products and their meanings. Sometimes they resist them outright.



Map 3.5 Lese and Efe Region in the Democratic Republic of Congo.

The Lese and Efe live in the Ituri Forest, a dense tropical rainforest in the northern part of the Congo River Basin. Cultural Survival supports the Ituri Forest Peoples Fund, which promotes the health and education of Efe foragers and Lese farmers. Go to the Internet to learn about the projects of the Ituri Forest Peoples Fund.

Sugar, Salt, and Steel Tools in the Amazon

Katherine Milton, a biological anthropologist, has studied the nutritional effects of Western contact on the consumption patterns and health of indigenous foragers in the Brazilian Amazon. She comments:

Despite the way their culture traditionally eschews possessions, forest-living people embrace manufactured goods with amazing enthusiasm. They seem to appreciate instantly the efficacy of a steel machete, ax, or cooking pot. It is love at first sight. . . . There are accounts of Indian groups or individuals who have turned their backs on manufactured goods, but such people are the exception. (1992:40)

The attraction to Western goods has its roots in the early decades of the twentieth century, when the Brazilian

government sought to “pacify” Amazonian groups by placing cooking pots, machetes, axes, and steel knives along trails. This technique proved so successful that it is still used to “contact” remote groups. According to Milton:

Once a group has been drawn into the pacification area, all its members are presented with various trade goods—standard gifts include metal cooking pots, salt, matches, machetes, knives, axes, cloth hammocks, T-shirts, and shorts. . . . Once the Indians have grown accustomed to these new items, the next step is to teach them that these gifts will not be repeated. The Indians are now told that they must work to earn money or must manufacture goods for trade so that they can purchase new items.

Unable to contemplate returning to life without steel axes, the Indians begin to produce extra arrows or blowguns or hunt additional game or weave baskets beyond what they normally need so that this new surplus can be traded. Time that might, in the past, have been used for other tasks—subsistence activities, ceremonial events, or whatever—is now devoted to production of barter goods. (1992:40)

Adoption of Western foods has negatively affected the nutrition and health of indigenous Amazonian peoples. They have begun to use table salt and refined sugar. Previously, they consumed small quantities of salt made by burning certain leaves and collecting the ash, and sugar came from wild fruits, in the form of fructose. Sucrose, in contrast, tastes exceptionally sweet, and the Indians get hooked on it. Tooth decay, obesity, and diabetes are new and growing health risks. Milton comments, “The moment manufactured foods begin to intrude on the indigenous diet, health takes a downward turn” (1992:41).

Alternative Food Movements in Europe and North America

Starting in Europe in the 1980s, several alternative food movements have grown in Europe and North America (Pratt 2007). *Alternative food movements* seek to reestablish direct links between food producers, consumers, and marketers by promoting consumption of locally grown food and food that is not mass produced. Such movements exist in direct opposition to the agro-industrial food system, which:

- leads to economic ruin of small-scale producers who promote biodiversity.

- shifts diet to fast foods, convenience food, take-away food, and microwave preparation.
- transforms meals into eating on the run.
- promotes a depersonalized, global market and supply chain, with Wal-Mart as the prime example.
- has little regard for the environmental consequences of mass production and global marketing.

Many alternative food movements exist. One of the first, Italy’s Slow Food Movement, started in the late 1980s and has spread around the world. Naming itself in opposition to Western “fast food,” the Slow Food Movement celebrates local agricultural traditions, seeks to protect consumers in terms of food quality, and advocates for social cooking, dining, and conviviality.

Continuities and Resistance: The Enduring Potlatch

Potlatching among native peoples of the northwest coast of the United States and Canada was subjected to decades of opposition from Europeans and Euro-Americans (Cole 1991). The missionaries opposed potlatching as an unChristian practice. The government thought it was wasteful and excessive, out of line with their goals for the “economic progress” of the Indians. In 1885, the Canadian government outlawed the potlatch. Of all the Northwest Coast tribes, the K wakwaka’wakw (see Culturama) resisted this prohibition most strongly and for the longest time. In Canada, potlatches are no longer illegal. But it took a long battle to remove restrictions.

Reasons for giving a potlatch today are similar to those in the past: naming children, mourning the dead, transferring rights and privileges, celebrating marriages, and raising totem poles (Webster 1991). The length of time devoted to planning a potlatch, however, has changed. In the past, several years were involved compared to about a year now. Still, it takes much organization and work to accumulate enough goods to ensure that no guest goes away empty-handed, and the guest list may include between 500 and 1000 people. Another change is in the kinds of goods exchanged. Typical potlatch goods now include crocheted items (such as cushion covers, blankets, and potholders), glassware, plastic goods, manufactured blankets, pillows, towels, articles of clothing, and sacks of flour and sugar. The potlatch endures but changes.

CULTURAMA

The Kwakwaka'wakw of Canada

Several Northern First Nations recently adopted the name *Kwakwaka'wakw* to refer to a cluster of 20 linguistically related groups of Canada's Pacific Northwest region (Macnair 1995).

Kwakwaka'wakw means "the people who speak Kwak'wala." It replaces the earlier term "Kwakiutl," which refers to only one of the several groups, and is therefore insulting to members of the other groups.

Their territory includes many islands as well as the waterways and deep inlets penetrating the Coast Mountains, a region of dense forests and sandy beaches. In earlier times, travel was mainly by canoe. Families moved seasonally with all their belongings packed in the canoe (Macnair 1995).

The Kwakwaka'wakw are famous for aspects of their material culture, including tall, carved wooden totem

poles, canoes, masks, and serving bowls, as well as richly decorated capes, skirts, and blankets.

Cedar is vital to the Kwakwaka'wakw. They use its wood for the objects just mentioned and the inner bark for garments. Women pounded the bark strips with a whalebone beater until the fibers separated and became soft. They wove the strips on a loom or handwove them into mats used for sleeping on.

The first contact with Whites occurred in 1792, when explorer Captain George Vancouver arrived (Macnair 1995). At that time, the Kwakwaka'wakw numbered around 8000 people. Franz Boas arrived in 1886 and carried out research with the help of George Hunt, born of an English father and a high-ranking Tlingit (Northwest Coast) mother.

In the late nineteenth century, colonial authorities and missionaries disapproved of matters such as marriage arrangements and the potlatch, and enacted legislation to promote change, including a ban on potlatching from 1884 to 1951. The people continued, however, to potlatch in secret.

The Royal British Columbia Museum (RBCM) in Victoria, British Columbia, Canada, worked closely with Kwakwaka'wakw communities to document their potlatches and promote cultural revitalization (Kramer, personal communication 2006). The first legal potlatch of recent times, hosted by Mungo Martin in 1953, was held outside the RBCM.

Thanks to Jennifer Kramer, University of British Columbia, for reviewing this material.



(LEFT) Canoes and their crews from other Kwakwaka'wakw villages gather at Alert Bay in 1999 to help celebrate the opening of the newly built Big House.



(CENTER) Kwakwaka'wakw students practice the hamat'sa dance at a school in Alert Bay, under the tutelage of K'odi Nelson.



MAP 3.6 The Kwakwaka'wakw Region in Canada. The total number of Kwakwaka'wakw is over 5000 people.

3

the **BIG** questions REVISITED

✓ [Study and Review on myanthrolab.com

What are the five major modes of livelihood and their characteristics?

The five modes of livelihood among contemporary people are foraging, horticulture, pastoralism, agriculture, and industrialism.

In foraging societies, the division of labor is based on gender and age, and temperate foragers exhibit more gender overlap in tasks than circumpolar foragers. Property is shared, and all people have equal rights to resources such as land and water holes. Foraging has long-term sustainability when not affected by outside pressure.

Horticulture and pastoralism are extensive strategies that depend on domesticated plants (horticulture) and animals (pastoralism). Horticulture requires fallowing, and pastoralism requires the constant movement of animals to fresh pastures. The division of labor varies, including situations in which men do more productive work, those where women do more work, and those in which workloads are shared between men and women. Use rights are the prominent form of property relations. Both have long-term sustainability when not affected by encroachments.

Family farming systems produce crops for their own use and for sale in the market. Most family farming systems involve more male labor in the fields and more female labor in the domestic domain. Agriculture's sustainability is limited by the need to replenish the land.

In industrialism/informatic societies, the division of labor is highly differentiated by class, gender, and age. Widespread unemployment is found in many industrial economies. In capitalist societies, private property is the dominant pattern. Industrialism/informatic societies lack sustainability, given the high demand for nonrenewable energy.

How are modes of livelihood related to consumption and exchange?

Anthropologists contrast modes of consumption in nonmarket and market-based systems of production. In the former,

minimalism is the dominant mode of consumption, with finite needs. In the latter, consumerism is the dominant mode of consumption, with infinite needs. Foraging societies typify the minimalist mode of consumption. Industrial capitalist/informatics societies typify the consumerist mode. The modes of livelihood between foraging and industrialism/informatics exhibit varying degrees of minimalism and consumerism.

In nonmarket economies most consumers produce the goods they use themselves or they know who produced them. In market economies, consumption is depersonalized through globalized mass production.

Modes of exchange also correspond to the modes of livelihood and consumption. In foraging societies, the mode of exchange is balanced exchange, with the goal of keeping the value of the items exchanged roughly equal over time. Balanced exchange involves people who have a social relationship with each other, and the relationship is reinforced through continued exchange.

Market exchange is a transaction in which the seller's goal is to make a profit. Compared to balanced exchange, the people involved in market exchanges are less likely to know each other or to have an enduring social relationship.

How are livelihood, consumption, and exchange changing in contemporary times?

Economic globalization is changing livelihood, consumption, and exchange around the world. Western goods, such as steel axes, are in high demand by people in non-Western, nonindustrialized contexts. Such goods must be purchased, a fact that impels people to work for cash so that they can buy things.

In spite of the powerful effects of globalization on local economic patterns, many groups seek to restore traditional patterns of livelihood, consumption, and exchange. The rise of new food movements that promote small farm and local food production is an example of an attempt to localize and personalize food production and exchange. The revival of potlatching in the Pacific Northwest is an example of the revitalization of traditional consumption and exchange practices.

KEY CONCEPTS

agriculture, p. 54	generalized	intensive strategy, p. 54	potlatch, p. 56
balanced exchange, p. 60	reciprocity, p. 60	market exchange, p. 62	pure gift, p. 60
consumerism, p. 58	horticulture, p. 50	minimalism, p. 58	redistribution, p. 62
expected reciprocity, p. 62	industrial capital	mode of consumption, p. 48	trade, p. 62
extensive strategy, p. 48	agriculture, p. 56	mode of exchange, p. 48	unbalanced
family farming, p. 54	industrialism/	mode of livelihood, p. 48	exchange, p. 60
foraging, p. 48	informatics, p. 56	pastoralism, p. 54	use rights, p. 50

SUGGESTED READINGS


- Anne Allison. *Nightwork: Sexuality, Pleasure and Corporate Masculinity in a Tokyo Hostess Club*. Chicago: University of Chicago Press, 1994. Based on the author's participant observation, this book explores what it is like to work as a hostess in a club that caters to corporate male employees and discusses how that microculture is linked to men's corporate work culture.
- Theodore C. Bestor. *Tsukiji: The Fish Market at the Center of the World*. Berkeley: University of California Press, 2004. This ethnography of Tsukiji, the huge fish market in Tokyo, describes how it is a workplace for thousands of people, a central node in the Japanese fishing industry, and part of the global economy.
- Denise Brennan. *What's Love Got to Do With It? Transnational Desires and Sex Tourism in the Dominican Republic*. Durham, NC: Duke University Press, 2004. This account of global sex tourism is located in the town of Sosúa, Dominican Republic, where Afro-Dominican and Afro-Haitian women sell sex to foreign, White tourists.
- Jans Dahl. *Saqqaq: An Inuit Hunting Community in the Modern World*. Toronto: University of Toronto Press, 2000. This ethnography of the Saqqaq, people of eastern Greenland, is based on fieldwork carried out at several times since 1980 in order to provide a diachronic perspective.
- Daniel Dohan. *The Price of Poverty: Money, Work, and Culture in the Mexican American Barrio*. Berkeley: University of California Press, 2003. This ethnography explores poverty among Mexican Americans in two neighborhoods in California: undocumented immigrants in San Jose who work mainly in the Silicon Valley and urban Chicanos of Los Angeles.
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 Read the Document on myanthrolab.com


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- Ana Eliza Port Laurencó, Ricardo Ventura Santos, Jesem D. Y. Orellana, and Carlos E. A. Coimbra. 2008. Nutrition Transition in Amazonia: Obesity and Socioeconomic Change in the Suruí Indians from Brazil. *American Journal of Human Biology* 20(50):564–571. The authors document dietary changes among an Amazonian group and show how they relate to the emergence of obesity in the population.
- Tristram Riley-Smith, The Temple of Trade: On Consumerism, 2010. Chapter 2 in *The Cracked Bell: America and the Afflictions of Liberty*. New York: Skyhorse Publishing. Pp. 45–76. Riley-Smith is a British anthropologist who spent several years in the United States while working for the British Embassy. In this chapter he offers a cultural view of American consumerism.



the **BIG** questions

 How are modes of reproduction related to modes of livelihood?

 How does culture shape fertility in different contexts?

 How does culture shape personality over the life cycle?

REPRODUCTION AND HUMAN DEVELOPMENT

◀ In Havana, Cuba, a girl celebrates her fifteenth birthday known as a *fiesta de quince*. In Hispanic culture globally, a girl's fifteenth birthday is a coming-of age ceremony marked by lavish expenditures on an elegant gown and a party including a fancy cake.

4

OUTLINE

Modes of Reproduction

Culturama: The Old Order Amish of the United States and Canada

Culture and Fertility

Anthropology Works: Studying Sexual Behavior among MSM in New York City

Personality and the Life Cycle

Critical Thinking: Cultural Relativism and Female Genital Cutting

This chapter covers cross-cultural patterns of sexuality and having children and the formation of personality and identity over the life cycle. The first section provides an overview of reproduction in relation to modes of livelihood. The second section traces the connections between culture and **fertility**, or the rate of births. The third section provides insights into how culture shapes personality and identity throughout the life cycle.

 [Listen to the Chapter Audio on myanthrolab.com](#)



Modes of Reproduction

A **mode of reproduction** is the dominant pattern, in a culture, of population change through the combined effect of fertility (birth rate) and mortality (death rate). Cultural anthropologists have enough cross-cultural data to provide the general characteristics of only three modes of reproduction (Figure 4.1) that correspond to three of the five modes of livelihood discussed in Chapter 3.

The Foraging Mode of Reproduction

Evidence about the foraging mode of reproduction comes from a classic study based on fieldwork conducted with the Ju/'hoansi in the 1970s (Howell 1979) (review Culturama in Chapter 1, page

19). The study shows that *birth intervals* (the time between a birth and the next birth) among the Ju/'hoansi are often several years in duration. What accounts for these long birth intervals? Two factors are most important: breastfeeding and women's low level of body fat. Frequent and long periods of breastfeeding inhibit progesterone production and suppress ovulation. Also, a certain level of body fat is required for ovulation. Ju/'hoansi women's diets contain little fat, and their regular physical exercise as foragers keeps their body fat level low, further suppressing ovulation. Thus, diet and work are key factors underlying Ju/'hoansi population dynamics.

Ju/'hoansi women, during the time of the study, typically had between two and three live births, of which two children survived into adulthood. This mode of reproduction is adaptive to the Ju/'hoansi environment and sustainable over time. Among the Ju/'hoansi who have become farmers or laborers, the number of births per woman are higher. This change is related to the facts that their diet contains more grains and dairy products and women are less physically active.

The Agricultural Mode of Reproduction

The agricultural mode of reproduction is associated with the highest birth rates of the three modes discussed here.

Foraging	Agriculture	Industrialism/Informatics
Population Growth Moderate birth rates Moderate death rates	Population Growth High birth rates Declining death rates	Population Growth Industrialized nations—negative population growth Developing nations—high
Value of Children Moderate	Value of Children High	Value of Children Mixed
Fertility Control Indirect means Low-fat diet of women Women's work and exercise Prolonged breastfeeding Spontaneous abortion Direct means Induced abortion Infanticide	Fertility Control Increased reliance on direct means Pronatalist techniques Herbs Direct means Induced abortion Infanticide	Fertility Control Direct methods grounded in science and medicine Chemical forms of contraception In vitro fertilization Abortion
Social Aspects Homogeneous fertility Few Specialists	Social Aspects Emerging class differences Increasing specialization Midwifery Herbalists	Social Aspects Stratified fertility Globally, nationally, and locally Highly developed specialization

FIGURE 4.1 Modes of Livelihood and Reproduction

Pronatalism, an attitude or policy that encourages childbearing, is prevalent among farm families cross-culturally. It is prompted by the need for a large labor force to work the land, care for animals, process food, and do marketing. In this context, having many children is a rational reproductive strategy related to the mode of livelihood. Thus, farming people who live in family farming systems have their own “family planning,” but one that promotes rather than prevents the birth of many children.

High birth rates of seven or more children per woman exist in several low-income agricultural countries of Africa, such as Niger (7.4 births per woman), Somalia, (6.7 births per woman), Uganda (6.7 births per woman), and Angola (6.5 births per woman) (Population Reference Bureau 2009). Lower rates, of two or three children per woman, however, are found in many agricultural countries in South America, such as Venezuela, Chile, and Argentina.

Within countries, groups with the highest birth rates in the world are the Mennonites and Hutterites. They are Christians of European descent living mainly in the United States and Canada. Women in these groups typically have between 8 and 10 children who survive into adulthood. High birth rates also characterize the Amish, a closely related group (see *Culturama* on page 74).

In another pronatalist context, rural North India, having many children—especially sons—is important in farming families. Young boys learn to do farm work with their father. As adults, they are responsible for plowing the farm and for protecting the family land from takeovers by neighboring farmers. When Western family planning experts visited villages in North India in the late 1950s to promote the idea of small families, the farmers expressed dismay (Mamdani 1972). To them, a large family, especially one with many sons, is a sign of wealth and success, not poverty and failure.

The Industrial/Informatic Mode of Reproduction

In industrial societies, either capitalist or socialist, reproduction declines to the point of either *replacement-level fertility*, in which the number of births equals the number of deaths, leading to maintenance of the current population size, or *below-replacement-level fertility*, in which the number of births is less than the number of deaths, leading to population decline. Children in these contexts are less useful in production because of the reduced labor demands of industrialism. Furthermore, children in most industrialized countries must attend school and therefore cannot work for their families much during the school year. Parents respond by having fewer children and by investing more resources in them.

Population changes that take place during the transition to the industrial/informatic mode of reproduction

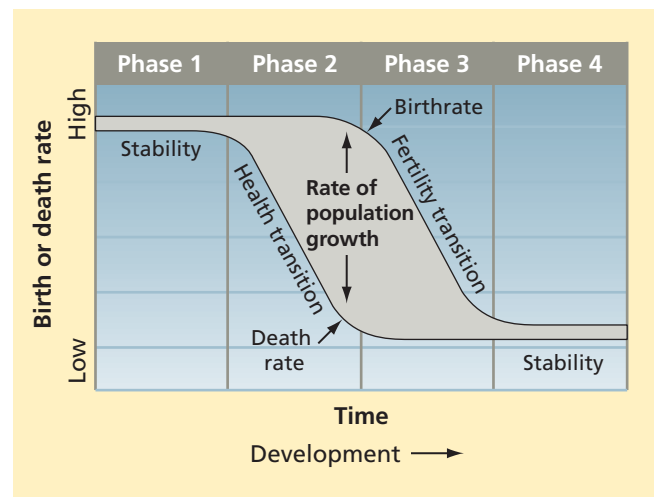


FIGURE 4.2 Model of the Demographic Transition.

Source: Bernard J. Nebel and Richard T. Wright, , *Environmental Science: The Way the World Works*, 7th edition. Copyright © 2000. Electronically reproduced by permission of Pearson Education, Inc. Upper Saddle River, New Jersey.

correspond to what is called the **demographic transition**, a process during which the agricultural pattern of high fertility and high mortality becomes the industrial pattern of low fertility and low mortality. There are two phases in the demographic transition model (Figure 4.2). In the first phase, mortality declines because of improved nutrition and health, so population growth rates increase. The second phase occurs when fertility also declines. At this point, low rates of population growth occur to the extent that many industrial/informatic countries have below-replacement fertility including Japan, Canada, the United States, and countries in Europe.

The industrial/informatic mode of reproduction has three distinguishing features:

- **stratified reproduction:** middle-class and upper-class people tend to have few children with high survival rates while among the poor, both fertility and mortality rates are high. Brazil, a newly industrializing state, has the world’s most extreme income inequality and extremely stratified reproduction.
- **population aging:** when the proportion of older people increases relative to younger people. In Japan, the national fertility rate declined to replacement level in

fertility the rate of births in a population or the rate of population increase in general.

mode of reproduction the predominant pattern, in a culture, of population change through the combined effect of fertility (birth rate) and mortality (death rate).

pronatalism an attitude or policy that encourages childbearing.

demographic transition the change from the agricultural pattern of high fertility and high mortality to the industrial pattern of low fertility and low mortality.

CULTURAMA

The Old Order Amish of the United States and Canada

The Amish are Christians who live in rural areas of the United States and Canada. At the time of this writing, in the early twenty-first century, their total population is about 200,000. Their ancestry traces to German-speaking Swiss Anabaptists in the sixteenth century. To escape religious persecution, they migrated to North America, starting in the early eighteenth century and continuing into the mid-nineteenth century. There are no Amish in Europe today.

The term *Old Order Amish* refers to the main body of the Amish people. A small minority, known as *New Order Amish*, broke off from the Old Order in the late 1960s. Both groups use horse-drawn transportation, but the New Order Amish accept more technology.

The Amish speak a German-derived dialect. They wear modest clothing and dress similarly to each other. They avoid using electricity from power grids, but solar-generated electricity and 12-volt batteries are allowed. Education beyond the eighth grade is seen as unnecessary.

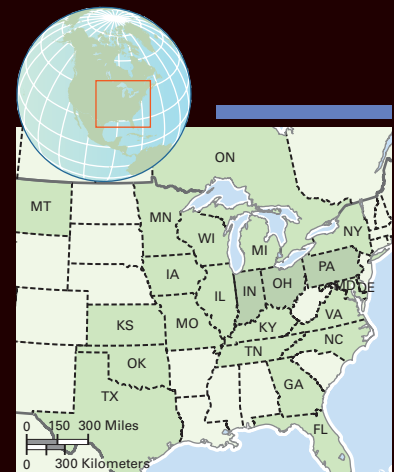
A basic theme in Amish life is the need to guard against “worldly” (non-Amish, mainstream U.S.) values, dependencies, and hurriedness, and to maintain the family as a unit that lives and works together. Working with one’s own hands is valued, as are humility and modesty. Farming is the traditional means of livelihood among the Amish.

The Amish have many children, typically six or seven per woman. Even though some children leave and join “the English” (non-Amish), their population is doubling every 20 years. In Lancaster County, Pennsylvania, the heartland of the Old Order Amish, a steep rise in population growth starting in the 1970s has exceeded the availability of farmland (Kraybill and Nolt 2004). Now, several hundred Amish businesses exist. Many are small scale and operate from the home, but many others are large scale and earn millions of dollars per year. Amish who enter business try to retain Amish values of family solidarity by working at home

and selling products from their homes. Many, though, increasingly work in businesses outside their homes, together with the English.

Amish youth have the opportunity of deciding whether to be baptized into the faith when they are 16 years old. At this time, called *rumspringa* in Pennsylvania Dutch, or “running around,” the young people are allowed to explore the customs of the English world, including television. Most stay at home during *rumspringa*, spending time with Amish friends on the weekends. In rare cases, some experiment with alcohol, drugs, and sex (Shachtman 2006). Around 90 percent of Amish teenagers decide to accept Amish ways and be baptized, choosing a lifestyle that emphasizes humility and community solidarity rather than the “worldly” lifestyle of individualism and competition.

Thanks to Donald B. Kraybill, Elizabethtown College, for reviewing this material.



(LEFT) Members of an Amish household sit around their kitchen table.

(CENTER) A farmer mows alfalfa with a team of mules and a gasoline engine to power the mower. This mechanism enhances his farm work but does not break the Old Order Amish rule against using tractors in the field.

MAP 4.1 Old Order Amish Population of North America.

The states of Ohio, Pennsylvania, and Indiana have the largest number of Old Order Amish.

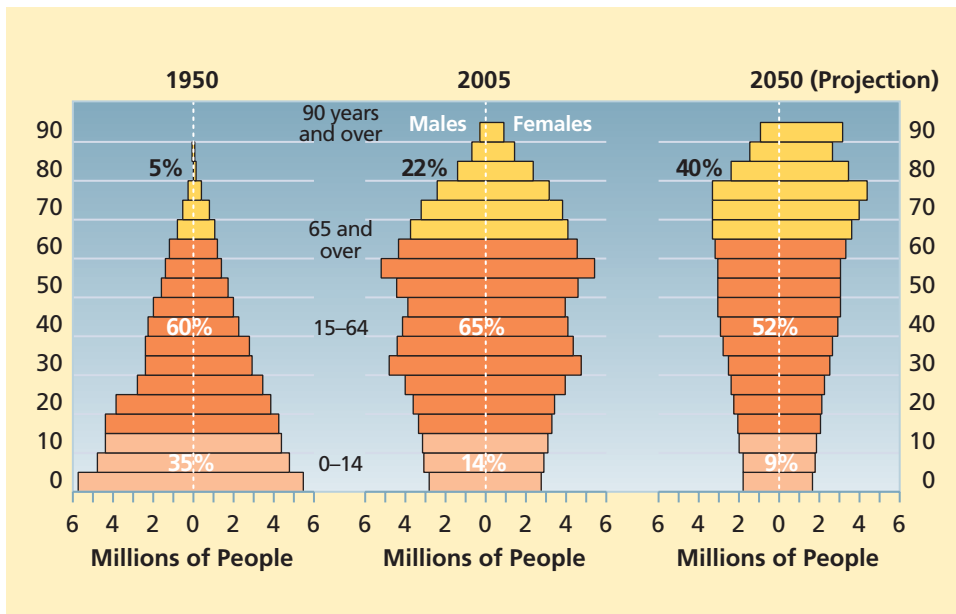


FIGURE 4.3 Changes in the Population Pyramid of Japan.

Source: Statistics Bureau, MIC, Ministry of Health, Labor and Welfare. Reproduced with permission.

the 1950s and later reached the below-replacement level. Japan is currently experiencing a decline in population growth of about 15 percent per generation and, simultaneously, rapid aging of the population. As many people enter the senior category, they create a population bulge that is not balanced by the number of younger people (Figure 4.3). A population projection for the year 2050 suggests that the bulge will increase.

- **high level of involvement of scientific (especially medical) technology in all aspects of pregnancy:** becoming pregnant, preventing pregnancy, and terminating pregnancy (Browner and Press 1996). This trend is accompanied by increasing levels of specialization in providing the new services.



Culture and Fertility

Culture shapes human fertility from its very start, if conception is considered to be the fertilization of an ovum. The following discussion begins with sexual intercourse and continues through pregnancy and birth.

Sexual Intercourse

Sexual intercourse usually involves private, sometimes secret, beliefs and behaviors. Anthropological research on sexual practices is thus particularly challenging. The ethics of participant observation prohibit intimate observation or participation, so data can be obtained only indirectly. Biases in people's verbal reports about sexual beliefs and behavior are likely for several reasons. People may be too shy or otherwise unwilling

to discuss sex or, conversely, boastful and inflating the truth. Many people may simply be unable to answer questions such as "How many times did you have sexual intercourse last year?"

Bronislaw Malinowski wrote the first anthropological study of sexuality (1929), based on his fieldwork in the Trobriand Islands (review *Culturama* in Chapter 2, page 32). His writings describe the sexual lives of children, sexual techniques, love magic, erotic dreams, husband–wife jealousy, and other topics. Since the late 1980s, it has become increasingly important to study how culture affects sexuality because of the spread of sexually transmitted diseases (STDs), including HIV/AIDS. Without understanding variations in sexual values and practices, it is impossible to design effective programs for preventing and controlling STDs (see *Anthropology Works* on page 76).

WHEN TO BEGIN HAVING INTERCOURSE?

Biologically speaking, sexual intercourse between a fertile female and a fertile male is normally required for human reproduction, although artificial insemination is becoming a widely used option in some contexts. Biology, interacting with environment and culture, defines the time span within which a female is fertile: from **menarche** (pronounced men-ar-kee), the onset of menstruation, to **menopause**, the cessation of menstruation. Globally, the beginning of menarche varies from 12 to 14 years of age (Thomas et al. 2001). Generally, girls in richer countries reach menarche a few years earlier than girls in poorer countries do. For example, the estimated age at menarche in Japan is 12.5 years, but in Haiti it is 15.5 years.

Cultures socialize children regarding the appropriate age to begin sexual intercourse, and cultural rules are

menarche the onset of menstruation.

menopause the cessation of menstruation.

ANTHROPOLOGY works

Studying Sexual Behavior among MSM in New York City

Lara Tabac, medical anthropologist, works at the New York City Department of Health and Mental Hygiene (DOHMH), along with another cultural anthropologist and 6000 other employees (2003). Her unit is the DOHMH's Epidemiology Services. *Epidemiology* is the study of the factors that cause health and disease in different populations, and it provides information to public health-care providers so they can improve their programs.

Tabac is hired to collect qualitative information from New Yorkers about their behavior and how it relates to their health, specifically MSM (men who have sex with men).

Tabac describes her job as an “unusual joint venture of words and numbers” (2003). She explains that the department is highly quantitative. It uses statistics to determine health-action agendas. The numbers “tell how many, but they do not tell why. In order to be responsive to the health needs of New Yorkers, the DOHMH needs to know why. This is where I come in.”

Anthropological training reinforced and shaped Tabac's natural tendency to observe and ask questions. She puts her skills and interests to work: “I do a lot of listening on a wide range of topics, and I need only a MetroCard to reach far-flung and eclectic neighborhoods



Two gay men in New York City.

peopled with individuals who share their health dilemmas and life struggles with me, as well as their suggestions for improving the services and programs that will ultimately affect them” (2003).

Tabac wants to learn about condom use among the MSM population: who uses or does not use condoms, and why they do or do not do so. To gather qualitative information, Tabac has spent many hours conducting interviews. She says, “As a technique, interviewing is crucial for gaining a deep understanding of sensitive issues. . . . People tend to be more honest

when they don't feel as though they are going to be judged by their peers” (2003). Tabac comments that every interview for the project has been valuable.

Tabac finds her job with the DOHMH challenging and socially relevant. She took the job because she wanted to help improve people's lives. She has not been disappointed.

FOOD FOR THOUGHT ▼

Are you inspired by this story to want to follow in Lara Tabac's footsteps? If yes, why? If not, why not?

more variable than the biological marker of menarche. Cultural guidelines vary by gender, class, race, and ethnicity. In many cultures, sexual activity should begin only with marriage. This rule often applies more strictly to females than to males. In Zawiya (zuh-WEE-yuh), a Muslim town of northern Morocco (Map 4.2), a bride's virginity is highly valued, whereas that of the groom is ignored (Davis and Davis 1987). Most brides conform to the ideal. Some unmarried young women do engage in premarital sex, however. If they choose to have a traditional wedding, they must somehow meet the requirement of producing blood-stained wedding sheets after the first night. If the bride and the groom have been having premarital sexual relations, the groom may assist in the deception by nicking a finger with a knife and bloodying the sheets himself. Another option is to buy fake blood sold in drugstores.

INTERCOURSE FREQUENCY AND FERTILITY

Cross-culturally, the frequency of sexual intercourse varies widely. The relationship between frequency of sexual intercourse and fertility, though, is not simple. A common assumption is that people in cultures with high fertility rates have sexual intercourse frequently. Without modern birth control, such as condoms, birth control pills, and intrauterine devices (IUDs), intercourse frequency would seem, logically, to produce high rates of fertility.

A classic study of reported intercourse frequency among Euro-Americans in the United States and Hindus in India, however, throws this assumption into question (Nag 1972). The Indians had intercourse less frequently (less than twice a week) than the Euro-Americans did (two to three times a week) in all age groups. Several features of Indian culture limit the frequency of sexual intercourse. First, the Hindu religion



MAP 4.2 Morocco.

The Kingdom of Morocco is the westernmost country of the Arab world. A border dispute continues with the Western Sahara, which Morocco has administered since 1975. Morocco's population is 30 million. The terrain ranges from coastal lowlands to rugged interior mountains. Morocco's economy is based on mining phosphates, remittances, and tourism. It is one of the world's largest producers and exporters of cannabis and the world's largest per capita consumer of sugar. Most Moroccans are Sunni Muslims. The official language is classical Arabic, but Moroccan Arabic is widely spoken. More than 40 percent of the people speak a variety of Berber.

teaches the value of sexual abstinence, thus providing ideological support for limiting sexual intercourse. Hinduism also suggests that one should abstain from intercourse on many sacred days: the first night of the new moon, the first night of the full moon, the eighth day of each half of the month (the light half and the dark half), and sometimes on Fridays. As many as 100 days each year could be observed as days of abstinence. Another factor is Hindu men's belief in what anthropologists term the *lost semen complex*, which links men's health and



A bride wearing traditional wedding clothing in the city of Meknès, Morocco.

strength to the retention of semen. An anthropologist learned about this complex during his fieldwork in North India:

Everyone knew that semen was not easily formed; it takes forty days and forty drops of blood to make one drop of semen. . . . Semen of good quality is rich and viscous, like the cream of unadulterated milk. . . . Celibacy was the first requirement of true fitness, because every sexual orgasm meant the loss of a quantity of semen, laboriously formed. (Carstairs 1967:83–86, quoted in Nag 1972:235)

The fact remains, however, that fertility is higher in India than in many other parts of the world where such religiously based restrictions on sexual intercourse do not exist. Obviously, sheer frequency of intercourse is not the explanation because it takes only one act of sexual intercourse at the right time of the month to create a pregnancy. The point of this discussion is to show that *reverse reasoning* (assuming that high fertility means people have nothing better to do than have sex) is wrong. The cultural dynamics of sexuality in India function to restrain sexual activities and thus keep fertility lower than it otherwise would be.

Fertility Decision Making

Within the context of the family unit, decision makers weigh factors influencing why and when to have a child. At the state level, governments plan their overall population target on the basis of fertility goals that are sometimes pronatalist and sometimes *antinatalist* (opposed to many births). At the global level, powerful economic and political interests influence the reproductive policies of countries and, in turn, of families and individuals.

THINKING
OUTSIDE
THE BOX

In your microculture, is there a preference about the desired number of sons and daughters?



A family planning clinic in Baghdad, Iraq. Throughout much of the world, Western-style family planning advice is controversial because it may conflict with local beliefs and values.

► In your cultural experience, what is the prevailing attitude about family planning?

AT THE FAMILY LEVEL Within the family, parents and other family members consider, consciously or unconsciously, the value and costs of children (Nag 1983). Cross-cultural research indicates that the following factors shape the desire for children:

- Children's labor value
- Children's value as old-age support for parents
- Infant and child mortality rates
- Economic costs of children

The first three factors increase fertility: When children's value is high in terms of labor or old-age support, fertility is likely to be higher; when infant and child mortality rates are high, fertility rates tend to be high in order to "replace" offspring who do die. In the case of child costs—including direct costs (for food, education, and clothing, for example) and indirect costs (employment opportunities that the mother gives up)—the relationship is negative. Higher costs reduce the desire for children. In industrial/informatic contexts, child costs are high and child labor value declines dramatically. Mandatory school attendance also pulls children out of the workforce and may involve direct costs for fees, uniforms, and supplies. In countries that provide old-age security and pension plans, the need for children is reduced.

Husbands and wives may not always have the same preferences about the number of children they desire. In a highland village in the Oaxaca region of Mexico (Map 4.3), men want more children than women do (Browner 1986). Of women with only one child, 80 percent were content with a family of that size. Most men (60 percent) who were satisfied with their present family size had four or more children. One woman said, "My husband sleeps peacefully through the night, but I have to get up when the children need something. I'm the one the baby urinates on; sometimes I have to get out of bed in the cold and change both our clothes" (1986:714).

Depending on the gender division of labor and on other social features, families may prefer sons, daughters, or a

MAP 4.3 Mexico.

The United Mexican States is the most populous Spanish-speaking country in the world. It was subjected to Spanish rule for three centuries before gaining independence. Its population is 107 million, and the capital, Mexico City, has a population of 20 million people. Mexico has a mixed economy of industry, agriculture, and trade, and is the fourth-largest oil producer in the world. Ethnically, the population consists of Mestizos (60 percent), Indians (30 percent), and Whites (9 percent). Southern states have the highest proportion of Indians.



balance of each. Preference for sons is widespread, especially in South Asia (including India and Pakistan) and East Asia (China and Korea), but it is not universal. Throughout much of Southeast Asia, for example, people prefer a balanced number of sons and daughters. A preference for daughters exists in some parts of Africa south of the Sahara and in some Caribbean populations.

AT THE STATE LEVEL State governments formulate policies that affect rates of population growth within their boundaries. These policies vary from being antinatalist to pronatalist, and they vary in terms of the methods of fertility management promoted. Factors that affect government policies include economic factors, such as projected jobs and employment levels, public services, and maintaining the tax base, as well as other factors, such as filling the ranks of the military, maintaining ethnic and regional proportions, and dealing with population aging.

AT THE GLOBAL LEVEL The most far-reaching layer that affects fertility decision making occurs at the international level, where global power structures such as pharmaceutical companies and religious leaders influence country- and individual-level decision making. In the 1950s, there was a wave of enthusiasm among Western nations for promoting family planning programs of many types in developing countries. In the 1990s, the United States adopted a more restrictive policy toward family planning, withdrew support for such options as abortion, and began to promote abstinence as the foundation of population control.

Fertility Control

People in all cultures since prehistory have had ways of influencing fertility, including ways to increase it, reduce it, and regulate birth spacing. Some ways are direct, such as using herbs or medicines that induce abortion. Others are indirect, such as long periods of breastfeeding, which reduce the chances of conception.

INDIGENOUS METHODS Hundreds of direct indigenous fertility control methods are available cross-culturally (Newman 1972, 1985).

Research in Afghanistan during the 1980s found over 500 fertility-regulating techniques in just one region (Hunte 1985). In Afghanistan, as in most nonindustrial cultures, it is women who possess this information. Specialists, such as midwives or herbalists, provide further expertise. Of the total number of methods in the Afghanistan study, 72 percent were for increasing fertility, 22 percent were contraceptives, and 6 percent were used to induce abortion. Most methods involve plant and animal substances. Herbs are made into tea and taken orally. Some substances are formed into pills, some steamed and inhaled

as vapors, some vaginally inserted, and others rubbed on the woman's stomach.

INDUCED ABORTION A review of 400 societies found that induced abortion was practiced in virtually all of them (Devereaux 1976). Cross-culturally, attitudes toward induced abortion range from absolute acceptability to conditional approval (abortion is acceptable but only under specified conditions), tolerance (abortion is regarded with neither approval nor disapproval), and opposition and punishment for offenders. Methods of inducing abortion include hitting the abdomen, starving oneself, taking drugs, jumping from high places, jumping up and down, lifting heavy objects, and doing hard work. Some methods clearly are dangerous to the pregnant woman. In Afghanistan, a midwife inserts an object such as a wooden spoon or stick treated with copper sulfate into the pregnant woman to cause vaginal bleeding and eventual abortion of the fetus (Hunte 1985).

The reasons women seek to induce abortion are usually related to economic and social factors. Pastoralist women, for example, frequently carry heavy loads, sometimes for long distances. This lifestyle does not allow women to care for many small children at one time. Poverty is another frequent motivation. A woman who is faced with a pregnancy in the context of limited resources may find abortion preferable to bearing a child that cannot be fed. Culturally defined "legitimacy" of a pregnancy and social penalties for bearing an illegitimate child provide long-standing motivations for abortion, especially in Western societies.

Some governments regulate access to abortion, either promoting it or forbidding it. Since the late 1980s, China has pursued a rigorous campaign to limit population growth (Greenhalgh 2008). Its One-Child-per-Couple Policy, announced in 1978, restricted most families to having only one child. The policy involved strict surveillance of pregnancies, strong group disapproval directed toward women pregnant for the second time or more, and forced abortions and sterilizations. Inadvertently, this policy simultaneously led to an increase in the killing and abandoning of girl babies by parents who were driven by a preference for sons.

Religion and abortion are often related, but there is no simple relationship between what a particular religion teaches about abortion and what people actually do. Catholicism forbids abortion, but thousands of Catholic women have sought abortions throughout the world. Predominantly Catholic countries have laws making induced abortion illegal. This is the case in Brazil where, in spite of Catholic beliefs and the law, many women, especially poor women, resort to abortion. In one impoverished shantytown in the city of Recife in the northeast, one-third of the women said that they had aborted at least once (Gregg 2003). Illegal abortions are more likely to have detrimental effects on women's



In Japan, people regularly visit and decorate *mizuko*, small statues in memory of their “returned” fetuses.

► In your cultural world, what is the definition and status of a fetus? Does a fetus have rights? Should it?

health than safe, legal abortion services. Several local studies conducted in the northeastern part of Brazil, the country’s poorest region, report high percentages, up to one-fourth, of maternal deaths due to complications from illegal abortion (McCallum 2005).

Islamic teachings forbid abortion. Abortion of female fetuses is nonetheless practiced covertly in Pakistan and by Muslims in India. Hinduism teaches *ahimsa*, or nonviolence toward other living beings, including a fetus whose movements have been felt by the mother. Thousands of Hindus, however, seek abortions every year. In contrast, Buddhism provides no overt rulings against abortion. Japanese Buddhism teaches that all life is fluid and that an aborted fetus is simply “returned” to a watery world of unshaped life and may later come back (LaFleur 1992). This belief is compatible with people’s frequent use of induced abortion as a form of birth control in Japan.

THE NEW REPRODUCTIVE TECHNOLOGIES Since the early 1980s, new forms of reproductive technology, or methods that seek to bypass biology to offer options for child-bearing to infertile couples, have emerged and are now available in many places around the world.

In vitro fertilization (IVF), in which egg cells are fertilized outside the womb, is highly sought after by many couples in Western countries, especially middle- and upper-class couples, among whom infertility is high. It is also available in many cities worldwide (Inhorn 2003). As IVF spreads globally, people interpret it within their own cultural frameworks. A study of

male infertility in two Middle Eastern cities—Cairo in Egypt and Beirut in Lebanon—reveals the close connection between masculine identity and fertility (Inhorn 2004). While married couples want to have children, if the husband is infertile, IVF is not a clear option. In these cities, infertile men face social stigma and feelings of deep inadequacy. In addition, third-party donation of sperm is not acceptable according to Islam. These couples are trying to balance their desire for children with Muslim values.

Infanticide

Infanticide, or the deliberate killing of offspring, is widely practiced cross-culturally, although it is rarely a frequent or common practice. Infanticide takes two major forms: direct infanticide and indirect infanticide (Harris 1977). *Direct infanticide* is the death of an infant or child resulting from actions such as beating, smothering, poisoning, and drowning. *Indirect infanticide*, a more subtle process, may involve prolonged practices such as food deprivation, failure to take a sick infant to a clinic, and failure to provide warm clothing in winter.

The most frequent motive for direct infanticide reported cross-culturally is that the infant was “deformed” or very ill (Scrimshaw 1984). Other motives for infanticide include the infant’s sex, an adulterous conception, an unwed mother, the birth of twins, and too many children in the family. A study of 148 cases of infanticide in contemporary Canada found that the mothers convicted of killing their offspring were relatively young and lacked financial and family resources to help them (Daly and Wilson 1984).

Among the poor of northeastern Brazil, indirect infanticide is also related to harsh conditions and poverty

infanticide the killing of an infant or child.

personality an individual’s patterned and characteristic way of behaving, thinking, and feeling.



In Bom Jesus, a shantytown in northeastern Brazil, a doctor at the local clinic told this mother that her son was dying of anemia and that she needed to feed him red meat. The mother said, “Now, where am I going to find the money to feed my hopeless son rich food like that?”

► *What is your perspective on Western bonding theory, and how did you come to have this view?*

(Scheper-Hughes 1992). In a shantytown called Bom Jesus, in the state of Pernambuco, Brazil, life expectancy is low. Available data on infant and child mortality in Bom Jesus since the 1960s led anthropologist Nancy Scheper-Hughes to coin the painfully ironic phrase *the modernization of mortality*. The modernization of mortality in Brazil is class based, mirroring a deep division in entitlements between the rich and the poor. The *infant mortality rate* (deaths of children under the age of 1 year per 1000 births) declined dramatically in recent decades. This decline, however, is unevenly distributed. High infant death rates are concentrated among the poorest classes of society. During her fieldwork in the mid 1990s, Scheper-Hughes found that poverty forces mothers to selectively (and unconsciously) neglect babies that seem sickly or weak, sending them to heaven as “angel babies” rather than struggling to keep them alive. People’s religious beliefs, a form of Catholicism, provided psychological support for indirect

infanticide by allowing mothers to believe that their dead babies are safe in heaven. (This ethnographic case is discussed further in the next section.)



Personality and the Life Cycle

Personality is an individual’s patterned and characteristic way of behaving, thinking, and feeling. Cultural anthropologists think that personality is formed largely through *enculturation* (also called socialization), or the learning of culture through both informal and formal processes. They study how various cultures enculturate their members into having different personalities and identities. Cultural anthropologists also investigate how personalities vary according to cultural context, and some ask why such variations exist. Others study how changing cultural contexts affect personality, identity, and well-being over the life cycle.

Birth, Infancy, and Childhood

This section first considers the cultural context of birth itself. It then discusses cultural variations in infant care and how they may shape personality and identity. Last, it deals with the topic of gender identity formation in infancy.

THE BIRTH CONTEXT The cultural context of birth affects an infant’s psychological development. Brigitte Jordan (1983), a pioneer in the cross-cultural study of birth, conducted comparative research on birth practices in Mexico, Sweden, the Netherlands, and the United States. She studied the birth setting, including its location and who is present, the types of attendants and their roles, the birth event, and the postpartum period. Among Maya women in Mexico, the midwife is called in during the early stages of labor. One of her tasks is to give a massage to the mother-to-be. She also provides psychological support by telling stories, often about other women’s birthing experiences. The husband is expected to be present during the labor so that he can see “how a woman suffers.” The woman’s mother should be present, too, along with other female kin, such as her mother-in-law, godmother, sisters, and friends. Thus, a Maya mother is surrounded by a large group of supportive people.

In the United States, hospital births are the norm. Some critics argue that the hospital-based system of highly regulated birth is extremely technocratic and too managed, alienating the mother—as well as other members of the family and the wider community—from the birthing process and the infant (Davis-Floyd 1992). This critique has prompted a consideration of how to improve the way birth is conducted in the United States.

BONDING Many contemporary Western psychological theorists say that parent–infant contact and bonding at the time of birth is crucial for setting in motion parental attachment to the infant. Western specialists say that if bonding is not established at the time of the infant’s birth, it will not develop later. Explanations for juvenile delinquency or other unfavorable child development problems often include references to a lack of proper infant bonding at birth.

Nancy Scheper-Hughes (1992) questions Western bonding theory. She argues that bonding does not necessarily have to occur at birth to be successful. Her observations in Brazil show that many low-income mothers do not exhibit bonding with their infants at birth. Bonding occurs later, if the child survives infancy, when it is several years old. She proposes that this pattern of later bonding is related to the high rate of infant mortality among poor people of northeast Brazil. If women were to develop strong bonds with their newborn infants, they would suffer untold amounts of grief. Western bonding is adaptive in low-mortality, low-fertility societies in which strong maternal attachment is reasonable because infants are likely to survive.

GENDER IN INFANCY Anthropologists distinguish between *sex* and *gender* (see Chapter 1). Sex is something that everyone is born with. In the view of Western science, it has three biological markers: genitals, hormones, and chromosomes. A male has a penis, more androgens than estrogens, and the XY chromosome. A female has a vagina, more estrogens than androgens, and the XX chromosome. Increasingly, scientists are finding that these two categories are not airtight. In all populations, up to 10 percent of people are born with indeterminate genitals, similar proportions of androgens and estrogens, and chromosomes with more complex distributions than simply XX and XY.

Gender, in contrast, is a cultural construction and is highly variable across cultures (B. Miller 1993). In the view of most cultural anthropologists, a high degree of human “plasticity” (or personality flexibility) allows for substantial variation in personality and behavior. More biologically inclined anthropologists, however, continue to insist that many sex-linked personality characteristics are inborn.

Proving the existence of innate (inborn) gender characteristics is made difficult by two factors. First, it is impossible to collect data on infants before they are subject to cultural treatment. Culture may begin to shape infants even in the womb, through exposure to sound and motion, but current scientific data on the cultural effects on the prenatal stage are slim. Once birth takes place, culture

shapes infants in many ways, including how people handle and interact with them. There is thus no such thing as a “natural” infant.

Second, it is difficult, if not impossible, to study and interpret the behavior of infants to try to ascertain what is “natural” and what is “cultural” without introducing biases from the observers. Studies of infants have focused on assessing the potential innateness of three major Euro-American personality stereotypes (Frieze et al. 1978:73–78):

- That infant males are more aggressive than infant females
- That infant females are more social than infant males
- That infant males are more independent than infant females

What is the evidence? Studies conducted in the United States indicate that boy babies cry more than girl babies, and some people accept this difference as evidence of higher levels of inborn aggression in males. An alternative interpretation is that baby boys, on average, tend to weigh more than girls at birth. They therefore are more likely to have a difficult delivery from which it takes time to recover. So they cry more, but not because of aggressiveness. In terms of sociability, baby girls smile more often than boys, and some researchers claim that this difference confirms innate personality characteristics. But



Two sisters of the Tarahumara people of northern Mexico. The Tarahumara once occupied most of the present-day state of Chihuahua but retreated to the Copper Canyon area in the mountains after the arrival of the Spanish colonists.

puberty a time in the human life cycle that occurs universally and involves a set of biological markers and sexual maturation.

adolescence a culturally defined period of maturation from the time of puberty until adulthood that is recognized in some, but not all, cultures.

culture, not nature, may be the explanation because American caretakers smile more at baby girls than they smile at baby boys. Thus, the more frequent smiling of girls is likely to be a learned behavior. In terms of independence or dependence, studies thus far reveal no clear differences in how upset baby boys and girls are when separated from their caretakers. Taken as a whole, studies seeking to document innate differences between girls and boys are not convincing.

Cultural anthropologists who take a constructionist view make two further points. They note that, if gender differences are innate, it is odd that cultures go to so much trouble to enculturate offspring into a particular gender. Also, if gender differences are innate, then they should be the same throughout history and across all cultures, which they clearly are not. The following material explores cross-cultural cases of how culture constructs gender, beginning with childhood.

Socialization During Childhood

The Six Cultures Study is a classic cross-cultural research project designed to provide comparative data on how children's activities and tasks shape their personalities (Whiting and Whiting 1975). Researchers used similar methods at six sites (Figure 4.4), observing children between the ages of 3 and 11 years. They recorded the children's behavior, such as caring for and being supportive of other children; hitting other children; and performing tasks such as child care, cooking, and errands. The data collected were analyzed in terms of two major personality types: nurturant-responsible and dependent-dominant. A *nurturant-responsible personality* is characterized by caring and sharing acts toward other children. The *dependent-dominant personality* involves fewer acts of caregiving, more acts that assert dominance over other children, and more need for care by adults.

Of the six cultures, the Gusii children of southwestern Kenya had the highest frequency of a nurturant-responsible personality type. They were responsible for the widest range of

tasks and at earlier ages than children in any other culture in the study, often performing tasks that an Orchard Town, United States, mother does. Although some children in all six cultures took care of other children, Gusii children (both boys and girls) spent the most time doing so. They began taking on this responsibility at a very young age, between five and eight years old.

In contrast, Orchard Town children had the highest frequency of the dependent-dominant personality type. The differences correlate with the mode of livelihood. In the research sites in Kenya, Mexico, and the Philippines, all reliant on horticulture, children were more nurturant-responsible. Livelihood in the sites in Japan, India, and the United States were based on either intensive agriculture or industry.

How do these different modes of livelihood influence child personality? The key underlying factor is women's work roles. In the horticultural societies, women are an important part of the labor force and spend much time working outside the home. Their children take on many family-supportive tasks and thereby develop personalities that are nurturant-responsible. When women are mainly occupied in the home, as in the second group of cultures, children have fewer tasks and less responsibility. They develop personalities that are more dependent-dominant.

This study has many implications for Western child-development experts. For one thing, what happens when the dependent-dominant personality develops to an extreme level—into a *narcissistic personality*? A narcissist is someone who constantly seeks self-attention and self-affirmation, with no concern for other people's needs. Consumerism supports the development of narcissism via its emphasis on identity formation through ownership of self-defining goods (clothing, electronics, cars) and access to self-defining services (vacations, therapists, fitness salons). The Six Cultures Study suggests that involving children more in household responsibilities might result in less self-focused personality formation and more nurturant-responsible people.

Horticultural Groups
Gusii people, Kenya
Maya people, Oaxaca, Mexico
Tarong people, Philippines
Intensive Agriculture or Industrial Groups
Taira village, Okinawa, Japan
Rajput people, village in North India
Middle-class Euro-Americans, Orchard Town, New England, United States

Based on study by Whiting and Whiting (1975).

FIGURE 4.4 Groups in the Six Cultures Study.

Adolescence and Identity

The transition from “childhood” to “adulthood” involves certain biological events, as well as cultural events, that shape the transition to adulthood.

IS ADOLESCENCE A UNIVERSAL LIFE-CYCLE STAGE?

Puberty is a time in the human life cycle that occurs universally and involves a set of biological markers. In males, the voice deepens and facial and body hair appear; in females, menarche and breast development occur; in both males and females, pubic and underarm hair appear and sexual maturation is achieved. **Adolescence**, in contrast, is a culturally defined period of maturation from around the time of puberty until the attainment of adulthood, usually marked by

becoming a parent, getting married, or becoming economically self-sufficient.

Some scholars say that all cultures define a period of adolescence. A comparative study using data on 186 societies argues for the universal existence of a culturally defined phase of adolescence (Schlegel 1995). The researchers point to supportive evidence in the fact that people in cultures as diverse as the Navajo and the Trobriand Islanders have special terms comparable to the American term “adolescent” to refer to a person between puberty and marriage. Following a biological determinist, Darwinian model, they interpret the supposedly universal phases of adolescence as being adaptive in an evolutionary sense. The logic is that adolescence provides training for parenthood and thus contributes to enhanced reproductive success and survival of parents’ genes.

Other anthropologists view adolescence as culturally constructed, highly variable, and thus impossible to explain on only biological grounds. These researchers point out that people in many cultures recognize no period of adolescence. In some others, identification of an adolescent phase is recent. Moroccan anthropologist Fatima Mernissi (1987), for example, states that adolescence became a recognized

life-cycle phase for females in Morocco only in the late twentieth century:

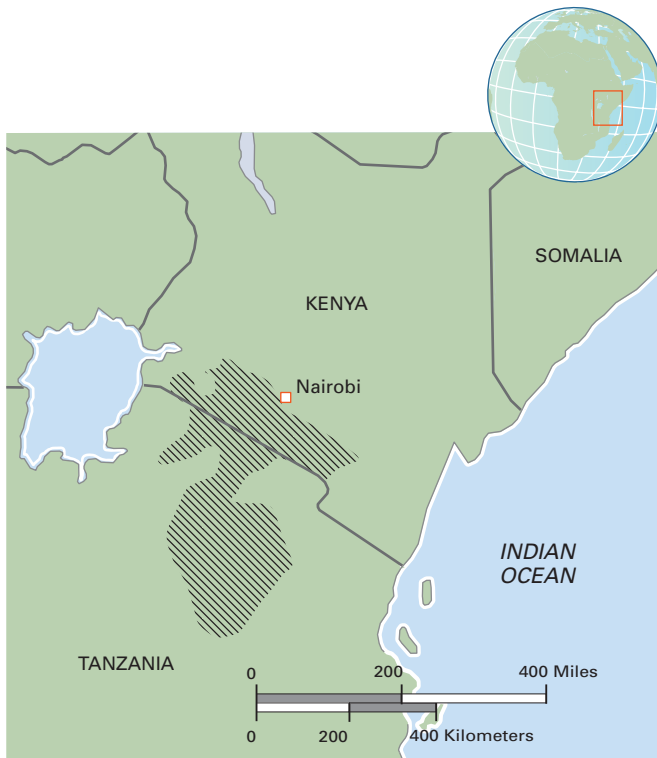
The idea of an adolescent unmarried woman is a completely new idea in the Muslim world, where previously you had only a female child and a menstruating woman who had to be married off immediately so as to prevent dishonorable engagement in premarital sex. (1987:xxiv)

Another line of evidence supporting a cultural constructionist view is that, in different cultures, the length and elaboration of adolescence varies for males and females. In many horticultural and pastoralist societies in which men are valued as warriors, a long period between boyhood and adulthood is devoted to training in warfare and developing solidarity among males of similar ages. This pattern occurs, for example, among the Maasai (sometimes spelled Masai). The Maasai are pastoralists, numbering over 500,000, who live in a large area crossing Kenya and Tanzania (Map 4.4). The extended adolescent period for males has nothing to do with training for parenthood. Maasai females, by contrast, move directly from being girls to being wives with no adolescent period in between. They learn adult roles when they are children.



(LEFT) A Yanomami boy acquiring skills necessary for hunting and warfare through play. (RIGHT) An American boy playing a video game.

► Consider examples of children’s games that may provide learning and skills related to adult roles in your culture.



MAP 4.4 Maasai Region of Kenya and Tanzania.

An estimated 350,000 Maasai live in Kenya and 150,000 in Tanzania. The climate is semiarid and arid.

In some cultures, females have long adolescent phases during which they live separated from the wider group and gain special knowledge and skills (Brown 1978). After this period of seclusion, they reemerge as full-fledged women and marry.

A cultural explanation exists for whether a young male or young female goes through a marked adolescent phase. Cultural materialism (Chapter 1) says that a long and marked period of adolescence is preparation for culturally valued adult roles such as worker, warrior, or parent. Confirmation of this hypothesis comes from the finding that an extended adolescence for females in nonindustrial societies occurs in cultures where adult females are important as food producers (Brown 1978). Whether or not this theory holds up in industrialized societies has yet to be examined. Some scholars might argue that an extended adolescent period among middle class youth in wealthy countries is a way of deferring their entry into a saturated labor market where jobs for them are scarce.

COMING OF AGE AND GENDER IDENTITY Margaret Mead made famous the phrase “coming of age” in her book *Coming of Age in Samoa* (1961 [1928]). The phrase can refer generally to the period of adolescence or specifically to a ceremony or set of ceremonies that marks the boundaries of adolescence. What are the psychological aspects of such



A Maasai warrior's mother shaves her son's head during part of his initiation ceremony into adulthood. This ritual validates her status as a mother, as well as her son's adulthood.

special events for the children who go through them? Some ceremonies have a sacrificial element, with symbolic death and rebirth. Most coming-of-age ceremonies are gender specific, highlighting the importance of adult roles of men and women. These ceremonies often involve marking the body of the initiate in some way. Such marking may include scarification, tattooing, and genital surgery.

In many societies, adolescent males undergo genital surgery that involves the removal of part of the skin around the tip of the penis (circumcision); without this operation, the boy would not become a full-fledged male. Among many African pastoralist groups, such as the Maasai, adolescent males go through a circumcision ceremony that marks the end of adolescence and the beginning of manhood and full membership in the group. A young Maasai male, in a first-person account of his initiation into manhood, describes the “intolerable pain” he experienced following the circumcision, as well as his feeling of accomplishment two weeks later when his head was shaved and he became a warrior: “As long as I live, I will never forget the day my head was shaved and I emerged a man, a Maasai warrior. I felt a sense of control over my destiny so great that no words can accurately describe it” (Saitoti 1986:71).

Less common worldwide is **female genital cutting (FGC)**, or *female circumcision*, a term that refers to a range of practices involving partial or total removal of the clitoris and labia. In some contexts, FGC is practiced along with *infibulation*, the stitching together of the vaginal entry, leaving a small aperture for drainage of menstrual blood. These procedures are usually performed when a girl is between 7 and 15 years of age. In the Sahelian countries,

female genital cutting (FGC) a range of practices involving partial or total removal of the clitoris and labia.

CRITICAL thinking

Cultural Relativism and Female Genital Cutting

In cultures that practice female genital cutting (FGC), it is a necessary step toward full womanhood. Fathers say that an uncircumcised daughter is unmarriageable. Others say that removing the labia makes a woman beautiful by removing “male” parts. The prevailing Western view, increasingly being shared by many people who have long practiced female genital cutting, is that FGC is both a sign of low female status and an unnecessary cause of women’s suffering.

Female genital cutting is linked with several health risks, including those related to the surgery itself (shock, infection) and future genitourinary complications (Gruenbaum 2001). Infection scars the vaginal canal and may lead to problems during childbirth, sometimes causing the death of the infant and mother.

When an infibulated bride’s husband “opens” her, using a stick or knife to loosen the aperture, it is both painful for her and an opportunity for infection. After giving birth, she is usually reinfibulated, and the process begins again. Health experts say that repeated trauma to the woman’s vaginal area increases the risk of contracting HIV/AIDS.

The Western view argues that the effects of clitoridectomy and infibulation on a woman’s sexual enjoyment are negative—for one thing, clitoral orgasm is no longer possible. What are the views of insiders? Is there any evidence for female agency?

One voice that transcends insider-outsider divisions is that of Fuambai Ahmadu, who was born and raised in Washington, DC, and is descended from a prominent Kono lineage in Sierra Leone (2000). In 1991,

Ahmadu traveled to Sierra Leone with her mother and other family members for what she refers to as her “circumcision.” Upon her return, she wrote about her initiation experience and what it meant to her. Although the physical pain was excruciating (in spite of the use of anesthetics), “the positive aspects have been much more profound” (2000:306). Through the initiation, she became part of a powerful female world. Her analysis addresses the effects of genital cutting on health and sexuality. Ahmadu argues that Westerners exaggerate these issues by focusing on infibulation rather than on the less extreme forms. She adds, however, that if global pressures against the practice continue, she will go along with that movement and support “ritual without cutting” (2000:308).

extending from Africa’s west to east coast (Map 4.5, p. 87), many people practice some form of female genital cutting. FGC is also found in Egypt, in some groups of the Middle East (particularly among Bedu tribes), and among some Muslim groups in South and Southeast Asia. In terms of religion, FGC is often, but not always, associated with people who are Muslim. In Ethiopia, some Christian groups practice it. Genital cutting occurs in many groups in which female labor participation is high, but also in others where it is not.

Scholars cannot explain the distribution of FGC. Anthropologists who study this practice ask the people involved for their views. Many young girls say they look forward to the ceremony so that they will be free from childhood tasks and can take on the more respected role of an adult woman. In other cases, anthropologists have reported hearing statements of resistance (Fratkin 1998:60). Few issues force the questioning of cultural relativism more clearly than female genital cutting (see Critical Thinking).

Initiation rites often involve themes of death and rebirth as the initiate loses his or her former identity and emerges with a new one. During the early 1990s, Abigail Adams conducted research on initiation rituals at what was then a men’s military school, the Virginia Military Institute (VMI) (2002). Freshmen

students, called “Rats,” are each assigned to an upperclassman, called a “Dyke.” The freshman year involves continuous humiliation and other forms of abuse for the Rats. Dykes treat their Rats like infants, telling them how to eat, bathe, and talk and yelling at them in baby talk. The culminating initiation ritual for the Rats takes place during March. The town’s fire truck sprays the outskirts of the campus to create a large area of mud. The Rats have to crawl through the mud while sophomores and juniors attack them, shout at them, push them down, sit on them, and fill their eyes, ears, faces, and clothes with mud. The Rats can barely see as they grope their way along, and many lose their pants. The ordeal continues over two banks of earth and a ditch, with continuous harassment from the sophomores and juniors. When the Rats finally reach the top of the second bank, the Dykes rush to greet them, tenderly wash the mud off them, and wrap them in blankets. The moment when the mud is washed away is the transition of the Rat into a cadet.

Adams interprets this ritual as a birthing event, with the newborn emerging blinded by and covered with fluids, then cleaned and blanketed. One senior said that being a Dyke “is like having my own child” (2002:39). Many aspects of this ritual, however, are ambiguous, not least of which is the term “Dyke” for male “mothers” (or “fathers”?). The Breaking Out initiation ritual is no longer practiced at VMI. In the



MAP 4.5 Sierra Leone.

The Republic of Sierra Leone was an important center of the transatlantic slave trade. Its capital, Freetown, was established in 1792 as a home for African slaves who fought with the British during the American Revolution. Sierra Leone's coast is covered with mangrove swamps, while the interior is plateau, forests, and mountains. The population is 6 million. Sierra Leone suffered a terrible civil war from 1991 to 2002, causing thousands of deaths and the displacement of 2 million people. It has the lowest per capita income in the world. English is the official language, but most people speak local, tribal languages.

CRITICAL THINKING QUESTIONS ▼

- Why do you think FGC is a prominent issue in human rights debates in the

West, whereas male circumcision and other forms of initiation (such as fraternity and sorority hazing) are not?

- Where do you stand on FGC and why?
- What kinds of cultural remodeling of the female body are practiced in your culture?

mid-1990s, VMI, as the recipient of public funds, was under pressure to admit women in order to be compliant with the law. After a drawn-out legal battle that ended up in the Supreme Court, VMI admitted its first women students in 1997. *Breaking Out* has been replaced with a long weekend series of events involving physical and field challenges.

SEXUAL IDENTITY AND GENDER PLURALISM

Scholars have long debated whether sexual preferences and gender identity are biologically determined (ruled by genetic or hormonal factors) or culturally constructed and learned. Biological anthropologist Melvin Konner (1989) takes a middle position, saying that both factors play a part, but simultaneously warning that no one has a simple answer to the question of why an individual is gay.

The cultural constructionist position emphasizes socialization and childhood experiences as more powerful than biology in shaping sexual orientation. These anthropologists find support for their position in the cross-cultural record and its cases in which people change their sexual orientation once, or sometimes more than once, during their lifetimes. In the Gulf state of Oman, the *xanith* (hah-neeth) is one example (Wikan 1977). A *xanith* is a man who, for a time, becomes more like a woman, wears female clothing, and has sex with other men. Later, the

xanith returns to a standard male role, marries a woman, and has children. Thus, given the same biological material, some people assume different sexual identities over their lives.

No matter what one's theoretical perspective, it is clear that homosexuals are discriminated against in many contexts. In the United States, homosexuals are disproportionately



Breaking Out, a rite of passage at a military academy in Virginia when it was an all-men's school.

- What rite of passage have you been through, and how would you analyze it anthropologically?

victims of hate crimes, housing discrimination, and problems in the workplace, including wage and benefits discrimination. They often suffer from being stigmatized by their parents, peers, and the wider society. The psychological damage to their self-esteem by social stigma and discrimination is related to the fact that homosexual youth in the United States have substantially higher suicide rates than heterosexual youth.

Some cultures allow for a third gender, which is neither purely “male” nor purely “female,” according to a particular culture’s definition of those terms. As with the *xanith* of Oman, these gender categories offer ways for “males” to cross gender lines and assume more “female” behaviors, personality characteristics, and dress. Among some American Indians, a **berdache** (ber-DASH) is a male, in terms of genitals, who opts to wear female clothing, engages in sexual intercourse with a man or a woman, and does female tasks such as basket weaving and pottery making (Williams 1992). A person may become a berdache in a variety of ways. Sometimes parents, especially if they have several sons, choose one to become a berdache. Sometimes a boy who shows interest in typically female activities or who likes to wear female clothing is allowed to become a berdache. Such a child is a focus of pride for the family, never a source of disappointment or stigma.

During decades of contact with Euro-American colonizers, including Christian missionaries, the outsiders viewed the berdache role with disapproval and ridicule (Roscoe 1991). American Indian cultures began to suppress their berdache tradition. Starting in the 1980s, as American Indians’ cultural pride began to grow, the open presence of the berdache and the **amazon**, a woman who takes on male roles and behaviors, has returned. Contemporary American Indian cultures, compared with mainstream White culture, are more accepting of gender role fluidity and the contemporary concept of being gay.

berdache a blurred gender category, usually referring to a person who is biologically male but who takes on a female gender role.

amazon a person who is biologically female but takes on a male gender role.

hijra in India, a blurred gender role in which a person, usually biologically male, takes on female dress and behavior.

gender pluralism the existence within a culture of multiple categories of femininity, masculinity, and blurred genders that are tolerated and legitimate.

asexuality lack of sexual attraction or interest in sexual activity.

matrescence motherhood, or the cultural process of becoming a mother.

patrescence fatherhood, or the cultural process of becoming a father.

couvade customs applying to the behavior of fathers during and shortly after the birth of their children.

In India, the counterpart of the berdache is a **hijra** (hij-ruh). Hijras dress and act like women but are neither truly male nor truly female (Nanda 1990). Many hijras were born with male genitals or with genitals that were not clearly male or female. Hijras have the traditional right to visit the home of a newborn, inspect its genitals, and claim it for their group if the genitals are neither clearly male nor clearly female. Hijras born with male genitals may opt to go through an initiation ceremony that involves cutting off their penis and testicles. Hijras roam large cities of India, earning a living by begging from store to store and threatening to lift their skirts if not given money. Because women do not sing or dance in public, hijras play an important role as performers in public events, especially as dancers or musicians. Mainstream Indians do not admire or respect hijras, and no family would be delighted to hear that their son has decided to become a hijra.

In mainland and island Southeast Asia, the situation is more open, with a wide range of gender options, or **gender pluralism**. Gender pluralism is the existence in a culture of multiple categories of femininity, masculinity, and blurred genders that are tolerated and legitimate (Peletz 2006:310). In Thailand, three gender categories have long existed: *phuu-chai* (male), *phuyuyung* (female), and *kathoey* (transvestite/transsexual/hermaphrodite) (Morris 1994). A *kathoey* is “originally” a male who crosses into the body, personality, and dress defined as female. The sexual orientation of *kathoey*s is flexible, including either male or female partners. In contemporary Thailand, explicit discussion and recognition of homosexuality exists, usually couched in English terms, conveying a sense of its foreignness. The words for lesbian are *thom* (from the word “tomboy”) and *thut* (an ironic usage from the U.S. movie *Tootsie*, about a heterosexual man playing the part of a woman).

Yet another category exists in the area of sexuality: **asexuality**. An asexual person is someone who does not experience sexual attraction. Asexuals exist around the world and are beginning to come out and identify themselves through websites and in public arenas, such as gay rights parades, even though asexuals are not gay (Scherrer 2008).

Adulthood

For most people, adulthood means entering into some form of marriage or long-term domestic relationship and having children. The following discussion considers the psychological aspects of parenthood and the “senior years.”

BECOMING A PARENT In Euro-American culture, a woman becomes a mother when she gives birth. **Matrescence** is the cultural process of becoming a mother (Raphael 1975). Like adolescence, matrescence varies cross-culturally in terms of duration and meaning. In some cultures, a woman is transformed into a mother as soon as she thinks she is pregnant.



The South Korean transgender musical group “Lady” includes four transsexuals.

In others, she becomes a mother and is granted full maternal status only when she delivers an infant of the “right” sex, as in much of northern India, where son preference is strong.

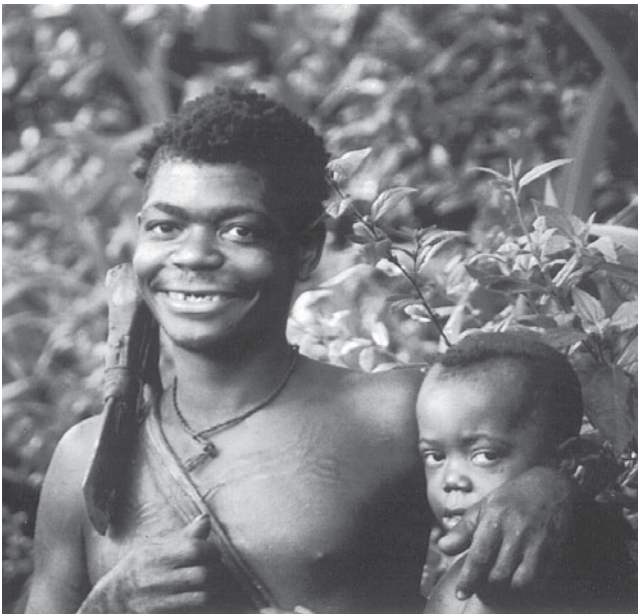
In many nonindustrial cultures, matrescence occurs in the context of supportive family members. Some cultures promote prenatal practices, abiding by particular food taboos, which can be regarded as part of matrescence. Such rules make the pregnant woman feel that she has a role in ensuring that the pregnancy turns out well. In the West, medical experts increasingly define the prenatal period as an important phase of matrescence, and they have issued many scientific and medical rules for potential parents, especially mothers (Browner and Press 1996). Pregnant women are urged to seek prenatal examinations, be under the regular supervision of a doctor who monitors the growth and development of the fetus, follow particular dietary and exercise guidelines, and undergo a range of tests such as ultrasound scanning. Some anthropologists think that such medical control of pregnancy leads to the greater likelihood of postpartum depression, as a result of the mother’s lack of agency in the process of matrescence.

Patrescence, or the cultural process of becoming a father, is less marked cross-culturally than matrescence. One exception to this generalization is **couvade** (coo-VAHD), beliefs and customs applying to a father during his wife’s

pregnancy and delivery (Broude 1988). In some cases, the father takes to his bed before, during, or after the delivery, and he may experience pain and exhaustion. Couvade often involves rules for the expectant father: He may not hunt a certain animal, eat certain foods, or cut objects. Early theories of why couvade exists relied on Freudian interpretations that men were identifying with the female role in contexts where the father role was weak. Cross-cultural data indicate the opposite, because couvade occurs in societies where fathers have prominent roles in child care. In these contexts, couvade is a phase of patrescence: The father’s proper behavior helps ensure a safe delivery and a healthy baby. Once the baby is born, who takes care of it? Most cultural anthropologists agree that child care is predominantly the responsibility of females worldwide—but not universally. They seek to provide a cultural construction explanation rather than a genetic or hormonal one. As evidence, they point to the cross-cultural variation in child-care roles. For example, throughout the South Pacific, child care is shared across families, and women breastfeed other women’s babies. Paternal involvement varies cross-culturally as well. Among Aka foragers of the Central African Republic (Map 4.6), paternal child care is prominent (Hewlett 1991). Aka fathers are intimate, affectionate, and helpful, spending half their time each day holding or within close reach of their infants. Fathers are more likely to hug and kiss their infants than mothers are. The definition of good fatherhood among the Aka means being affectionate toward children and assisting the mother when her workload is heavy. Among the Aka, gender equality prevails and violence against women is unknown. This high level of paternal involvement is related to all these patterns and supports a constructionist view of parenting and gender roles rather than a biological determinist view.

MIDDLE AGE In many industrial/informatic societies, a major turning point for men is the fortieth birthday. According to a study of men turning 40 in the United States, the *40 syndrome* involves feelings of restlessness, rebelliousness, and unhappiness that often lead to family break-ups (Brandes 1985). A possible reason behind the emphasis on the age 40 as a turning point for males is that it is the current midpoint of a typical life span for a middle-class American man. In cultures with shorter life spans, a so-called midlife crisis would necessarily occur at some point other than the age of 40 years.

Menopause, or the cessation of menstruation, is a significant aspect of middle age for women in many, but not all, cultures. A study examined differences in the perception and experience of menopause among Maya women of Mexico and rural Greek women (Beyene 1989). Among Maya women, menopause is not a time of stress or crisis. They consider menstruation an illness and look forward to its end. They do not experience negative physical or



An Aka father and his son. Aka fathers are affectionate caretakers of infants and small children. Compared with mothers, they are more likely to kiss and hug children.

emotional symptoms. In contrast, the rural Greek women recognized menopause as a phase of hot flashes, especially at night, that may last about a year, and something that all women experience. The women did not think it was serious and did not regard it as worthy of medical attention. Postmenopausal women emphasized the relief and freedom they felt. Postmenopausal women can go into cafes by themselves, something they would never do otherwise, and they can participate more fully in church ceremonies. In Japan, likewise, menopause is minimally stressful and women rarely consider it something that warrants medical attention (Lock 1993).

THE SENIOR YEARS The senior life-cycle stage may be a development of contemporary human society, because, like most other mammals, our early ancestors rarely lived beyond their reproductive years. In many cultures, elders are highly revered as having great wisdom based on their life experiences. In others, aged people become burdens to their families and to society.

Cross-cultural comparisons reveal that the status of elderly people is higher and their welfare more secure in contexts where they continue to live with their families (Lee and Kezis 1979). This pattern is, however, more likely to be found in nonindustrial societies than in industrialized ones, where the elderly live with younger kin less frequently. Instead, they are increasingly experiencing a shift to living in age-segregated residences such as “retirement homes,” where they have to create new social roles and ties and find new ways of gaining self-esteem and personal satisfaction. Research conducted in a retirement home in a small town in central New York state



MAP 4.6 Aka Region of the Central African Republic and the Democratic Republic of Congo.

The 30,000 Aka are tropical forest foragers who know hundreds of plants and animals. They eat roots, leaves, nuts, fruits, mushrooms, honey, grubs, caterpillars, and meat from monkeys, rats, mongooses, and porcupines. They trade meat to farmers for manioc and other cultivated foods. They are socially egalitarian, and their religious beliefs are indigenous. Diaka, their main language, is tonal. The Aka territory is critically endangered by commercial loggers.

shows that having a pet promotes a person’s sense of well-being (Savishinsky 1991).

THE FINAL PASSAGE: DEATH AND DYING It may be that no one in any culture welcomes death, unless he or she is in very poor health and suffering greatly. The contemporary United States, with its dependence on medical technology, appears to play a leading role in resistance to death, often at high financial and psychological costs. In many other cultures, a greater degree of acceptance prevails.

A study of attitudes toward death and dying among Alaskan Inuits revealed a pervasive feeling that people are active participants in their death rather than passive victims (Trelease 1975). The person near death calls friends



Virginia Tech students, their relatives, faculty, and others hold a candlelight vigil on the campus in Blacksburg, Virginia, following the murders there in April 2007.

and neighbors together, is given a Christian sacrament, and then, within a few hours, dies. The author comments, “I do not suggest that everyone waited for the priest to come and then died right away. But the majority who did not die suddenly did some degree of planning, had some kind of formal service or celebration of prayers and hymns and farewells” (1975:35).

Terminally ill people, especially in industrial/informatic societies with a high level of medical technology, are likely to be faced with choices about how and where they should die, at home or in a hospital, and whether they should prolong their lives with “unusual means” or opt for “physician-assisted suicide.” Depending on the cultural context, the options are affected not only by the degree of medical technology and health-care services available but also by matters of kinship and gender role ideals (Long 2005). In urban Japan, terminally ill people have clear ideas of what is a “good death” and two major “scripts” for a “good death.” A modern script of dying in a hospital is widely accepted because it reduces burdens on family members. But a value on dying surrounded by one’s family members still prevails; this practice reassures the dying person that he or she will be remembered.

In many cultures, the inability to perform a proper burial and funeral for a deceased person is a cause of serious social suffering. Among refugees from Mozambique

living in neighboring Malawi, the greatest cause of stress was being forced to leave behind deceased family members without providing a proper burial for them (Englund 1998). Such improperly treated deaths mean that the unhappy spirit of the deceased will haunt the living. This belief is related to the high rate of mental-health problems among the refugees. A culturally informed recommendation for reducing their anxiety is to provide them with money to travel home and to perform a proper funeral for their deceased relatives. In that way, the living may carry on in greater peace.

Anthropologists know little about people’s grief at the death of a loved one or a close community member. It might seem that sadness and grief, as well as a period of mourning, are only natural. But the outward expression of grief varies from extended, dramatic, public grieving that is overtly emotional to no visible sign of grief at all. The latter pattern is the norm in Bali, Indonesia (see Map 1.2, page 12), where people’s faces remain impassive at funerals and no vocal lamenting occurs (Rosenblatt et al. 1976). Do impassive faces and silence mean that the Balinese feel no sadness? Different expressions of loss may be related to the healing process for the survivors by providing socially accepted rules of behavior—in other words, a script for loss. Either highly expressive public mourning or repressed grief may be equally effective, depending on the context.

4 the BIG questions REVISITED

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How are modes of reproduction related to modes of livelihood?

Cultural anthropologists define three modes of reproduction that are related to foraging, agriculture, and industrialism/informatics. They differ in terms of desired and actual fertility.

For thousands of years, foragers maintained a balanced level of population through direct and indirect means of fertility regulation. A classic study of the Ju/'hoansi shows how foragers' lifestyles, including a low-fat diet and women's physical activity, suppress fertility.

As sedentary lifestyles increased and food surpluses became more available and storable with agriculture, population growth increased. The highest rates of population growth in human prehistory and history are found among settled agriculturalists. Contemporary examples of high-fertility agriculturalists are the Amish and Mennonite people of North America.

How does culture shape fertility in different contexts?

Cross-culturally, many techniques exist for increasing fertility, reducing it, and regulating its timing. From culture to culture, values differ about the right age for people to start having sexual relations and how often they do so. In terms of cultural practices that directly affect fertility, hundreds of different traditional methods exist, including the use of herbs and other natural substances for either preventing or promoting fertilization and for inducing abortion if an undesired pregnancy occurs.

In nonindustrialized societies, knowledge about fertility regulation, as well as its practice, is largely unspecialized and available to all women. In the industrial/informatic mode of reproduction, scientific and medical specialization increases, and most knowledge and expertise are in the hands of professionals rather than of women. Class-stratified access

to fertility-regulating methods now exists both globally and within nations.

Population growth is also shaped through the practice of infanticide, which, though of ancient origin, still exists today. It is sometimes performed in response to limited family resources, perceptions of inadequate “fitness” of the child, or preferences regarding the gender of offspring.

How does culture shape personality over the life cycle?

Cultural anthropologists emphasize the effects of infant care practices on personality formation, including gender identity. Other cross-cultural studies show that variations in the gender division of labor and children's work roles in the family correspond to varying personality patterns. Adolescence, a culturally defined time beginning around puberty and running until adulthood, varies cross-culturally from being nonexistent to involving detailed training and elaborate ceremonies.

In contrast to the sharp distinction between “male” and “female” in Euro-American culture, many cultures have traditions of third or blurred gender identities. Gender pluralism is found in many cultures, especially in some American Indian and Asian cultures. Asexuality, when a person has no sexual attraction, is a newly recognized category of personal identity.

Cross-culturally, adult roles usually involve parenthood. In nonindustrial societies, learning about motherhood is embedded in other aspects of life and knowledge about birthing, and child care is shared among women. In industrialized/informatic cultures, science and medicine play a large part in defining the maternal role.

The senior years are generally shorter in nonindustrialized societies than in industrialized/informatic societies, in which life spans tend to be longer. Elderly men and women in nonindustrial cultures are treated with respect, are assumed to know the most, and retain a strong sense of their place in the culture. Increasingly in industrialized/informatic societies, elderly people live apart from their families and spend many years in age-segregated institutions such as retirement homes or alone.

KEY CONCEPTS

adolescence, p. 82

amazon, p. 88

asexuality, p. 88

berdache, p. 88

couvade, p. 88

demographic transition, p. 73

female genital cutting
(FGC), p. 85

fertility, p. 73

gender pluralism, p. 88

hijra, p. 88

infanticide, p. 80

matrescence, p. 88

menarche, p. 75

menopause, p. 75

mode of reproduction, p. 73

patrescence, p. 88

personality, p. 80

pronatalism, p. 73

puberty, p. 82

SUGGESTED READINGS

- Robbie E. Davis-Floyd. *Birth as an American Rite of Passage*, 2nd ed. Berkeley: University of California Press, 2003. This book provides a cultural critique of the dominant U.S. model of birth as “technocratic” and patriarchal.
- Jessica L. Gregg. *Virtually Virgins: Sexual Strategies and Cervical Cancer in Recife, Brazil*. Stanford, CA: Stanford University Press, 2003. Research with women residents of a poor urban area in northeast Brazil and with women cancer victims in a neighborhood maternity clinic shows how the women attempt to deal with racism, sexism, poverty, and violence.
- Ellen Gruenbaum. *The Female Circumcision Controversy: An Anthropological Perspective*. Philadelphia: University of Pennsylvania Press, 2001. The author draws on her more than five years of fieldwork in Sudan and discusses how change is occurring through economic development, the role of Islamic activists, health educators, and educated African women.
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- Andrea S. Wiley. *An Ecology of High-Altitude Infancy: A Biocultural Perspective*. New York: Cambridge University Press, 2004. Ladakh is a district in India’s far north, high in the Himalayas. Wiley focuses on the links between biology and culture in birth, in infant health and survival, and in women’s reproductive health.


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- Fuambai Ahmadu. 2009. Disputing the Myth of the Sexual Dysfunction of Circumcised Women: An Interview with Fuambai Ahmadu. *Anthropology Today* 25:14–17. Richard Shweder, a strong cultural relativist, interviews a woman of Sierra Leone descent who grew up in the United States and chose to return to Sierra Leone for initiation into her lineage.
- Sabine Gabrysch et al. 2009. Cultural Adaptation of Birthing Services in Rural Ayacucho Peru. *Bulletin of the World Health Organization* 87:724–729. A team of public health researchers learns from the


- local women what a birthing clinic should provide so that the services are compatible with their culture.
- Ray T. Uehara. 2009. Development of the Agta Database: A Computer Scientist’s Adventure into the World of Anthropology. *Practicing Anthropology* 31:38–42. Cultural anthropologist Thomas Headland requested help from Uehara in developing a database for all his population data on the Agta, foragers of the Philippines. This article chronicles the project and the challenges of working across disciplines.



the **BIG** questions

 What is ethnomedicine?

 What are three major theoretical approaches in medical anthropology?

 How are health, illness, and healing changing during globalization?

DISEASE, ILLNESS, AND HEALING

◀ Gray Squirrel, a shaman of the Navajo of New Mexico, prepares a sand painting as part of a four-day ritual to bring rain. Sand paintings are now also produced on paper or canvas for sale in the commercial art market. They have nothing to do with rain making.

5

OUTLINE

Ethnomedicine

Eye on the Environment: Local Botanical Knowledge and Child Health in the Bolivian Amazon

Three Theoretical Approaches

Globalization and Change

Culturama: The Sherpa of Nepal

Anthropology Works: Delivering Health Care in Rural Haiti

Medical anthropology, the subject of this chapter, is one of the most rapidly growing areas of research in anthropology. This chapter first describes how people in different cultures think and behave regarding health, illness, and healing. The second section considers three theoretical approaches in medical anthropology. The chapter concludes by discussing how globalization is affecting health.

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Ethnomedicine

Since the early days of anthropology, the topic of **ethnomedicine**, or the study of cross-cultural health systems, has been a focus of research. A *health system* encompasses many areas: perceptions and classifications of health problems, prevention measures, diagnosis, healing (magical, religious, scientific, healing substances), and healers.

In the 1960s, when the term *ethnomedicine* first came into use, it referred only to non-Western health systems and was synonymous with the now abandoned term *primitive medicine*. The early use of the term was ethnocentric. Contemporary **Western biomedicine (WBM)**, a healing approach based on modern Western science that emphasizes technology in diagnosing and treating health problems related to the human body, is an ethnomedical system, too. Medical anthropologists now study WBM as a cultural system intimately bound to Western values. Thus, the current meaning of the term *ethnomedicine* encompasses health systems everywhere.

Defining and Classifying Health Problems

Emic diversity in labeling health problems presents a challenge for medical anthropologists and health-care specialists. Western labels, which biomedically trained experts accept as true, accurate, and universal, often do not correspond to the labels in other cultures. One set of concepts that medical anthropologists use to sort out the many cross-cultural labels and perceptions is the *disease–illness dichotomy*. In this model, **disease** refers to a biological health problem that is objective and universal, such as a bacterial or viral infection or a broken arm. **Illness** refers to culturally specific perceptions and experiences of a health problem. Medical anthropologists study both disease and illness, and they show how both must be understood within their cultural contexts.

A first step in ethnomedical research is to learn how people label, categorize, and classify health problems. Depending on the culture, the following may be bases for labeling and classifying health problems: cause, *vector* (the means of transmission, such as mosquitoes), affected body part, symptoms, or combinations of these.

Often, knowledgeable elders are the keepers of ethnomedical knowledge, and they pass it down through oral traditions. Among American Indians of the Washington–Oregon region, many popular stories refer to health (Thompson and Sloat 2004). The stories convey messages about how to prevent health problems, avoid bodily harm, relieve afflictions, and deal with old age. For example, here is the story of Boil, a story for young children:

Boil was getting bigger.

Her husband told her to bathe.

She got into the water.

She disappeared. (2004:5)

Other, longer stories about Boil add complexities about the location of the boil and how to deal with particular boils, revealing indigenous patterns of classification.

A classic study among the Subanun (soo-BAH-nun) people focused on their categories of health problems (Frake 1961). In the 1950s, the Subanun were horticulturalists living in the highlands of Mindanao, in the Philippines (Map 5.1). An



The Brazilian girl, aged 9 years, is HIV positive. Her mother contracted HIV from her husband and transmitted it to her child at birth.



MAP 5.1 The Philippines.

The Republic of the Philippines comprises over 7000 islands, of which around 700 are populated. The population is 85 million, with two-thirds living on Luzon. The economy is based on agriculture, light industry, and a growing business-processing outsourcing (BPO) industry. Over 8 million Filipinos work overseas and remit more than \$12 billion a year, a large part of the country's GDP. Although Filipino and English are the official languages, more than 170 languages are spoken. The Philippines has the world's third-largest Christian population, with Roman Catholicism predominant.

egalitarian people, all Subanun, even young children, had substantial knowledge about health problems. Of their 186 labels for health problems, some are a single term, such as "itch," which can be expanded on by using two words, such as "splotchy itch." Skin diseases are common afflictions among the Subanun and have several degrees of specificity (Figure 5.1).

In Western biomedicine, panels of medical experts have to agree about how to label and classify health problems according to scientific criteria. Classifications and descriptions of thousands of afflictions are published in thick manuals that physicians consult before they give a diagnosis. In countries where medical care is privatized, the code selected may determine whether the patient's costs are covered by insurance or not.

Further, Western medical manuals are biased toward diseases that Western biomedicine recognizes, and they ignore health problems that other cultures recognize. Anthropologists have discovered many health problems around the world,

- Rash
- Eruption
- Inflammation
 - Eruption
 - Inflamed/Quasi-Bite
 - Ulcerated
- Sore
 - Distal Ulcer
 - Shallow
 - Deep
 - Proximal Ulcer
 - Shallow
 - Deep
 - Simple Sore
 - Spreading Sore
- Ringworm
 - Exposed
 - Hidden
 - Spreading Itch
- Wound

Source: Adapted from Frake 1961:118, Figure 1.

FIGURE 5.1 Subanun Categories of *Nuka*, Skin-Related Health Problems.

often referred to as culture-specific syndromes. A **culture-specific syndrome** is a health problem with a set of symptoms associated with a particular culture (Figure 5.2). Social factors such as stress, fear, or shock often are the underlying causes of culture-specific syndromes. Biophysical symptoms may be involved, and culture-specific syndromes can be fatal. **Somatization**, or embodiment, refers to the process through which the body absorbs social stress and manifests symptoms of suffering.

For example, **susto**, or "fright/shock disease," is found in Spain and Portugal and among Latino people wherever they

ethnomedicine the study of cross-cultural health systems.

Western biomedicine (WBM) a healing approach based on modern Western science that emphasizes technology for diagnosing and treating health problems related to the human body.

disease in the disease–illness dichotomy, a biological health problem that is objective and universal.

illness in the disease–illness dichotomy, culturally shaped perceptions and experiences of a health problem.

culture-specific syndrome a collection of signs and symptoms that is restricted to a particular culture or a limited number of cultures.

somatization the process through which the body absorbs social stress and manifests symptoms of suffering.

susto fright/shock disease, a culture-specific illness found in Spain and Portugal and among Latino people wherever they live; symptoms include back pain, fatigue, weakness, and lack of appetite.

Name of Syndrome	Distribution	Attributed Causes	Description and Symptoms
Anorexia nervosa	Middle- and upper-class Euro-American girls; globalizing	Unknown	Body wasting due to food avoidance; feeling of being too fat; in extreme cases, death
<i>Hikikomori</i>	Japan, males from adolescence through adulthood	Social pressure to succeed in school and pursue a position as a salaryman	Acute social withdrawal; refusal to attend school, or leave their room for months, sometimes years
<i>Koro</i>	China and Southeast Asia, men	Unknown	Belief that the penis has retracted into the body
<i>Peito aberto</i> (open chest)	Northeastern Brazil, especially women, perhaps elsewhere among Latino populations	Excessive worry about others	Enlarges the heart and “bursts” through it causing “openings in the heart”
Retired Husband Syndrome (RHS)	Japan, older women whose husbands are retired	Stress	Ulcers, slurred speech, rashes around the eyes, throat polyps
<i>Sufriendo del agua</i> (suffering from water)	Valley of Mexico, low-income people, especially women	Lack of access to secure and clean water	Anxiety
<i>Susto</i>	Spain, Portugal, Central and South America, Latino immigrants in the U.S. and Canada	Shock or fright	Lethargy, poor appetite, problems sleeping, anxiety

Sources: Chowdhury 1996; Ennis-McMillan 2001; Faiola 2005; Gremillion 1992; Kawanishi 2004; Rehbur 1994; Rubel, O’Neill, and Collado-Ardón 1984.

FIGURE 5.2 Selected Culture-Specific Syndromes.

live. People afflicted with *susto* attribute it to events such as losing a loved one or having a terrible accident (Rubel, O’Neill, and Collado-Ardón 1984). In Oaxaca, southern Mexico (see Map 4.3, page 78), a woman said her *susto* was brought on by an accident in which pottery she had made was broken on its way to market, whereas a man said that his came on after he saw a dangerous snake. *Susto* symptoms include loss of appetite, lack of motivation, breathing problems, generalized pain, and nightmares. The researchers analyzed many cases of *susto* in three villages. They found that the people most likely to be afflicted were those who were socially marginal or experiencing a sense of role failure. For example, the woman with the broken pots had also suffered two spontaneous abortions and was worried that she would never have children. In Oaxaca, people with *susto* have higher mortality rates than other people. Thus, social marginality, or a deep sense of

social failure, can place a person at a higher risk of dying. It is important to look at the deeper causes of *susto*.

Medical anthropologists first studied culture-specific syndromes in non-Western cultures. This focus created a bias in thinking that they exist only in “other” cultures. Now anthropologists recognize that Western cultures also have culture-specific syndromes. Anorexia nervosa and a related condition, bulimia, are culture-bound syndromes found mainly among White middle-class adolescent girls of the United States, although some cases have been documented among African American girls in the United States and among young males (Fabrega and Miller 1995). Since the 1990s, and perhaps as a result of Western globalization, cases have been documented in Hong Kong and in cities in Japan and India. Anorexia nervosa’s cluster of symptoms includes self-perception of fatness, aversion to food, hyperactivity, and, as the condition progresses, continued wasting of the body and often death.

No one has found a clear biological cause for anorexia nervosa, although some researchers claim that it has a genetic basis. Cultural anthropologists say that much evidence suggests a strong role for cultural construction. One logical result of the role of culture is that medical and psychiatric treatments are notably unsuccessful in curing anorexia nervosa

**THINKING
OUTSIDE
THE BOX**

Discuss examples of culture-specific syndromes in your microculture or on your campus.

(Gremillion 1992). Extreme food deprivation can become addictive and entrapping, and the affliction becomes intertwined with the body's biological functions. Extended fasting makes the body unable to deal with ingested food. Thus, medical treatment may involve intravenous feeding to override the biological block. Sometimes nothing works, and the affliction is fatal.

Pinpointing the cultural causes of anorexia nervosa, however, is difficult. Some experts cite societal pressures on girls that lead to excessive concern with looks, especially body weight. Others feel that anorexia is related to girls' unconscious resistance to controlling parents. For such girls, food intake may be one thing over which they have power.

Ethno-Etiologies

People in all cultures, everywhere, attempt to make sense of health problems and try to understand their cause, or *etiology*. The term **ethno-etiology** refers to a cross-culturally specific causal explanation for health problems and suffering.

Among the urban poor of northeastern Brazil, people consider several causal possibilities when they are sick (Ngokwey 1988). In Feira de Santana, the second-largest city in the state of Bahia in the northeast (see Map 3.3, page 53), ethno-etiologicals can be natural, socioeconomic, psychological, or supernatural. Natural causes include exposure to the environment. For example, people say that humidity and rain cause rheumatism, excessive heat causes dehydration, and some types of winds cause migraines. Other natural explanations for illness take into account the effects of aging, heredity, personality, and gender. Contagion is another natural explanation, as are the effects of certain foods and eating habits. In the psychosocial domain, emotions such as anger and hostility cause certain health problems. In the supernatural domain, spirits and magic can cause health problems. The African-Brazilian religions of the Bahia region encompass many spirits who can inflict illness. They include spirits of the unhappy dead and devil-like spirits. Some spirits cause specific illnesses; others bring general misfortune. In addition, envious people with the evil eye cast spells on people and cause much illness. People also recognize the lack of economic resources, proper sanitation, and health services as structural causes of health problems. In the words of one person, "There are many illnesses because there are many poor" (1988:796).

The people of Feira de Santana also recognize several levels of causality. In the case of stomachache, they might blame a quarrel (*underlying cause*), which prompted the aggrieved party to seek the intervention of a sorcerer (*intermediate cause*), who cast a spell (*immediate cause*), which led to the resulting illness. The multilayered causal understanding opens the way for many possible avenues of treatment.

The multiple understandings of etiology in Bahia contrast with the scientific understandings of causality in Western

biomedicine. The most striking difference is the tendency for biomedical etiologies to exclude structural issues and social inequality as causal factors of illness. Medical anthropologists use the term **structural suffering**, or social suffering, to refer to health problems caused by powerful forces such as poverty, war, famine, and forced migration. Such structural factors affect health in many ways, with effects ranging from anxiety and depression to death.

An example of a culture-specific syndrome that clearly implicates structural factors as causal is *sufriendo del agua*, or "suffering from water" (Ennis-McMillan 2001). Research in a poor community in the Valley of Mexico, located in the central part of the country (see Map 4.3, page 78), reveals that *sufriendo del agua* is a common health problem, especially among women. The immediate cause is the lack of water for drinking, cooking, and washing. Women, who are responsible for cooking and doing the washing, cannot count on water coming from their taps on a regular basis. This insecurity makes the women feel anxious and constantly in a state of nervous tension. The lack of access to water also means that the people are at higher risk of cholera, skin and eye infections, and other biophysical problems. A deeper structural cause of *sufriendo del agua* is unequal development. The construction of piped water systems in the Valley of Mexico bypassed low-income communities in favor of servicing wealthier urban neighborhoods and supplying water for irrigation projects and the industrial sector. In Mexico, as a whole, nearly one-third of the population has inadequate access to clean drinking water and to a dependable supply of water for bathing, laundry, and cooking.

Healing Ways

The material that follows describes two approaches to healing, one in southern Africa and the other in Malaysia, Southeast Asia. It also discusses healers and healing substances.

COMMUNITY HEALING A general distinction can be drawn between private healing and **community healing**. The former addresses bodily ailments in social isolation, whereas the latter encompasses the social context as crucial to healing. Compared with Western biomedicine, many non-Western systems use community healing. An example of community healing comes from the Ju/'hoansi foragers of the Kalahari

ethno-etiology a culturally specific causal explanation for health problems and suffering.

structural suffering human health problems caused by such economic and political factors as war, famine, terrorism, forced migration, and poverty.

community healing healing that emphasizes the social context as a key component and that is carried out within the public domain.

Desert in southern Africa (review Culturama, Chapter 1, page 19). Ju/'hoansi healing emphasizes the mobilization of community “energy” as a key element in the cure:

The central event in this tradition is the all-night healing dance. Four times a month on the average, night signals the start of a healing dance. The women sit around the fire, singing and rhythmically clapping. The men, sometimes joined by the women, dance around the singers. As the dance intensifies, *num* or spiritual energy is activated by the healers, both men and women, but mostly among the dancing men. As *num* is activated in them, they begin to *kia* or experience an enhancement of their consciousness. While experiencing *kia*, they heal all those at the dance. (Katz 1982:34)

The dance is a community event in which the entire camp participates. The people's belief in the healing power of *num* brings meaning and efficacy to the dance through *kia*.

Does community healing “work”? In both ethnic and Western terms, the answer is yes. It “works” on several levels. People's solidarity and group sessions may support mental and physical health, acting as a health protection system. When people fall ill, the drama and energy of the all-night dances



A Ju/'hoansi healer in a trance, in the Kalahari Desert, southern Africa. Most Ju/'hoansi healers are men, but some are women.

► In your microculture, what are the patterns of gender, ethnicity, and class among various kinds of healers?

may act to strengthen the afflicted in ways that Western science would have difficulty measuring. In a small, close-knit group, the dances support members who may be ill or grieving.

An important aspect of the Ju/'hoansi healing system is its openness. Everyone has access to it. The role of healer is also open. There is no special class of healers with special privileges. More than half of all adult men and about 10 percent of adult women are healers.

HUMORAL HEALING Humoral healing is based on a philosophy of balance among certain elements within the body and within the person's environment (McElroy and Townsend 1996). In this system, food and drugs have different effects on the body and are classified as either “heating” or “cooling”—the quotation marks indicate that these properties are not the same as thermal measurements. Diseases are the result of bodily imbalances—too much heat or coolness—that must be counteracted through dietary and behavioral changes or medicines that will restore balance.

Humoral healing systems have been practiced for thousands of years in the Middle East, the Mediterranean, and much of Asia. In the New World, indigenous humoral systems exist and sometimes blend with those that Spanish colonialists brought with them. Humoralism has shown substantial resilience in the face of Western biomedicine as a source of healing for many people. Local people also reframe Western biomedicine in classifying biomedical treatments as either heating or cooling.

In Malaysia (see Map 1.1, page 6), several different humoral traditions coexist, reflecting the region's history of contact with outside cultures. Malaysia has been influenced by trade and contact between its indigenous culture and that of India, China, and the Arab-Islamic world for around 2000 years. Indian, Chinese, and Arabic health systems all define health as the balance of opposing elements within the body, although each has its own variations (Laderman 1988:272). Indigenous belief systems may have been compatible with these imported models because they also were based on concepts of heat and coolness.

Insights into these indigenous systems before outsiders arrived come from accounts about the Orang Asli, indigenous peoples of the interior of the Malaysian peninsula who are relatively unaffected by contact. A conceptual system of hot-cold opposition dominates Orang Asli cosmological, medical, and social theories. The properties and meanings of heat and coolness differ from their counterparts in Islamic, Indian, and Chinese humoralism in several ways. In the Islamic, Indian, and Chinese systems, for example, death is the result of too much coolness. Among the Orang Asli, excessive heat is the primary cause of mortality. In their view, heat emanates from the sun and is associated with excrement, blood, misfortune, disease, and death. Humanity's hot blood makes people mortal, and their consumption of meat speeds the process.

Heat causes menstruation, violent emotions, aggression, and drunkenness.

Coolness, in contrast, is vital for health among the Orang Asli. Staying in the forest protects against the harmful effects of the sun. Following this logic, the treatment of illness aims to reduce or remove heat. If someone were to fall ill in a clearing, the entire group would relocate to the coolness of the forest. The forest is also a source of cooling leaves and herbs. Healers are cool and retain their coolness by bathing in cold water and sleeping far from the fire. Extreme cold, however, can be harmful. Dangerous levels of coolness are associated with the time right after birth, because the mother is believed to have lost substantial heat. The new mother should not drink cold water or bathe in cold water. She increases her body heat by tying sashes around her waist that contain warmed leaves or ashes, and she lies near a fire.

HEALERS In an informal sense, everyone is a “healer,” because self-diagnosis and treatment are likely the first steps when anyone feels ill. Yet, in all cultures, some people become recognized as having special abilities to diagnose and treat health problems. Cross-cultural evidence indicates some common features of healers (Figure 5.3).

In different cultures, specialists include midwives, bone-setters (those who reset broken bones), **shamans** or **shamankas** (male or female healers, respectively, who mediate between humans and the spirit world), herbalists, general practitioners, psychiatrists, nurses, acupuncturists, chiropractors, dentists, and hospice care providers. Some healing roles may have higher status and more power and may receive higher pay than others.

Midwifery is an example of a healing role that is endangered in many parts of the world because birth has become increasingly medicalized and brought into the institutional realm of the hospital rather than the home. In Costa



Rica, a government campaign to promote hospital births with a biomedical doctor in attendance achieved a rate of 98 percent of all births taking place in hospitals by the end of the twentieth century (Jenkins 2003). This achievement means that midwives, especially in rural areas, can no longer support themselves, and they are abandoning their profession. The promotion of hospital births has destroyed the positive elements of community-based midwifery and its provision of social support and techniques such as massage for the mother-to-be.

▶ *What is your opinion on the role of spirituality in health and healing, and on what do you base your view?*

- **Selection:** Certain individuals may show more ability for entry into healing roles. In Western medical schools, selection for entry rests on apparently objective standards, such as pre-entry exams and college grades. Among the indigenous Ainu of northern Japan, healers were men who had a special ability to go into a sort of seizure called *imu* (Ohnuki-Tierney 1980).
- **Training:** The period of training may involve years of observation and practice and may be arduous and even dangerous. In some non-Western traditions, a shaman must make dangerous journeys, through trance or use of drugs, to the spirit world. In Western biomedicine, medical school involves immense amounts of memorization, separation from family and normal social life, and sleep deprivation.
- **Certification:** Healers earn some form of ritual or legal certification, such as a shaman going through a formal initiation ritual that attests to his or her competence.
- **Professional image:** The healer role is demarcated from that of ordinary people through behavior, dress, and other markers, such as the white coat in the West and the Siberian shaman’s tambourine for calling the spirits.
- **Expectation of payment:** Compensation in some form, whether in kind or in cash, is expected for formal healers. Payment level may vary, depending on the status of the healer and other factors. In northern India, strong preference for sons is reflected in payments to the midwife that are twice as high for the birth of a son as for a daughter. In the United States, medical professionals in different specializations receive markedly different salaries.

FIGURE 5.3 Criteria for Becoming a Healer.

Around the world, thousands of different natural or manufactured substances are used as medicines for preventing or curing health problems. Anthropologists have spent more time studying the use of medicines in non-Western cultures than in the West, although a more fully cross-cultural approach is emerging that also examines

HEALING SUBSTANCES Around the world, thousands of different natural or manufactured substances are used as medicines for preventing or curing health problems. Anthropologists have spent more time studying the use of medicines in non-Western cultures than in the West, although a more fully cross-cultural approach is emerging that also examines

humoral healing healing that emphasizes balance among natural elements within the body.

shaman or shamanka a male and female healer, respectively.

eye on the ENVIRONMENT

Local Botanical Knowledge and Child Health in the Bolivian Amazon

The Tsimané (see-mah-nay) are a foraging–horticultural society of Bolivia’s northeastern Amazon region, numbering about 8000 (McDade et al. 2007). Although most Tsimané make a living from horticulture, complemented by some gathering and hunting, new opportunities for wage work are becoming increasingly available in logging camps or on cattle ranches, or by selling products from the rainforest. At the time of the study described here, in 2002–2003, the Tsimané were not much affected by outside forces and still relied heavily on local resources for their livelihood.

The study focused on mothers’ botanical knowledge and the health of their children. The word *botany* refers to knowledge about plants. Household visits and interviews with mothers

provided data on mothers’ knowledge of plants. Children’s health was assessed with three measures: concentrations of C-reactive protein (or CRP, a measure of both immunity and “infectious burden”), skinfold thickness (which measures body fat), and stature, or height (which indicates overall progress in growth and development).

The results of the study showed a strong relationship between mothers’ knowledge of plants and the health of their children. Botanical knowledge promotes healthier children through nutritional inputs; that is, more knowledgeable mothers tend to provide healthier plant foods to their children. It also improves children’s health by providing herbal ways of treating their illnesses. The overall conclusion is that a mother’s knowledge of local plant

resources contributes directly to the benefit of her children. In contrast, levels of formal schooling of mothers and household wealth had little, if anything, to do with child health.

Given the positive effects of mothers’ botanical knowledge and use of local plants to promote their children’s health, it is critical that access to plant resources by indigenous people be protected and sustained and that local botanical knowledge be respected and preserved.

FOOD FOR THOUGHT ▼

What do you know about the effects on your health of particular plants that you eat? When you eat herbs such as oregano or parsley, for example, do you think about their health effects?



MAP 5.2 The Republic of Bolivia.

Situated in the Andes Mountains, Bolivia is the poorest country in South America, although it is rich in natural resources, including the second-largest oil field in South America after Venezuela. The population of 10 million includes a majority of indigenous people of nearly 40 different groups. The largest are the Aymara (2 million) and the Quechua-speaking groups (1.5 million). Thirty percent of the population is mestizo and 15 percent is of European descent. Two-thirds of the people are low-income farmers. The official religion is Roman Catholicism, but Protestantism is growing. Religious syncretism is prominent. Most people speak Spanish as their first language, although Aymara and Quechua are also common. Bolivia’s popular fiesta known as *El carnaval de Oruro* is on UNESCO’s Intangible Cultural Heritage list.

the use and meaning of Western pharmaceuticals (Petryna, Lakoff, and Kleinman 2007).

Phytotherapy is healing through the use of plants. Cross-culturally, people know about and use many different

phytotherapy healing through the use of plants.

plants for a wide range of health problems, including gastrointestinal disorders, skin problems, wounds and sores, pain relief, infertility, fatigue, altitude sickness, and more (see *Eye on the Environment*). Increasing awareness of the range of potentially useful plants worldwide provides a strong incentive for protecting the world’s cultural diversity, because it is

people, especially indigenous people, who know about botanical resources (Posey 1990).

Leaves of the coca plant have for centuries been a key part of the health system of the Andean region of South America (Allen 2002). Coca is important in rituals, in masking hunger pains, and in combating the cold. In terms of health, Andean people use coca to treat gastrointestinal problems, sprains, swellings, and colds. The leaf may be chewed or combined with herbs or roots and water to make a *maté* (*mah-tay*), a medicinal beverage. Trained herbalists have specialized knowledge about preparing *matés*. One *maté*, for example, is for treating asthma. The patient drinks the beverage, made of a ground root and coca leaves, three to four times a day until cured.

Minerals are also widely used for prevention and healing. For example, many people worldwide believe that bathing in water that contains high levels of sulfur or other minerals promotes health and cures ailments such as arthritis and rheumatism. Thousands of people every year go to the Dead Sea, which lies beneath sea level between Israel and Jordan, for treating skin diseases. Bathing in the sulfur springs near the Dead Sea and plastering oneself with mud from the shore provide relief from skin ailments such as psoriasis. Throughout East Asia, including Japan, bathing in mineral waters is popular as a health-promotion practice.

In a more unusual practice, thousands of people worldwide visit “radon spas” every year, seeking the therapeutic effects of low doses of radon gas to alleviate the symptoms of arthritis and other afflictions. In the United States, many radon spas are located in mines in the mountains of Montana (Erickson 2007). At one such spa, the Free Enterprise Mine, the recommended



Guests are undergoing radon treatment at the Kyongsong Sand Spa in Haonpho-ri, North Korea. The spa, and its hot spring, has a 500-year history as a healing center. The treatment shown here is a “sand bath” used for chronic diseases such as arthritis, postoperative problems, and some female problems.

treatment is to go into the mine for one-hour sessions, two or three times daily, for up to a total of about 30 sessions. The mine contains benches and chairs, and clients read, play cards, chat, or take a nap. Some “regulars” come back every year and make plans to meet up with friends from previous visits.

Pharmaceutical medicines are increasingly popular worldwide. Although these medicines have many benefits, negative effects include over-prescription and frequent use without a prescription. The sale of patent medicines is often unregulated, and self-treating individuals can buy them in a local pharmacy. The popularity and overuse of capsules and injections has led to a growing health crisis related to the emergence of drug-resistant disease strains.



These boys are selling hyssop, a medicinal herb, in Syria. In Unani (Islamic) traditional medicine, hyssop is used to alleviate problems such as asthma.

► Do research to learn more about hyssop and its medicinal uses.



Three Theoretical Approaches

The first major theoretical approach to understanding health systems emphasizes the importance of the environment in shaping health problems and how they spread. The second highlights symbols and meaning in people’s expression of suffering and healing practices. The third points to the need to look at structural factors as the underlying causes of health problems and examines Western biomedicine as a cultural institution.

THINKING
OUTSIDE
THE BOX

If you take medicine, do you know what the medicine contains and the source of its ingredients?

The Ecological/Epidemiological Approach

The **ecological/epidemiological approach** examines how aspects of the natural environment interact with culture to cause health problems and to influence their spread throughout the population. According to this approach, research should focus on gathering information about the environmental context and social patterns that affect health, such as food distribution within the family, sexual practices, hygiene, and degree of contact with outsiders. Research methods and data tend to be quantitative and etic, although a growing tendency is to include qualitative and emic data in order to provide a context for understanding the quantitative data (review Chapter 2).

The ecological/epidemiological approach seeks to yield findings relevant to public health programs. It can provide information about groups that are at risk of specific problems. For example, although hookworm is common throughout rural China, epidemiological researchers learned that rice cultivators have the highest rates. The reason is that hookworm spreads through *night soil* (human excrement used as a fertilizer) that is applied to the rice fields in which the cultivators work.

Another significant environmental factor that has important effects on health is urbanization. As archaeologists have documented about the past, settled populations living in dense clusters are more likely than mobile populations to experience a range of health problems, including infectious diseases and malnutrition (Cohen 1989). Such problems are apparent among many recently settled pastoralist groups in East and West Africa. One study compared the health status of two groups of Turkana men in northwest Kenya (see Map 4.4, page 85): those who were mobile pastoralists and those who lived in a town (Barkey, Campbell, and Leslie 2001). The two

groups differ strikingly in diet, physical activities, and health. Pastoralist Turkana eat mainly animal foods (milk, meat, and blood), spend much time in rigorous physical activity, and live in large family groups. Settled Turkana men eat mainly maize and beans. Their sedentary (settled) life means less physical activity and exercise. In terms of health, the settled men had more eye infections, chest infections, backache, and cough/colds. Pastoralist Turkana men were not, however, free of health problems. A fourth of the pastoralist men had eye infections, but among the settled men, half had eye infections. In terms of nutrition, the settled Turkana were shorter and had greater body mass than the taller and slimmer pastoralists.

Cities present many stressors to human health as well as opportunities for improved health through greater access to health care. Typically, cities comprise diverse social categories, varying by class and ethnicity. These groups have different experiences of health risks. In the United States, the incidence of tuberculosis (TB) has increased in recent years, mainly in urban areas (DiFerdinando 1999). Tuberculosis is spread by infected humans, and its rate of spread is increased by crowding, poverty, poor housing, and lack of access to health care. Beginning in the 1990s, outbreaks worldwide of *multidrug-resistant tuberculosis* (*MDRTB*), a new strain of TB that is resistant to conventional drugs, led to its being recognized by public health authorities as a major “new” infectious disease. More recently, the new threat of *extra-multidrug-resistant tuberculosis* (*XMDRTB*) has emerged. New forms of the disease mutate faster than scientists are able to develop drugs to combat them.

Anthropologists have applied the ecological/epidemiological approach to the study of the impaired health and survival of indigenous peoples resulting from colonial contact. They show that the effects of colonial contact are

Agricultural work done in standing water increases the risk of hookworm infection. Hookworm is common in China.



devastatingly detrimental, ranging from the quick and outright extermination of indigenous peoples, to resilient adjustment among other groups, to drastically changed conditions. In the Western Hemisphere, European colonialism brought a dramatic decline in the indigenous populations, although disagreement exists about the numbers involved (Joralemon 1982). Research indicates that the precontact New World was largely free of the major European infectious diseases, such as smallpox, measles, and typhus, and perhaps also of syphilis, leprosy, and malaria. Therefore, the exposure of indigenous peoples to these infectious diseases likely had a massive impact, given those people's complete lack of resistance. One analyst compared colonial contact to a "biological war":

Smallpox was the captain of the men of death in that war, typhus fever the first lieutenant, and measles the second lieutenant. More terrible than the conquistadores on horseback, more deadly than sword and gunpowder, they made the conquest by the whites a walkover as compared to what it would have been without their aid. (Ashburn 1947:98, quoted in Joralemon 1982:112)

This quotation emphasizes the importance of the three major diseases in New World colonial history: smallpox, measles, and malaria. A later arrival, cholera, also had severe effects because its transmission through contaminated water and food is enhanced in areas of poor sanitation. Besides being ravaged by infectious diseases, indigenous populations were decimated by outright killing, enslavement and harsh labor practices, and the psychological damage produced by losing one's livelihood, social ties and support, and access to ancestral burial grounds (Map 5.3 and Map 5.4 on pages 106–107).

Enduring effects of European colonialism among indigenous peoples worldwide include high rates of depression and suicide, low self-esteem, high rates of child and adolescent drug use, and high rates of alcoholism, obesity, and hypertension. **Historical trauma** refers to the intergenerational transfer of the emotional and psychological effects of colonialism from parents to children (Brave Heart 2004). It is closely associated with substance abuse as a way of attempting to cover the continued pain it induces. Troubled parents create a difficult family situation for children, who tend to replicate their parents' negative coping mechanisms. The concept of historical trauma helps to expand the scope of traditional epidemiological studies by drawing on factors from the past to explain the social and spatial distribution of contemporary health problems. Such an approach may prove more effective than a biomedical one in devising culturally appropriate ways to alleviate health problems.

The Interpretivist Approach

Some medical anthropologists examine health systems as systems of meaning. They study how people in different cultures label, describe, and experience illness and how healing systems offer meaningful responses to individual and communal distress.

Interpretivist anthropologists have examined aspects of healing, such as ritual trance, as symbolic performances. The French anthropologist Claude Lévi-Strauss established this approach in a classic essay called "The Effectiveness of Symbols" (1967). He examined how a song sung by a shaman among the Kuna Indians of Panama helps women through a difficult delivery. The main point is that healing systems provide meaning to people who are experiencing seemingly meaningless forms of suffering. The provision of meaning offers psychological support to the afflicted and may enhance healing through what Western science calls the **placebo effect**, or *meaning effect*, a positive result from a healing method due to a symbolic or otherwise nonmaterial factor (Moerman 2002). In the United States, depending on the health problem, between 10 and 90 percent of the efficacy of medical prescriptions lies in the placebo effect. Several explanatory factors may be involved in the meaning effect: the confidence of the specialist prescribing a treatment; the act of prescription itself; and concrete details about the prescription, such as the color and shape of a pill.

Critical Medical Anthropology

Critical medical anthropology focuses on analyzing how structural factors—such as the global political economy, global media, and social inequality—affect the prevailing health system, including types of afflictions, people's health status, and their access to health care. Critical medical anthropologists show how Western biomedicine itself often serves to bolster the institution of medicine to the detriment of helping the poor and powerless. They point to the process of **medicalization**, or labeling a particular issue or problem as medical and requiring medical treatment when, in fact, its cause is structural. In this way, people are prescribed pills and injections for poverty, pills and injections for forced displacement from one's home, and pills and injections for being unable to provide for one's family.

SOCIAL INEQUALITY AND POVERTY Substantial evidence indicates that poverty is the primary cause of morbidity (sickness) and mortality (death) in both industrialized and developing countries (Farmer 2005). It may be manifested in different ways—for example, in child malnutrition in Chad

ecological/epidemiological approach an approach within medical anthropology that considers how aspects of the natural environment and social environment interact to cause illness.

historical trauma the intergenerational transfer of the detrimental effects of colonialism from parents to children.

placebo effect a positive result from a healing method due to a symbolic or otherwise nonmaterial factor.

critical medical anthropology an approach within medical anthropology involving the analysis of how economic and political structures shape people's health status, their access to health care, and the prevailing medical systems that exist in relation to them.

medicalization the labeling of a particular issue or problem as medical and requiring medical treatment when, in fact, that issue or problem is economic or political.



MAP 5.3 Precolonial Distribution of Indian Tribes in the 48 United States.

Before the arrival of European colonialists, Indians were the sole occupants of the area. The first English settlers were impressed by their height and robust physical health.

or Nepal or through street violence among the urban poor of wealthy countries.

At the broadest level comparing richer countries with poorer countries, distinctions exist between the most common health problems of rich, industrial countries and those of poor, less industrial countries. In the former, major causes of death are circulatory diseases, malignant cancers, HIV/AIDS, excess alcohol consumption, and the smoking of tobacco. In poor countries, tuberculosis, malaria, and HIV/AIDS are the three leading causes of death.

Within the developing world, rates of childhood malnutrition are inversely related to income. In other words, as income increases, so does calorie intake as a percentage of recommended daily allowances (Zaidi 1988). Thus, increasing the income of the poor is the most direct way to improve child nutrition and health. Yet, in contrast to this seemingly logical approach, most health and nutrition programs around the world focus on treating the health results of poverty rather than its causes.

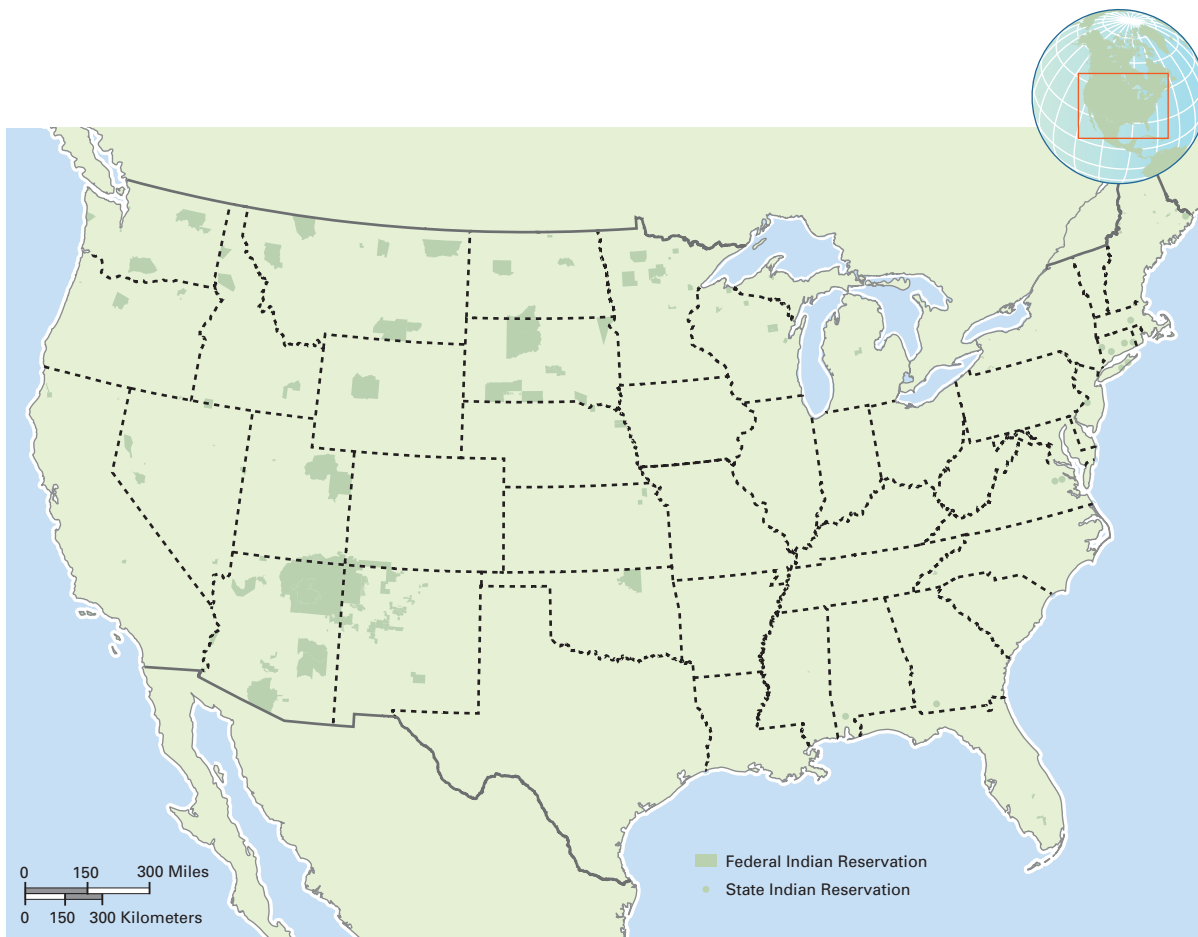
Critical medical anthropologists describe the widespread practice of medicalization, or treating health problems caused by poverty with pills or other medical options, in developing countries. An example is Nancy Scheper-Hughes's research

(1992) in Bom Jesus, northeastern Brazil (review Chapter 4 page 70 and see Map 3.3, page 53). The people of Bom Jesus, poor and often unemployed, frequently experienced symptoms of weakness, insomnia, and anxiety. Doctors at the local clinic gave them pills. The people were, however, hungry and malnourished. They needed food, not pills. In this case, as in many others, the medicalization of poverty serves the interests of pharmaceutical companies, not the poor.

CULTURAL CRITIQUE OF WESTERN BIOMEDICAL TRAINING

Since the 1980s, critical medical anthropologists have studied Western biomedicine as a cultural system. Though recognizing many of its benefits, they point to ways in which WBM could be improved—for example, by reducing reliance on technology, broadening an understanding of health problems as they relate to structural conditions and not just biological conditions, and diversifying healing through alternative methods such as massage, acupuncture, and chiropractic.

Some critical medical anthropologists have conducted research on Western medical school training. One study of obstetric training in the United States involved interviews with 12 obstetricians, 10 male and 2 female (Davis-Floyd 1987). As



MAP 5.4 Designated Reservations in the 48 United States.

Indian reservations today make up a small percentage of the U.S. landmass. Reservations are allocated to “recognized tribes.” Several states recognize no tribes. Many Indians live off the reservations, often as poorly employed or unemployed urban residents.

students, they absorbed the technological model of birth as a core value of Western obstetrics. This model treats the body as a machine. The physician uses the assembly-line approach to birth in order to promote efficient production and quality control. One of the residents in the study explained, “We shave ‘em, we prep ‘em, we hook ‘em up to the IV and administer sedation. We deliver the baby, it goes to the nursery and the mother goes to her room. There’s no room for niceties around here. We just move ‘em right on through. It’s not hard to see it like an assembly line” (1987:292). The goal is the “production” of a healthy baby. The doctor is a technical expert in charge of achieving this goal, and the mother takes second place. One obstetrician said, “It is what we all were trained to always go after—the perfect baby. That’s what we were trained to produce. The quality of the mother’s experience—we rarely thought about that. Everything we did was to get that perfect baby” (1987:292).

This goal involves the use of sophisticated monitoring machines. One obstetrician said, “I’m totally dependent on fetal monitors, ‘cause they’re great! They free you to do a lot of other things. . . . I couldn’t sit over there with a woman in labor with my hand on her belly, and be in here seeing 20 to 30 patients a day” (1987:291). The use of technology also

conveys status to the physician. One commented, “Anybody in obstetrics who shows a human interest in patients is not respected. What is respected is interest in machines” (1987:291).

How do medical students learn to accept the technological model? Davis-Floyd’s research points to three key processes. One way is through physical *hazing*, a harsh rite of passage involving, in this case, stress caused by sleep deprivation. Hazing extends throughout medical school and the residency period.

Second, medical school training in the United States involves a process of *cognitive retrogression*, in which students relinquish critical thinking and thoughtful ways of learning. During the first two years of medical school, most courses are basic sciences and students must memorize vast quantities of material. The sheer bulk of memorization forces students to adopt an uncritical approach. This mental overload socializes students into a uniform pattern, giving them tunnel vision in which the knowledge of medicine assumes supreme importance. As one obstetrician said,

Medical school is not difficult in terms of what you have to learn—there’s just so much of it. You go through, in a six-week course, a thousand-page book. The sheer bulk of

information is phenomenal. You have pop quizzes in two or three courses every day the first year. We'd get up around 6, attend classes till 5, go home and eat, then head back to school and be in anatomy lab working with a cadaver, or something, until 1 or 2 in the morning, and then go home and get a couple of hours of sleep and then go out again. And you did that virtually day in and day out for four years, except for vacations. (1987:298–299)

Third, in a process termed *dehumanization*, medical school training works to erase humanitarian ideals through an emphasis on technology and objectification of the patient. One obstetric student explained, “Most of us went into medical school with pretty humanitarian ideals. I know I did. But the whole process of medical education makes you inhuman . . . by the time you get to residency, you end up not caring about anything beyond the latest techniques you can master and how sophisticated the tests are that you can perform” (1987:299).



Globalization and Change

With globalization, health problems move around the world and into remote locations and cultures more rapidly than ever before. At the same time, Western culture, including biomedicine, is on the move. Perhaps no other aspect of Western culture, except for the capitalist market system and the English language, has so permeated the rest of the world as Western biomedicine. But the cultural flow is not one-way: Many people in North America and Europe are turning to forms of non-Western and nonbiomedical healing, such as acupuncture and massage therapy. This section considers new and emerging health challenges, changes in healing, and examples of the relevance of applied medical anthropology.



Fighting AIDS in South Africa. (LEFT) Activist Noxolo Bunu demonstrates how to use a female condom. It is not clear if they will gain popularity and help reduce sexually transmitted diseases. (RIGHT) Social stigma often adds to the suffering of HIV/AIDS victims. The billboards, near Soweto in South Africa, promote condom use and seek to reduce social rejection and stigma.

New Infectious Diseases

In the mid-twentieth century, scientific advances such as antibiotic drugs, vaccines against childhood diseases, and improved technology for sanitation dramatically reduced the threat from infectious disease. The 1980s, however, brought an era of shaken confidence, with the onset and rapid spread of the HIV/AIDS epidemic.

New contexts for exposure and contagion are created through increased international travel and migration, deforestation, and development projects, among others. Increased travel and migration have contributed to the spread of HIV/AIDS and SARS. Deforestation is related to higher rates of malaria, which is spread by mosquitoes; mosquitoes thrive in pools of water in open, sunlit areas, as opposed to forests. Development projects such as constructing dams and clearing forests often create unintended health problems for local people.

Diseases of Development

A **disease of development** is a health problem caused or increased by economic development projects. For example, the construction of dams and irrigation systems throughout the tropical world has brought dramatically increased rates of *schistosomiasis* (shish-to-suh-MY-a-sis), a disease caused by the presence of a parasitic worm in the blood system. Over 200 million people suffer from this debilitating disease, with prevalence rates the highest in sub-Saharan countries in Africa (Michaud, Gordon, and Reich 2005). The larvae hatch from eggs and mature in slow-moving water such as lakes and rivers. When mature, they can penetrate human (or other animal) skin with which they come into contact. Once inside the human body, the adult schistosomes breed in the veins around the human bladder and bowel. They send fertilized eggs into the environment through urine and feces. These eggs then contaminate water, in which they hatch into larvae.





Award-winning English chef Jamie Oliver is also a food activist. He has worked to improve school lunches in England, and in March 2010, he came to Huntington, West Virginia, to do the same. Huntington has been called the least healthy city in the least healthy state in the United States. His efforts to convince the school cook at Central City Elementary School to improve the quality of school meals, however, met with strong resistance from the school cook, the students, and city administrators. The students even rejected his healthy chicken nuggets.

► Is Jamie Oliver just an elitist food snob trying to change West Virginia culture?

Anthropological research has documented steep increases in the rates of schistosomiasis at large dam sites in developing countries (Scudder 1973). The increased risk is caused by the dams slowing the rate of water flow. Stagnant water systems offer an ideal environment for development of the larvae. Opponents of the construction of large dams have used this information in support of their position.

Increased obesity in many countries can also be viewed as a disease of development, somewhat ironically. In developed countries around the world, rising rates of childhood obesity have generated concern about the children's health and the toll they will take on public health systems as they age. The so-called "child obesity epidemic" surely has health implications,

but its causes are not first and foremost medical and the most effective steps to its prevention are also outside the medical domain (Moffat 2010). In general, prevention has to do with changing the child's diet and activity patterns, and these changes can help reduce weight as well.

Medical Pluralism

Contact between cultures may lead to a situation in which aspects of both cultures coexist: two (or more) different languages, religions, systems of law, or health systems, for example. The term **medical pluralism** refers to the presence of multiple health systems within a society. The coexistence of many forms of healing provides clients a range of choices and enhances the quality of health. In other cases, people are confronted by conflicting models of illness and healing, a situation that can result in misunderstandings between healers and clients and in unhappy outcomes.

SELECTIVE PLURALISM: THE CASE OF THE SHERPA

The Sherpa of Nepal (see Culturama) are an unusual example of a culture in which the preference for traditional healing systems remains strong and is combined with the selective use of Western biomedicine (Adams 1988). Healing therapists available in the Upper Khumbu (khoomboo) region in northeastern Nepal fit into three categories:

- Orthodox Buddhist practitioners, including *lamas*, whom Khumbu people consult for prevention and cure through their blessings, and *amchis*, who practice Tibetan medicine, a humoral healing system.
- Unorthodox religious or shamanic practitioners, who perform divination ceremonies for diagnosis.
- Biomedical practitioners who work in a clinic that was first established to serve tourists. The clinic was established as a permanent medical facility in 1967, and many Sherpa selectively use it.

Thus, three varieties of health care exist in the region. Traditional healers are thriving, unthreatened by changes brought by the tourist trade, the influx of new wealth, and notions of modernity. The question of why Western biomedicine has not completely taken over other healing practices requires a complicated answer. One part of the answer is that high-mountain tourism does not deeply affect local production and social relations. Although it brings in new wealth, it does not require large-scale capital investment from outside as, for example, mega-hotel tourist developments have

disease of development a health problem caused or increased by economic development activities that have detrimental effects on the environment and people's relationship with it.

medical pluralism the existence of more than one health system in a culture; also, a government policy to promote the integration of local healing systems into biomedical practice.

CULTURAMA

The Sherpa of Nepal

The word *Sherpa* means “person.” About 150,000 Sherpa live in Nepal, mainly in the northeastern region. Several thousand live in Bhutan and Sikkim, and in cities of Europe and North America.

In Nepal, the Sherpa are most closely associated with the Khumbu region. Khumbu is a valley set high in the Himalayas, completely encircled by mountains and with a clear view of Mount Everest (Karan and Mather 1985). The Sherpa have a mixed economy involving animal herding, trade between Tibet and India, small businesses, and farming, with the main crop being potatoes. Since the 1920s and the coming of Western mountaineers, Sherpa men have become increasingly employed as guides and porters for trekkers and climbers. Many Sherpa men and women now run guest houses or work in guest

houses as cooks, food servers, and cleaners.

The Sherpa are organized into 18 separate lineages, or *ru* (“bones”), with marriage taking place outside one’s birth lineage. Recently, they have begun marrying into other ethnic groups, thus expanding the definition and meaning of what it is to be Sherpa. Because of increased intermarriage, the number of people who can be considered Sherpa to some degree is 130,000. Status distinctions include “big people,” “middle people,” and “small people,” with the middle group being the largest by far (Ortner 1999:65). The main privilege of those in the top level is not to carry loads. Those in the poorest level are landless and work for others.

The Sherpa practice a localized version of Tibetan Buddhism that contains non-Buddhist elements having

to do with nature spiritualism that connects all beings. The place name Khumbu, for example, refers to the guardian deity of the region.

Tourism has been, and still is, a major change factor for the Sherpa. In Khumbu, the number of international tourists per year exceeds the Sherpa population.

Global warming is also having significant effects. Glaciers are melting, lakes are rising, and massive flooding is frequent. Some of the swollen lakes are in danger of breaking their banks (United Nations Environment Programme 2002). Many community development projects are aimed at reforestation, planting fruit orchards, and protecting and expanding local knowledge of medicinal herbs.

Thanks to Vincanne Adams, University of California at San Francisco, for reviewing this material.



(LEFT) A Sherpa porter carries a load up a steep mountain path in the Himalayas. Porters earn relatively good wages, especially when they work for international tourists.

(CENTER) Nepali children learn writing in a school supported by the Himalayan Trust, an organization founded by Sir Edmund Hillary in 1961, after he climbed Mount Everest and asked the local people he met how he could help them.

MAP 5.5 Nepal. The Kingdom of Nepal has a population of almost 30 million. Most of its territory is in the Himalayas, and Nepal has 8 of the world’s 10 highest mountains.

elsewhere. So far, the Sherpa maintain control of their productive resources, including trekking knowledge and skills.

CONFLICTING EXPLANATORY MODELS In many other contexts, however, anthropologists have documented conflicts and misunderstandings between Western biomedicine and local health systems. Miscommunication often occurs between biomedical doctors and patients in matters seemingly as simple as a prescription that should be taken with every meal. The Western biomedical doctor assumes that this means three times a day. But some people do not eat three meals a day and thus unwittingly fail to follow the doctor's instructions.

One anthropological study of a case in which death resulted from cross-cultural differences shows how complex the issue of communication across medical cultures is. The "F family" are immigrants from American Samoa (Map 5.6) living in Honolulu, Hawai'i (Krantzler 1987). Neither parent speaks English. Their children are "moderately literate" in English but speak a mixture of English and Samoan at home. Mr. F was trained as a traditional Samoan healer. Mary, a

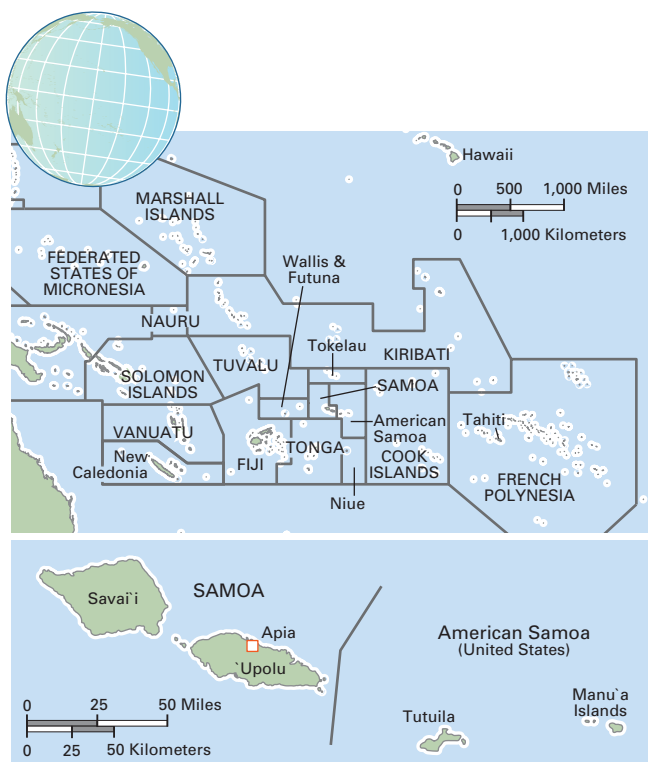
daughter, was first stricken with diabetes at age 16. She was taken to the hospital by ambulance after collapsing, half-conscious, on the sidewalk near her home in a Honolulu housing project. After several months of irregular contact with medical staff, she was again brought to the hospital in an ambulance, unconscious, and she died there. Her father was charged with causing Mary's death through medical neglect.

In the biomedical view, her parents failed to give Mary adequate care, even though the hospital staff took pains to instruct her family about how to give insulin injections, and Mary was shown how to test her urine for glucose and acetone and counseled about her diet. She was to be followed up with visits to the outpatient clinic, and, according to the clinic's unofficial policy of linking patients with physicians from their own ethnic group, she was assigned to see the sole Samoan pediatric resident. Over the next few months, Mary was seen once in the clinic by a different resident, missed her next three appointments, came in once without an appointment, and was readmitted to the hospital on the basis of test results from that visit. At that time, she, her parents, and her older sister were once again advised about the importance of compliance with the medical advice they were receiving. Four months later, she returned to the clinic with blindness in one eye and diminished vision in the other. She was diagnosed with cataracts, and the Samoan physician again advised Mary about the seriousness of her illness and the need for compliance. The medical experts increasingly judged that "cultural differences" were the basic problem and that, in spite of all their attempts to communicate with the F family, they were basically incapable of caring for Mary.

The family's perspective, in contrast, was grounded in *fa'a Samoa*, the Samoan way. Their experiences in the hospital were not positive from the start. When Mr. F arrived at the hospital with Mary the first time, he spoke with several different hospital staff, through a daughter as translator. It was a teaching hospital, so various residents and attending physicians had examined Mary. Mr. F was concerned that there was no single physician caring for Mary, and he was concerned that her care was inconsistent. The family observed a child die while Mary was in the intensive care unit, reinforcing the perception of inadequate care and instilling fear over Mary's chance of surviving in this hospital.

Language differences between Mary's family and the hospital staff added to the problem:

When they asked what was wrong with her, their perception was that "everyone said 'sugar.'" What this meant was not clear to the family; they were confused about whether she was getting too much sugar or too little. Mary's mother interpreted the explanations to mean she was not getting enough sugar, so she tried to give her more when she was returned home. Over time, confusion gave way to anger, and a basic lack of trust of the hospital and the physicians there developed. The family began to draw on their own resources for explaining and caring for Mary's illness, relying heavily on the father's skills as a healer. (1987:330)



MAP 5.6 Samoa and American Samoa.

Samoa, or the independent state of Samoa, was known as German Samoa (1900–1919) and Western Samoa (1914–1997) until recognized by the United Nations as a sovereign country. Its population is around 177,000. American Samoa, or Amerika Samoa in Samoan English, is a territory of the United States with a population of about 57,000. During World War II, U.S. marines in American Samoa outnumbered the local population and had a strong cultural influence. Unemployment rates are now high and the U.S. military is the largest employer.

ANTHROPOLOGY works

Delivering Health Care in Rural Haiti

Journalist Tracy Kidder's book, *Mountains Beyond Mountains: The Quest of Paul Farmer, a Man Who Would Cure the World* (2003), is an inspiring story about an inspiring person: Paul Farmer. Farmer earned a Ph.D. in anthropology and a degree in medicine from Harvard University. His training in cultural anthropology and medicine is a powerful prescription for providing health care to the poor.

In his first book, *AIDS and Accusation: Haiti and the Geography of Blame* (1992), he wrote about the coming of HIV/AIDS to Haiti and a rural community's attempt to understand and cope with this devastating new disease. He also describes how the wider world mistakenly blamed Haiti for being the source of the disease. In addition to his scholarly publications, Farmer is an influential health practitioner and activist. As one of the cofounders of Partners in Health, he has helped heal thousands of people. In 2009, Farmer was named U.S. deputy special envoy to Haiti. Since the earthquake in January 2010,

he has worked tirelessly to alleviate suffering in Haiti.

Farmer focuses attention on poverty and social justice as primary causes of health problems worldwide. This position has shaken the very foundations of Western medicine.

In my undergraduate cultural anthropology class, when I ask who has heard of Paul Farmer, many hands shoot up. Of these students, most have read *Mountains Beyond Mountains*. A few have heard him speak. This level of awareness of Farmer's contributions to health and anthropology prompted me to create a label that captures Farmer's inspirational role: the Paul Farmer Effect (PFE). This label refers to the Pied Piper role he plays for students: They want to follow his lead; they want to be a Paul Farmer. Students are choosing courses and selecting majors and minors to help them achieve that goal.

I began to notice the PFE about five years ago, and it is still growing. Because of the PFE, more students each year combine their academic

interests in anthropology, global health, and international affairs. These students are beginning to graduate and are going on to pursue humanitarian careers. Thanks to Paul Farmer and the PFE, they are more powerfully informed to make the world a better place.



An undernourished Haitian boy at the clinic founded by Dr. Paul Farmer. Partners in Health also offers free medical aid and food to thousands of patients in Haiti's Central Plateau.

From the Samoan perspective, the F family behaved logically and appropriately. The father, as household head and healer in his own right, felt he had authority. Dr. A, though Samoan, had been resocialized by the Western medical system and alienated from his Samoan background. He did not offer the personal touch that the F family expected. Samoans believe that children above the age of 12 are no longer children and can be expected to behave responsibly, so the family's assigning of Mary's 12-year-old sister to assist with her insulin injections and in recording results made sense to them. Also, the hospital in American Samoa does not require appointments. Cultural misunderstanding was the ultimate cause of Mary's death.

Applied Medical Anthropology

Applied medical anthropology is the application of anthropological knowledge to further the goals of health-care providers.

applied medical anthropology the application of anthropological knowledge to furthering the goals of health-care providers.

cultural broker someone who is familiar with two cultures and can promote communications and understanding across them.

It may involve improving doctor–patient communication in multicultural settings, making recommendations about culturally appropriate health intervention programs, or providing insights about factors related to disease that medical practitioners do not usually take into account. Applied medical anthropologists draw on ethnomedical knowledge and on any of the three theoretical approaches or a combination of them (see Anthropology works).

REDUCING LEAD POISONING AMONG MEXICAN AMERICAN CHILDREN

An example of the positive impact of applied medical anthropology is in the work of Robert Trotter on lead poisoning among Mexican American children (1987). The three most common sources of lead poisoning of children in the United States are the following:

- Eating lead-based paint chips
- Living near a smelter where the dust has high lead content
- Eating or drinking from pottery made with an improperly treated lead glaze

The discovery of an unusual case of lead poisoning by health professionals in Los Angeles in the 1980s prompted investigations that produced understanding of a fourth cause: the use by many Mexican Americans of a traditional healing remedy, *azarcon*, which contains lead, to treat a culture-specific syndrome called *empacho*. *Empacho* is a combination of indigestion and constipation believed to be caused by food sticking to the abdominal wall.

The U.S. Public Health Service asked Trotter to investigate the availability and use of *azarcon*. He went to Mexico and surveyed the contents of herbal shops. He talked with *curanderos* (traditional healers). His findings convinced the U.S. government to place restrictions on *azarcon* and a related remedy called *greta*. Trotter also made recommendations about the need to provide a substitute remedy for the treatment of *empacho* that would not have harmful side effects. He offered ideas about how to advertise the substitute in a culturally effective way. Throughout his involvement, Trotter played several roles—researcher, consultant, and program developer—all of which brought anthropological knowledge to the solution of a public health problem.

PUBLIC HEALTH COMMUNICATION Much work in applied medical anthropology involves health communication (Nichter 1996). Anthropologists can help health educators in the development of more meaningful messages through these methods:

- Addressing local health beliefs and health concerns
- Taking seriously all local illness terms and conventions
- Adopting local styles of communication
- Identifying subgroups within the population that may be responsive to different types of messages and incentives
- Monitoring the response of communities to health messages over time and facilitating corrections in communication when needed
- Exposing and removing possible “blaming the victim” in health messages

These principles helped health-care officials understand local responses to public vaccination programs in several countries of Asia and Africa. In this, and so many other ways, an anthropologist can serve as a **cultural broker**, someone who is familiar with two cultures and can promote communication and understanding across them.

PROMOTING VACCINATION PROGRAMS IN DEVELOPING COUNTRIES Vaccination programs in developing countries, especially as promoted by UNICEF, are introduced with much fanfare. But they are sometimes met with little enthusiasm by the target population. In India, many people are suspicious that vaccination programs are clandestine family planning programs (Nichter 1996). In other instances, fear of foreign vaccines prompts people to reject inoculations. Overall, acceptance rates of vaccination are lower than Western public health planners expected.

To understand why people reject inoculations, medical anthropologists conducted surveys in several countries. The results revealed that many parents have a partial or inaccurate understanding of what the vaccines protect against. Some people did not understand the importance of multiple vaccinations. Public health promoters incorporated findings from the survey in two ways:

- Educational campaigns for the public that addressed its concerns
- Education for the public health specialists about the importance of understanding and paying attention to local cultural practices and beliefs

WORKING TOGETHER: WESTERN BIOMEDICINE AND NONBIOMEDICAL SYSTEMS Since 1978, with the Declaration of Health for All, the World Health Organization has endorsed the incorporation of traditional healing practices into national health systems. This policy emerged in response to several factors. First is the increasing appreciation of the value of many non-Western healing traditions. Another is the shortage of trained biomedical personnel. Third is the growing awareness of the deficiencies of Western biomedicine in addressing a person’s psychosocial context.

Debates continue about the efficacy of many traditional medical practices compared with biomedicine. For instance, opponents of the promotion of traditional medicine claim that it has no effect on such infectious diseases as cholera, malaria, tuberculosis, schistosomiasis, leprosy, and others. They insist that it makes no sense to allow for or encourage ritual practices against cholera, for example, when a child has not been inoculated against it. Supporters of traditional medicine as one component of a pluralistic health system point out that biomedicine neglects a person’s mind, soul, and social setting. Traditional healing practices fill that gap.

5 the **BIG** questions REVISITED

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What is ethnomedicine?

Ethnomedicine is the study of the health systems of specific cultures. Health systems include categories and perceptions of illness and approaches to prevention and healing. Research in ethnomedicine shows how perceptions of the body differ cross-culturally and reveals both differences and similarities across health systems in perceptions of illness and symptoms. Culture-specific syndromes are found in all cultures, not just non-Western societies, and many are now becoming global.

Ethnomedical studies of healing, healing substances, and healers reveal a wide range of approaches. Community healing is more characteristic of small-scale nonindustrial societies. Community healing emphasizes group interaction and treating the individual within the social context. Humoral healing seeks to maintain balance in bodily fluids and substances through diet, activity, and behavior. In industrial/informatic societies, biomedicine emphasizes the body as a discrete unit, and treatment addresses the individual body or mind and frames out the wider social context. Biomedicine is increasingly reliant on technology and is increasingly specialized.

What are three major theoretical approaches in medical anthropology?

Ecological/epidemiological medical anthropology emphasizes links between the environment and health. It reveals how certain categories of people are at risk of contracting particular diseases within various contexts in historical times and the present.

The interpretivist approach focuses on studying illness and healing as a set of symbols and meanings. Cross-culturally, definitions of health problems and healing systems for these problems are embedded in meaning.

KEY CONCEPTS

applied medical anthropology, p. 112
community healing, p. 99
critical medical anthropology, p. 105
cultural broker, p. 112
culture-specific syndrome, p. 97

disease, p. 97
disease of development, p. 109
ecological/epidemiological approach, p. 105
ethno-etiology, p. 99
ethnomedicine, p. 97
historical trauma, p. 105

humoral healing, p. 101
illness, p. 97
medicalization, p. 105
medical pluralism, p. 109
phytotherapy, p. 102
placebo effect, p. 105
shaman/shamanka, p. 101

somatization, p. 97
structural suffering, p. 99
susto, p. 97
Western biomedicine (WBM), p. 97

Critical medical anthropologists focus on health problems and healing within a structuralist framework. They ask what power relations are involved and who benefits from particular forms of healing. They analyze the role of inequality and poverty in health problems.

How are health, illness, and healing changing during globalization?

Health systems everywhere are facing accelerated change in the face of globalization, which includes the spread of Western capitalism as well as new diseases and new medical technologies. The “new infectious diseases” are a challenge to health-care systems in terms of prevention and treatment. Diseases of development are health problems caused by development projects that change physical and social environments, such as dams and mines, and by the changing diets and activity patterns of people who live in developed settings, which can lead to chronic conditions such as diabetes and obesity.

The spread of Western biomedicine to many non-Western contexts is a major direction of change. As a consequence, medical pluralism exists in all countries. The availability of Western patent medicines has had substantial positive effects, but widespread overuse and self-medication can result in negative health consequences for individuals and the emergence of drug-resistant disease strains.

Applied medical anthropologists play several roles in improving health systems. They may inform medical care providers of more appropriate forms of treatment, guide local people about their increasingly complex medical choices, help prevent health problems by changing detrimental practices, or improve public health communication by making it more culturally informed and effective.

SUGGESTED READINGS

- Eric J. Bailey. *Medical Anthropology and African American Health*. New York: Greenwood Publishing Group, 2000. This book explores the relationship between cultural anthropology and African American health-care issues. One chapter discusses how to do applied research in medical anthropology.
- Bernhard M. Bierlich. *The Problem of Money: African Agency and Western Biomedicine in Northern Ghana*. New York: Bergahn Books, 2008. Fieldwork among the Dagomba people provides the basis for this description of ambivalent attitudes toward Western biomedicine and other aspects of modernity.
- Nancy N. Chen. *Breathing Spaces: Qigong, Psychiatry, and Healing in China*. New York: Columbia University Press, 2003. This ethnography explores *qigong* (chee-gung), a charismatic form of healing popular in China that involves meditative breathing exercises.
- Paul Farmer. *Pathologies of Power: Health, Human Rights, and the New War on the Poor*. Berkeley: University of California Press, 2005. Farmer blends interpretive medical anthropology with critical medical anthropology in his study of how poverty kills through diseases such as tuberculosis and HIV/AIDS.
- Bonnie Glass-Coffin. *The Gift of Life: Female Spirituality and Healing in Northern Peru*. Albuquerque: University of New Mexico Press, 1998. The author examines women traditional healers in northern Peru. She provides a descriptive account of their practices and an account of how two healers worked to cure her of a spiritual illness.
- Richard Katz, Megan Biesele, and Verna St. Davis. *Healing Makes Our Hearts Happy: Spirituality and Cultural Transformation among the Kalahari Ju/'hoansi*. Rochester, VT: Inner Traditions, 1997. This book presents the story of how traditional healing dances help the Ju/'hoansi cope with recent and contemporary social upheaval.
- Carol Shepherd McClain, ed. *Women as Healers: A Cross-Cultural Perspective*. New Brunswick, NJ: Rutgers University Press, 1989. Case studies discuss women healers in Ecuador, Sri Lanka, Mexico, Jamaica, the United States, Serbia, Korea, Southern Africa, and Benin.
- David McKnight. *From Hunting to Drinking: The Devastating Effects of Alcohol on an Australian Aboriginal Community*. New York: Routledge, 2002. McKnight documents the history of drinking in Australia, causes of excessive alcohol consumption, and vested interests of authorities in the sale of alcohol to Aboriginal people.
- Ethan Nebelkopf and Mary Phillips, eds. *Healing and Mental Health for Native Americans: Speaking in Red*. New York: AltaMira Press, 2004. Chapters address mental health and substance abuse among Native North Americans and provide cases of healing that involve Native American culture.
- Merrill Singer. *Something Dangerous: Emergent and Changing Illicit Drug Use and Community Health*. Long Grove, IL: Waveland Press, 2005. This ethnography combines theory with research and applied anthropology about drug use and public health responses in the United States.
- Paul Stoller. *Stranger in the Village of the Sick: A Memoir of Cancer, Sorcery, and Healing*. Boston: Beacon Press, 2004. After being diagnosed with lymphoma, the author enters the “village of the sick” as he goes through diagnostic testing, chemotherapy, and eventual remission. He describes being a cancer patient in the United States and how he found strength through his earlier association with a West African healer.
- Johan Wedel. *Santería Healing*. Gainesville: University of Florida Press, 2004. This book discusses Santería healing in Cuba. The author conducted interviews with priests and others knowledgeable about Santería and observed many Santería consultations.

 Read the Document on myanthrolab.com

Linda M. Hunt and Isabel Montemayor. 2010. Health Care Needs in Crisis: An Exploratory Study of Latinos in the Midwest. *Practicing Anthropology* 32:9–14. The authors draw on what they learned from focus groups and interviews carried out in a mid-sized city in the midwestern region of the United States. They offer recommendations to help Latinos and other marginalized groups gain access to health services.


Vinay Ramnath Kamat and Daniel J. Nyato. 2010. Soft Targets or Partners in Health? Retail Pharmacies and their Role in Tanzania's Malaria Control Program. *Social Science and Medicine*


71:626–633. What happens when a new treatment for malaria is supposed to be adopted in a developing country? Research with owners and managers of retail pharmacies and informal drug shops in Dar es Salaam provides an answer.


Brian McKenna. 2010. Take Back Medical Education: The “Primary Care” Shuffle. *Medical Anthropology* 29:6–14. This editorial reports on an ethnographic study of a \$6 million dollar project at Michigan State University and three surrounding communities to make medical education more community-oriented. Did it work?



the **BIG** questions

 How do cultures create kinship?

 What are cross-cultural patterns of households and domestic life?

 How are kinship and households changing?

KINSHIP AND DOMESTIC LIFE

◀ A Minangkabau bride in Sumatra, Indonesia, wears an elaborate gold headdress reflecting her high status as a woman. The Minangkabau are the largest matrilineal culture in the world.

6

OUTLINE

How Cultures Create Kinship

Everyday Anthropology: What's in a Name?

Culturama: The Minangkabau of Indonesia

Households and Domestic Life

Anthropology Works: Preventing Wife Abuse in Rural Kentucky

Changing Kinship and Household Dynamics

Learning how another culture’s kinship system works is as challenging as learning another language. Robin Fox became aware of this challenge during his research among the Tory Islanders of Ireland (Map 6.1) (Fox 1995 [1978]). Some Tory Island kinship terms are similar to American English terms; for example, the word *muintir* means “people” in its widest sense, as in English. It can also refer to people of a particular social category, as in “my people,” and to close relatives. Another similarity is in *gaolta*, the word for “relatives” or “those of my blood.” Its adjectival form refers to kindness, like the English word *kin*, which is related to “kindness.” Tory Islanders have a phrase meaning “children and grandchildren,” also like the English term “*descendants*.” One major difference is that the Tory Island word for “friend” is the same as the word for “kin.” This usage reflects the cultural context of Tory Island with its small population, all related through kinship. So, logically, a friend is also kin.

All cultures have ways of defining *kinship*, or a sense of being related to another person or persons. Cultures also provide guidelines about who are kin and the expected behavior of kin. Starting in infancy, people learn about their particular culture’s **kinship system**, the predominant form of kin relationships in a culture and the kinds of behavior involved. Like language, one’s kinship system is so ingrained that it is taken for granted as something natural rather than cultural.

This chapter first considers cultural variations in three features of kinship systems. It then focuses on a key unit of domestic life: the household. The last section provides examples of contemporary change in kinship and household organization.

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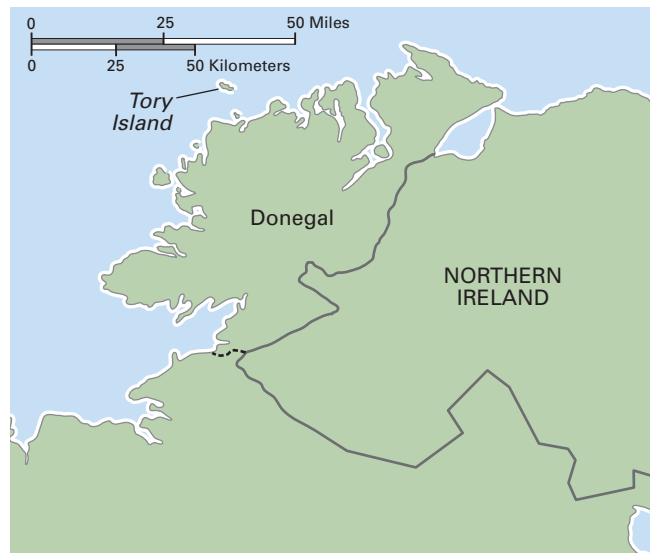


How Cultures Create Kinship

In all cultures, kinship is linked with modes of livelihood and reproduction (Figure 6.1). Nineteenth-century anthropologists found that kinship was the most important organizing principle in nonindustrial, nonstate cultures. The kinship group performs the functions of ensuring the continuity of the group by arranging marriages; maintaining social order by setting moral rules and punishing offenders; and providing for the basic needs of members by regulating production, consumption, and distribution. In large-scale industrial/informatic societies, kinship ties exist, but many other kinds of social ties draw people together as well.

Nineteenth-century anthropologists also discovered that definitions of who counts as kin in the cultures they studied differed widely from those of Europe and the United States. Western cultures emphasize primary “blood” relations, or relations through birth from a biological mother and biological

kinship system the predominant form of kin relationships in a culture and the kinds of behavior involved.



MAP 6.1 Ireland.

Ireland’s population is about 4 million. The geography is low central plains surrounded by a ring of mountains. Membership in the European Union (EU) and the rising standard of living earned Ireland the nickname of the Celtic Tiger. Its economic opportunities attracted immigrants from places as diverse as Romania, China, and Nigeria. Most people are Roman Catholics, followed by the Anglican Church of Ireland.

father (Sault 1994). “Blood” is not a universal basis for kinship, however. Even in some cultures with a “blood”-based understanding of kinship, variations exist in defining who is a “blood” relative and who is not. For example, in some cultures, male offspring are considered of one “blood,” whereas female offspring are not.

Among the Inuit of northern Alaska, behavior is a non-blood basis for determining kinship (Bodenhorn 2000). In this context, people who act like kin are kin. If a person stops acting like kin, then he or she is no longer a kinsperson. So, among the Inuit, someone might say that a certain person “used to be” his or her cousin.

Foraging	Horticulture	Pastoralism	Agriculture	Industrialism/Informatics
Descent and Inheritance				Descent and Inheritance
Bilineal	Unilineal (matrilineal or patrilineal)			Bilineal
Marital Residence				Marital Residence
Neolocal or bilocal		Matrilocal or patrilocal		Neolocal
Household Type				Household Type
Nuclear		Extended	Nuclear or single-parent or single-person	

FIGURE 6.1 Modes of Livelihood, Kinship, and Household Structure.

Studying Kinship: From Formal Analysis to Kinship in Action

Anthropologists in the first half of the twentieth century focused on finding out who, in a particular culture, is related to whom and in what way. Typically, the anthropologist would conduct an interview with a few people, asking questions such as “What do you call your brother’s daughter?” “Can you (as a man) marry your father’s brother’s daughter?” and “What is the term you use to refer to your mother’s sister?” The anthropologist would ask an individual to name all of his or her relatives, explain how they are related to the interviewee, and provide the terms by which he or she refers to them.

From this information, the anthropologist would construct a *kinship diagram*, a schematic way of presenting the kinship relationships of an individual, called *ego*, using a set of symbols to depict all the kin relations of ego (Figure 6.2). A kinship diagram depicts ego’s relatives, as remembered by ego. In cultures in which kinship plays a major role in social

relations, ego may be able to provide information on dozens of relatives. When I took a research methods course as an undergraduate, one assignment was to interview someone who was not an American and construct a kinship chart based on the information collected. I interviewed a student from an urban, middle-class business family in India. He recalled over 60 relatives on both his father’s and mother’s sides. My kinship diagram of his relatives required several sheets of paper taped together.

In contrast to a kinship diagram, a *genealogy* is a schematic way of presenting a family tree, constructed by beginning with the earliest ancestors that can be traced, then working down to the present. A genealogy, thus, does not begin with ego. When Robin Fox attempted to construct kinship diagrams beginning with ego, the Tory Islanders were uncomfortable with the approach. They preferred to proceed genealogically, so he followed their preference. Tracing a family’s complete genealogy may involve archival research in the attempt to construct

Characters	Relationships	Kin Abbreviations
● female	= is married to	Mo mother
▲ male	≈ is cohabiting with	Fa father
◌ deceased female	⊢ is divorced from	Br brother
◌ deceased male	≠ is separated from	Z sister
● female “ego” of the diagram	● adopted-in female	H husband
▲ male “ego” of the diagram	▲ adopted-in male	W wife
	is descended from	Da daughter
	┌ is the sibling of	S son
		Co cousin

FIGURE 6.2 Symbols Used in Kinship Diagrams.

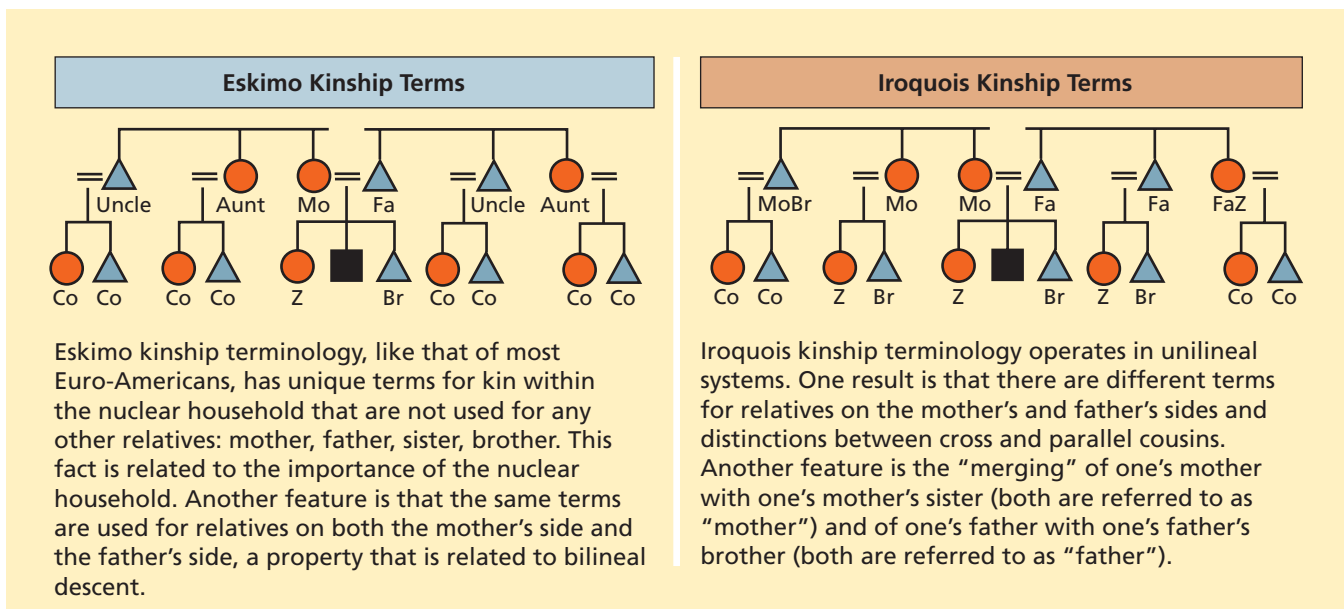


FIGURE 6.3 Two Kinship Naming Systems.

as complete a history as possible. In Europe and the United States, Christians have long followed a practice of recording their genealogy in the front of the family Bible. Many African Americans and other people are consulting DNA analysts to learn about their ancestry and cultural heritage.

Decades of anthropological research have produced a mass of information on *kinship terminology*, or the words people use to refer to kin. For example, in Euro-American kinship, a child of one's father's sister or brother or one's mother's sister or brother is referred to by the kinship term "cousin." Likewise, one's father's sister and one's mother's sister are both referred to as "aunt," and one's father's brother and one's mother's brother are both referred to as "uncle." "Grandmother" and "grandfather" refer to the ascending generation on either one's father's or one's mother's side. This merging pattern is not universal. In some cultures, different terms apply to kin on one's mother's and father's sides, so a mother's sister has a kinship term different from that referring to a father's sister. Another type of kinship system emphasizes solidarity along lines of siblings of the same gender. For example, among the Navajo of the American southwest, one's mother and one's mother's sisters have the same term, which translates into English as "mother."

Early anthropologists classified the cross-cultural variety in kinship terminology into six basic types, named after groups first discovered to have those systems. Two of the six types, for purposes of illustration, are the Iroquois type and

the Eskimo type (Figure 6.3). Anthropologists place various cultures with similar kinship terminology, no matter where they lived, into one of the six categories. Thus, anthropologists classify the Yanomami people of the Amazon as having an Iroquois naming system! Contemporary anthropologists who study kinship have moved beyond these categories because they feel that the six kinship types fail to illuminate actual kinship dynamics, and the labels actually are confusing. This book, therefore, presents only two examples as illustrations of this approach to kinship analysis in the early part of the twentieth century.

Current research on kinship links it to other topics, such as globalization, work, consumption, ethnic identity, political affiliation, and even terrorism. Anthropologists have come a long way, from classifying kinship to showing how it matters. They focus on three key factors that, cross-culturally, construct kinship relations: descent, sharing, and marriage.

Descent

Descent is the tracing of kinship relationships through parentage. It is based on the fact that everybody is born from someone else. Descent creates a line of people from whom someone is descended, stretching through history. But not all cultures reckon descent in the same way. Some cultures have a **bilineal descent** system, in which a child is recognized as being related by descent to both parents. Others have a **unilineal descent** system, which recognizes descent through only one parent, either the father or the mother. The distribution of bilineal and unilineal systems is roughly correlated with different modes of livelihood (see Figure 6.1, page 119). This correspondence makes sense because economic systems—production, consumption,

- descent** the tracing of kinship relationships through parentage.
- bilineal descent** the tracing of descent through both parents.
- unilineal descent** the tracing of descent through only one parent.

and exchange—are closely tied to the way people are socially organized.

UNILINEAL DESCENT Unilineal descent is the basis of kinship in about 60 percent of the world's cultures, making it the most common form of descent. This system tends to be found in societies with a fixed resource base. Thus, unilineal descent is most common among pastoralists, horticulturalists, and farmers. Inheritance rules that regulate the transmission of property through only one line help maintain cohesiveness of the resource base.

Unilineal descent has two major forms. One is **patrilineal descent**, in which kinship is traced through the male line. The other is **matrilineal descent**, in which kinship is traced through the female line. In a patrilineal system, only male children are considered members of the kinship lineage. Female children “marry out” and become members of the husband's lineage. In matrilineal descent systems, only daughters are considered to carry on the family line and sons “marry out.”

Patrilineal descent is found among about 45 percent of all cultures. It occurs throughout much of South Asia, East Asia, the Middle East, New Guinea, northern Africa, and among some horticultural groups of sub-Saharan Africa. The world's most strongly patrilineal systems are found in East Asia, South Asia, and the Middle East (see *Everyday Anthropology* on p. 122).

Matrilineal descent exists in about 15 percent of all cultures. It traces kinship through the female line exclusively, and the lineage consists of mothers and daughters and their daughters. Matrilineal descent is found among many Native North American groups; across a large band of central Africa; among many groups of Southeast Asia and the Pacific, and Australia; in parts of eastern and southern India; in a small pocket of northern Bangladesh; and in parts of the Mediterranean coast of Spain and Portugal. Matrilineal societies are found among foragers and in agricultural societies. Most matrilineal cultures, however, are horticulturalist economies in which women dominate the production and distribution of food and other goods. Often, but not always, matrilineal kinship is associated with recognized public leadership positions for women, as among the Iroquois and Hopi. The Minangkabau (muh-nan-ka-bow, with the last syllable rhyming with “now”) of Indonesia are the largest matrilineal group in the world (see *Culturama*).

BILINEAL DESCENT Bilineal descent traces kinship from both parents equally to the child. Bilineal descent is found in about one-third of the world's cultures (Murdock 1965 [1949]:57). The highest frequency of bilineal descent is found at opposite ends of the diagram of the modes of livelihood (see Figure 6.1, p. 119). For example, Ju/'hoansi foragers have bilineal descent, as do most urban professionals in North America. Both foraging and industrial/informatic cultures



In Yemen, descent is strongly patrilineal. (TOP) Some members of a Bedu household in Yemen. The Bedu are a small proportion of the Yemeni population. (BOTTOM) Boys playing in Hababa, Yemen. In this patrilineal culture, public space is segregated by gender.

rely on a flexible gender division of labor in which both males and females contribute, more or less equally, to making a living. Bilineal descent makes sense for foraging and industrial/informatic groups because it allows for small family units and spatial mobility.

Marital residence tends to follow the prevailing direction of descent rules (see Figure 6.1, p. 119). *Patrilocality*, or marital residence with or near the husband's family, occurs in patrilineal societies, whereas *matrilocality*, or marital residence with or near the wife's family, occurs in matrilineal societies. *Neolocality*, or marital residence in a place different from either

patrilineal descent a descent system that highlights the importance of men in tracing descent, determining marital residence with or near the groom's family, and providing for inheritance of property through the male line.

matrilineal descent a descent system that highlights the importance of women by tracing descent through the female line, favoring marital residence with or near the bride's family, and providing for property to be inherited through the female line.

everyday ANTHROPOLOGY

What's in a Name?

Naming children is always significant. Parents may follow cultural rules that a first-born son receives the name of his father's father or a first-born daughter receives the name of her mother's mother. Some parents believe that a newborn should not be formally named for a year or two, and the child is instead referred to by a nickname. Others think that a name must convey some special hoped-for attribute for the child or that a name should be unique.

The village of Ha Tsuen is located in the northwest corner of a rural area of Hong Kong (Watson 1986). About 2500 people live in the village. All the males belong to the same patrilineage and all have the same surname of Teng. They are descended from a common male ancestor who settled in the region in the twelfth century. Daughters marry into families outside the village, and marital residence is patrilocal.

Women do not own property, and they have no control over the household economy. Few married women are employed in wage labor. They depend on their husbands for financial support.

Local politics is male dominated, as is all public decision making. A woman's status as a new bride is low, and the transition from daughter to bride can be difficult psychologically. Women's primary role is in reproduction, especially of sons. As a woman bears children, especially sons, her status in the household rises.

The local naming system reflects the power, importance, and autonomy of males. All children are first given a name, referred to as their *ming*, when they are a few days old. If the baby is a boy, the 30-day ceremony is as elaborate as the family can afford. It may include a banquet for many neighbors and the village elders and the presentation of red eggs to everyone in the community. For a girl, the 30-day ceremony may involve only a special meal for close family members. Paralleling this expenditure bias toward sons is the thinking that goes into selecting the *ming*. A boy's *ming* is distinctive and flattering. It may have a classical literary connection. A girl's *ming* often has negative connotations,

such as "Last Child," "Too Many," or "Little Mistake." One common *ming* for a daughter is "Joined to a Brother," which implies the hope that she will be a lucky charm, bringing the birth of a son to her mother next. Sometimes, though, people give an uncomplimentary name to a boy, such as "Little Slave Girl." The reason behind this naming practice is protection—to trick the spirits into thinking the baby is only a worthless girl so that the spirits will do no harm.

Marriage is the next formal naming occasion. When a man marries, he is given or chooses for himself a *tzu*, or marriage name. Gaining a *tzu* is a key marker of male adulthood. The *tzu* is not used in everyday address, but appears mainly on formal documents. A man also has a *wai hao*, "outside name," which is his public nickname. As he enters middle age, he may take a *hao*, or courtesy name, which he chooses and which reflects his aspirations and self-perceptions.

When a woman marries, her *ming* ceases to exist. She no longer has a

the bride's or groom's family, is common in Western industrialized society. Residence patterns have political, economic, and social implications. Patrilineal descent and patrilocal residence, for example, facilitate the formation of strongly bonded groups of men who can be mobilized for warfare.

Sharing

Many cultures emphasize kinship ties based on acts of sharing and support. These relationships may be either informal or ritually formalized. Godparenthood and blood brotherhood are examples of sharing-based kinship ties that are ritually formalized.

KINSHIP THROUGH FOOD SHARING Sharing-based kinship is common in mainland Southeast Asia, Australia, and Pacific island cultures (Carsten 1995). Among inhabitants of one of Malaysia's many small islands, sharing-based kinship starts in the womb when the mother's blood feeds the fetus. After birth, the mother's breast milk nourishes the infant,

and it establishes a crucial tie between milk-giver and child. Breastfeeding is also the basis of the incest rule. People who have been fed from the same breast are kin and may not marry. After the baby is weaned, its most important food is cooked rice. Sharing cooked rice is another way that kinship ties are created and maintained, especially between women and children. Men are often away on fishing trips, in coffee shops, or at the mosque, and so they are less likely to establish rice-sharing kinship bonds with children.

ADOPTION AND FOSTERING Another form of sharing-based kinship is the transfer of a child or children from the birth parent(s) to the care of someone else. *Adoption* is a formal and permanent form of child transfer. Common motivations for adoption include infertility and the desire to obtain a particular kind of child (often a son). Motivations for the birth parent to transfer a child to someone else include a premarital pregnancy in a disapproving context, having "too many" children, and having "too many" of a particular gender.



MAP 6.2 Hong Kong.

The formal name of Hong Kong is the Hong Kong Special Administrative Region of the People's Republic of China. A world center of finance and trade, it lacks natural resources and agricultural land, so it imports most of its food and raw materials. With 7 million residents, Hong Kong's population density is high. Most of the population is ethnic Chinese, and many practice ancestor worship. Ten percent of the population is Christian. Religious freedom is protected through Hong Kong's constitution.

name. Her husband refers to her as *nei jen*, "inner person," because now her life is restricted to the domestic world of the household, her husband's family, and the neighborhood. People may refer to her by *teknonyms*, or names for someone based on their relationship to someone else, such as "Wife of So and So" or "Mother of So and So."

In old age, she becomes *ah po*, "Old Woman."

Throughout their lives, men accumulate more and better names than women. They choose many of the names themselves. Over the course of her life, a woman has fewer names than a man has. Women's names are standardized, not personalized, and

women never get to choose any of their names.

FOOD FOR THOUGHT ▼

Do Internet research on baby-naming practices. Do you like your first name? If you would prefer a different first name, what would it be and why?

Among the Maasai pastoralists of East Africa, a woman with several children might give one to a friend, neighbor, or aged person who has no child to care for her or him.

Since the mid-1800s, adoption has been a legalized form of child transfer in the United States. Judith Modell, cultural anthropologist and adoptive parent, studied people's experiences of adoptees, birth parents, and adoptive parents in the United States (Modell 1994). She found that the legal process of adoption constructs the adoptive relationship to be as much like a biological one as possible. In *closed adoption*, the adopted child receives a new birth certificate, and the birth parent ceases to have any relationship to the child. A recent trend is toward *open adoption*, in which adoptees and birth parents have information about each other's identity and are free to interact with one another. Of the 28 adoptees Modell interviewed, most were interested in searching for their birth parents. The search for birth parents involves an attempt to discover "who I really am." For others, such a search is backward looking instead of being a path toward identity formation. Thus, in the United States, adoption legalizes sharing-based kinship

but does not always replace a sense of descent-based kinship for everyone involved.

Fostering a child is sometimes similar to a formal adoption in terms of permanence and a sense of kinship. Or it may be temporary placement of a child with someone else for a specific purpose, with little or no sense of kinship. Child fostering is common throughout sub-Saharan Africa. Parents foster out children to enhance the child's chances for formal education or so that the child will learn a skill, such as marketing. Most foster children go from rural to urban areas and from poorer to better-off households. Fieldwork conducted in a neighborhood in Accra, Ghana (Map 6.4 on p. 125), sheds light on the lives of foster children (Sanjek 1990). Child fostering in the neighborhood is common: About one-fourth of the children were foster children. Of the foster children, there were twice as many girls as boys. School attendance is biased toward boys. All of the boys were attending school, but only 4 of the 31 girls were. An important factor affecting the treatment of the child is whether the fostered child is related to his or her sponsor. Although 80 percent of the foster children as a whole were

CULTURAMA

The Minangkabau of Indonesia

The Minangkabau are the world's largest matrilineal culture, numbering between 4 and 5 million (Sanday 2002). Most live in West Sumatra, Indonesia, and about 500,000 live in Malaysia. The Minangkabau are primarily farmers, producing substantial amounts of surplus rice. Many Minangkabau, both women and men, take up employment in Indonesian cities for a time and then return home.

In this strongly matrilineal kinship system, Minangkabau women hold power through their control of land passed down through the lineage, the products of that land, and agricultural employment on their land (Sanday 2002). Many have prominent positions in business, especially having to do with rice. Men are more likely to become scholars, merchants, and politicians. Inheritance of property,

including farmland and the family house, passes from mothers to daughters.

Members of each submatrilineage, constituting several generations, live together in one house or several nearby houses. Often, men and older boys live in a separate structure, such as the village mosque. In the household, the senior woman controls the power, and she makes decisions in all economic and ceremonial matters. The senior male of the sublineage has the role of representing its interests to other groups, but he is only a representative, not a powerful person in his own right.

Water buffaloes are important in both the Minangkabau rice economy and symbolically. The roofline of a traditional house has upward curves that echo the shape of water buffalo

horns. Minangkabau women's festive headdresses have the same shape. The Minangkabau are mostly Muslims, but they mix their Muslim faith with elements of earlier traditions and Hinduism. They have long-standing traditions of music, martial arts, weaving, wood carving, and making fine filigree jewelry of silver and gold.

Many of the traditional wooden houses and palaces in Western Sumatra are falling into a state of disrepair (Vellinga 2004). The matrilineal pattern of only women living in the house is changing, and today men and women are more likely to live together in nuclear households.

Thanks to Michael G. Peletz, Emory University, for reviewing this material.



(LEFT) A traditional wooden Minangkabau longhouse with its distinctive upward-pointing roof. The house interiors are divided into separate "bays" for submatrilineal groups. Many are no longer places of residence but are used as meeting halls or are falling into ruin.

(CENTER) The symbolic importance of water buffaloes, apparent in the shape of traditional rooftops, is reiterated in the shape of girls' and women's ceremonial headdress. The headdress represents women's responsibilities for the growth and strength of Minangkabau culture.

MAP 6.3 Minangkabau Region in Indonesia. The shaded area shows the traditional heartland of Minangkabau culture in western Sumatra. Many Minangkabau people live elsewhere in Sumatra and in neighboring Malaysia.



MAP 6.4 Ghana.

The Republic of Ghana has over 20 million people. Ghana has rich natural resources and exports gold, timber, and cocoa. Agriculture is the basis of the domestic economy. Several ethnic groups exist, with the Akan people constituting over 40 percent of the population. English is the official language, but another 80 or so languages are also spoken. Over 60 percent of the people are Christian, 20 percent follow traditional religions, and 16 percent are Muslim.

kin of their sponsors, only 50 percent of the girls were kin. People who sponsor nonkin girls make a cash payment to the girl's parents. These girls cook, do housecleaning, and assist in market work by carrying goods or watching the trading area. Fostered boys, most of whom are kin of their sponsors, do not perform such tasks because they attend school.

RITUALLY ESTABLISHED KINSHIP Ritually defined ties between adults and children born to other people are common among Christians, especially Catholics, worldwide. Relationships between godparents and godchildren often involve strong emotional ties and financial flows from the former to the latter.

Among the Maya of Oaxaca, Mexico (see Map 4.3, p. 78), godparenthood is both a sign of the sponsor's status and the means to increased status for the sponsor (Sault 1985). A parent's request that a particular person sponsor his or her child is a public acknowledgment of the sponsor's standing. The godparent gains influence over the godchild and can call on the godchild for labor. Being a godparent of many children

means that the godparent can amass a large labor force when needed and gain further status. Most godparents in Oaxaca are husband–wife couples, but many are women alone, a pattern that reflects the high status of Maya women.

Marriage

The third major basis for forming close interpersonal relationships is through marriage or other forms of “marriage-like” relationships, such as long-term cohabitation. The following material focuses on marriage.

TOWARD A DEFINITION Anthropologists recognize that some concept of *marriage* exists in all cultures, though it may take different forms and serve different functions. What constitutes a cross-culturally valid definition of marriage is, however, open to debate. A standard definition from 1951 is now discredited: “Marriage is a union between a man and a woman such that children born to the woman are the recognized legitimate offspring of both parents” (Barnard and Good 1984:89). This definition says that the partners must be of different genders, and it implies that a child born outside a marriage is not socially recognized as legitimate. Exceptions exist to both these features cross-culturally. Regarding the gender of partners, same-gender marriages are legal in Denmark, Norway, and Holland. Their legal status is a subject of ongoing debate in the United States and Canada.

Regarding the second, many cultures do not define the legitimacy of children on the basis of whether they were born within a marriage. Women in the Caribbean region, for example, typically do not marry until later in life. Before that, a woman has sequential male partners with whom she bears children. None of her children is considered more or less “legitimate” than any other.



A lesbian couple's wedding ceremony in Vancouver, Canada. The rights of LGBT (lesbian, gay, bisexual, and transgender people) are contested around the world. Canada was the first country in the western hemisphere to legalize same-sex marriage. In 2010, Argentina, a largely Catholic country, legalized same-sex marriage.

Other definitions of marriage focus on rights over the spouse's sexuality. But not all forms of marriage involve sexual relations; for example, the practice of *woman–woman marriage* exists among the Nuer of South Sudan (see Map 13.6, p. 285) and some other African groups (Evans-Pritchard 1951: 108–109). In this type of marriage, a woman with economic means gives gifts to obtain a “wife,” goes through the marriage rituals with her, and brings her into the residential compound just as a man would who married a woman. This wife contributes her productive labor to the household. The two women do not have a sexual relationship. Instead, the woman who marries into the household will have sexual relations with a man. Her children, though, will belong to the compound into which she married.

The many practices that come under the heading of marriage make it impossible to find a definition that will fit all cases. One might accept the following as a working definition of **marriage**: a more or less stable union, usually between two people, who may be, but are not necessarily, coresidential, sexually involved with each other, and procreative with each other.

SELECTING A SPOUSE All cultures have preferences about whom one should and should not marry or with whom one should and should not have sexual intercourse. Sometimes these preferences are informal and implicit, and other times they are formal and explicit. They include both *rules of exclusion* (specifying whom one should not marry) and *rules of inclusion* (specifying who is a preferred marriage partner).

An **incest taboo**, or rule prohibiting marriage or sexual intercourse between certain kinship relations, is one of the most basic and universal rules of exclusion. In his writings of the 1940s, Claude Lévi-Strauss proposes a reason for the universality of incest taboos by saying that, in premodern societies, incest avoidance motivated men to exchange women between families. In his view, this exchange is the foundation for all social networks and social solidarity beyond the immediate group. Such networks promote trade between areas with different resources and peace through ties established by bride exchange. So, for

him, the incest taboo has important social and economic functions: It impels people to create social organization beyond the family.

Contemporary genetic research suggests an alternate theory for universal incest taboos. It says that larger breeding pools reduce the frequency of genetically transmitted conditions. Like the theory of Lévi-Strauss, the genetic theory is functional. Each theory attributes the universal existence of incest taboos to their adaptive contribution to human survival and success, though in two different ways. Anthropological data support both theories, but ethnographic data provide some puzzles to consider.

The most basic and universal form of incest taboo is against marriage or sexual intercourse between fathers and their children and between mothers and their children. Although most cultures forbid brother–sister marriage, a few exceptions exist. The most well-known example of brother–sister marriage as an accepted practice comes from Egypt at the time of the Roman Empire (Barnard and Good 1984:92). Brother–sister marriage was the norm among royalty, and it was common among the general population, with between 15 and 20 percent of marriages between full brothers and sisters.

Further variations in close-relation marriage arise with regard to cousins. Incest taboos do not universally rule out marriage with cousins. In fact, some kinship systems promote cousin marriage, as discussed next.

Many preference rules exist cross-culturally concerning whom one should marry. Rules of **endogamy**, or marriage within a particular group, stipulate that the spouse must be from a defined social category. In kin endogamy, certain relatives are preferred, often cousins. Two major forms of cousin marriage exist. One is marriage between **parallel cousins**, either between children of one's father and one's father's brother or between children of one's mother and one's mother's sister—the term *parallel* indicates that the linking siblings are of the same gender (Figure 6.4). Parallel-cousin marriage is favored by many Muslim groups in the Middle East and northern Africa. The second form of cousin marriage is between **cross-cousins**, either between children of one's father and one's father's sister or between children of one's mother and one's mother's brother—the term *cross* indicates the different genders of the linking siblings. Hindus of southern India favor *cross-cousin marriage*. Although cousin marriage is preferred, it nonetheless is a minority of all marriages in the region. A survey of several thousand couples in the city of Chennai (formerly called Madras) in southern India showed that three-fourths of all marriages involved unrelated people, whereas one-fourth were between first cross-cousins or between uncle and niece, which is considered to be the same relationship as that of cross-cousins (Ramesh et al. 1989).

marriage a union, usually between two people who are likely to be, but are not necessarily, coresidential, sexually involved with each other, and procreative.

incest taboo a strongly held prohibition against marrying or having sex with particular kin.

endogamy marriage within a particular group or locality.

parallel cousin offspring of either one's father's brother or one's mother's sister.

cross-cousin offspring of either one's father's sister or one's mother's brother.

exogamy marriage outside a particular group or locality.

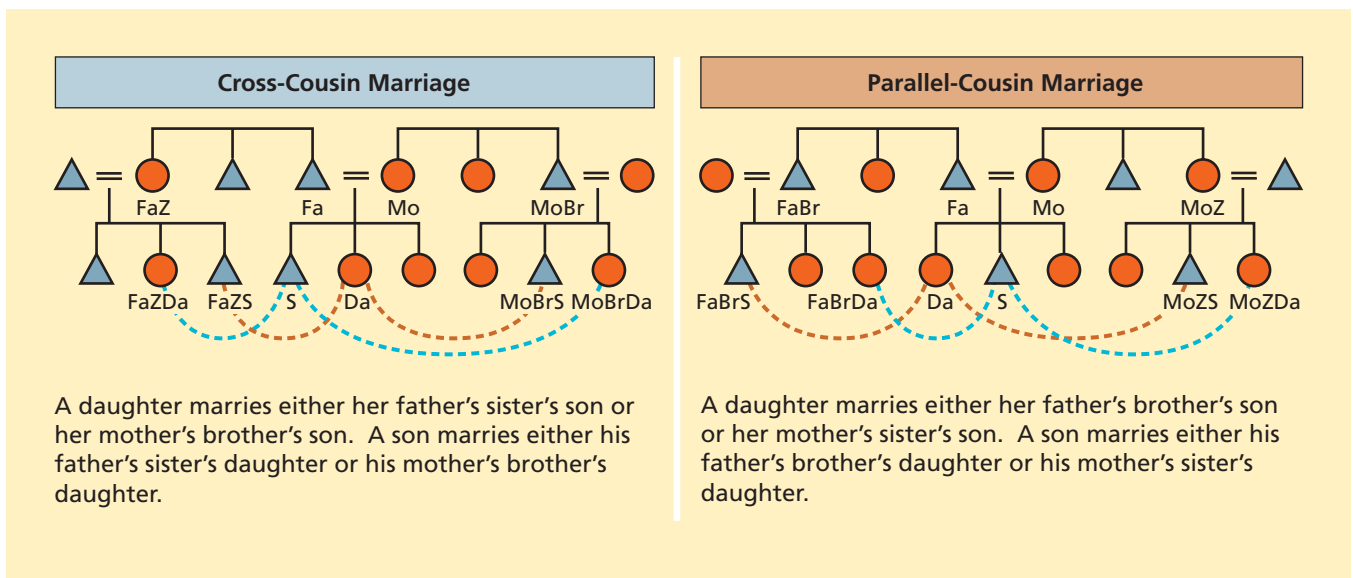


FIGURE 6.4 Two Types of Cousin Marriage.

Readers who are unfamiliar with cousin marriage may find it objectionable on the basis of the potential genetic disabilities from close inbreeding. A study of thousands of such marriages in southern India, however, revealed only a small difference in rates of congenital problems compared to cultures in which cousin marriage is not practiced (Sundar Rao 1983). Marriage networks are diffuse, extending over a wide area and offering many options for “cousins.” This situation contrasts to the much more closed situation of a single village or town. In cases where cousin marriage exists among a small and circumscribed population, then the possibility of negative genetic effects is high.

Endogamy may also be based on location. Village endogamy is preferred in the eastern Mediterranean among both Christians and Muslims. It is also the preferred pattern among Muslims throughout India and among Hindus of southern India. Hindus of northern India, in contrast, forbid village endogamy and consider it a form of incest. Instead, they practice village **exogamy**, or marriage outside a defined social group. For them, a spouse should live in a far-off village or town. In India, marriage distance is greater in the north than in the south, and northern brides are thus far less likely to be able to maintain regular contact with their birth family. Many songs and stories of northern Indian women convey sadness about being separated from their birth families.

Status considerations often shape spouse selection (Figure 6.5). (The following discussion pertains to heterosexual marriage.) *Hypergyny*, or “marrying up,” refers to a marriage in which the bride’s status is lower than the groom’s. Hypergyny is widely practiced in northern India, especially among upper-status groups. It is also prominent among many middle- and upper-class people in the United States. Because of hypergyny, women in top professions such as medicine and

law—especially women medical students in North America—are having a difficult time finding appropriate partners, since there are few, if any, options for them to “marry up.” The opposite pattern is *hypogyny*, or “marrying down,” a marriage in which the bride has higher status than the groom. Hypogyny is rare cross-culturally. *Isogamy*, marriage between partners who are status equals, occurs in cultures where male and female roles and status are equal.

Subtypes of status-based hypergyny and hypogyny occur on the basis of factors such as age and even height. Age hypergyny refers to a marriage in which the bride is younger than the groom, a common practice worldwide. In contrast, age hypogyny is a marriage in which the bride is older than the groom. Age hypogyny is rare cross-culturally but has been increasing in the United States due to the marriage squeeze on women who would otherwise prefer a husband of equal age or somewhat older.

Physical features, such as ability, looks, and appearance, are factors that may be explicitly or implicitly involved in spouse selection. Facial beauty, skin color, hair texture and length, height, and weight are variously defined as important. Height hypergyny (in which the groom is taller than the bride) is more common in male-dominated contexts. Height-isogamous marriages are common in cultures where gender roles are relatively equal and where *sexual dimorphism* (differences in shape

**THINKING
OUTSIDE
THE BOX**

What is your opinion about the relative merits of love marriages versus arranged marriages, and on what do you base your opinion?

FIGURE 6.5 Status Considerations in Partner Selection (Heterosexual Pairing).

Hypergyny	The bride marries a groom of higher status.	The groom may be wealthier, more educated, older, taller.
Hypogyny	The bride marries a groom of lower status.	The bride may be wealthier, more educated, older, taller.
Isogamy	The bride and groom are status equals.	The bride and groom have similar wealth, education, age, height.

and size of the female body compared with the male body) is not marked, as in much of Southeast Asia.

The role of romantic love in spouse selection is debated by biological determinists and cultural constructionists. Biological determinists argue that feelings of romantic love are universal among humans because they play an adaptive role in uniting males and females in offspring care. Cultural constructionists, in contrast, argue that cross-culturally romantic love is an unusual factor influencing spouse selection (Barnard and Good 1984:94). The cultural constructionists point to variations in male and female economic roles to explain cross-cultural differences in the emphasis on romantic love. Romantic love is more likely to be an important factor in relationships in cultures where men contribute more to subsistence and where women are therefore economically dependent on men. Whatever the cause of romantic love, biological or cultural or both, it is an increasingly common basis for marriage in many cultures (Levine et al. 1995).

Within the United States, microcultural variations exist in the degree to which women value romantic love as a basis for marriage (Holland and Eisenhart 1990). One study interviewed young American women entering college from 1979 to 1981 and again in 1987 after they had graduated and begun their adult lives. The research sites were two southern colleges in the United States, one attended mainly by White Euro-Americans and the other by African Americans. A contrast between the groups of women emerged. The White women were much more influenced by notions of romantic love than the Black women were. The White women were also less likely to have strong career goals and more likely to expect to be economically dependent on

their spouse. The Black women expressed independence and strong career goals. The theme of romantic love supplies young White women with a model of the heroic male provider as the ideal, with her role being one of attracting him and providing the domestic context for their married life. The Black women were brought up to be more economically independent. This pattern is related both to African traditions in which women earn and manage their own earnings and to the racially discriminatory job market in the United States that places African American men at a severe disadvantage.

Arranged marriages are formed on the basis of parents' considerations of what constitutes a "good match" between the families of the bride and groom. Arranged marriages are common in many Middle Eastern, African, and Asian countries. Some theorists claim that arranged marriages are "traditional" and love marriages are "modern." They believe that arranged marriages will disappear with modernity. Japan presents a case of an industrial/informatic economy with a highly educated population in which arranged unions still constitute a substantial proportion of all marriages, about 25 to 30 percent (Applbaum 1995). The most important criteria for a spouse are the family's reputation and social standing; the absence of undesirable traits, such as a case of divorce or mental illness in the family; education; occupation; and income.

MARRIAGE GIFTS Most marriages are accompanied by exchanges of goods or services between the families of the bride and groom (Figure 6.6). The two major forms of marital exchanges cross-culturally are dowry and brideprice. **Dowry** is the transfer of goods, and sometimes money, from the bride's side to the new married couple for their use. The dowry includes household goods such as furniture, cooking utensils, and, sometimes, rights to a house. Dowry is the main form of marriage transfer in farming societies throughout Eurasia, from Western Europe through the northern Mediterranean and into China and India. In much of India, dowry is more accurately termed *groomprice*, because much of the goods and money pass not to the new couple but rather to the groom's family (Billig 1992). In China during the Mao era, the government considered dowry a sign of women's oppression and made it illegal. The practice of giving dowry in China has returned with increased personal wealth and consumerism, especially among the newly rich urban populations (Whyte 1993).

dowry the transfer of cash and goods from the bride's family to the newly married couple.

brideprice the transfer of cash and goods from the groom's family to the bride's family and to the bride.

brideservice a form of marriage exchange in which the groom works for his father-in-law for a certain length of time before returning home with the bride.

monogamy marriage between two people.

polygamy marriage involving multiple spouses.

polygyny marriage of one husband with more than one wife.

polyandry marriage of one wife with more than one husband.

FIGURE 6.6 Types of Marriage Exchange.

	Type	Where Practiced
Dowry	Goods and money given by the bride's family to the married couple	Europe and Asia; agriculturalists and industrialists
Groomprice	A form of dowry: goods and money given by the bride's family to the married couple and to the parents of the groom	South Asia, especially northern India
Brideprice (or bride-wealth)	Goods and money given by the groom's family to the parents of the bride	Asia, Africa, and Central and South America; horticulturalists and pastoralists
Brideservice	Labor given by the groom to the parents of the bride	Southeast Asia, the Pacific, and Amazonia; horticulturalists

Brideprice, or *bridewealth*, is the transfer of goods or money from the groom's side to the bride's parents. It is common in horticultural and pastoralist cultures. **Brideservice**,

a subtype of brideprice, is the transfer of labor from the groom to his parents-in-law for a designated period. It is practiced in some horticultural societies, especially in the Amazon.

Many marriages involve balanced gifts from both the bride's and the groom's side. A longstanding pattern in the United States placed the major burden of the costs of the wedding and honeymoon on the bride's side, with the groom's side responsible for paying for the rehearsal dinner the night before the wedding. A trend toward shared costs by the bride and groom may indicate more equal relations in the marriage as well.



The Hausa are an important ethnic group of Ghana. This photograph shows a display of Hausa dowry goods in Accra, the capital city. The most valuable part of a Hausa bride's dowry is the *kayan dak'i* ("things of the room"), consisting of bowls, pots, ornamental glass, and cookware, which are conspicuously displayed in the bride's marital house so that the local women can get a sense of her worth. The bride's parents pay for these status items and for utilitarian items such as everyday cooking utensils.

FORMS OF MARRIAGE Cultural anthropologists distinguish two forms of marriage on the basis of the number of partners involved. **Monogamy** is marriage between two people—a male or female if the pair is heterosexual, or two people of the same gender in the case of a homosexual pair. Heterosexual monogamy is the most common form of marriage cross-culturally, and in many countries it is the only legal form of marriage.

Polygamy is marriage involving multiple spouses, a pattern allowed in many cultures. Two forms of polygamous marriage exist. The more common of the two is **polygyny**, marriage of one man with more than one woman. **Polyandry**, or marriage between one woman and more than one man, is rare. The only place where polyandry is commonly found is in the Himalayan region that includes parts of Tibet, India, and Nepal. Nonpolyandrous people in the area look down on the people who practice polyandrous marriage as backward (Haddix McCay 2001).

**THINKING
OUTSIDE
THE BOX**

In your microculture, what are the prevailing ideas about wedding expenses and who should pay for them?



Households and Domestic Life

In casual conversation, North Americans might use the words *family* and *household* interchangeably to refer to people who live together. Social scientists, however, propose a distinction between the two terms. A **family** is a group of people who consider themselves related through kinship. In North American English, the term may include both “close” and “distant” relatives. All members of a family do not necessarily live together or have strong bonds with one another. But they are still “family.”

A related term is the **household**, either a person living alone or one or more persons who occupy a shared living space and who may or may not be related by kinship. Most households consist of members who are related through kinship, but an increasing number do not. An example of a nonkin household is a group of friends who live in the same apartment. This section of the chapter looks at household forms and organization cross-culturally and at relationships between and among household members.

The Household: Variations on a Theme

This section considers three forms of households and the concept of household headship.

Household organization is divided into types according to how many married adults are involved. The **nuclear household** (which many people call the nuclear family) is a domestic group that contains one adult couple (married or “partners”), with or without children. An **extended household** is a domestic group that contains more than one adult married couple. The couples may be related through the father–son line (making a *patri-lineal extended household*), through the mother–daughter line (a *matrilineal extended household*), or through sisters or brothers (a *collateral extended household*). Polygynous (multiple wives) and polyandrous (multiple husbands) households are *complex households*, domestic units in which one spouse lives with or near multiple partners and their children.

The precise cross-cultural distribution of these various types of households is not known, but some broad generalizations can be offered. First, nuclear households are found in all cultures but are the exclusive household type in only about one-fourth of the

family a group of people who consider themselves related through a form of kinship, such as descent, marriage, or sharing.

household either one person living alone or a group of people who may or may not be related by kinship and who share living space.

nuclear household a domestic unit containing one adult couple (married or partners) with or without children.

extended household a coresidential group that comprises more than one parent–child unit.



A polyandrous household in Nepal.

world’s cultures (Murdock 1965 [1949]:2). Extended households are the most important form in about half of all cultures. The distribution of these two household forms corresponds roughly with the modes of livelihood (see Figure 6.1, p. 119). The nuclear form is most characteristic of economies at the two extremes of the continuum: foraging groups and industrialized/informatic societies. This pattern reflects the need for spatial mobility and flexibility in both modes of production. Extended households constitute a substantial proportion of households in horticultural, pastoralist, and farming economies.

Intrahousehold Dynamics

How do household members interact with each other? What are their emotional attachments, rights, and responsibilities? What are the power relationships between and among members of various categories, such as spouses, siblings, and those of different generations? Kinship systems define what the content of these relationships should be. In everyday life, people may conform more or less to the ideal.

SPOUSE–PARTNER RELATIONSHIPS This section discusses two areas of spousal relationships: marital satisfaction and sexual activity over the life course.

A landmark study of marriages in Tokyo in 1959 compared marital satisfaction of husbands and wives in love marriages and in arranged marriages (Blood 1967). In all marriages, marital satisfaction declined over time, but differences between the two types emerged. The decline was greatest for wives in arranged marriages and least for husbands in arranged marriages. In love-match marriages, both partners’ satisfaction dropped dramatically (a bit earlier for wives and a bit later for husbands), but both husbands and wives reported nearly equal levels of satisfaction after they had been married nine years or more.

Sexual activity of couples can be both an indication and a cause of marital satisfaction. Analysis of reports of marital sex from a 1988 survey in the United States shows that frequency per month declines steadily with the duration of marriage, from



The stem household is still common in rural areas of China and Taiwan, but the nuclear household is on the rise. Sons still try to be responsible for their parents by hosting them for visits, providing meals, and making sure they are cared for in their old age.

an average of 12 times per month for people ages 19 to 24 years to less than once a month for people 75 years of age and older (Call et al. 1995). Older married people have sex less frequently. Less happy people have sex less frequently. Within each age category, sex is more frequent among three categories of people:

- Those who are cohabiting but not married
- Those who cohabited before marriage
- Those who are in their second or later marriage

SIBLING RELATIONSHIPS Sibling relationships are an understudied aspect of intrahousehold dynamics. One example comes from research in a working-class neighborhood of Beirut, Lebanon (Joseph 1994). The anthropologist became friendly with several families and was especially close to Hanna, the oldest son in one of them. Hanna was an attractive young man, considered a good marriage choice, with friends across religious and ethnic groups. Therefore, the author reports her shock when she once heard Hanna shouting at his 12-year-old sister Flaur and slapping her across the face. Further observation of the relationship between the two suggested that Hanna was playing a fatherly role to Flaur. He was especially irritated with her if she lingered on the street near their apartment building, gossiping with other girls: “He would forcibly escort her upstairs to their apartment, slap her, and demand that she behave with dignity” (1994:51). Adults in the household thought nothing was wrong. They said that Flaur enjoyed her brother’s aggressive attention. Flaur herself commented, “It doesn’t even hurt when Hanna hits me.” She said that she hoped to have a husband like Hanna.

An interpretation of this kind of brother–sister relationship, common in Arab culture, is that it is part of a socialization process that maintains and perpetuates male domination in the household: “Hanna was teaching Flaur to accept male power in the name of love . . . loving his sister meant taking charge of her

and that he could discipline her if his action was understood to be in her interest. Flaur was reinforced in learning that the love of a man could include that male’s violent control and that to receive his love involved submission to control” (1994:52).

DOMESTIC VIOLENCE BETWEEN PARTNERS

Violence between domestic partners, with males dominating as perpetrators and women as victims, is found in nearly all cultures, although in varying forms and frequencies (Brown 1999). Wife beating is more common and more severe in contexts where men control the wealth. It is less common and less severe where women’s work groups and social networks exist. The presence of women’s work groups is related to a greater importance of women in production and matrifocal residence. These factors provide women with the means to leave an abusive relationship. For example, among the Garifuna (guh-REE-fuh-nuh), an African–Indian people of Belize, Central America, incidents of spouse abuse occur, but they are infrequent and not extended (Kerns 1999). Women’s solidarity in this matrifocal society limits male violence against women.

Increased domestic violence worldwide throws into question the notion of the house as a refuge or place of security. In the United States, evidence exists of high and increasing rates of intrahousehold abuse of children (including sexual abuse), violence between spouses or partners, and abuse of aged family members. Anthropological research helps policy makers and social workers better understand the factors affecting the safety of individuals within households so that they are able to design more effective programs to promote personal safety (see *Anthropology Works* on p. 132).

HOUSEHOLDS WITHOUT A HOME The definition of a household includes “sharing a living space.” In most cultures, that means a “home” of some sort—a structure in which people prepare and share meals, sleep, and spend time with



A shared bedroom in a battered-women’s shelter, Tel Aviv, Israel. Many people wonder why abused women do not leave their abusers. Part of the answer lies in the unavailability and low quality of shelters throughout much of the world.

ANTHROPOLOGY works

Preventing Wife Abuse in Rural Kentucky

Domestic violence in the United States is reportedly highest in the state of Kentucky. An ethnographic study of domestic violence in Kentucky reveals several cultural factors related to the high rate of wife abuse (Websdale 1995). The study included interviews with 50 abused wives in eastern Kentucky and with battered women in shelters, police officers, shelter employees, and social workers.

Three categories of isolation exist in rural Kentucky that make domestic violence particularly difficult to prevent:

1. *Physical isolation:* The women reported a feeling of physical isolation in their lives. Abusers' tactics were more effective because of geographical isolation. The tactics include disabling motor vehicles so the wife cannot leave the residence; destroying motor vehicles; monitoring odometer readings on motor vehicles; locking the thermostat in winter; driving recklessly to intimidate the wife; and discharging firearms at, for example, a pet (1995:106–107).

It is difficult or impossible for an abused woman to leave a home located many miles from the nearest paved road, especially if the woman has children. In rural Kentucky, no public transportation serves even the paved road. Nearly one-third of households had no

phones. Getting to a phone to report abuse results in delay, gives police the impression that the call is less serious, and increases a woman's sense of hopelessness. Sheriffs have acquired a very poor reputation among battered women in the region for not attending to domestic calls at all.

2. *Social isolation:* Aspects of the rural culture, including gender roles, promote a system of "passive policing." Men are seen as providers, and women are tied to domestic work and child rearing. When women do work outside the home, their wages are about 50 percent of men's wages. Marital residence is often in the vicinity of the husband's family. Thus, a woman is separated from the potential support of her natal family and is limited in seeking help in the immediate vicinity because the husband's family is likely to be nonsupportive of her. Local police officers view the family as a private unit, so they are not inclined to intervene in family problems. Because the home is the man's world and men are supposed to be dominant in the family, police are unwilling to arrest husbands accused of abuse. In some instances, the police take the batterer's side because they share the belief in a husband's right to control his wife.

3. *Institutional isolation:* Social services for battered women in Kentucky are scarce, especially in rural areas. The fact that abused women often know the people who run the services ironically inhibits the women from approaching them, given the value of family privacy. Other institutional constraints include low levels of schooling, lack of child-care centers to allow mothers the option to work outside the home, inadequate health services, and religious teaching of fundamentalist Christianity that supports values such as the idea that it is a woman's duty to stay in a marriage and "weather the storm."

These findings suggest some recommendations. First, rural women need more and better employment opportunities to reduce their economic dependency on abusive partners. To address this need, rural outreach programs should be strengthened. Expanded telephone subscriptions would decrease rural women's institutional isolation. Because of the complexity of the social situation in Kentucky, however, no single solution will be sufficient.

FOOD FOR THOUGHT ▼

Since the study was conducted, cell phone use has expanded worldwide. Will cell phones be likely to reduce women's isolation in rural Kentucky?



MAP 6.5 Kentucky, United States.

Located in the southeastern United States, in the wider Appalachian region, Kentucky's population is over 4 million. It has more farmers per square mile than any other state. Per capita income is 43rd in the 50 states. Before being occupied by British settlers in the late eighteenth century, Kentucky was the hunting grounds of the Shawnees and Cherokees. The current population is about 91 percent White, 7 percent Black, 0.6 percent American Indian, and 0.9 percent Asian. Kentucky is known for thoroughbred horse breeding and racing, bourbon and whiskey distilling, and bluegrass music.

each other in a secure environment. The ideal of a household living in a home is increasingly out of reach of millions of people around the world. The major reasons for homelessness, of families and individuals, are: poverty, warfare and conflict, natural disasters, mental illness and other disabilities, substance abuse, and domestic violence.

Addressing these causes, to prevent homelessness, is of critical importance. At the same time, providing services for those who are homeless is essential and must be based on knowledge about particular people's situation, potentials, and needs. Cultural anthropologist Philippe Bourgois and photographer Jeff Schonberg collaborated, in an effort they call *photo-ethnography*, to produce a book about homeless people in San Francisco who are addicted to heroin (2009). They followed 12 people to learn about how they became homeless, how they cope with social marginality and addiction, and the social bonds they create with other homeless people. It's impossible to reduce the many lessons of their book into a few sentences here, but one takeaway is that, in the immediate term, social policies are needed to promote *harm reduction* through providing safe injection places and clean needle programs for addicts. Providing such care for homeless addicts is something that many countries are doing. Perhaps making it more possible for more people to live in homes would help, too.

Changing Kinship and Household Dynamics

This section provides examples of how marriage and household patterns are changing. Many of these changes have roots in colonialism, whereas others are the result of recent changes caused by globalization.



Life in tents. A girl looks over an expanse of a vast array of tents three months after the January 2010 earthquake in Haiti which killed hundreds of thousands of people and displaced between one and two million people. The devastation left many widows, widowers, and orphans, thus destroying households as well as homes.

Change in Descent

Matrilineal descent is declining worldwide as a result of both European colonialism and contemporary Western globalization. European colonial rule in Africa and Asia contributed to the decline in matrilineal kinship by registering land and other property in the names of assumed male heads of household, even where females were the heads (Boserup 1970). This process eroded women's previous rights and powers. Western missionaries contributed further to transforming matrilineal cultures into patrilineal systems (Etienne and Leacock 1980). For example, European colonial influences led to the decline of matrilineal kinship among Native North Americans. Before European colonialism, North America had one of the largest distributions of matrilineal descent worldwide. A comparative study of kinship among three reservation-based Navajo groups in Arizona shows that matrilineality is stronger where conditions most resemble the pre-reservation era (Levy et al. 1989).

Among the Minangkabau of Indonesia (review Culturama, this chapter), three factors explain the decline of matrilineal kinship (Blackwood 1995):

- Dutch colonialism promoted the image of male-headed nuclear families as an ideal.
- Islamic teachings idealize women as wives and men as household heads.
- The modernizing Indonesian state has a policy of naming males as household heads.

Change in Marriage

Although the institution of marriage in general remains prominent, many of its details, including courtship, the marriage ceremony, and marital relationships, are changing. New forms of communication are profoundly affecting courtship. In a village in western Nepal (see Map 5.5, p. 110) people's



A Japanese wedding ceremony conducted at a dolphin aquarium in Yokohama while a white whale watches. The ceremony, called Dolphine Wedding, costs \$3,000 for 15 minutes with 60 attendees allowed.

► What is your fantasy for a special wedding setting? How much would you be willing to pay for it?

stories of their marriages reveal that arranged marriages have decreased and elopement has increased since the 1990s.

Nearly everywhere, the age at first marriage is rising. The later age at marriage is related to increased emphasis on completing a certain number of years of education before marriage and to higher material aspirations, such as being able to own a house. Marriages between people of different nations and ethnicities are increasing, partly because of growing rates of international migration. Migrants take with them many of their marriage and family practices. They also adapt to rules and practices in their area of destination. Pluralistic practices evolve, such as conducting two marriage ceremonies—one conforming to the “original” culture and the other to the culture in the place of destination.

A *marriage crisis* is a cultural situation in which many people who want to marry cannot do so for one reason or another. Marriage crises are more frequent now than in the past, at least as perceived and reported by young people in the so-called marriage market. Throughout much of sub-Saharan Africa, many young men are unable to raise enough money for the brideprice and other marriage expenses. The reason these days often has to do with high rates of unemployment. A case study in a town

of about 38,000 people in Niger, West Africa, illustrates these points (Masquelier 2005). Among the Mawri, who are Muslim, marriage is the crucial ritual that changes a boy into a man. The economy has been declining for some time, and typical farm or other wages are worth less than they were in earlier times. Marriage costs for the groom, however, have risen. While wealthy young men can afford to give a car to the bride’s parents, most young Mawri men cannot afford even a standard brideprice. They remain sitting at home in their parents’ house, something that, ordinarily, only females do. The many young, marriage-age women who remain single gain a reputation of being immoral, occupying a new and suspect social space between girl and wife. In China, a different kind of marriage crisis exists and is growing. Population experts project that, by 2020, about 2 million men in China will not be able to find a woman to marry. This situation is due to the one-child policy, the preference for sons, and the resulting unbalanced sex ratio (*Global Times* 2010).

On a more positive note, let’s consider weddings. Weddings are important, culture-revealing events. Style changes in weddings worldwide abound, and they all mean something in terms of the choices people make to mark the event. Factors to consider in examining changes in wedding styles are the ceremony, costs, appropriate clothing, and the possibility of a honeymoon. The Western-style *white wedding*, so-named because the bride wears a white dress, is spreading around the world, though with fascinating local adaptations in terms of its features, including what the bride and groom wear, the design of the wedding cake, floral displays, and more. Throughout Asia, advertisements and upscale stores display the Western-style white wedding gown, but not in India, where white clothing for women signifies widowhood and is inauspicious. A resurgence of local, folksy styles is occurring in some contexts. In Morocco, for example, an urban bride may wear a Western style white gown for one part of the wedding ceremony and an “exotic” Berber costume (long robes and ornate silver jewelry characteristic of the mountain pastoralists) at another stage of the ceremony. The blending of Western and non-Western elements signals a family’s complex identity in a globalizing world.

Changing Households

Globalization is creating rapid change in household structure and intrahousehold dynamics. One assumption is that the frequency of extended households will decline with industrialization and urbanization and the frequency of nuclear households will rise. Given what this chapter mentioned earlier about the relationship between nuclear households and industrialism/informatics, it is highly possible that the spread of this mode of livelihood will cause an increase in the number of nuclear households, too.

This projection finds strong confirmation in the changes that have occurred in household structure among the Kelabit (kell-uh-bit) people of highland Borneo since the early 1990s (Amster 2000). One Kelabit settlement was founded in 1963

near the Indonesian border. At the time, everyone lived in one longhouse with over 20 family units. It was a “modern” longhouse, thanks to roofing provided by the British army and the innovation of private sleeping areas. Like more traditional longhouses, though, it was an essentially egalitarian living space within which individuals could freely move. Today, that longhouse is no more. Most of the young people have migrated to coastal towns and work in jobs related to the offshore oil industry. Most houses are now single-unit homes with an emphasis on privacy. The elders complain of a “bad silence” in the village. No one looks after visitors with the old style of hospitality. There is no longer one common longhouse for communal feasts and rituals.

International migration is another major cause of change in household formation and internal relationships (discussed further in Chapter 12). A dramatic decline in fertility can occur in one generation when members of a farming household in, for example, Taiwan or Egypt migrate to England, France, Canada, or the United States. Having many children makes economic sense in their homeland, but not in the new destination. Many such migrants decide to have only one or two children. They tend to live in small, isolated nuclear households. International migration creates new challenges for relationships between parents and children. The children often

become strongly identified with the new culture and have little connection with their ancestral culture. This rupture creates anxiety for the parents and conflict between children and parents over issues such as dating, dress, and career goals.

The shape of households is also changing. In the United States, at the beginning of the twenty-first century, three kinds of households are most common: households composed of couples living in their first marriage, single-parent households, and households formed through remarriage. A rising fourth category is the *multigenerational household*, in which an *adult child*, or *boomerang kid*, lives with his or her parents. About one in three unmarried adults between the ages of 25 and 55 share a home with their mother or father or both (*Psychology Today* 1995 [28]:16). In the United States, adult offspring spend over 2 hours a day doing household chores, with adult daughters contributing about 17 hours a week and adult sons 14.4 hours. Daughters spend most of their time doing laundry, cooking, cleaning, and washing dishes. Sons are more involved in yard work and car care. Parents in multigenerational households still do three-quarters of the housework.

Kinship, households, and domestic life are certainly not dull or static topics. Just trying to keep up with changing patterns in North America is a daunting task, to say nothing of tracking changes worldwide.



(LEFT) A modern-style Kelabit longhouse built in the 1990s. It is the home of six families which formerly lived in a 20-family longhouse, seen in the background. (RIGHT) Since the 1990s, houses built for a nuclear unit have proliferated in the highlands.

6 the BIG questions REVISITED

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How do cultures create kinship?

Key differences exist between unilineal and bilineal descent systems. Within unilineal systems, further important variations exist between patrilineal and matrilineal systems in terms of property inheritance, residence rules for married couples, and the relative status of males and females. Worldwide, unilineal systems are more common than bilineal systems. Within unilineal kinship systems, patrilineal kinship is more common than matrilineal kinship.

A second important basis for kinship is sharing. Sharing one's child with someone else through either informal or formal processes is probably a cultural universal. Sharing-based kinship is created through food transfers, including breastfeeding (in some cultures, children breastfed by the same woman are considered kin and cannot marry). Ritualized sharing creates kinship, as in the case of godparenthood.

The third basis for kinship is marriage, another universal factor, even though definitions of marriage may differ substantially. All cultures have rules of exclusion and preference rules for spouses. These rules affect factors such as kinship relationships of potential spouses, region, class, wealth, education, perceptions of "looks," and more.

What are cross-cultural patterns of households and domestic life?

A household may consist of a single person living alone or may be a group comprising more than one person, each of whom may or may not be related by kinship; these individuals share a living space and, often, financial responsibilities for the household.

Nuclear households consist of a mother and father and their children, but they also can be just a husband and wife without children. Nuclear households are found in all cultures but are most common in foraging and industrial/informatic societies. Extended households include more than one nuclear household. They are most commonly found in cultures with a unilineal kinship system.

Household headship can be shared between two partners or can be borne by a single person, as in a woman-headed household. Studies of intrahousehold dynamics between parents and children and among siblings reveal complex power relationships as well as sharing and, sometimes, violence.

How are kinship and households changing?

Recent forces of change, starting with European colonialism and now globalization, have had, and continue to have, marked effects on kinship formation and household patterns and dynamics. Matrilineal systems have been declining in distribution since European colonialist expansion began in the 1500s.

Many aspects of marriage are changing, including a trend toward later age at marriage in many developing countries. Although marriage continues to be an important basis for the formation of nuclear and extended households, other options (such as cohabitation) are increasing in importance in many contexts, including urban areas in developed countries.

Contemporary changes in kinship and in household formation raise several serious questions for the future, perhaps most importantly about the care of dependent members such as children, the aged, and disabled people. As fertility rates decline and average household size shrinks, kinship-based entitlements to basic needs and emotional support disappear.

KEY CONCEPTS

bilineal descent, p. 120
brideprice, p. 128
brideservice, p. 128
cross-cousin, p. 126
descent, p. 120
dowry, p. 128

endogamy, p. 126
exogamy, p. 126
extended household, p. 130
family, p. 130
household, p. 130
incest taboo, p. 126

kinship system, p. 118
marriage, p. 126
matrilineal descent, p. 121
monogamy, p. 128
nuclear household, p. 130
parallel cousin, p. 126

patrilineal descent, p. 121
polyandry, p. 128
polygamy, p. 128
polygyny, p. 128
unilineal descent, p. 120

SUGGESTED READINGS

- Irwin Altman and Joseph Ginat, eds. *Polygamous Families in Contemporary Society*. New York: Cambridge University Press, 1996. This book provides a detailed account of polygyny as practiced in two fundamentalist Mormon communities of Utah.
- Amy Borovoy. *The Too-Good Wife: Alcohol, Codependency, and the Politics of Nurturance in Postwar Japan*. Berkeley: University of California Press, 2005. This book explores the experiences of middle-class women in Tokyo who participated in a weekly support meeting for families of substance abusers. The women attempt to cope with their husbands' alcoholism while facing the dilemma that being a good wife may be part of the problem.
- Deborah R. Connolly. *Homeless Mothers: Face to Face with Women and Poverty*. Minneapolis: University of Minnesota Press, 2000. Poor, White women on the margin of mainstream society in Portland, Oregon, describe how they attempt to be good mothers with no money, no home, and no help.
- Dorothy Ayers Counts, Judith K. Brown, and Jacquelyn C. Campbell, eds. *To Have and to Hit: Cultural Perspectives on Wife Beating*. Champaign–Urbana: University of Illinois Press, 1999. Chapters include an introductory overview and cases from Australia, southern Africa, Papua New Guinea, India, Central America, the Middle East, and the Pacific.
- Charles N. Durran, James M. Freeman, and J. A. English-Lueck. *Busier Than Ever! Why American Families Can't Slow Down*. Stanford, CA: Stanford University Press, 2007. The authors followed the daily activities of 14 American families in California. Their findings show how people try to balance the demands of work and family in a cultural context in which “busyness,” or always being busy, is an indication of success and the good life.
- Helen Bradley Foster and Donald Clay Johnson, eds. *Wedding Dress across Cultures*. New York: Berg, 2003. Chapters examine the evolution and ritual functions of wedding attire in cultures such as those of urban Japan, Alaskan Indians, Swaziland, Morocco, Greece, and the Andes.
- Jennifer Hirsch. *A Courtship after Marriage: Sexuality and Love in Mexican Transnational Marriages*. Berkeley: University of California Press, 2003. This study uses an innovative method of pairing 13 migrant women living in Atlanta, Georgia, with 13 nonmigrant counterparts in two rural towns in Mexico to learn about marriage and married life.
- Suad Joseph, ed. *Intimate Selving in Arab Families: Gender, Self, and Identity*. Syracuse, NY: Syracuse University Press, 1999. Chapters discuss family life and relationships in Arab culture, with attention to connectivity, gender inequality, and the self. Case studies are from Lebanon and Egypt.
- Laurel Kendall. *Getting Married in Korea: Of Gender, Morality, and Modernity*. Berkeley: University of California, 1996. This book examines preferences about desirable spouses, matchmaking, marriage ceremonies and their financing, and the effect of Korean women's changing work roles on their marital aspirations.
- Kanchana Ruwanpura. *Matrilineal Communities, Patriarchal Realities: A Feminist Nirvana Uncovered*. Ann Arbor: University of Michigan Press, 2007. This book describes Muslim, Sinhala, and Tamil households headed by women in Sri Lanka.
- Toby Alice Volkman, ed. *Cultures of Transnational Adoption*. Durham, NC: Duke University Press, 2005. Chapters discuss Korean adoptees as a global family, transnational adoption in North America, shared parenthood among low-income people in Brazil, and representations of “waiting children.”


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- Matthew H. Amster. 2000. It Takes a Village to Dismantle a Longhouse. *Thresholds* 20:65–71. The author's fieldwork among the Kelabit people informs this discussion of the decline of longhouse living in favor of nuclear households.
- Anthony Marcus. 2005. Whose Tangle Is It Anyway? The African-American Family, Poverty and United States Kinship. *The Australian Journal of Anthropology* 16:47–61. The author carried out participant observation among homeless African American and Latino men and their families in New York City.


- His findings show that all families in the United States share the same values about marriage and reproduction; poor African Americans face more stress in achieving these values.
- Chassidy Puchala, Sarah Paul, Carla Kennedy, and Lewis Mehi-Madrona. Using Traditional Spirituality to Reduce Domestic Violence within Aboriginal Communities. *Journal of Alternative and Complementary Medicine* 16:89–96. Canadian aboriginal victims of domestic abuse who were referred to a Traditional Healing Elder were helped, perhaps through hearing stories of nonviolent relationships.



the **BIG** questions

 What are social groups and how do they vary cross-culturally?

 What is social stratification?

 What is civil society?

SOCIAL GROUPS AND SOCIAL STRATIFICATION

◀ Uyghurs (wee-gerz) are a Turkic ethnic population. Most live in China's Xinjiang Uyghur Autonomous Region (XUAR) and are Muslim. The Chinese state puts pressure on Uyghurs, particularly young women, to leave the XUAR to work in factories in the south where they encounter language and other cultural barriers.

7

OUTLINE

Social Groups

Everyday Anthropology: Making Friends

Social Stratification

Culturama: The Roma of Eastern Europe

Civil Society

Anthropology Works: Forensic Anthropology for the Maya of Guatemala

In the early 1800s, when French political philosopher Alexis de Tocqueville visited the United States and characterized it as a “nation of joiners,” he implied that people in some cultures are more likely to join groups than are people in other cultures. The questions of what motivates people to join groups, what holds people together in groups, and how groups deal with leadership and participation have intrigued scholars in many fields for centuries.

This chapter focuses on nonkin groups and microculture formation. Chapter 1 defined several factors related to microcultures: class, “race,” ethnicity, indigeneity, gender, age, and institutions such as prisons and retirement homes. This chapter looks at how microcultures shape group identity and organization and the relationships among different groups in terms of hierarchy and power. It first examines a variety of social groups ranging from small scale to large scale and then considers inequalities among social groups. The last section looks at the concept of civil society and provides examples of it.

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Social Groups

A **social group** is a cluster of people beyond the domestic unit who are usually related on a basis other than kinship, although kinship relationships may exist between people in the group. Two basic categories exist: the **primary group**, consisting of people who interact with each other and know each other personally, and the **secondary group**, consisting of people who identify with one another on some common ground but who may never meet with one another or interact with each other personally.

Members of all social groups have a sense of rights and responsibilities in relation to the group. Membership in a primary group, because of the face-to-face interaction, involves more direct accountability about rights and responsibilities than does membership in a secondary group.

Modes of livelihood affect the formation of social groups, with the greatest variety of groups found in agricultural and industrial/informatic societies (Figure 7.1). One

social group a cluster of people beyond the domestic unit who are usually related on grounds other than kinship.

primary group a social group in which members meet on a face-to-face basis.

secondary group a group of people who identify with one another on some basis but may never meet with one another personally.

age set a group of people close in age who go through certain rituals, such as circumcision, at the same time.

theory explaining this pattern is that mobile populations, such as foragers and pastoralists, are less likely to develop enduring social groups beyond kin relationships simply because they have less social density and less continuous interaction than more settled populations have. Although foragers and pastoralists have fewer types of social groups, they do not completely lack social groupings. A prominent form of social group among foragers and pastoralists is an **age set**, a group of people close in age who go through certain rituals, such as circumcision, at the same time.

Although it is generally true that settled populations have more social groups, as a way to organize society, some important exceptions exist. In accordance with this generalization, many informal and formal groups are active throughout Africa, Latin America, and Southeast Asia, but such groups are less prominent in South Asia, a region that includes Pakistan, India, Nepal, Bhutan, Bangladesh, and Sri Lanka. In Bangladesh (Map 7.1), for example, a densely populated and agrarian country of South Asia, indigenous social groups are rare. The most prominent ties beyond the immediate household are kinship based (Miller and Khan 1986). In spite of the lack of indigenous social groups, Bangladesh has gained world renown since the later twentieth century for its success in forming local groups through an organization called the Grameen Bank, which offers *microcredit* (small loans) to poor people to help them start small businesses. Likewise,



MAP 7.1 Bangladesh.

The People’s Republic of Bangladesh is located on a deltaic floodplain with rich soil and risk of flooding. One of the world’s most densely populated countries, Bangladesh has nearly 150 million people living in an area about the size of the state of Wisconsin. Bangladesh is the world’s third-largest Muslim-majority country.

Foraging	Horticulture	Pastoralism	Agriculture	Industrialism/Informatics
Characteristics				Characteristics
Informal and primary Egalitarian structure Ties based on balanced exchange		Ritual ties	Formal and secondary Recognized leadership Dues and fees	
Functions				Functions
Companionship		Special purposes Work, war, lobbying government		
Types				Types
Friendship	Friendship Age-based work groups Gender-based work groups		Friendship Urban youth gangs Clubs, associations	
		Status Groups: Class, "race," ethnicity, caste, age, gender		
		Institutional Groups: Prisons, retirement homes		
		Quasi-Political Groups: Human rights, environmental groups		

FIGURE 7.1 Modes of Livelihood and Social Groups.

throughout the rest of South Asia, the modern era has seen the rise of many active social groups, including those dedicated to preserving traditional environmental knowledge, promoting women and children’s health and survival, and advocating for lesbian and gay rights.

This section describes a variety of social groups, starting with the most face-to-face, primary groups of two or three people based on friendship. It then moves to larger and more formal groups, such as countercultural groups and activist groups.

Friendship

Friendship refers to close social ties between at least two people in which the ties are informal, are voluntary, and involve personal, face-to-face interaction. Generally, friendship involves people who are nonkin, but in some cases kin are also friends. (Recall the Tory Islanders discussed in Chapter 6.) Friendship fits into the category of a primary social group. One question that cultural anthropologists ask is whether friendship is a cultural universal. Two factors make it difficult to answer this question. First, insufficient cross-cultural research exists to answer the question definitively. Second, defining friendship cross-culturally is problematic. It is likely, however, that something like “friendship” is a cultural universal but shaped in different degrees from culture to culture (see *Everyday Anthropology*).

SOCIAL CHARACTERISTICS OF FRIENDSHIP People choose their friends, and friends remain so on a voluntary

basis. Even so, the criteria for who qualifies as a friend may be culturally structured. For instance, gender segregation may prevent cross-gender friendships and promote same-gender friendships, and racial segregation limits cross-“race” friendships. Another characteristic of friendship is that friends are supportive of each other, psychologically and sometimes materially. Support is mutual, shared back and forth in an expectable way (as in balanced exchange; see Chapter 3). Friendship generally occurs between social equals, although there are exceptions, such as friendships between older and younger people, between a supervisor and a staff worker, or between a teacher and a student.

Sharing stories is often a basis of friendship groups. According to a study that focused on interactions among men’s friendship groups in rumshops in Guyana (gy-AH-nuh) (Map 7.2 on p. 143), Indo-Guyanese men who have known each other since childhood spend time every day at the rumshop, eating, drinking, and regaling each other with stories (Sidnell 2000). Through shared storytelling about village history and other aspects of local knowledge, men display their equality with each other. The pattern of storytelling, referred to as “turn-at-talk,” in which efforts are made to include everyone as a storyteller in turn, also serves to maintain equality and solidarity. These friendship groups are tightly knit, and the members can call on one another for economic, social, and political help.

Participant observation and interviews with a sample of rural and urban, low-income Jamaicans reveal that cell phone use is frequent (Horst and Miller 2005). Jamaicans are keenly aware of their call lists and how often they have kept in touch

everyday ANTHROPOLOGY

Making Friends

People's daily activities are often the basis of friendship ties. In Andalucia, southern Spain (see Map 2.4, p. 40), men and women pursue separate kinds of work and, relatedly, have different friendship patterns (Uhl 1991). Men's work takes place outside the house and neighborhood, either in the fields or in manufacturing jobs. Women devote most of their time to unpaid household work within the domestic domain. This dichotomy is somewhat fluid, however, as women's domestic roles sometimes take them to the market or the town hall.

For men, an important category of friend is an *amigo*, a friend with whom one casually interacts. This kind of friendship is acted out and maintained in bars where men drink together nightly. Bars are a man's world. Amigos share common experiences of school, sports and hobbies, and working together. In contrast, women refer to their friends using kin terms or as *vecina*, "neighbor," reflecting women's primary orientation to family and neighborhood.

Differences also emerge in the category of *amigos(as) de verdad*, or "true friends." True friends are those



A shepherd in Andalucia, southern Spain. In rural areas of Andalucia, as in much of the Mediterranean region, the division of labor is distinct and according to gender, with men working outside the home and women working inside or near the home. Friendship formation follows this pattern. Men form ties with men in cafes and bars after work, and women establish ties with other women in the neighborhood.

with whom one shares secrets without fear of betrayal. Men have more true friends than women do, a pattern that reflects their wider social networks.

FOOD FOR THOUGHT ▼

What categories of friends do you have? Are friends in some categories "closer" or "truer" than others? What is the basis of close friendship?

with the many individuals on their lists. Cell phones allow for "linking up," or creating extensive networks that include close friends, possible future sexual partners, and members of one's church. Phone numbers of kin are also prominent on people's cell phone number lists. By linking up periodically with people on their lists, low-income Jamaicans maintain friendship and other ties with people on whom they can call when they need support. Cell phones allow a more extensive network of friends and other contacts than was previously possible.

FRIENDSHIP AMONG THE URBAN POOR Carol Stack wrote a landmark book in the early 1970s showing how friendship networks promote economic survival among low-income, urban African Americans (1974). She conducted fieldwork in "The Flats," the poorest section of a Black community in a large midwestern city. She found extensive

networks of friends "supporting, reinforcing each other—devising schemes for self help, strategies for survival in a community of severe economic deprivation" (1974:28).

People in the Flats, especially women, maintain a set of friends through exchange: "swapping" goods (food, clothing) needed by someone at a particular time, sharing "child keeping," and giving or lending food stamps and money. Such exchanges are part of a clearly understood pattern—gifts and favors go back and forth over time. Friends thus bound together are obligated to each another and can call on each other in time of need. In opposition to theories that suggest the breakdown of social relationships among the very poor, this research documents how poor people strategize and cope through social ties. In the intervening decades, many other studies have documented the positive aspects of friendship among people of all social classes. Friendship, like all other social groups, has its



MAP 7.2 Caribbean Countries of South America.

The ethnically and linguistically diverse countries of the Caribbean region of South America include Guyana, Suriname, and French Guiana. Guyana, or the Co-operative Republic of Guyana, is the only South American country whose official language is English. Other languages are Hindi, Wai Wai, and Arawak. Its population is 800,000. The Republiek Suriname, or Surinam, was formerly a colony of the Netherlands and is the smallest independent state in South America. Its population is 440,000. Dutch is the official language, but most Surinamese also speak Sranang Tongo, or Surinaams, a mixture of Dutch, English, Portuguese, French, and local languages. French Guiana is an overseas department of France and is thus part of the European Union. The smallest political unit in South America, its population is 200,000. Its official language is French, but several other languages are spoken, including indigenous Arawak and Carib.

downside, however, since one is never friends with everyone. More vividly, bullying, or behavior that serves to belittle and often viciously exclude individuals, can be considered the harsh opposite of befriending someone. To date, almost no anthropological research has been conducted on bullying.

Clubs and Fraternities/Sororities

Clubs and *fraternities/sororities* are social groups that define membership in terms of a sense of shared identity and objectives. They may comprise people of the same ethnic heritage, occupation or business, religion, or gender. Although many clubs appear to exist primarily to serve functions of sociability and psychological support, deeper analysis often shows that these groups have economic and political roles as well.

Women's clubs in a lower class neighborhood in Paramaribo, Suriname (see Map 7.2), have multiple functions (Brana-Shute 1976). Here, as is common elsewhere in Latin America, clubs raise funds to sponsor special events and support individual celebrations, meet personal financial needs, and send cards and flowers for funerals. Members attend each



The game of dominoes is popular among men around the world. Men often play dominoes in public spaces, cheered on by male friends as here (TOP) in a low-income neighborhood in Rio de Janeiro, Brazil, in Baghdad, Iraq (CENTER), and in Beijing, China (BOTTOM).

► *Twenty years from now, do you think men in these same contexts will be playing dominoes? If not, what might they be playing?*



Fraternalities and sororities, or “Greek” organizations on college campuses, serve a wide variety of functions, from hedonistic partying as at this weekend gathering (LEFT) at the University of San Francisco to performing public service. They may also create and promote new cultural forms of expression. Step dancing grew out of African American school-yard dancing and was picked up and developed by college fraternities and sororities. At Howard University, a historically Black university in Washington, DC, the Delta Sigma Theta Sorority step team members (RIGHT) are national winners in this performance genre.

other’s birthday parties and death rites as a group. The clubs thus offer the women psychological support, entertainment, and financial help. A political aspect exists, too. Club members often belong to the same political party and attend political rallies and events together. The clubs therefore constitute political interest groups that can influence political outcomes. Politicians and party workers confirmed that real pressure is exerted on them by women individually and in groups.

College fraternities and sororities are highly selective groups that serve a variety of explicit functions, such as entertainment and social service. They also form bonds between members that may help in securing jobs after graduation. Few anthropologists have studied the “Greek system” on U.S. campuses. An exception is Peggy Sanday, who was inspired to study college fraternities after the gang rape of a woman student by several fraternity brothers at the campus where she teaches. Her book *Fraternity Gang Rape: Sex, Brotherhood, and Privilege on Campus* (1990) explores initiation rituals and how they are related to male bonding solidified by victimization and ridicule of women. Gang rape, or a “train,” is a prevalent practice in some, not all, fraternities. Fraternity party invitations may hint at the possibility of a “train.” Typically, the brothers seek out a “party girl”—a somewhat vulnerable young woman who may be especially needy of acceptance or especially high on alcohol or other substances (her drinks may have been “spiked”). They take her to one of the brothers’ rooms, where she may or may not agree to have sex with one of the brothers, and she often passes out. Then a “train” of men have sex with

her. Rarely prosecuted, the male participants reinforce their sense of privilege, power, and unity with one another through a group ritual involving abuse of a female outsider.

In many indigenous Amazonian groups, the men’s house is fiercely guarded from being entered by women. If a woman trespasses on male territory, men punish her by gang rape. One interpretation of this cultural practice is that men have a high degree of anxiety about their identity as fierce warriors and as sexually potent males (Gregor 1982). Maintaining their identity as fierce and forbidding toward outsiders involves taking an aggressive position in relation to women of their own group.

Cross-culturally, women do not tend to form *androphobic* (“man-hating” or otherwise anti-male) clubs, the logical parallel of *gynophobic* (“woman-hating” or otherwise anti-female) men’s clubs. College sororities, for example, are not mirror images of college fraternities. Although some sororities’ initiation rituals are psychologically brutal to the pledges, bonding among the members does not involve abusive behavior toward men.

Countercultural Groups

Several kinds of groups comprise people who, for one reason or another, are outside the “mainstream” of society and resist conforming to the dominant cultural pattern. The so-called hippies of the 1960s were one such group. One similarity among these groups, as with clubs and fraternities, is the importance of bonding through shared initiation and other rituals.

YOUTH GANGS The term **youth gang** refers to a group of young people, found mainly in urban areas, who are often

youth gang a group of young people, found mainly in urban areas, who are often considered a social problem by adults and law enforcement officials.

considered a social problem by adults and law enforcement officials (Sanders 1994).

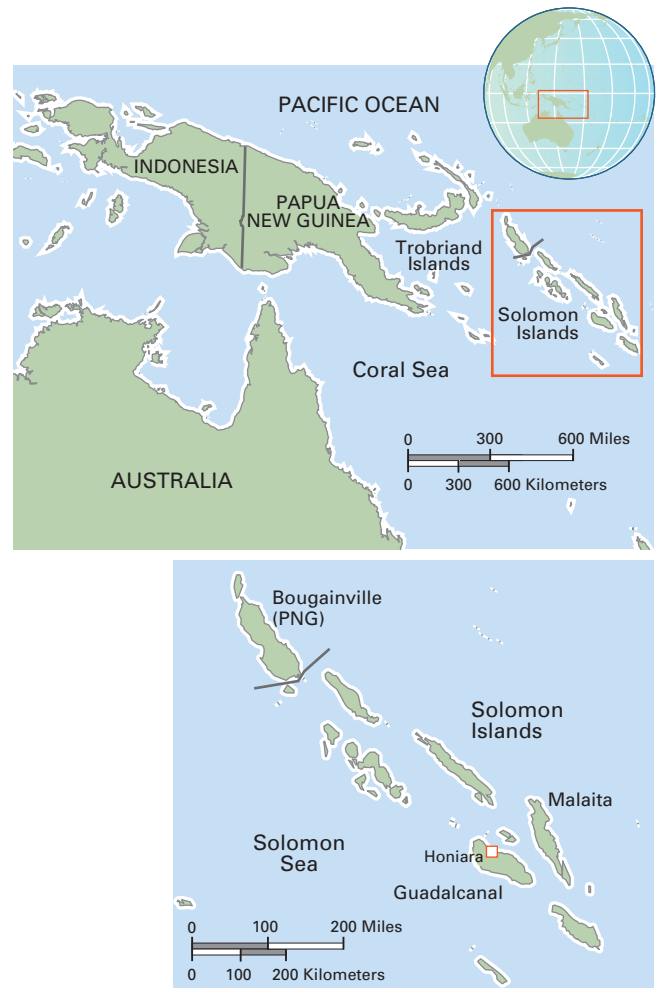
Youth gangs vary in terms of how formally they are organized. Like clubs and fraternities, gangs often have a recognized leader, formalized rituals of initiation for new members, and symbolic markers of identity, such as tattoos or special clothing. An example of an informal youth gang with no formal leadership hierarchy or initiation rituals is that of the “Masta Liu” in Honiara, the capital city of the Solomon Islands in the South Pacific (Jourdan 1995) (Map 7.3). Unemployment is the primary unifying feature of the male youths who become Masta Liu. Most have migrated to the city from the countryside to escape what they consider an undesirable lifestyle there: working in the fields under control of their elders. Some Liu live with extended kin in the city; others organize Liu-only households. They spend their time wandering around town, referred to locally as going *wakabaot*, in groups of up to 10:

They stop at every shop on their way, eager to look at the merchandise but afraid to be kicked out by the security guards; they check out all the cinemas only to dream in front of the preview posters . . . not even having the \$2 bill that will allow them to get in; they gaze for hours on end, and without moving, at the electronic equipment displayed in the Chinese shops, without saying a word: One can read in their gaze the silent dreams they create. (1995:210)

Street gangs are a more formal variety of youth gang. They generally have leaders and a hierarchy of membership roles and responsibilities. They are named, and their members mark their identity with tattoos or “colors.” Much popular thinking associates street gangs with violence, but not all are involved in violence. An anthropologist who did research among nearly 40 street gangs in New York, Los Angeles, and Boston learned much about why individuals join gangs, providing insights that also contradict popular thinking (Jankowski 1991). One common perception is that young boys join gangs because they are from homes with no male authority figure with whom they identify. In the gangs studied, about half of the gang members were from intact nuclear households. Another common perception is that the gang replaces a missing feeling of family. This study showed that the same number of gang members reported having close family ties as those who did not.

Why, then, did young men join an urban gang? The research revealed that many gang members had a particular personality type called a *defiant individualist*. The defiant individualist type has five characteristics:

- Intense competitiveness
- Mistrust of others
- Self-reliance
- Social isolation
- A strong survival instinct



MAP 7.3 The Solomon Islands.

This country consists of nearly 1000 islands. Its capital, Honiara, is located on the island of Guadalcanal. The population is 540,000. Most of the people earn a living through small-scale farming and fishing. Commercial exploitation of local timber has led to severe deforestation. Over 70 languages are spoken, and an additional 4 have recently gone extinct. The majority of the people are Christian, mainly Anglican. The Solomons were the site of some of the bitterest fighting during World War II.

A structuralist view suggests that poverty, especially urban poverty, leads to the development of this kind of personality, which is a reasonable response to the prevailing economic obstacles and uncertainty. To explain the global spread of urban youth gangs, structuralists point to widespread economic changes in urban employment opportunities. In many countries, a declining urban industrial base has created persistent poverty in inner-city communities. At the same time, schooling and the popular media promote aspirations for a better life. Urban gang members, in this view, are the victims of large structural forces beyond their control that both inspire them to want aspects of a successful lifestyle and prevent them from achieving the legal means to obtain their aspirations. Many of these youths want to be economically successful, but social conditions channel their interests and skills into illegal pursuits rather than into legal pathways to achievement.

BODY MODIFICATION GROUPS One of the many countercultural movements in the United States includes people who have a sense of community strengthened through forms of body alteration. Research in California shows that people feel that they are a special group because of their interest in permanent body modification, especially genital piercing, branding, and cutting (Myers 1992).

The researcher witnessed many modification sessions at workshops: Those seeking modification go up on stage and have their chosen procedure done by a well-known expert. Whatever the procedure, the volunteers exhibit little pain—usually just a sharp intake of breath at the moment the needle passes through or the brand touches skin. After that critical moment, the audience breathes an audible sigh of relief. The volunteer stands up and adjusts his or her clothing, and members of the audience applaud. This public event is a kind of initiation ritual that binds the expert, the volunteer, and the group together. Pain is an important part of many rites of passage. In this case, the audience witnesses and validates the experience and becomes joined to the initiate through witnessing.

The study revealed that a prominent motivation for seeking permanent body modification was a desire to identify with a specific group of people. As one participant said,

It's not that we're sheep, getting pierced or cut just because everyone else is. I like to think it's because we're a very special group and we like doing something that sets us off from others . . . Happiness is standing in line at a cafeteria and detecting that the straight-looking babe in front of you has her nipples pierced. I don't really care what her sexual orientation is, I can relate to her. (1992:292)

Cooperatives

Cooperatives are a form of economic group in which surpluses are shared among the members and decision making follows the democratic principle of each individual member having one vote (Estrin 1996). Agricultural and credit cooperatives are the most common forms of cooperatives worldwide, followed by consumer cooperatives.

In Panama's eastern coastal region, indigenous Kuna (koo-nuh) women long have sewn beautiful *molas*, or cloth



(LEFT) A Tahitian chief wears tattoos that indicate his high status. (RIGHT) A woman with tattooed arms and pierced nose in the United States.

► In your microcultural experience, what do tattoos mean to you when you see someone with them?



Kuna Indian woman sewing a mola, San Blas Islands, Panama.

► [Learn more about molas from the Web.](#)

with appliquéd designs (Map 7.4). Kuna make this cloth for their own use as clothing, but since the 1960s, molas have been important items for sale both on the world market and to tourists who come to Panama (Tice 1995). Revenue from selling molas to tourists, as well as internationally, is now an important part of the household income of the Kuna. Some women continue to operate independently, buying their own cloth and thread and selling their molas either to an intermediary who exports them or in the local tourist market. But many women have joined cooperatives that offer them greater economic security. The cooperative buys cloth and thread in bulk and distributes it to the women. The women are paid almost the entire sale price for each mola, with only a small amount of the eventual sale prices being taken out for cooperative dues and administrative costs. Their earnings are steadier than what the fluctuating tourist season offers. Other benefits from being a member of the cooperative include its use as a consumer's cooperative (buying rice and sugar in bulk for members), a source of mutual strength and support, and a place for women to develop greater leadership skills and to take advantage of opportunities for political participation in the wider society.



MAP 7.4 Kuna Region in Panama.

The Kuna are an indigenous people who live mainly in the eastern coastal region of Panama, including its offshore islands. Some live in cities, and a few live in villages in neighboring Colombia. The Kuna population is around 150,000. Farming, fishing, and tourism are important parts of the economy. Each community has its own political organization, and the Kuna as a whole are organized into the Kuna General Congress. Most speak Kuna, or Dulegaya (“People’s Language”), and Spanish. They follow traditional religious practices, often with a mixture of Christian elements.



Social Stratification

Social stratification consists of hierarchical relationships among different groups, as though they were arranged in layers, or *strata*. Stratified groups may be unequal on a variety of measures, including material resources, power, human welfare, education, and symbolic attributes. People in groups in higher positions have privileges not experienced by those in lower echelon groups, and they are likely to be interested in maintaining their privileged positions. Social stratification appeared late in human history, most clearly with the emergence of agriculture. Now some form of social stratification is nearly universal.

Analysis of the categories—such as class, “race,” gender, age, and indigeneity—within stratification systems reveals a crucial difference among them in the degree to which membership in a given category is an **ascribed position**, based on qualities of a person gained through birth, or an **achieved position**, based on qualities of a person gained through action. Ascribed

social stratification a set of hierarchical relationships among different groups as though they were arranged in layers, or “strata.”

ascribed position a person’s standing in society based on qualities that the person has gained through birth.

achieved position a person’s standing in society based on qualities that the person has gained through action.

positions may be based on one's "race," ethnicity, gender, age, or physical ability. These factors are generally out of the control of the individual, although some flexibility exists for gender (through surgery and hormonal treatments) and for certain kinds of physical conditions. Also, one can sometimes "pass" as a member of another "race" or ethnic group. Age is an interesting ascribed category because an individual goes through several different status levels associated with age. Achievement as a basis for group membership means that a person's membership in the group is based on some valued attainment. Ascribed systems are thus more "closed," and achievement-based systems more "open," in terms of mobility, either upward or downward, within the system. Some scholars of social status believe that modernization during the twentieth century and increased social complexity led to a rise in achievement-based positions and a decline in ascription-based positions. The material that follows explores how social categories define group membership and relations of inequality among groups.

Societies place people into categories—student, husband, child, retired person, political leader, or member of Phi Beta Kappa—referred to as a person's **status**, or position or standing in society (Wolf 1996). Each status has an accompanying role, which is expected behavior for someone of a particular status, and a "script" for how to behave, look, and talk. Some statuses have more prestige attached to them than others. Within societies that have marked status positions, different status groups are marked by a particular lifestyle, including the goods they own, their leisure activities, and their linguistic styles. The maintenance of group position by the higher status categories is sometimes accomplished by exclusionary practices in relation to lower-status groups through a tendency toward group in-marriage and socializing only within the group. Groups, like individuals, have status, or standing, in society.

Achieved Status: Class

Social class (defined in Chapter 1) refers to a person's or group's position in society and is defined primarily in economic terms. In many cultures, class is a key factor in determining a person's status, whereas in others, it is less important than, for example, birth into a certain family. Class and status, however, do not always match. A rich person may have become wealthy in disreputable ways and never gain high status. Both status and class groups are secondary groups, because a person is unlikely to know every other member of the group, especially in large-scale societies.

In capitalist societies, the prevailing ideology is that the system allows for upward mobility and that every individual

has the option of moving up. Some anthropologists refer to this ideology as *meritocratic individualism*, the belief that rewards go to those who deserve them (Durrenberger 2001). In contrast, a structuralist perspective points to the power of economic class position in shaping a person's lifestyle and his or her ability to choose a different one. Obviously, a person who was born rich can, through individual agency, become poor, and a poor person can become rich. In spite of exceptions to the rule, a person born rich is more likely to lead a lifestyle typical of that class, just as a person born poor is more likely to lead a lifestyle typical of that class.

The concept of class is central to the theories of Karl Marx. Situated within the context of Europe's Industrial Revolution and the growth of capitalism, Marx wrote that class differences, exploitation of the working class by the owners of capital, class consciousness among workers, and class conflict were forces of change that would eventually spell the downfall of capitalism.

Ascribed Status: "Race," Ethnicity, Gender, and Caste

Four major ascribed systems of social stratification are based on divisions of people into unequally ranked groups on the basis of, respectively, "race," ethnicity (defined in Chapter 1), gender, and caste, the last a ranked group determined by birth and often linked to a particular occupation and to South Asian cultures. Like status and class groups, these four categories are secondary social groups, because no one can have a personal relationship with all other members of the entire group. Each system takes on local specificities, depending on the context. For example, "race" and ethnicity are interrelated and overlap with conceptions of culture in much of Latin America, although what they mean in terms of identity and status differs in different countries in the region (de la Cadena 2001). For some, the concept of **mestizaje** (mes-tee-SAH-hay), from the word *mestizo*, literally means "racial" mixture. In Central and South America, it refers either to people who are cut off from their Indian roots or to literate and successful people who retain some indigenous cultural practices. One has to know the local system of categories and meanings attached to them to understand the dynamics of inequality that go with them.

Systems based on differences defined in terms of "race," ethnicity, gender, and caste share some important features with each other and with class-based systems. First, they relegate large numbers of people to particular levels of entitlement to livelihood, power, security, esteem, and freedom (Berreman 1979 [1975]:213). This simple fact should not be overlooked. Second, those with greater entitlements dominate those with lesser entitlements. Third, members of the dominant groups tend to seek to maintain their position, consciously or unconsciously. They do this through institutions that control ideology among the dominated and through institutions that physically suppress potential rebellion or subversion by

status a person's position, or standing, in society.

mestizaje literally, a racial mixture; in Central and South America, indigenous people who are cut off from their Indian roots, or literate and successful indigenous people who retain some traditional cultural practices.



In California, a mural greets visitors on the exterior of Oakland's Ella Baker Center for Human Rights, a nonprofit social-justice organization that lobbies for green jobs programs for inner-city youth. The concept of green jobs programs was invented by Van Jones, the former White House Special Advisor for Green Jobs during 2009. Oakland is one of the most violent cities in the United States, with high levels of pollution, poverty, and unemployment. The green jobs program's goal is to prepare inner-city inhabitants to compete for the green jobs that will be created in the next 10 years.

the dominated (Harris 1971, quoted in Mencher 1974:469). Fourth, in spite of efforts to maintain systems of dominance, instances of subversion and rebellion do occur, indicating the potential for agency among the oppressed.

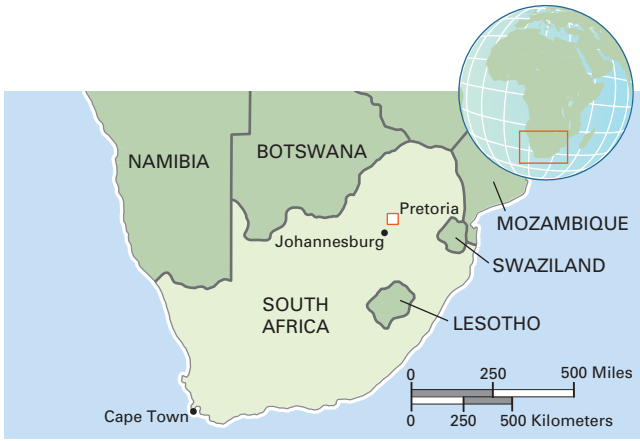
“RACE” Racial stratification is a relatively recent form of social inequality. It results from the unequal meeting of two formerly separate groups through colonization, slavery, and other large-group movements (Sanjek 1994). Europe's “age of discovery,” beginning in the 1500s, ushered in a new era of global contact. In contrast, in relatively homogeneous cultures, ethnicity is a more important distinction than “race.” In contemporary Nigeria, for example, the population is largely homogeneous and *ethnicity* is the more salient term (Jinadu 1994). A similar situation prevails in other African states as well as in the Middle East, Central Europe and Eurasia, and China.

A key feature of racial thinking is its insistence that behavioral differences among peoples are “natural,” inborn, or biologically caused. Throughout the history of racial categorizations in the West, such features as head size, head shape, and brain size have been accepted as reasons for behavioral differences. Writing early in the twentieth century, Franz Boas contributed to de-linking supposed inborn, racial attributes from behavior (review Chapter 1). He showed that people with the same head size but from different cultures behaved differently and that people with various head sizes within the same cultures behaved similarly. For Boas and his followers, culture, not biology, is the key explanation for behavior. Thus, “race” is not a biological reality; there is no way to divide the human population into “races” based on certain biological features. Yet social race and racism exist. In other words, in many contexts the concept of “race” has a social reality in terms of people's entitlements,

status, and treatment. In spite of some progress in reducing racism in the United States in the twentieth century, racial discrimination persists.

Racial classifications in the Caribbean and in Latin America involve complicated systems of status classification. The complexity results from the variety of contact over the centuries between peoples from Europe, Africa, Asia, and indigenous populations. Skin tone is one basis of racial classification, but it is mixed with other physical features and economic status as well. In Haiti, for example, racial categories take into account physical factors such as skin texture, depth of skin tone, hair color and appearance, and facial features (Trouillot 1994). Racial categories also include a person's income, social origin, level of formal education, personality or behavior, and kinship ties. Depending on how these variables are combined, a person occupies one category or another—and may even move between categories. Thus, a person with certain physical features who is poor will be considered to be a different “color” than a person with the same physical features who is well off.

An extreme example of racial stratification was the South African policy of apartheid, the legally sanctioned segregation of dominant Whites from non-Whites. White dominance in South Africa (Map 7.5) began in the early 1800s with White migration and settlement. In the 1830s, slavery was abolished. At the same time, increasingly racist thinking developed among Whites (Johnson 1994:25). Racist images, including images of Africans as lazy and politically disorganized, served as part of the rationale for colonialist domination. In spite of years of African resistance to White domination, the Whites succeeded in maintaining and increasing their control for nearly two centuries. In South Africa, Blacks constitute 90 percent of the population, a numerical majority that was long dominated,



MAP 7.5 South Africa.

The Republic of South Africa experienced the highest level of colonial immigration of any African country. Its rich mineral wealth attracted interest from global powers through the Cold War era. Of its population of over 46 million, 80 percent are Black South Africans. The rest are of mixed ethnic backgrounds (referred to as “Coloureds”), Indian (from India), or White descendants of colonial immigrants. South Africa has 11 official languages, and it recognizes 8 nonofficial languages. Afrikaans and English are the major languages of the administration. Nonofficial languages include those of the San and other indigenous peoples.

through strict apartheid, by the White minority until 1994. During apartheid, every measure of the quality of life—infant mortality, longevity, education—showed great disparity between the Whites and the Africans. In addition to suffering from physical deprivation, Black South Africans experienced psychological suffering through constant personal insecurity caused by the threat and actuality of police raids and other forms of violence directed against them. Now, Black South Africans continue to face the scourge of continuing poverty and disenfranchisement as well as excess death and suffering from HIV/AIDS.

In contrast to the explicitly racist discrimination of South African apartheid, racism exists even where it is against the law to discriminate against people on the basis of race. In such contexts, structural violence as played out through racism is often denied by those in power, a fact that makes it especially difficult to fight. In the United States, racism plays out in many areas of life, including environmental pollution.

ETHNICITY Ethnicity is group membership based on a shared sense of identity that may be based on history, territory, language, or religion, or a combination of these (Comaroff 1987). Ethnicity can be a basis for claiming entitlements to resources (such as land, buildings, or artifacts) and for defending or regaining those resources.

States are interested in managing ethnicity to the extent that it does not threaten security. China has one of the most

diaspora population a dispersed group of people living outside their original homeland.



In 2003, the Treatment Action Campaign (TAC) began a program of civil disobedience to prompt the government of South Africa to sign and implement a National Prevention and Treatment Plan for HIV/AIDS. The TAC uses images of Hector Peterson, the first youth killed in the Soweto uprising against apartheid, and slogans such as “The Struggle Continues: Support HIV/AIDS Treatment Now.”

► *Take a position, and be prepared to defend it, on whether or not a country's government should take responsibility for preventing and treating HIV/AIDS.*

formalized systems for monitoring its many ethnic groups, and it has an official policy on ethnic minorities, meaning the non-Han groups (Wu 1990). The government lists 54 groups other than the Han majority, which constitutes about 94 percent of the total population. The other 6 percent of the population is made up of these 54 groups, about 67 million people. The non-Han minorities occupy about 60 percent of China's land mass and are located in border or “frontier” areas such as Tibet, Yunnan, Xinjiang, and Inner Mongolia. Basic criteria for defining an ethnic group include language, territory, economy, and “psychological disposition.” The Chinese government establishes strict definitions of group membership and group characteristics; it even sets standards for ethnic costumes and dances.

The Chinese treatment of the Tibetan people is especially severe and can be considered *ethnocide*, or annihilation of the culture of an ethnic group by a dominant group. In 1951, China forcibly incorporated Tibet, and the Chinese government undertook measures to bring about the social and economic transformation of what was formerly a decentralized, Buddhist feudal regime. This transformation has caused increasing ethnic conflict between Tibetans and Han Chinese, including demonstrations by Tibetans and crackdowns from the Chinese.

People of one ethnic group who move from one niche to another are at risk of exclusionary treatment by the local residents. Roma (often called Gypsies by outsiders, a term that Roma consider to be derogatory) are a **diaspora population**, a dispersed group living outside their original homeland. Roma are scattered throughout Europe and the United States (see Culturama). Their status within mainstream society is always marginal in terms of economic, political, and social measures.

CULTURAMA

The Roma of Eastern Europe

The Roma are Europe's largest minority population. They live in nearly all the countries of Europe and Central Asia. In Europe, their total is between 7 and 9 million people (World Bank 2003). They are concentrated in Eastern Europe, where they constitute around 10 percent of the population.

The Roma have a long history of mobility and marginality ever since several waves of migrants left their original homeland in northern India between the ninth and fourteenth centuries CE (Crowe 1996). The lifestyle of many Roma in Europe continues to involve movement, with temporary camps of their wagons appearing overnight on the outskirts of a town. Most settled Roma live in marginalized areas that lack decent housing, clean water, and good schools. Members of mainstream society look down on, and even despise, the Roma.

In Budapest, Hungary, the Roma minority is the most disadvantaged ethnic group (Ladányi 1993). Not all Roma in Budapest, however, are poor. About

1 percent have gained wealth. The other 99 percent live in substandard housing in the slums of inner Pest. Since the fall of state socialism in Hungary, social discrimination against the Roma has increased. Some Roma communities are mobilizing to improve their living conditions. The government instituted a policy allowing the Roma a degree of self-government (Schafft and Brown 2000).

In Slovakia, one-third of the Roma live in ghetto-like enclaves called *osada* (Scheffel 2004). These settlements lack clean water, sewage treatment, reliable electricity, access to decent housing, good schools, and passable roads. *Osada* exist in close proximity to affluent neighborhoods of ethnic Slovaks, or "Whites." In one village, Svinia (SVEEH-nee-yuh), roughly 700 Roma are crowded together on 1 hectare of swampy land while their 670 ethnic Slovak neighbors own over 1400 hectares of land (2004:8).

As more Eastern European countries seek to enter the European Union, they

are initiating programs to improve Roma living conditions and enacting laws to prevent discrimination. After Hungary joined the European Union in 2004, it elected two Roma to the EU Parliament. In Bulgaria, the Roma won a court case in 2005 declaring that segregated schools were unconstitutional. Fieldwork in Slovakia, however, indicates that the government there is doing little to improve the lives of the Roma.

The global economic crisis that began in 2008 led to increased ethnic violence against Roma throughout Eastern Europe. The economic downturn hit European countries with large Roma populations particularly hard, including Hungary, Romania, Slovakia, and Serbia. Some politicians and extremist groups in these countries blame the Roma for taking away jobs from non-Roma people.

Thanks to David Z. Scheffel, Thompson Rivers University, for reviewing this material.



(LEFT) The Roma settlement of Svinia in 1993. The standard of living has not improved since the 1990s, but the population has increased by nearly 50 percent, resulting in overcrowding and high levels of stress.

(CENTER) Roma children's access to school facilities is severely restricted. A few Romani schoolchildren participate in the school lunch program but in a separate room next to the cafeteria.

MAP 7.6 Roma Population in Eastern Europe. Romania has the highest number of Roma of any country in the world, between 1 and 2 million. Macedonia has the highest percentage of Roma in its population.

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GENDER AND SEXISM Like other forms of social inequality, gender inequalities, based on perceived differences between people born male or female or somewhere in between, vary from one culture to another. This book has already presented many examples of gender inequality, and more will appear in later chapters. The discussion that follows highlights some features of male dominance cross-culturally.

Patriarchy, or male dominance in economic, political, social, and ideological domains, is common but not universal. It also varies in severity and results. In its most severe forms, women and girls are completely under the power of men and can be killed by men, with no societal response. So-called *honor killings*, for example, of girls and women who defy rules of virginity or arranged marriage and are murdered by male kin, are examples of extreme patriarchy (Kurkiala 2003). Less violent, but also serious, is the effect of patriarchy on girls' education. In many countries, girls are not sent to school at all, or if they are, they attend for fewer years or attend schools of lower quality than their brothers.

The logical opposite of patriarchy is **matriarchy**, or female dominance in economic, political, social, and ideological domains. Matriarchy is so rare in contemporary cultures that anthropologists are not certain that it even exists—or has ever existed. Among the Iroquois at the time the European colonialists arrived, women controlled public finances, in the form of maize, and they determined whether or not war would be waged. They also chose the leaders, although the leaders were male. It is not clear whether the Iroquois were in fact matriarchal or might more accurately be considered gender egalitarian with a mixed and balanced gender system. A stronger case for a truly matriarchal society is found in the Minangkabau people of Malaysia and Indonesia (see Culturama in Chapter 6).

CASTE AND CASTEISM The **caste system** is a social stratification system linked with Hinduism and based on a person's birth into a particular group. It exists in its clearest form in India, among its Hindu population, and in other regions with large Hindu populations such as Nepal, Sri Lanka, and Fiji. The caste system is particularly associated with Hindu peoples because ancient Hindu scriptures are taken as the foundational sources for defining the major social categories called *varnas*, a Sanskrit word meaning "color" (Figure 7.2). The four varnas are the *brahmins*, priests; the *kshatriyas*, warriors; the *vaishyas*, merchants;

patriarchy the dominance of men in economic, political, social, and ideological domains.

matriarchy the dominance of women in economic, political, social, and ideological domains.

caste system a form of social stratification linked with Hinduism and based on a person's birth into a particular group.

dalit the preferred name for the socially defined lowest groups in the Indian caste system; the name means "oppressed" or "ground down."

civil society the collection of interest groups that function outside the government to organize economic and other aspects of life.

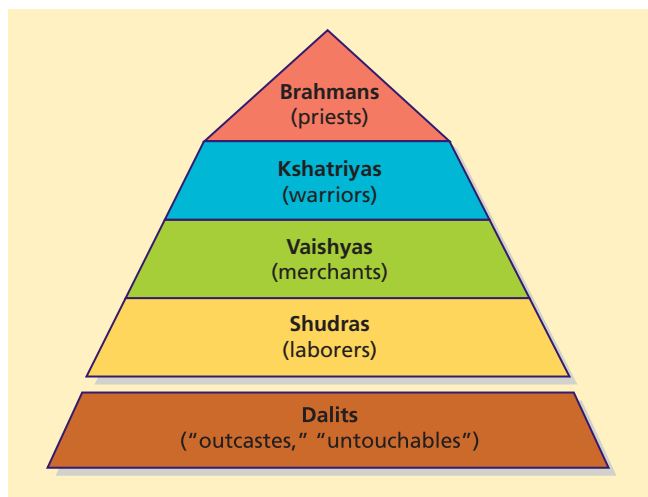


FIGURE 7.2 Model of India's Varna Categories

and the *shudras*, laborers. Adolescent males of the first three varnas go through a ritual ceremony of initiation and "rebirth," after which they may wear a sacred thread across their chest, indicating their purity and high status as "twice born." Beneath the four varna groups are people ranked so low that they are outside the caste system itself—hence the English term "outcast." Another English term for them is "untouchables," because people of the upper varnas avoided any kind of contact with them in order to maintain their own purity. Mahatma Gandhi, himself a member of an upper caste, renamed them *harijans* ("children of god") in his attempt to raise their status into that of the shudras. Currently, members of this category have adopted the term **dalit** (dah-lit), which means "oppressed" or "ground down."

The four traditional varnas and the dalit category contain many hundreds of locally named groups called castes, or, more appropriately, *jatis* (jah-tees, or birth groups). The term "caste" comes from the Portuguese word *casta*, meaning "breed" or "type." Portuguese colonialists first used it in the fifteenth century to refer to the closed social groups they encountered. *Jati*, an emic term, conveys the meaning that a Hindu is born into his or her group. *Jatis* are ascribed status groups. Just as the four varnas are ranked relative to each other, so are all the *jatis* within them. For example, the *jati* of Brahmins is divided into priestly and nonpriestly subgroups; the priestly Brahmins are separated into household priests, temple priests, and funeral priests; the household priests are broken down into two or more categories; and each of those are divided into subgroups based on lineage ties (Parry 1996:77). Within all these categories exist well-defined status hierarchies.

The caste system involves several mechanisms that maintain it: marriage rules, spatial segregation, and ritual. Marriage rules strictly enforce *jati* endogamy (in-group marriage). Marriage outside one's *jati*, especially in rural areas and particularly between a higher caste female and lower caste male, is cause for punishment, often lethal, by caste elders and other local power holders. Nonetheless, a trend toward inter-*jati* marriages is emerging among educated people in urban areas.



Two views of caste in India. (TOP) Only a special category of Brahman priests can officiate at the Chidambaram temple in Tamil Nadu, southern India. Here, members of a mixed-age group sit for a moment's relaxation. (BOTTOM) In New Delhi, India, Dalit Christians and Dalit Muslims mobilize to assert their rights within the larger Dalit movement that is dominated by Hindus.

Spatial segregation functions to maintain the privileged preserve of the upper castes and to remind the lower castes continually of their marginal status. In many rural villages, dalits live in a completely separate neighborhood into which no upper-caste person will venture.

Social mobility within the caste system has traditionally been limited, but instances have been documented of group “up-casting.” Several strategies exist, including gaining wealth, affiliation or merger with a somewhat higher jati, education, migration, and political activism (Kolenda 1978). A group that attempts to gain higher jati status takes on the behavior and dress of twice-born jatis. These include men wearing the sacred thread, vegetarianism, non-remarriage of widows, seclusion of women from the public domain, and the giving of larger dowries for the marriage of a daughter.

The Indian constitution of 1949 declared that discrimination on the basis of caste is illegal. Constitutional decree, however, did not bring an end to these deeply structured inequalities. In the late twentieth century, the government of India instituted policies to promote the social and economic advancement of dalits, such as reserving for them places in medical schools, seats in the government, and public-sector jobs. This “affirmative action” plan infuriates many of the upper castes, especially Brahmans, who feel most threatened. Is the caste system on the decline? Surely, aspects of it are changing. Especially in large cities, people of different jatis can “pass” and participate on a more nearly equal basis in public life—if they have the economic means to do so.

Civil Society

Civil society consists of the social domain of diverse interest groups that function outside the government to organize economic, political, and other aspects of life. According to the German philosopher G. W. F. Hegel, civil society encompasses social groups and institutions that exist between the individual and the state. The Italian social theorist Antonio Gramsci defined two types of civic institutions: those that support the state, such as the church and schools, and those that oppose state power, such as trade unions, social protest groups, and citizens’ rights groups.

Civil Society for the State: The Chinese Women’s Movement

In some instances, governments seek to build civil society to further their own goals. The women’s movement in China is

THINKING
OUTSIDE
THE BOX

With which ethnic or other kind of social group do you identify? What are the bases of this identification? Is your social group relatively high or low in terms of social status?

an example of such a state-created organization. Canadian anthropologist Ellen Judd (2002) conducted a study of the women's movement in China, within the constraints that the government imposes on anthropological fieldwork by foreigners. Under the Mao leadership, foreign anthropologists were not allowed to do research of any sort in China. The situation began to change in the 1980s when some field research, within strict limitations, became possible.

Judd developed a long-term relationship with China over several decades, having lived there as a student from 1974 to 1977, undertaking long-term fieldwork there in 1986, and returning almost every year since for research or some other activity, such as being involved in a development project for women or attending the Beijing Fourth World Conference on Women. According to Judd, "These various ways of being in China all allowed me some interaction with Chinese women and some knowledge of their lives" (2002:14). In her latest project to study the Chinese women's movement, she wanted to conduct research as a cultural anthropologist would normally do, through intensive participant observation over a long time.

The Chinese government continues to limit such research, keeping foreigners at a distance from everyday life. Judd was not allowed to join the local women's organization or to speak privately with any of the women. Officials accompanied her on all household visits and interviews. She was allowed to attend meetings, however, and she had access to all the public information about the goals of the women's movement, which is called the Women's Federations. A policy goal of the Chinese government is to improve the quality of women's lives, and the Women's Federations were formed to address that goal. The government oversees the operation at all levels, from the national level to the township and village. The primary objective is to mobilize women, especially rural women, to participate in literacy training and market activities.

Judd's fieldwork, constrained as it was by government regulations, nevertheless yielded insights. She learned, through interviews with women members, about some women who have benefited from the programs, and she discovered how important education for women is in terms of their ability to enter into market activities. The book she wrote is largely descriptive, focusing on the "public face" of the Women's Federations in one locale. Such a descriptive account is the most that can emerge from research in China at this time. Given that the women's organizations are formed by and for the government, this example stretches the concept of civil society.

Activist Groups: CO-MADRES

Activist groups are groups formed with the goal of changing certain conditions, such as political repression, violence, and human rights violations. In studying activist groups, cultural anthropologists are interested in learning what motivates the

formation of such groups, what their goals and strategies are, and what leadership patterns they exhibit. Many anthropologists work for or with activist groups to support social justice (see Anthropology Works).

CO-MADRES of El Salvador (see Map 12.4, p. 261) is a women-led social movement in Latin America (Stephen 1995). CO-MADRES is a Spanish abbreviation for an organization called, in English, the Committee of Mothers and Relatives of Political Prisoners, Disappeared and Assassinated of El Salvador. It was founded in 1977 by a group of mothers protesting the atrocities committed by the U.S.-backed Salvadoran government and military against a coalition of progressive groups including many indigenous people. During the civil war that lasted from 1979 until 1992, a total of 80,000 people died and 7000 more disappeared, or 1 in every 100 Salvadorans.

The initial CO-MADRES group comprised nine mothers. A year later, it had grown to nearly 30 members, including some men. During the 1980s, the growing organization gained support from other Latin American countries, as well as from Australia, the United States, Canada, and European countries. Unfortunately, the group's increased visibility also attracted repression from the Salvadoran government. Its office was bombed several times during the 1980s, 48 members of CO-MADRES have been detained, and five have been assassinated. Harassment and disappearances continued even after the signing of the Peace Accords in January 1992: "In February 1993, the son and the nephew of one of the founders of CO-MADRES were assassinated in Usulután. This woman had already lived through the experience of her own detention, the detention and gang rape of her daughter, and the disappearance and assassination of other family members" (1995:814).

In the 1990s, CO-MADRES focused on holding the state accountable for human rights violations during the civil war, providing protection for political prisoners, seeking assurances of human rights protection in the future, working against domestic violence, educating women about political participation, and initiating economic projects for women. The work of CO-MADRES, throughout its history, has incorporated elements of both the "personal" and the "political," concerns of mothers and other family members for lost kin and for exposing and halting human rights abuses of the state and the military. One lesson from the CO-MADRES is that activist groups formed by women are based on kinship and domestic concerns, but their impact can reach the top of the public political hierarchy.

New Social Movements and the New Social Media

Social scientists have begun to use the term *new social movements* to refer to the many social activist groups that emerged in the late twentieth century around the world. (Chapter 13 presents some examples in the context of international development.)

ANTHROPOLOGY works

Forensic Anthropology for the Maya of Guatemala

Fredy Peccerelli, a forensic anthropologist, risks his personal safety working for victims of political violence in Guatemala, his homeland. Peccerelli is founder and executive director of the Guatemalan Forensic Anthropology Foundation (FAFG). FAFG is dedicated to the recovery and identification of the remains of thousands of indigenous Maya whom Guatemalan military forces “disappeared” or outright killed during the brutal civil war that raged from the mid-1960s to the mid-1990s.

Peccerelli was born in Guatemala. His family immigrated to the United States when his father, a lawyer, was threatened by death squads. He grew up in New York City and attended Brooklyn College in the 1990s. But he felt a need to reconnect with his heritage and began to study anthropology as a vehicle that would allow him to serve his country.

The FAFG scientists excavate clandestine mass graves, exhume the bodies, and identify them through several means, such as matching dental and/or medical records. In studying skeletons, they try to determine the person’s age, gender, ancestry, and lifestyle. DNA studies are few because of the expense. The scientists also collect information from relatives of the victims and from eyewitnesses of the massacres. Since 1992, the FAFG team has discovered and exhumed approximately 200 mass grave sites.

Peccerelli sees the foundation’s purpose as applying scientific principles to basic human concerns. Bodies of identified victims are returned to their families



In Guatemala City, a woman, with her daughter, observes the pictures of people who lost their lives during the civil war. Some experts argue that the war against the indigenous Maya is not over.

to allow them some sense of closure about what happened to their loved ones. Families can honor their dead with appropriate burial ceremonies.

The scientists also give the Guatemalan government clear evidence on the basis of which to prosecute the perpetrators of these atrocities. Members of the long-standing military rulers still hold powerful positions within the government.

Peccerelli, his family, and his colleagues have been harassed and threatened. Bullets have been fired into Peccerelli’s home, and it has been burglarized. Eleven FAFG scientists have received written death threats.

Nevertheless, the United Nations and other human rights organizations have made it clear to the government that they support FAFG’s investigations, and exhumations continue with heightened security measures.

The American Association for the Advancement of Science honored Peccerelli and his colleagues in 2004 for their work in promoting human rights at great personal risk. In 1999, *Time* magazine and CNN chose Peccerelli as one of 50 “Latin American Leaders for the New Millennium.” During the same year, the Guatemalan Youth Commission named him an “icon” for the youth of the country.

These groups are often formed by oppressed minorities such as indigenous peoples, ethnic groups, women, and the poor.

New social movements are taking advantage of new forms of communication through the Internet to broaden their membership, exchange ideas, and raise funds (Escobar 2002). Internet-enhanced social movements now often play important political roles. In a positive way, the Internet allows new

social movements to build a following and potentially transform society. The campaign for the election of U.S. President Barack Obama in 2008 successfully mobilized support through the Internet, for example. Formal political leaders around the world are paying increased attention to enhancing their personal websites and those of their parties and communicating through Facebook, Twitter, and many more channels.

7

the **BIG** questions REVISITED

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What are social groups and how do they vary cross-culturally?

Social groups can be classified in terms of whether all members have face-to-face interaction with one another, whether membership is based on ascription or achievement, and how formal the group's organization and leadership structure are. They extend from the most informal, face-to-face groups, such as those based on friendship, to groups that have formal membership requirements and whose members are widely dispersed and never meet each other. All groups have criteria for membership, often based on a perceived notion of similarity in terms of gender or class identity, work roles, opposition to mainstream culture, economic goals, or self-improvement.

Many groups require a formal ritual of initiation of new members. In some cases, initiation into the group involves dangerous or frightening activities that serve to bond members to one another through a shared experience of helplessness.

What is social stratification?

Social stratification consists of hierarchical relationships between and among different groups, usually on the basis of some culturally defined concept of status. The degree of social inequality among groups of different status is highly marked in agricultural and industrial/informatic societies. Marked status inequalities are not characteristic of most foraging societies. Status inequalities are variable in

pastoralist and horticultural societies, with leveling mechanisms typically at play to prevent the formation of severe inequalities.

Depending on the context, categories such as class, "race," ethnicity, gender, and rank may determine group and individual status. India's caste-based system is an important example of a rigid structure of severe social inequality based on a person's birth group. According to ancient Hindu scriptures, the population is divided into mutually exclusive groups with different rights and privileges. Discrimination on the basis of caste is banned by the Indian constitution, yet it still exists, as does racism in other contexts, even though formally illegal.

What is civil society?

Civil society consists of the diverse interest groups that function outside the government to organize economic, political, and other aspects of life. It encompasses voluntary social groups and institutions.

Civil society groups can be divided into those that support government policies and initiatives, and thus further the interests of government, and those that oppose government policies and actions. The Chinese Women's Movement is an example of the former, and CO-MADRES in El Salvador is an example of the latter.

Starting at the end of the twentieth century, many new social movements have emerged around the world. Their activity is enhanced through cyberpower: the availability of new forms of information and communication technology. E-mail, the Internet, and cell phones help civil society groups gain visibility and stay in touch with their supporters.

KEY CONCEPTS

achieved position, p. 147

age set, p. 140

ascribed position, p. 147

caste system, p. 152

civil society, p. 152

dalit, p. 152

diaspora population, p. 150

matriarchy, p. 152

mestizaje, p. 148

patriarchy, p. 152

primary group, p. 140

secondary group, p. 140

social group, p. 140

social stratification, p. 147

status, p. 148

youth gang, p. 144

SUGGESTED READINGS


- Mark Anderson. *Black and Indigenous: Garifuna Activism and Consumer Culture in Honduras*. 2010. Minneapolis: University of Minnesota Press. Based on fieldwork, this book charts contemporary change among the Garifuna people, who are descended from a mix of African, Carib, European, and other populations. They are now organizing to achieve their goals.
- Sandra Bell and Simon Coleman, eds. *The Anthropology of Friendship*. New York: Berg, 1999. Following an introductory chapter by the editors on the anthropology of friendship, case studies discuss friendship in contemporary Melanesia, friendship as portrayed in Icelandic sagas, friendship in the context of a game of dominoes in a London pub, how friendship creates support networks in northern Europe, and the globalization of friendship ties in East Africa.
- Rosabelle Boswell. *Le malaise créole: Ethnic Identity in Mauritius*. New York: Bergahn Books, 2007. This book examines the marginalization of the Creole population in Mauritius. Most Creoles are descendants of slaves brought to the island from mainland Africa between the seventeenth and nineteenth centuries.
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- Kia Lilly Caldwell. *Negras in Brazil: Re-Envisioning Black Women, Citizenship, and the Politics of Identity*. New Brunswick, NJ: Rutgers University Press, 2007. Fieldwork over more than a decade in several cities of Brazil informs this study of how Afro-Brazilian women see themselves as women, as Black, and as Brazilian. Narratives of 35 women show the connections between “race,” gender, and social activism.
- Thomas A. Gregor and Donald Tuzin, eds. *Gender in Amazonia and Melanesia: An Exploration of the Comparative Method*. Berkeley: University of California Press, 2001. Two anthropologists, one a specialist on indigenous peoples of Amazonia and the other on New Guinea, edited this volume, which includes a theoretical overview chapter and several chapters addressing similarities and differences between the two regions.
- Steven Gregory and Roger Sanjek, eds. *Race*. New Brunswick: Rutgers University Press, 1994. Chapters discuss racism in the United States and the Caribbean, how “race” articulates with other inequalities, and racism in higher education and anthropology.
- Jake Kosek. *Understories: The Political Life of Forests in Northern New Mexico*. Durham, NC: Duke University Press, 2007. This book is based on fieldwork in New Mexico and archival research. It exposes the racial, class, and other factors that shape the political disputes over forest resources in the Española Valley.
- Anupama Rao. *The Caste Question: Dalits and the Politics of Modern India*. Berkeley: University of California Press, 2009. Combining anthropology and history, this book shows how Dalits work to overcome stigmatization and gain recognition.
- Cris Shore and Stephen Nugent, eds. *Elite Cultures: Anthropological Perspectives*. New York: Routledge, 2002. This volume contains ethnographic cases from around the world addressing how elites maintain their positions, how elites represent themselves to others, and how anthropologists study elites.
- Karin Tice. *Kuna Crafts, Gender and the Global Economy*. Austin: University of Texas Press, 1995. This ethnography looks at how the tourist market has affected women’s production of molasses in Panama and how women have organized into cooperatives to improve their situation.
- Kevin A. Yelvington. *Producing Power: Ethnicity, Gender, and Class in a Caribbean Workplace*. Philadelphia: Temple University Press, 1995. This ethnography examines class, “race,” and gender inequalities as linked processes of social stratification within the context of a factory in Trinidad and in the wider social sites of households, neighborhoods, and global interconnections.


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- Isar P. Godreau and Hilda Llórens. 2010. “Pulling Up Myths by the Root:” Designing and Implementing an Anti-Racist Curriculum about the African Heritage for Third Graders in Puerto Rico. *Practicing Anthropology* 32:26–31. In Puerto Rico, African heritage and blackness are at the bottom of the social hierarchy. Research with third-graders, teachers, and parents yields ideas about an anti-racist intervention.
- Craig Jeffery, Roger Jeffery, and Patricia Jeffery. 2004. Degrees without Freedom: The Impact of Formal Education on Dalit Young Men in North India. *Development and Change* 35:963–986. How can formal education undermine longstanding social hierarchies? Young dalit men with education find it difficult to convert education into secure employment.
- Laura R. Murray, Sheri A. Lippman, Angela A. Donini, and Deanna Kerrigan. 2010. “She’s a Professional Like Anyone Else:” Social Identity among Brazilian Sex Workers. *Culture, Health, and Sexuality* 12:293–306. In some instances, female sex workers who join organizations are able to reduce their vulnerability and risk of contracting HIV/AIDS. Race, class, and gender inequalities, however, often prevent organizing, which is the situation in an area of southern Brazil near the Bolivian border.



the **BIG** questions

 How do politics vary cross-culturally?

 How do cultures maintain social order and deal with conflict?

 How are political and legal systems changing?

POLITICAL AND LEGAL SYSTEMS

◀ A political leader of the Ashanti people of Ghana, West Africa. British colonialists referred to such leaders with the English term “chief.” Perhaps the English word “king” is more appropriate.



OUTLINE

Politics, Political Organization, and Leadership
Social Order and Social Conflict

Critical Thinking: Yanomami: The “Fierce People”?

Change in Political and Legal Systems

Culturama: The Kurds of the Middle East

Anthropology Works: Anthropology and Community Activism in Papua New Guinea

This chapter covers topics in political and legal anthropology, two subfields of cultural anthropology. *Political anthropology*, discussed in the first section, addresses the area of human behavior and thought related to public power—who has it and who does not, degrees of power, bases of power, abuses of power, relationships between political and religious power, political organization and government, social conflict and social control, and morality and law. *Legal anthropology*, the subject of the second section, is the study of socially accepted ways of maintaining social order and resolving conflict.

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Politics, Political Organization, and Leadership

Compared with political scientists, cultural anthropologists take a broader view of *politics* that includes many kinds of behavior and thought beyond formal party politics and government as most readers of this book have experienced. Cultural anthropologists offer examples of political systems and behavior that do not look “political” to people who have grown up in modern states.

This book uses the term *politics* to refer to the organized use of public power, not the more private micropolitics of family and domestic groups. **Power** is the ability to bring about results with the potential or use of force. Closely related to power are authority and influence. **Authority** is the ability to bring about results based on a person’s status, respect, and reputation in the community. Authority differs from power in two ways: Power is backed up by the potential use of force, and power can be wielded by an individual who lacks authority. **Influence** is the ability to bring about results by exerting social or moral pressure. Unlike authority, influence may be exerted from a low-status, marginal position.

All three terms are relational. A person’s power, authority, or influence exists in relation to other people. Power implies the greatest likelihood of a coercive and hierarchical relationship,

power the ability to take action in the face of resistance, through force if necessary.

authority the ability to take action based on a person’s achieved or ascribed status or moral reputation.

influence the ability to achieve a desired end by exerting social or moral pressure on someone or some group.

political organization groups within a culture that are responsible for public decision making and leadership, maintaining social cohesion and order, protecting group rights, and ensuring safety from external threats.

band the form of political organization of foraging groups, with flexible membership and minimal leadership.



Power, authority, and influence are variations on how a person can achieve desired outcomes. (TOP) Police in China practice how to protect schoolchildren from a possible attack. Police have power. (CENTER) Matilda House, an elder of the Ngambri-Ngunnawal People of Australia joins hands with former prime minister, Kevin Rudd, and Brendan Nelson, leader of the Liberal Party, during a ceremony in 2008, marking the first time that Australia’s new parliament received a traditional indigenous welcome. She has authority. (BOTTOM) Irish singer Bono at a press conference in 2008 where he hammered G8 countries for falling far behind in aid pledges to Africa. He has influence.

Foraging	Horticulture	Pastoralism	Agriculture	Industrialism/Informatics
Political Organization				Political Organization
Band	Tribe	Chiefdom	Confederacy	State
Leadership				Leadership
Band leader	Headman/Headwoman Big-man Big-woman	Chief	Paramount chief	King/queen/president prime minister/emperor
Social Conflict				Social Conflict
Face-to-face Small-scale Rarely lethal	Armed conflict Revenge killing		War	International war Technological weapons Massively lethal Ethnic conflict Standing armies
Social Control				Social Control
Norms Social pressure Ostracism				Laws Formal judiciary Permanent police Imprisonment
Trends				
	Increased population density and residential centralization →			
	More surpluses of resources and wealth →			
	More social inequality/ranking →			
	Less reliance on kinship relations as the basis of political structures →			
	Increased internal and external social conflict →			
	Increased power and responsibility of leaders →			
	Increased burdens on the population to support political organization →			

FIGURE 8.1 Modes of Political Organization, Conflict, and Social Control.

and authority and influence offer the most scope for consensual, cooperative decision making. Power, authority, and influence are all related to politics, power being the strongest basis for action and decision making—and potentially the least moral.

Political anthropologists define **political organization** as the groups within a culture that are responsible for public decision making and leadership, maintaining social cohesion and order, protecting group rights, and ensuring safety from external threats.

Cultural anthropologists cluster the many forms of political organization that occur cross-culturally into four types that are loosely related to the modes of livelihood (Figure 8.1).

Bands

A **band**, the form of political organization associated with foraging groups, involves flexible membership and no formal leaders. Just as foraging has been the predominant mode

of livelihood for almost all of human existence, the band is humanity's oldest form of political organization. And just as foraging is in danger of extinction as a way of life, so is band political organization.

A band typically comprises between 20 and a few hundred people at most, all related through kinship. These units come together at certain times of the year, depending on their foraging patterns and ritual schedule.

Band membership is flexible: If a person has a serious disagreement with another person or a spouse, one option is to leave that band and join another. Leadership is informal, with no one person named as a permanent leader. Depending on events, such as organizing the group to relocate or to send people out to hunt, a particular person may be a leader for that event, someone whose advice and knowledge are especially respected.

All members of the group are social equals, and a band leader has no special status. He has a certain degree of authority or influence, perhaps as a respected hunter or storyteller,

but he does not have power and cannot force others to accept his views. Social leveling mechanisms prevent anyone from accumulating much authority or influence. Political activity in bands involves mainly decision making about migration, food distribution, and the resolution of interpersonal conflicts. External conflict between groups is rare because territories of different bands are widely separated and the population density is low.

The band level of organization barely qualifies as a form of political organization, because groups are flexible, leadership is ephemeral, and there are no signs or emblems of political affiliation. Some anthropologists argue, therefore, that true politics did not exist in undisturbed band societies.

Tribes

A **tribe** is a more formal type of political organization than the band. Typically associated with horticulture and pastoralism, tribal organization arose between 10,000 and 12,000 years ago with the emergence of these modes of livelihood. A tribe is a political group that comprises several bands or lineage groups, each with a similar language and lifestyle and each occupying a distinct territory. Tribal groups may be connected through a clan structure, in which most people claim descent from a common ancestor although they may be unable to trace the exact relationship. Kinship is the primary basis of membership. Tribal groupings contain from a hundred to several thousand people. Tribes are found in the Middle East, South Asia, Southeast Asia, the Pacific, and Africa, as well as among Native Americans.

A tribal headman (most tribal leaders are male) is a more formal leader than a band leader. A headman must be hardworking and generous and must possess good personal skills. A headman is a political leader on a part-time basis only. This role is more demanding than that of a band leader. Depending on the mode of livelihood, a headman will be in charge of determining the times for moving herds, planting and harvesting, and for setting the time for seasonal feasts and celebrations. Internal and external conflict resolution is also his responsibility. A headman relies mainly on authority and

tribe a form of political organization that comprises several bands or lineage groups, each with a similar language and lifestyle and occupying a distinct territory.

big-man system or big-woman system a form of political organization midway between tribe and chiefdom and involving reliance on the leadership of key individuals who develop a political following through personal ties and redistributive feasts.

moka a strategy for developing political leadership in highland New Guinea that involves exchanging gifts and favors with individuals and sponsoring large feasts where further gift-giving occurs.

chiefdom a form of political organization in which permanently allied tribes and villages have one recognized leader who holds an “office.”



Chief Paul Payakan, leader of the Kayapo. Payakan was instrumental in mobilizing widespread resistance among the Kayapo and several other Amazonian tribes to the construction of a large hydroelectric dam at Altamira on the Xingu River.

► *Find updated information on the Kayapo and the proposed Altamira dam project on the Web.*

persuasion, rather than on power. These strategies are effective because tribal members are all kin and are loyal to each other.

BIG-MAN AND BIG-WOMAN LEADERSHIP In between tribal and chiefdom organizations is the **big-man system** or **big-woman system**, a form of political organization in which individuals build a political base and gain prestige, influence, and authority through a system of *redistribution* based on personal ties and grand feasts (review Chapter 3). Anthropological research in Melanesia (Map 8.1), a large region in the South Pacific extending from New Guinea to Fiji, established the existence of big-man politics (Sahlins 1963). Similar favor-based political systems are found elsewhere, including in contemporary states.

Political ties of a successful big-man or big-woman include people in several villages. A big-man tends to have marginally greater wealth than his followers, although people

continue to expect him to be generous. The core supporters of a big-man tend to be kin, with extended networks that include nonkin. A big-man has heavy responsibilities. He is responsible for regulating internal affairs, such as the timing of crop planting, and external affairs, such as intergroup feasts, trade, and war. In some instances, a big-man is assisted in carrying out his responsibilities by a group of other respected men. These councils include people from the big-man's different constituencies.

In several tribes in the Mount Hagen (HAH-gen) area of the Papua New Guinea highlands (see Map 1.3, page 15), an aspiring big-man develops a leadership position through a process called **moka** (Strathern 1971). Moka is a strategy for developing political leadership in Melanesia that involves exchanging favors and gifts, such as pigs, and sponsoring large feasts where further gift-giving occurs. A crucial factor in big-manship in the Mount Hagen area is having at least one wife. An aspiring big-man urges his wife or wives to work harder than ordinary women in order to grow more food to feed more pigs. The number of pigs a man has is an important measure of his status and worth.

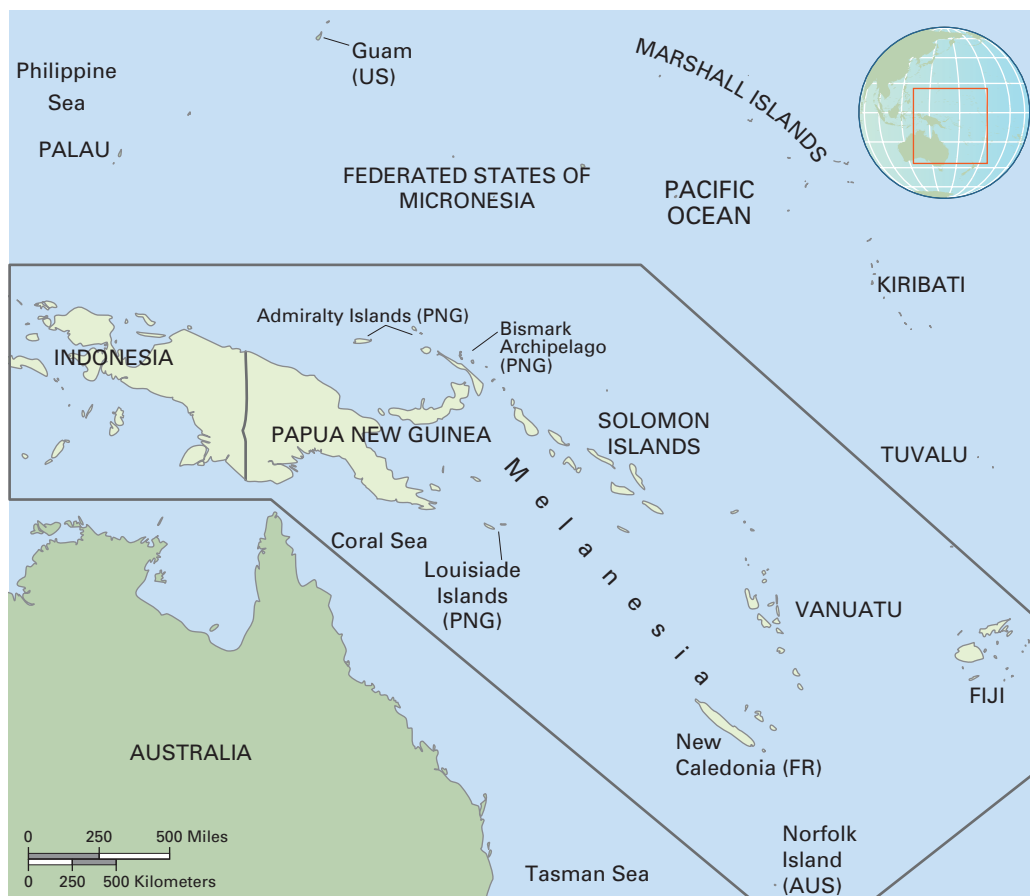
An aspiring big-man builds moka relationships first with kin and then beyond. By giving goods to people, he gains prestige over them. The recipient is under pressure to make

a return gift of equal or greater value. The exchanges go back and forth, over the years. The more the aspiring big-man gives, and the more people he can maintain in his exchange network, the greater prestige he develops.

A study on the island of Vanatinai reveals the existence of both big-women and big-men (Lepowsky 1990). In this gender-egalitarian culture, both men and women can gain power and prestige by sponsoring feasts at which valuables are distributed, especially mortuary feasts (feasts for the dead). Although more Vanatinai men than women are involved in political exchange and leadership, some women are extremely active as political leaders. These women lead sailing expeditions to neighboring islands to visit their exchange partners, who are both male and female, and they sponsor lavish feasts attended by many people.

Chieftoms

A **chieftom** is a form of political organization that includes permanently allied tribes and villages under one chief, a leader who possesses power. Compared with most tribes, chieftoms have large populations, often numbering in the thousands. They are more centralized and socially complex. Hereditary



MAP 8.1 Melanesia.

Melanesia is a region in the South Pacific that includes the independent states of Papua New Guinea, the Republic of Vanuatu, the Solomon Islands, and Fiji as well as many islands that are controlled by other countries. It also encompasses the western part of the island of New Guinea, which is controlled by Indonesia, and islands to the west of it, though the people there do not self-identify as Melanesians.

systems of social ranking and economic stratification are a central feature of chiefdoms. Chiefs and their descendants have higher status than commoners, and intermarriage between members of the two strata is forbidden.

The chiefship must be filled at all times. When a chief dies or retires, he or she must be replaced. In contrast, the death of a band leader or of a big-man or big-woman does not require that someone else be chosen as a replacement. A chief has more responsibilities than a band or tribal leader. He or she regulates production and redistribution, solves internal conflicts, and plans and leads raids and warring expeditions. Criteria for becoming a chief include both ascribed and achieved qualities. Ascribed criteria include birth in a chiefly lineage and being the first son or daughter of the chief. Achievement is measured in terms of personal leadership skills, charisma, and accumulated wealth. Chiefdoms have existed throughout the world.

The Iroquois, located mainly in what today is New York State (see Map 3.2, p. 53), provide a case of women's indirect political importance (Brown 1975). Men were chiefs, but women and men councilors were the appointing body. Most men were gone for extended periods, waging war as far away as Delaware and Virginia. Women controlled production and distribution of the staple crop, maize. If the women did not want warriors to leave for a particular campaign, they would refuse to provide them with maize, thereby vetoing the plan. Men and women participated equally on the councils.

An expanded version of the chiefdom occurs when several chiefdoms are joined in a confederacy. Such a group is headed by a chief of chiefs—a “big chief” or paramount chief. Many prominent confederacies have existed, including the Iroquois league of five nations, the Cherokee of Tennessee, the Algonquins of the Chesapeake region in present-day Virginia and Maryland, and confederacies in Hawai'i in the late 1700s. In the Algonquin confederacy, each village had a chief and the regional council was composed of local chiefs and headed by the paramount chief. Powhatan, father of Pocahontas, was paramount chief of the Algonquins when the British arrived in the early 1600s.

States

A **state** is a centralized political unit encompassing many communities, a bureaucratic structure, and leaders who possess coercive power. The state is now the form of political organization in which all people live. Band organizations, tribes, and chiefdoms exist, but they are incorporated to varying degrees within state structures.

state a form of political organization in which a centralized political unit encompasses many communities, a bureaucratic structure, and leaders who possess coercive power.



(TOP) Throughout much of the South Pacific, big-man and big-woman politics has long involved the demonstration of political leaders' generosity. Leaders are expected to be able to mobilize resources for impressive feasts such as this one in the highlands of Papua New Guinea. (BOTTOM) A sea turtle off the coast of Fiji, where local people consider them sacred and important as a feasting item.

► How does public feasting play a role in politics in a context with which you are familiar?

STATE POWERS AND ROLES States have a wide range of powers and responsibilities:

- States engage in international relations in order to deal with other states about mutual concerns. The state may use force defensively to maintain its borders and offensively to extend its territory.
- States monopolize the use of force and the maintenance of law and order internally through laws, courts, and the police.
- States maintain standing armies and police (as opposed to part-time forces).
- States define citizenship and its rights and responsibilities. In complex societies, since early times, not all residents have been granted equal rights as citizens.
- States keep track of the number, age, gender, location, and wealth of their citizens through census systems that are regularly updated.
- States have the power to extract resources from citizens through taxation.
- States manipulate information. Information to protect the state and its leaders can be controlled both directly (through censorship, restricting access to certain information by the public, and promotion of favorable images via propaganda) and indirectly (through pressure on journalists, television networks, and other media to selectively present information or to present information in certain ways).

SYMBOLS OF STATE POWER Religious beliefs and symbols are often closely tied to the power of state leadership: The ruler may be considered to be a deity or part deity, or may be a high priest of the state religion, or perhaps be closely linked with the high priest, who serves as advisor. Architecture and urban planning remind the populace of the greatness of the state.

In democratic states, where leaders are elected by popular vote, and in socialist states, where political rhetoric emphasizes social equality, expense and elegance are muted by the adoption of more egalitarian ways of dress (even though in private, these leaders may live relatively opulent lives in terms of housing, food, and entertainment). The earlier practice of all Chinese leaders wearing a “Mao jacket,” regardless of their rank, was a symbolic statement of their antihierarchical philosophy. A quick glance at a crowd of people, including the Prime Minister of Canada or Britain or the President of the United States, would not reveal who was the leader, because dress differences are avoided. Even members of British royalty wear “street clothes” on public occasions where regalia are not required.

Beyond clothing, other commodities associated with top leadership positions include the quality of housing, food,

and modes of transportation. State leaders live in grand mansions and often have more than one residence. The King of Morocco, for example, has several official palaces around the country, and he travels regularly from one to another. President George W. Bush was considered “one of the people” because he liked to eat hamburgers. State leaders do not travel the way ordinary citizens do. For security reasons, their ground vehicles may have bulletproof windows, and a cavalcade of security vehicles protects the leader’s vehicle. In many African countries, the most important new symbol of political power is an expensive imported car (Chalfin 2008).

GENDER AND LEADERSHIP IN STATES Most contemporary states are hierarchical and patriarchal, excluding members of lower classes and women from equal participation. Some states are less male dominated than others, but none is female dominated. One view of gender inequality in states suggests that increasing male dominance with the evolution of the state is based on men’s control of the technology of production and warfare (Harris 1993). Women in most cultures have limited access to these areas of power. In more peaceful states, such as Finland, Norway, Sweden, and Denmark, women’s political roles are more prominent.

Although women account for roughly half of the world’s population, they form only, on average, 16 percent of the world’s parliamentary members (Lederer 2006). Regional differences range from an average of 40 percent female parliamentarians in the Nordic states to 8 percent in Arab states. Strongly patriarchal contemporary states preserve male dominance through ideologies that restrict women’s political power. In much of the Muslim Middle East, Central Asia, Pakistan, and northern India, the practice of purdah, female seclusion and segregation from the public world, limits women’s public roles.



Social Order and Social Conflict

Many Maasai people of Tanzania and Kenya work in cities and interact with international tourists, and some attend universities. But most rural Maasai, traditionally pastoralists who value their freedom to roam over vast areas, have limited knowledge of global events. Some rural villages lack electricity, so not everyone has access to a television. In 2002, when Kimeli Naiyomeh returned to his village in a remote area of Kenya following his medical studies at Stanford University in California, he told stories that stunned the villagers (Lacey 2002). They had not heard about the attacks on the United States on September 11, 2001. He described how massive fires destroyed buildings



(LEFT) Afghanistan President Hamid Karzai wears a carefully assembled collection of regional political symbols. The striped cape is associated with northern tribes. The Persian-lamb hat is an Uzbek style popular in the capital city, Kabul. He also wears a tunic and loose trousers, which are associated with villagers, and sometimes adds a Western-style jacket. His clothing implies a statement of unity and diversity about his country. (CENTER) President Barack Obama typically wears a dark suit, white shirt and necktie for formal occasions. During a Hawai'i themed celebration at the White House, he sports a lei, which signals his close links to the state where he was born and spent much of his youth. (RIGHT) President Ellen Sirleaf-Johnson of Liberia and U.S. Secretary of State Hillary Clinton make contrasting statements through their formal attire, with Sirleaf-Johnson clearly signaling a connection to Africa and Clinton conveying a more neutral message in her trademark pantsuit.

so high that they stretched into the clouds. The villagers could not believe that a building could be so tall that people jumping from it would die.

The stories about 9/11 saddened the villagers. They decided they should do something to help the victims. Cows are the most precious objects among the Maasai. As Kimeli Naiyomah comments, "The cow is almost the center of life for us.... It's sacred. It's more than property. You give it a name. You talk to it. You perform rituals with it" (2002:A7). In June 2002, in a solemn ceremony, the villagers gave 14 cows to the United States. After the cows were blessed, they were transferred to the deputy chief of the U.S. Embassy in Kenya. He expressed his country's gratitude and explained that transporting the cows to the United States would be difficult. The cows were sold and the money went to support Maasai schools. Violence now has global implications more than ever before.

This section discusses social order and peace, including informal arrangements and formal laws and systems of crime prevention and punishment. It begins with the cross-cultural study of social order and then moves to a discussion of conflict and violence.

In anthropology, **social control** is the process by which people maintain orderly life in groups. Social control systems include informal social controls that exist through socialization for proper behavior, education, and peer pressure. They may also include formal systems of codified rules about proper behavior and punishments for deviation. In the United States and Canada, the Amish (review *Culturama* in Chapter 4, p. 74) and Mennonites rely on informal social controls far more than do most microcultural groups. The Amish and Mennonites have no police force or legal system; the way social order is maintained is through religious teaching and group pressure. If a member veers from correct behavior, punishment such as ostracism ("shunning") may be applied.

Norms and Laws

Cultural anthropologists distinguish two major instruments of social control: norms and laws. A **norm** is an accepted standard

THINKING OUTSIDE THE BOX

What are some prominent symbols of state power in your home country?

for how people should behave that is usually unwritten and learned unconsciously through socialization. All societies have norms. Norms include, for example, the expectation that children should follow their parents' advice, that people standing in line should be orderly, and that an individual should accept an offer of a handshake (in cultures where handshakes are the usual greeting) when meeting someone for the first time. Enforcement of norms is informal. For example, a violation may simply be considered rude and the violator would be avoided in the future. Sometimes, however, direct action may be taken, such as asking someone who disrupts a meeting to leave.

A **law** is a binding rule created through custom or official enactment that defines correct behavior and the punishment for misbehavior. Systems of law are more common and more elaborate in state-level societies, but many nonstate societies have formalized laws. Religion often provides legitimacy for law. Australian Aborigines believe that law came to humans during the *Dreamtime* (also called dreaming), a period in the mythological past when the ancestors created the world. The terms “law” and “religion” are synonymous in contemporary Islamic states. Secular Western states consider their laws to be religiously neutral, although, in fact, much Western law is based on Judeo-Christian beliefs.

Systems of Social Control

The material that follows considers forms of social control in small-scale societies as contrasted with large-scale societies. The former are characterized more by the use of norms. The latter, notably states, rely more on legal sanctions, although local-level groups, such as neighbors, practice social sanctions among themselves. A final topic considers the relationship between the law and social inequality.

SOCIAL CONTROL IN SMALL-SCALE SOCIETIES

Anthropologists distinguish between small-scale societies and large-scale societies in terms of conflict resolution, social order, and punishment of offenses.

Bands are small, close-knit groups, so disputes tend to be handled at the interpersonal level through discussion or one-on-one fights. Group members may act together to punish an offender through shaming and ridicule. Emphasis is on maintaining social order and restoring social equilibrium, not hurtfully punishing an offender. Ostracizing an offending member (forcing the person to leave the group) is a common means of punishment. Capital punishment (execution) is rare.

In some Australian Aboriginal societies, laws restrict access to religious rituals and paraphernalia to men who have gone through a ritual initiation. If an initiated man shared secrets with an uninitiated person, the elders would delegate one of their group to kill the offender. In such instances, the elders act like a court.



Norms and laws vary cross-culturally. Visitors queue at the site of the World Expo 2010 in Shanghai, China, the site of an expected 70 million visitors over the next six months with an average of 380,000 people expected daily. Queuing makes sense in densely populated situations.

In small-scale, nonstate societies, punishment is often legitimized through belief in supernatural forces and their ability to affect people. Among the highland horticulturalists of the Indonesian island of Sumba (see Map 1.2, p. 12), one of the greatest offenses is to fail to keep a promise (Kuipers 1990). Breaking a promise will bring on “supernatural assault” by the ancestors of those who have been offended by the person’s misbehavior. The punishment may come in the form of damage to crops, illness or death of a relative, destruction of the offender’s house, or having one’s clothing catch on fire. When such a disaster occurs, the only recourse is to sponsor a ritual that will appease the ancestors.

The overall goal in dealing with conflict in small-scale societies is to return the group to harmony. Village fission (breaking up) and ostracism are mechanisms for dealing with more serious conflict.

SOCIAL CONTROL IN STATES In densely populated societies with more social stratification and more wealth, increased social stress occurs in relation to the distribution of surplus, inheritance, and rights to land. In addition, an increased social scale means that not everyone knows everyone else. Face-to-face accountability exists only in localized

social control processes that, through both informal and formal mechanisms, maintain orderly social life.

norm a generally agreed-upon standard for how people should behave, usually unwritten and learned unconsciously.

law a binding rule created through enactment or custom that defines right and reasonable behavior and is enforceable by the threat of punishment.

groups. Three important factors in state systems of social control are as follows:

- Specialization of roles involved in social control
- Formal trials and courts
- Power-enforced forms of punishment, such as prisons and the death penalty

Informal mechanisms of social control, however, exist alongside these formal systems at the local level.

Specialization The specialization of tasks related to law and order, such as those performed by police, judges, and lawyers, increases with the emergence of state organization. Full-time professionals such as judges and lawyers emerged with the state. These professionals are often members of powerful social groups, a fact that perpetuates elite biases in the justice process itself.

Policing is a form of social control that includes processes of surveillance and the threat of punishment related to maintaining social order (Reiner 1996). Police are the specific organization and personnel who discover, report, and investigate crimes. As a specialized group, police are associated with states.

Japan's low crime rate has attracted the attention of Western law-and-order specialists, who think that it may be the result of the police system there. They ask whether solutions to U.S. crime problems can be found in such Japanese policing practices as neighborhood police boxes, or small, local police offices, staffed by foot patrolmen and volunteer crime-prevention groups organized on a neighborhood basis. Fieldwork among police detectives in the city of Sapporo reveals aspects of Japanese culture and policing that promote low crime rates (Miyazawa 1992). In Japan, the police operate under high expectations that no false arrests will be made and that all arrests should lead to confession. And, in fact, the rate of confession is high. The high rate of confession may be due to the fact that the police do an excellent job of targeting the guilty party, or it may result from the nearly complete control of interrogation by the police. The police are allowed to keep suspects isolated for long periods, a practice that wears down resistance. The suspect's statements are not recorded verbatim or taped; instead, the detectives write them down and

the suspect is asked to sign them. Overall, policing culture in Japan gives more power to the police and less to the defendant than in the United States and has the potential to distort the process of justice.

Trials and Courts In societies where spirits and ancestors define wrongdoing and punishment, a person's guilt is proved simply by the fact that misfortune has befallen him or her. If lightning damaged a person's crops, for instance, then that person must have done something wrong. In other cases, guilt may be determined through **trial by ordeal**, a way of judging guilt or innocence in which the accused person is put through a test that is often painful. An accused person may be required to place his or her hand in boiling oil, for example, or to have a part of his or her body touched by a red-hot knife. Being burned is a sign of guilt, whereas not being burned means that the suspect is innocent.

The court system, with lawyers, judge, and jury, is used in many contemporary societies, although variation exists in how cases are presented and juries constituted. The goal of contemporary court trials is to ensure both justice and fairness. Analysis of courtroom dynamics and patterns of decision making in the United States and elsewhere, however, reveals ongoing problems in achieving these goals.

Punishment Administering punishment involves doing something unpleasant to someone who has committed an offense. As noted earlier, the most extreme form of punishment in small-scale societies is ostracism and only rarely death. A common form of punishment in the case of theft or murder in pastoralist societies, especially Islamic cultures of the Middle East, is that the guilty party must pay compensation to members of the family that has been harmed.

The percentage of imprisoned people varies widely around the world. The United States imprisons more people than any other country in the world, followed by China (Pew Center 2008). In the United States, the prison population of 1.6 million more than doubled since 1985 (Walmsley 2007), and prison populations also doubled over that period in Brazil and Mexico.

It is important to look at the rate of imprisonment as well as sheer numbers. The national *incarceration rate* is calculated as the number of people in prison per 100,000 people in a country. Countries vary widely in their incarceration rate. The United States has the highest incarceration rate, 737 per 100,000 people, followed by Russia, 611 (Walmsley 2007). It is also important to look inside national rates. In England and France, a disproportionate number of prisoners are Muslims (Moore 2008). Ethnic and gender differences in incarceration are marked in the United States. One in 15 black men is in prison, and 1 in 9 black men 20 to 34 years of age is in prison. One in 355 white women ages 35 to 39 years is in prison, whereas 1 in 100 black women is behind bars. Among

policing the exercise of social control through processes of surveillance and the threat of punishment related to maintaining social order.

trial by ordeal a way of determining innocence or guilt in which the accused person is put to a test that may be painful, stressful, or fatal.

critical legal anthropology an approach within the cross-cultural study of legal systems that examines the role of law and judicial processes in maintaining the dominance of powerful groups through discriminatory practices rather than protecting less powerful people.



This man, in a military prison in Chechnya, is accused by the Russian government of participating with Chechen rebel forces. Human rights activists have been concerned about the mistreatment of prisoners in Chechnya for several years.

► What human rights do prisoners have in your country?

Hispanics, 1 in 36 adult Hispanic men is in prison. The state with the highest incarceration rate is Louisiana, and southern states in general have higher rates than northern states.

SOCIAL INEQUALITY AND THE LAW **Critical legal anthropology** is an approach within the cross-cultural study of legal systems that examines the role of law and judicial processes in maintaining the dominance of powerful groups through discriminatory practices rather than protecting members of less powerful groups. Systematic discrimination against ethnic minorities, indigenous peoples, and women, among other categories, has been documented in judicial systems around the world, including those of long-standing democracies. This section presents an example from Australia.

At the invitation of Aboriginal leaders in Australia, Fay Gale and her colleagues conducted research comparing the treatment of Aboriginal youth and White youth in the judicial system (1990). The question posed by the Aboriginal leaders

was “Why are our kids always in trouble?” Two directions can be pursued to find the answer. First, structural factors—such as Aboriginal displacement from their homeland, poverty, poor living conditions, and bleak future prospects—can be investigated. Second, the criminal justice system can be examined to see whether it treats Aboriginal and White youth equally. The researchers decided to direct their attention to the judicial system because little work had been done in that area by social scientists.

Findings show that Aboriginal youth are overrepresented at every level of the juvenile justice system, from apprehension (being caught by the police) through pretrial processes, to the ultimate stage of adjudication (the judge’s decision) and disposition (the punishment): “A far greater proportion of Aboriginal than other young people follow the harshest route. . . . At each point in the system where discretion operates, young Aborigines are significantly more likely than other young persons to receive the most severe outcomes of those available to the decision-makers” (1990:3). At the time of apprehension (being caught by the police), the suspect can be either formally arrested or informally reported. A formal arrest is made to ensure that the offender will appear in court. Officers ask suspects for a home address and whether they have a job. Aboriginal youth are more likely than White youth to live in an extended family in a poor neighborhood, and they are more likely to be unemployed. Thus, they tend to be placed in the category “undependable,” and they are formally arrested more than White youth for the same crime (Figure 8.2). The next step determines whether the suspect will be tried in Children’s Court or referred to Children’s Aid Panels. The Children’s Aid Panels in South Australia have gained acclaim worldwide for the opportunities they give to individuals to avoid becoming repeat offenders and take their proper place in society. But most Aboriginal youth offenders are denied access to them and instead have to appear in court, where the vast majority of youthful offenders end up pleading guilty. The clear and disturbing finding from this study is that the mode of arrest tends to determine each subsequent stage. To counter such unjust

	Aboriginal Youth (percent)	White Youth (percent)
Brought into system via arrest rather than police report	43.4	19.7
Referred to Children’s Court rather than diverted to Children’s Aid Panels	71.3	37.4
Proportion of court appearances resulting in detention	10.2	4.2

Note: Most of these youths are male; data are from 1979 to 1984.

Source: *Aboriginal Youth and the Criminal Justice System: The Injustice of Justice?* by Fay Gale, Rebecca Bailey-Harris, Joy Wundersitz, Copyright © Cambridge University Press 1990. Reprinted by permission of Cambridge University Press.

FIGURE 8.2 Comparison of Outcomes for Aboriginal and White Youth in the Australian Judicial System.

CRITICAL thinking

Yanomami: The “Fierce People”?

The Yanomami are a horticultural people who live in dispersed villages of between 40 and 250 people in the Amazonian rainforest (Ross 1993). Since the 1960s, biological anthropologist Napoleon Chagnon has studied several Yanomami villages. He has written a widely read and frequently republished ethnography about the Yanomami, with early editions carrying the subtitle *The Fierce People* (1992 [1968]). He also helped produce classic ethnographic films about the Yanomami, including *The Feast* and *The Ax Fight*.

Chagnon’s writings and films have promoted a view of the Yanomami as exceptionally violent and prone to lethal warfare. According to Chagnon, about one-third of adult Yanomami males die violently, about two-thirds of all adults lose at least one close relative through violence, and over 50 percent lose two or more close relatives (1992:205). He has reported that one village was raided 25 times during his first 15 months of fieldwork. Although village alliances are sometimes formed, they are fragile and allies may turn against each other unpredictably.

The Yanomami world, as depicted by Chagnon, is one of danger, threats, and counterthreats. Enemies, human and supernatural, are everywhere. Support from one’s allies is uncertain. All

this uncertainty leads to what Chagnon describes as the *waiteri* (a Yanomami word) *complex*, a set of behaviors and attitudes that includes a fierce political and personal stance for men and forms of individual and group communication that stress aggression and independence. Fierceness is a dominant theme in socialization, as boys learn how to fight with clubs, participate in chest-pounding duels with other boys, and use a spear. Adult males are aggressive and hostile toward adult females, and boys learn to be aggressive toward girls from an early age.

Chagnon provides a biological, Darwinian explanation for the fierceness shown by the Yanomami. He reports that the Yanomami explain that village raids and warfare are carried out so that men may obtain wives. Although the Yanomami prefer to marry within their village, a shortage of potential brides exists because of the Yanomami practice of female infanticide. Although the Yanomami prefer to marry endogamously, taking a wife from another group is preferable to remaining a bachelor. Men in other groups, however, are unwilling to give up their women—hence the necessity for raids. Other reasons for raids are suspicion of sorcery or theft of food.

Chagnon argues that within this system warfare contributes to reproductive success because successful warriors

are able to gain a wife or more than one wife (polygyny is allowed). Thus, successful warriors will have higher reproductive rates than unsuccessful warriors. Successful warriors, Chagnon suggests, have a genetic advantage for fierceness, which they pass on to their sons, leading to a higher growth rate of groups with violent males through genetic selection for fierceness. Male fierceness, in this view, is biologically adaptive.

Marvin Harris, taking the cultural materialist perspective, says that protein scarcity and population dynamics in the area are the underlying causes of warfare (1984). The Yanomami lack plentiful sources of meat, which is highly valued. Harris suggests that when game in an area becomes depleted, pressure rises to expand into the territory of neighboring groups, thus precipitating conflict. Such conflicts in turn result in high rates of adult male mortality. Combined with the effects of female infanticide, this meat-warfare complex keeps population growth rates down to a level that the environment can support.

A third view relies on historical data. Brian Ferguson (1990) argues that the high levels of violence among the Yanomami were caused by the intensified Western presence during the preceding 100 years. Furthermore, diseases introduced from outside,

systems, many cultural anthropologists and others support and work to promote **social justice**, a concept of fairness based on social equality that seeks to ensure entitlements and opportunities for disadvantaged members of society.

Social Conflict and Violence

All systems of social control have to deal with the fact that public conflict and violence may occur. This section considers several varieties of public conflict and violence.

social justice a concept of fairness based on social equality that seeks to ensure entitlements and opportunities for disadvantaged members of society.

sectarian conflict conflict based on perceived differences between divisions or sects within a religion.

ETHNIC CONFLICT Ethnic conflict and grievances may result from an ethnic group’s attempt to gain more autonomy or more equitable treatment. It may also be caused by a dominant group’s actions to subordinate, oppress, or eliminate an ethnic group by *genocide* (killing large numbers of a distinct ethnic, racial, or religious group) or *ethnocide* (destroying the culture of a distinct group).

In the past few decades, political violence has increasingly been enacted within states rather than between states. It is true that ethnic identity often provides people with an ideological commitment to a cause, but one should look beneath the labels to see whether deeper, structural issues exist. Consider Central Asia (Map 8.2), a vast region populated by many ethnic groups, none of which has a clear claim to the land on the grounds of indigeneity. Yet, in Central Asia,

especially measles and malaria, severely depopulated the Yanomami and greatly increased their fears of sorcery (their explanation for disease). The attraction to Western goods such as steel axes and guns would also increase intergroup rivalry. Thus, Ferguson suggests that the “fierce people” are a creation of historical forces, especially contact with and pressure from outsiders.

Following Ferguson’s position, but with a new angle, journalist Patrick Tierney points the finger of blame at Chagnon himself (2000). Tierney maintains that it was the presence of Chagnon, with his team of coresearchers and many boxes of trade goods, that triggered a series of lethal raids due to increased competition for those very goods. In addition, Tierney argues that Chagnon intentionally prompted the Yanomami to act fiercely in his films and to stage raids that created aggravated intergroup hostility beyond what had originally existed.

In 2001, the American Anthropological Association established a task force to examine five topics related to Tierney’s allegations that Chagnon’s and others’ interactions with and representations of the Yanomami may have had a detrimental impact on them, contributing to “disorganization” among the Yanomami. The report of the El Dorado Task Force appears on the website <http://www.aaanet.org> of the American Anthropological Association. The task force rejected all charges against Chagnon



Napoleon Chagnon (center) in the field with two Yanomami men, 1995. Chagnon distributed goods such as steel axes and tobacco to the Yanomami to gain their cooperation in his research.

and instead emphasized the harmfulness of false accusations that might jeopardize future scientific research.

CRITICAL THINKING QUESTIONS ▼

- Which perspective presented here on Yanomami men’s behavior appears most persuasive to you and why?
- What relevance does this case have to the theory that violence is a universal human trait?
- Do you think anthropological research could lead to increased violence among the study population?

every dispute appears on the surface to have an ethnic basis: “Russians and Ukrainians versus Kazakhs over land rights and jobs in Kazakhstan, Uzbeks versus Tajiks over the status of Samarkhand and Bukhara, conflict between Kirghiz and Uzbeks in Kyrgyzstan, and riots between Caucasian Turks and Uzbeks in the Fergana Valley of Uzbekistan” (Clay 1990:48). Attributing the causes of all such problems to ethnic differences overlooks competition for resources that is based on regional, not ethnic, differences. Uzbekistan has most of the cities and irrigated farmland, whereas Kyrgyzstan and Tajikistan control most of the water, and Turkmenistan has vast oil and gas riches.

Sectarian Conflict **Sectarian conflict** is conflict based on perceived differences between divisions or sects within a religion,

and often related to rights and resources. For hundreds of years, sectarian conflict has occurred within the British Isles, between Catholics and Protestants, both groups being Christian. Sectarian conflict between Muslims often follows a split between Shias and Sunnis (discussed in Chapter 12). This division is expressed in outright violence such as attacks on each other’s sacred sites. It also takes the form of indirect, structural violence, as shown by a study conducted in northern Pakistan during a period of Shia-Sunni conflict (Varley 2010). During the conflict, *exclusionary medical service provision* occurred in which Sunni women experienced second-class treatment at obstetric clinics to the extent that they retreated to using alternative medicine.

WAR One definition of war says that it is an open and declared conflict between two political units. This definition,

MAP 8.2 Central Asian States.

The five states of Central Asia are Kazakhstan, Turkmenistan, Uzbekistan, Kyrgyzstan, and Tajikistan. Central Asia is a large, landlocked region that is historically linked with pastoralism and the famous Silk Road, a trade route connecting the Middle East with China. The region's terrain encompasses desert, plateaus, and mountains. Given its strategic location near several major world powers, it has often been a battleground of other states' interests. The predominant religion is Islam, and most Central Asians are Sunnis. Languages are of the Turkic language group. Central Asia has an indigenous form of rap-style music in which lyrical improvisers engage in battles, usually accompanied by a stringed instrument. These musical artists, or *akyns*, are now using their art to campaign for political candidates.



however, rules out many warlike conflicts, including the American–Vietnam War because it was undeclared. Or, war may be defined simply as organized aggression. But this definition is too broad, because not all organized violence can be considered warfare. Perhaps the best definition is that **war** is organized conflict involving group action directed against another group and involving lethal force (Ferguson 1994, quoted in Reyna 1994:30).

Cultural variation exists in the frequency of war, the objectives of war, how war is waged, and how postwar social relations are rebuilt. Intergroup conflicts among free-ranging foragers that would fit the definition of war do not exist in the ethnographic record. The informal, nonhierarchical political organization among bands is not conducive to waging armed conflict. Bands do not have specialized military forces or leaders.

war organized and purposeful group action directed against another group and involving lethal force.

corporate social responsibility (CSR) business ethics that seek to generate profits for the corporation while avoiding harm to people and the environment.

Archaeological evidence indicates that warfare emerged during the Neolithic era with settled life. Plant and animal domestication required extensive land use and was accompanied by increased population density. The resulting economic and demographic pressures put more and larger groups in more direct and intense competition with each other.

No evidence of warlike behavior among bands exists, but it does among tribal groups. Tribal leadership patterns, including big-man systems, facilitate the mobilization of warrior groups for raids. Contemporary tribal groups everywhere, though, do not all have the same levels of warfare. At one extreme, with reported high levels of warfare, are the Yanomami of the Amazon (see Map 3.3, p. 53 and Critical Thinking).

In states, armies and complex military hierarchies are supported by increased material resources through taxation and other forms of revenue generation. Greater state power allows for more powerful and effective military structures, which in turn increase the state's power. Thus, a mutually reinforcing relationship emerges between the military and the state. Although most states are highly militarized, not all are, nor are all states equally militarized. Costa Rica (see Map 11.1, p. 241) does not maintain an army.

Examining the causes of war between states has occupied scholars in many fields for centuries. Some experts have pointed to common, underlying causes, such as attempts to extend boundaries, secure more resources, ensure markets, support political and economic allies, and resist aggression from other states. Others point to humanitarian concerns that prompt participation in “just wars,” to defend values such as freedom or to protect human rights that are defined as such by one country and are being violated in another.

Causes of war in Afghanistan, to take one case, have changed over time (Barfield 1994). Since the seventeenth century, warfare increasingly became a way in which kings justified their power in terms of the necessity to maintain independence from outside forces such as the British and Czarist Russia. The last Afghan king was murdered in a coup in 1978. When the Soviet Union invaded in 1979, no centralized ruling group existed to meet it. The Soviet Union deposed the ruling faction, set up one of its own, and then killed over 1 million people, caused 3 million to flee the country, and left millions of others to be displaced internally. Still, in spite of the lack of a central command, ethnic and sectarian differences, and being outmatched in equipment by Soviet forces, the Afghans waged a war of resistance that eventually wore down the Soviets, who withdrew in 1989.

The recent history of war in Afghanistan suggests that war was a more effective tool of domination in the premodern period, when it settled matters more definitively (Barfield 1994). In premodern times, fewer troops were needed to maintain dominance after a conquest, because continued internal revolts were less common and the main issue was defense against rivals from outside. Current events show clearly that attacking and taking over a country are only the first stages in a process much more complicated than the term *regime change* implies. Afghanistan is still attempting to recover and rebuild after over four decades of war (Shahrani 2002). Cultural factors influencing the country’s recovery include codes of honor that value political autonomy and require vengeance for harm received, the moral system of Islam, the drug economy, and the effects of intervention from outside powers involving several foreign governments. The challenge of constructing a strong state with loyal citizens in the face of competing internal and external factors is great.

GLOBAL-LOCAL CONFLICT The categories of conflict described above involve units that are roughly parallel: ethnic groups, sectarian groups, or states. Another form of conflict has been taking place around the world since at least the fifteenth century when powerful European countries began to colonize tropical countries. This process, far from being over, is ongoing, though the major actors have shifted over time. The United States, known as the most powerful

country in the world, is currently waging two wars: in Iraq and Afghanistan. Although they are termed “wars against terrorism,” they could be interpreted as *neocolonial wars*, that is, wars that seek to control strategic world areas for the material and political gain of the dominating country. Such wars are not formally declared, and they often do not conform to accepted international rules of engagement, including the treatment of prisoners.

Another type of conflict involves a *private-sector* (non-government) entity such as a multinational corporation versus a local group or groups that typically makes claims against, and often fights physically against, the corporation. Some cultural anthropologists work with multinational corporations to assist them in establishing and maintaining harmonious relations with people affected by their projects such as dams, mines, and oil drilling. The concept of **corporate social responsibility (CSR)** is increasingly adopted by large multinationals, though



A child soldier named Alfred walks to a UN disarmament camp in the Liberian city of Tubmanburg in 2004. Many countries have programs to help child soldiers adjust to life after war.

► What might be the three most important challenges that child soldiers face in a postconflict situation?

ANTHROPOLOGY works

Anthropology and Community Activism in Papua New Guinea

A controversial issue in applied anthropology is whether or not an anthropologist should take on the role of community activist on behalf of the people among whom they have conducted research (Kirsch 2002). Some say that anthropologists should maintain a neutral position in a conflict situation and simply offer information that may be used by either side. Others say that it is appropriate and right for anthropologists to take sides and help support less powerful groups against more powerful groups. Those who endorse anthropologists taking an activist role argue that neutrality is never truly neutral: By seemingly taking no position, one indirectly supports the status quo. Information provided to both sides will serve the interests of the more powerful side.

Stuart Kirsch took an activist role after conducting field research for over 15 years in a region of Papua New Guinea that has been negatively affected by a large copper and gold mine called the Ok Tedi mine (see Map 1.3, page 15). The mine releases 80,000 tons of mining wastes into the local river system daily, causing extensive environmental damage that in turn affects people's food and water sources. Kirsch joined the local community in its extended legal and political campaign to

limit further pollution and to gain compensation for damages suffered.

He explains his involvement with the community as a form of reciprocal exchange. The community members have provided him with information about their culture for many years. He believes that his knowledge is part of the people's cultural property and that they have a rightful claim to its use. Kirsch's support of the community's goals has taken three forms:

- Providing documentation of the problems of the people living downstream from the mine in terms of their ability to make a living and their health
- Working with local leaders to help them to convey their views to the public and in the court
- Serving as a cultural broker, a person familiar with two cultures who can mediate and prevent conflicts, in discussions among community members, politicians, mining executives, lawyers, and representatives of non-governmental organizations (NGOs) to promote solutions for the problems faced by the Ok Tedi people living downstream from the mine

In spite of official reports recommending that the mine be closed in 2001, its future remains uncertain. No

assessment of past damages to the community has been prepared. As the case goes on, Kirsch continues to support the community's efforts. Indigenous people worldwide are increasingly invoking their rights to anthropological knowledge about themselves.

According to Kirsch, the Ok Tedi case, along with many others, requires cultural anthropologists to rethink their roles and relationships with the people they study. Gone is old-fashioned fieldwork in which community members provide information that the anthropologist records and then keeps for his or her intellectual development alone. The overall goal must be one of collaboration and cooperation and, often, the anthropologist serving as an advocate for the people.

FOOD FOR THOUGHT ▼

Consider the pros and cons of anthropological advocacy in terms of the Ok Tedi case or another issue: Should an anthropologist side with the local people with whom they have done research against a powerful outside force? If the anthropologist does not side with the local people, is that equivalent to siding with the outsiders?

Thanks to Stuart Kirsch, University of Michigan, for providing updates.



Yonggom people gather at a meeting in Atkamba village on the Ok Tedi River, Papua New Guinea, to discuss legal proceedings in 1996. At the end of the meeting, leaders signed an agreement to an out-of-court settlement, which was presented to the Victorian Supreme Court in Melbourne, Australia. The current lawsuit concerns the Yonggom people's claim that the 1996 settlement agreement has been breached.

implemented to varying degrees. The definition of CSR is contested, but most would agree that it boils down to business ethics that seek to generate profits for the corporation while avoiding harm to people and the environment: The goal is to pursue profits in line with protecting people and the planet.

Other anthropologists work as advocates on behalf of the so-called “affected people” to document harm inflicted by businesses and to gain compensation, meager as it typically is, and late-coming due to extended legal processes (see *Anthropology Works* on page 174). Another role for anthropology is at the beginning of the process of a resource extraction process, documenting the legal steps involved in the Environmental Impact Assessment phase and how, typically, the process is tilted in favor of the companies due to their power networks. In one case, in Peru, the mining company was able to bring in scientific experts to attest on its behalf; the *campesinos* (small farmers), who resisted the mine, lacked the ability to bring in their own counter-experts (Li 2009).



Change in Political and Legal Systems

Political and legal anthropologists do important research on global-local political and legal connections and change. This section provides three examples.

Emerging Nations and Transnational Nations

Many different definitions exist for a nation, and some of them overlap with definitions given for a state. One definition says that a **nation** is a group of people who share a language, culture, territorial base, political organization, and history (Clay 1990). In this sense, a nation is culturally homogeneous, and the United States would be considered not a nation, but rather a political unit composed of many nations. According to this definition, groups that lack a territorial base cannot be termed nations. A related term is the *nation-state*, which some say refers to a state that comprises only one nation, whereas others think that it refers to a state that comprises many nations. An example of the first view is the Iroquois nation (see Map 3.2, p. 53).

Depending on their resources and power, nations and other groups may constitute a political threat to state stability and control. Examples include the Kurds in the Middle East (see *Culturama*), the Maya of Mexico and Central America, Tamils in Sri Lanka, Tibetans in China, and Palestinians in the Middle East. In response to local political movements, states

seek to create and maintain a sense of unified identity. Political scientist Benedict Anderson, in his book *Imagined Communities* (1991 [1983]), writes about the symbolic efforts that state builders employ to create a sense of belonging—an “imagined community”—among diverse peoples. Strategies include the imposition of one language as the national language; the construction of monuments and museums that emphasize unity; and the use of songs, dress, poetry, and other media messages to promote an image of a unified country. Some states, such as China, control religious expression in the interest of promoting loyalty to and identity with the state.

Globalization and increased international migration also prompt anthropologists to rethink the concept of the state (Trouillot 2001). The case of Puerto Rico (Map 8.4) is particularly illuminating because of its continuing status as a quasi-colony of the United States (Duany 2000). Puerto Rico is neither fully a state of the United States nor an autonomous political unit with its own national identity. Furthermore, Puerto Rican people do not coexist in a bounded spatial territory. By the late 1990s, nearly as many Puerto Ricans lived in the United States mainland as on the island of Puerto Rico. Migration to Puerto Rico also occurs, creating cultural diversity there. Migrants include returning Puerto Ricans and others from the United States, such as Dominicans and Cubans.

These migration streams—outgoing and incoming—pose a dual complication to the sense of Puerto Rico as constituting a nation. First, half of the “nation” lives outside the home territory. Second, within the home territory, ethnic homogeneity does not exist because of the diversity of people who migrate there. The Puerto Ricans who are return migrants are different from the islanders because many have adopted English as their primary language. All these processes foster the emergence of a transnational identity, which differs from a national identity centered in either the United States or Puerto Rico. (Chapter 12 provides additional material on transnationalism.)

Democratization

Democratization is the process of transformation from an authoritarian regime to a democratic regime. This process includes several features: the end of torture, the liberation of political prisoners, the lifting of censorship, and the toleration of some opposition (Pasquino 1996). In some cases, what is achieved is more a relaxation of authoritarianism than a true transition to democracy, which would occur when the authoritarian regime is no longer in control. Political parties emerge, some presenting traditional interests and others oppositional.

nation a group of people who share a language, culture, territorial base, political organization, and history.

CULTURAMA

The Kurds of the Middle East

The Kurds are an ethnic group of between 20 and 30 million people, most of whom speak some dialect of the Kurdish language, which is related to Farsi, the language spoken in Iran, among other countries (Major 1996). The majority are Sunni Muslims. Kurdish kinship is strongly patrilineal, and Kurdish family and social relations are male dominated.

Their home region, called Kurdistan (“Place of the Kurds”), extends from Turkey into Iran, Iraq, and Syria. This area is grasslands, interspersed with mountains, with no coastline. Before World War I, many Kurds were full-time pastoralists, herding sheep and goats. Following the war and the creation of Iraq, Syria, and Kuwait, many Kurdish herders were unable to follow their traditional grazing routes because they crossed the new country borders. Herders no longer live in tents year-round, though some do for part of the year. Others are farmers. In towns and

cities, Kurds own shops, are professionals, and are employed in many different occupations.

Reliable population data for the Kurds in the Middle East do not exist, and estimates vary widely. About half of all Kurds—between 10 and 15 million—live in Turkey, where they constitute 20 percent, or perhaps more, of the total population. Approximately 6 million live in Iran, 4 to 5 million in Iraq, and 1.5 million in Syria. Others live in Armenia, Germany, France, and the United States.

The Kurds have attempted to establish an independent state for decades, with no success and often facing harsh treatment from government forces. In Turkey, the state used to refer to them as “Mountain Turks” and in many ways still refuses to recognize them as a legitimate minority group. Use of the Kurdish language is restricted in Turkey. The Kurds have faced similar repression in Iraq, especially following

their support of Iran in the 1980–1988 Iran–Iraq war. Saddam Hussein razed villages and used chemical weapons against the Kurds. After the Persian Gulf War, 2 million Kurds fled to Iran. Many others have emigrated to Europe and the United States. Iraqi Kurds gained political autonomy from Baghdad in 1991 following a successful uprising aided by Western forces.

Many Kurds feel united by the shared goal of statehood, but several strong internal political factions and a guerrilla movement in Turkey also exist among the Kurds. Kurds in Turkey seek the right to have Kurdish-language schooling and television and radio broadcasts, and they would like to have their folklore recognized as well. The Kurds are fond of music and dancing, and Kurdish villages are known for their distinct performance styles.

Thanks to Diane E. King, University of Kentucky, for reviewing this material.



(LEFT) Herding goats and sheep is a major part of the economy throughout Kurdistan.



(CENTER) In Dohuk, Iraq, the Mazi Supermarket and Dream City are a combination shopping center and amusement park. The goods in the market come mainly from Dubai and Turkey.



MAP 8.3 Kurdish Region in the Middle East. Kurdistan includes parts of Iran, Iraq, Syria, Turkey, and Armenia. About half of all Kurds live in Turkey.



MAP 8.4 Puerto Rico.

The Commonwealth of Puerto Rico is a U.S. territory with commonwealth status. The indigenous population of the island, the Tainos, is extinct. Analysis of DNA of current inhabitants of Puerto Rico reveals a mixed ancestry, including the Taino, Spanish colonialists, and Africans who came to the island as slaves. The economy is based on agriculture, and sugarcane is the main crop. Tourism is also important, as are remittances. Official languages are Spanish and English. Roman Catholicism is the dominant religion, although Protestantism is increasing.

The transition to democracy appears to be most difficult when the change is from highly authoritarian socialist regimes. This pattern is partly explained by the fact that democratization

implies a transition from a planned economy to one based on market capitalism (Lempert 1996). The spotty record of democratization efforts also has to do with the fact that many principles of democracy do not fit in with local political traditions that are based solely on kinship and patronage.

The United Nations and International Peacekeeping

What role might cultural anthropology play in international peacekeeping? Robert Carneiro (1994) has a pessimistic response. Carneiro says that during the long history of human political evolution from bands to states, warfare has been the major means by which political units enlarged their power and domain. Foreseeing no logical end to this process, he predicts that war will follow war until superstates become ever larger and one mega-state is the final result. He considers the United Nations powerless in dealing with the principal obstacle to world peace: state sovereignty interests. Carneiro indicts the United Nations for its lack of coercive power and its record of having resolved disputes through military intervention in only a few cases.

If war is inevitable, little hope exists that anthropological knowledge can be applied to peacemaking efforts. Nonetheless, despite Carneiro's views, cultural anthropologists have shown that war is not a cultural universal and that some cultures solve disputes without resorting to war. The cultural anthropological perspective of critical cultural relativism (review this concept in Chapter 1) can provide useful background on issues of conflict and prompt a deeper dialogue between parties.

Two positive points emerge. The United Nations at least affords an arena for airing disputes. International peace organizations may thus play a role in world peace and order by providing a forum for analysis of the interrelationships among world problems and by exposing the causes and consequences of violence. Another positive direction is the role of NGOs and grassroots organizations in promoting local and global peacemaking through initiatives that bridge group interests.



the **BIG** questions REVISITED

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How do politics vary cross-culturally?

Political anthropology is the study of power relationships in the public domain and how they vary and change cross-culturally. Political anthropologists study the concept of power itself and related concepts such as authority and influence. They have discovered differences and similarities between politics and political organization in small-scale and large-scale societies in leadership roles and responsibilities and in the distribution of power.

Foragers have a minimal form of political organization in the band. Band membership is flexible. If a band member has a serious disagreement with another person or spouse, one option is to leave that band and join another. Leadership in bands is informal. The tribe is a more formal type of political organization than the band. A tribe comprises several bands or lineage groups with a headman or headwoman as leader. Big-man and big-woman political systems are an expanded form of the tribe, and leaders have influence over people in several different villages. Chiefdoms may include several thousand people. Rank is inherited, and social divisions exist between members of the chiefly lineage and commoners.

The state is a centralized political unit encompassing many communities and possessing coercive power. States evolved in several locations with the emergence of intensive agriculture. Most states are hierarchical and patriarchal.

How do cultures maintain social order and deal with conflict?

Legal anthropology is the study of cultural variation in social order and social conflict. The more recent approach of critical legal anthropology points out how legal institutions often

support and maintain social inequalities and injustice. Legal anthropologists also study the difference between norms and laws. Systems of social order and social control vary cross-culturally and over time.

Social control in small-scale societies seeks to restore order more than to punish offenders. The presence of a wide variety of legal specialists is more associated with the state than with small-scale societies, in which social shaming and shunning are common methods of punishment. In states, imprisonment and capital punishment may exist, reflecting the greater power of the state. Social conflict ranges from face-to-face conflicts, such as those among neighbors or domestic partners, to larger group conflicts between ethnic groups and states.

Cultural anthropologists are turning their attention to studying global conflict and peace-keeping solutions. Key issues involve the role of cultural knowledge in dispute resolution and how international or local organizations can help achieve or maintain peace.

How are political and legal systems changing?

Many changes in political and legal systems are related to the influences of European colonialism and contemporary capitalist globalization. Postcolonial states struggle with internal ethnic divisions and pressures to democratize.

Ethnic politics has emerged within and across states as groups seek to compete for increased rights within the state or for separation from it. The Kurds are an example of an ethnic group fighting for political autonomy.

Cultural anthropologists are increasingly doing research on international topics, including the internal dynamics of international organizations such as the United Nations. Their work demonstrates the relevance of cultural anthropology in global peacekeeping and conflict resolution.

KEY CONCEPTS

authority, p. 160

band, p. 160

big-man or big-woman system, p. 162

chiefdom, p. 162

corporate social

responsibility (CSR), p. 172

critical legal anthropology, p. 168

influence, p. 160

law, p. 167

moka, p. 162

nation, p. 175

norm, p. 167

policing, p. 168

political organization, p. 160

power, p. 160

sectarian conflict, p. 170

social control, p. 167

social justice, p. 170

state, p. 164

trial by ordeal, p. 168

tribe, p. 162

war, p. 172

SUGGESTED READINGS

- Kimberley Coles. *Democratic Designs: International Intervention and Electoral Practices in Postwar Bosnia-Herzegovina*. Ann Arbor: University of Michigan Press, 2008. Coles provides an ethnographic analysis of the interaction between international humanitarian aid workers and the postwar political process by focusing on the electoral process.
- Elizabeth F. Drexler. *Aceh, Indonesia: Securing the Insecure State*. Philadelphia: University of Pennsylvania Press, 2008. The author examines corruption, political violence, and the failure of international humanitarian interventions in the Indonesian province of Aceh.
- Mona Etienne and Eleanor Leacock, eds. *Women and Colonization: Anthropological Perspectives*. New York: Praeger, 1980. This classic collection examines the impact of Western colonialism and missionary intervention on women of several indigenous groups of North America, South America, Africa, and the Pacific.
- Magnus Fiskesjö. *The Thanksgiving Turkey Pardon, The Death of Teddy's Bear, and the Sovereign Exception of Guantánamo*. Chicago: Prickly Paradigm Press, 2003. This interpretation of the U.S. presidential ritual of “pardoning” a turkey every Thanksgiving sheds light on notions of the presidency and its power in the United States.
- Thomas Gregor, ed. *A Natural History of Peace*. Nashville: University of Tennessee Press, 1996. This book contains essays on “what is peace?” reconciliation among nonhuman primates, the psychological bases of violent and nonviolent societies, case studies of Amazonia and American Indians, and international relations.
- Susan F. Hirsch. *In the Moment of Greatest Calamity: Terrorism, Grief, and a Victim's Quest for Justice*. Princeton: Princeton University Press, 2006. The author's husband was killed in the 1998 bombing of the U.S. embassy in Kenya. In this book, Hirsch describes her experiences in Kenya in the aftermath of the bombing, her grief, and her witnessing of the bombing trials in Manhattan in 2001.
- Beatriz Manz. *Paradise in Ashes: A Guatemalan Journey of Courage, Terror, and Hope*. Berkeley: University of California Press, 2004. Manz traces the lives and deaths of some Guatemalan Maya villagers who left their impoverished homeland in the mountains to build a new life in the lowlands. In their new location, they became victims of state-sponsored violence. Many were murdered, and others were forced to flee into the jungle. The survivors have returned to rebuild their homes and lives.
- Bruce Miller. *The Problem of Justice: Tradition and Law in the Coast Salish World*. Lincoln: University of Nebraska Press, 2001. The author compares several legal systems operating in the Northwest Coast region from Washington State to British Columbia. The effects of colonialism differ from group to group. Some groups are strong and independent, whereas others are disintegrating.
- Carolyn Nordstrom. *Shadows of War: Violence, Power, and International Profiteering in the Twenty-First Century*. Berkeley: University of California Press, 2004. Nordstrom did fieldwork in Sri Lanka and Mozambique to reveal the shadow economy that surrounds and supports war. She focuses on informal trading networks that involve goods ranging from guns to food and the people who profit from this economy.
- Jennifer Schirmer. *The Guatemalan Military Project: A Violence Called Democracy*. Philadelphia: University of Pennsylvania Press, 1998. This book is an ethnography of the Guatemalan military, documenting its role in human rights violations through extensive interviews with military officers and trained torturers.
- David Sneath. *The Headless State: Aristocratic Orders, Kinship Society, and Misrepresentations of Nomadic Inner Asia*. New York: Columbia University Press, 2008. The author describes how anthropologists since the nineteenth century have misrepresented Inner Asian nomadic political culture. His analysis continues through to the Soviet and post-Soviet periods and then offers a more accurate interpretation.

 Read the Document on myanthrolab.com

- Roberto J. González. 2009. Anthropologists or “Technicians of Power”? Examining the Human Terrain System. *Practicing Anthropology* 31:34–37. Is participation by cultural anthropologists in the United States' Human Terrain System ethical? Do the ends outweigh the means?
- Thomas Lyons. 2010. Recovery Capital, Drug Policy and the Cycle of Incarceration. *Practicing Anthropology* 32:41–44. Estimates are that over half of prison inmates in the United States have a drug

or alcohol problem. Interventions overlook the users' families, communities, and poverty and instead focus on the individual and his/her moral failure or brain disease.


- Alisa Perkins. 2010. Negotiating Alliances: Muslims, Gay Rights, and the Christian Right in a Polish-American City. *Anthropology Today* 26(2):19–24. Ethnographic research shows how complicated political matters are when they involve religion, sexual identity, immigrants, and public misperceptions of all of these factors.





ADEL
مركز التنمية المجتمعية
التعليم - نقل المعرفة
إلى كل من

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the **BIG** questions

 How do humans communicate?

 How does communication relate to cultural diversity and inequality?

 How does language change?

COMMUNICATION

◀ Graffiti in Gaza City is a form of political communication and boundary-setting. Cell phones and texting are other important ways that political groups mobilize, and they played an important role in the Arab Spring uprisings.

9

OUTLINE

The Varieties of Human Communication

Anthropology Works: Narrating Troubles

Language, Diversity, and Inequality

Language Change

Culturama: The Saami of Sápmi, or Lapland

Critical Thinking: Should Dying Languages Be Revived?

This chapter is about human communication and language, drawing on work in both linguistic anthropology and cultural anthropology. It looks at communication with a wide-angle lens to include topics from word choice to language extinction. The chapter first discusses how humans communicate and what distinguishes human communication from that of other animals. The second section offers examples of language, microcultures, and inequality. The third section discusses language change from its origins in the distant past to contemporary concerns about language loss.

 [Listen to the Chapter Audio on myanthrolab.com](#)



The Varieties of Human Communication

Humans can communicate with words, either spoken or signed, with gestures and other forms of body language such as clothing and hairstyle, and through methods such as telephone calls, postal mail, and e-mail.

Language and Verbal Communication

Most people are in almost constant communication—with other people, with supernaturals, or with pets. We communicate in face-to-face situations or indirectly through mail or e-mail. **Communication** is the process of sending and receiving meaningful messages. Among humans, it involves some form of **language**, a systematic set of symbols and signs with learned and shared meanings. Language may be spoken, hand-signed, written, or conveyed through body movements, body markings and modifications, hairstyle, dress, and accessories.

TWO FEATURES OF HUMAN LANGUAGE Over several centuries, scholars of language have proposed characteristics of human language that distinguish it from

communication the process of sending and receiving meaningful messages.

language a form of communication that is based on a systematic set of learned symbols and signs shared among a group and passed on from generation to generation.

productivity a feature of human language whereby people are able to communicate a potentially infinite number of messages efficiently.

call system a form of oral communication among nonhuman primates with a set repertoire of meaningful sounds generated in response to environmental factors.

displacement a feature of human language whereby people are able to talk about events in the past and future.

phoneme a sound that makes a difference for meaning in a spoken language.

communication among other living beings. The following material describes the two most robust such characteristics.

First, human language has **productivity**, or the ability to create an infinite range of understandable expressions from a finite set of rules. This characteristic is a result of the rich variety of symbols and signs that humans use in their communication. In contrast, nonhuman primates have a more limited set of communicative resources. They rely on a **call system**, or a form of oral communication with a set repertoire of meaningful sounds generated in response to environmental factors. Nonhuman primates do not have the physiological capacity for speech that humans do. In captivity, however, some bonobos and chimpanzees have learned to communicate effectively with humans through sign language and by pointing to symbols on a chart. The world's most famous bonobo is Kanzi, who lives at the Great Ape Trust in Des Moines, Iowa. He can understand much of what humans say to him, and he can respond by combining symbols on a printed board. He can also play simple video games, such as Ms. Pac-Man (<http://www.greatapetrust.org>).

Second, human language emphasizes the feature of **displacement**, the ability to refer to events and issues beyond the immediate present. The past and the future, in this view, are considered to be *displaced domains*. They include reference to people and events that may never exist at all, as in fantasy and fiction.

With respect to productivity and displacement in human language, the case of language among the Pirahã (pee-duh-hah) of Brazil raises many questions (Everett 2008) (Map 9.1). Their language does not emphasize either



Primatologist Sue Savage-Rumbaugh working with Kanzi, an adult male bonobo. Kanzi is involved in a long-term project about ape language. He has learned to use several symbols to communicate with researchers. Some chimpanzees, bonobos, orangutans, and gorillas are also able to communicate in American Sign Language and identify symbols on computer keyboards.



A Pirahã shelter. According to Daniel Everett, who has spent many years learning about their culture and language, the Pirahã do not lead a culturally deprived life. The Pirahã are content with their lifestyle, which includes leisure activities such as playing tag and other games. In spite of their wish to remain living as they are, their reservation is not secure from outside encroachment.

productivity or displacement, though both exist to some degree. The Pirahã are a group of about 350 foragers living on a reservation in the Amazonian rainforest near the Maici River. Their language contains only three pronouns, few words associated with time, no past-tense verbs, no color terms, and no numbers other than a word that translates into English roughly as “about one.” The grammar is simple, with no subordinate clauses. Kinship terms are simple and few. The Pirahã have no myths or stories and no art other than necklaces and a few rudimentary stick figures. In spite of over 200 years of regular contact with Brazilians and neighboring Indians who speak a different language, the Pirahã remain monolingual.

Since 1977, linguist Daniel Everett has lived with the Pirahã and learned their language, so it is unlikely that he has overlooked major aspects of their language. He insists that their language is in no way “primitive” or inadequate. It has extremely complex verbs and rich and varied uses of stress and intonation, referred to in linguistics as *prosody*. The Pirahã especially enjoy verbal joking and teasing, both among themselves and with researchers.

FORMAL PROPERTIES OF VERBAL LANGUAGE

Human language can be analyzed in terms of its formal properties: sounds, vocabulary, and syntax (sometimes called grammar), which are the formal building blocks of all languages. But languages differ widely in which sounds are important, what words are important in the vocabulary, and how people put words together to form meaningful sentences. Learning a



MAP 9.1 Pirahã Reservation in Brazil.

Linguistic anthropologist Daniel Everett helped to define the boundaries of the Pirahã reservation in the 1980s. With support from Cultural Survival and other sources, the demarcation was legally declared in 1994.

new language often involves learning different sets of sounds. The sounds that make a difference for meaning in a spoken language are called **phonemes**. The study of phonemes is called *phonetics*.

A native English-speaker learning to speak Hindi, the major language of North India, must learn to produce and recognize several new sounds. Four different “d” sounds exist. None is the same as an English “d,” which is usually pronounced with the tongue placed on the ridge behind the upper front teeth (try it). One “d” in Hindi, which linguists refer to as a “dental” sound, is pronounced with the tongue pressed firmly behind the upper front teeth (try it) (Figure 9.1). Next is a dental “d” that is also

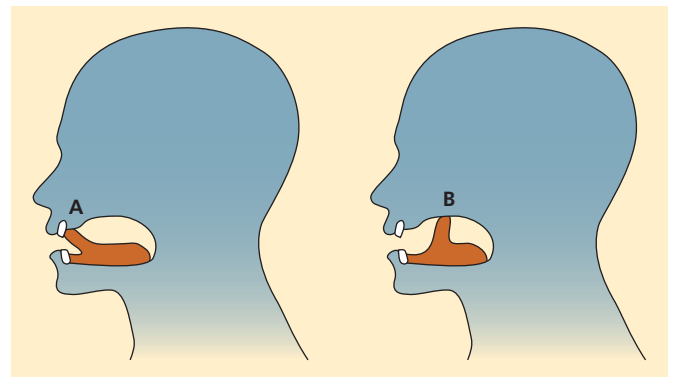


FIGURE 9.1 Dental and Retroflex Tongue Positions. When making a dental sound, the speaker places the tongue against the upper front teeth (position A in the diagram). When making a retroflex sound, the speaker places the tongue up against the roof of the mouth (position B in the diagram).

aspirated (pronounced “with air”); making this sound involves the tongue being in the same position as it is in making the dental “d,” but now a puff of air is expelled (try it, and try the regular dental “d” again with no puff of air at all). Next is what is referred to as a “retroflex” sound, made by flipping the tongue back to the central dome of the roof of the mouth (try it, with no puff of air). Finally, there is the aspirated retroflex “d” with the tongue in the center of the roof of the mouth and a puff of air. Once you can do this, try the whole series again with a “t,” because Hindi follows the same pattern with this letter as with the “d.” Several other sounds in Hindi require careful use of aspiration and placement of the tongue for communicating the right word. A puff of air at the wrong time can produce a serious error, such as saying the word for “breast” when you want to say the word for “letter.”

Every language has a vocabulary, or *lexicon*, which consists of all of the language’s meaningful words. Speakers combine words into phrases and sentences to create meaning. *Semantics* refers to the study of the meaning of words, phrases, and sentences. Anthropologists add the concept of **ethnosemantics**, the study of the meaning of words, phrases, and sentences in particular cultural contexts. They find that languages classify the world in unpredictable ways, categorizing even such seemingly natural things as color and disease differently. (Recall the discussion of Subanon disease categories in Chapter 5.) Ethnosemantic research reveals much about how people define the world and their place in it, how they organize their social lives, and what is of value to them. *Focal vocabularies* are clusters of words that refer to important features of a particular culture. For example, many circumpolar languages have rich focal vocabularies related to snow (Figure 9.2). In mountainous areas of Afghanistan, people use many terms for varieties of rocks.

Syntax, or grammar, consists of the patterns and rules by which words are organized to make sense in a sentence, or string. All languages have rules of syntax, although they vary in form. Even within the languages of contemporary Europe, syntactical variation exists. In German, for example, the verb often appears at the end of the sentence.

All the formal aspects of verbal communication allow people to convey, through speech, simple and complex

ethnosemantics the study of the meaning of words, phrases, and sentences in particular cultural contexts.

sign language a form of communication that uses mainly hand movements to convey messages.

- Firm, even snow that falls in mild weather
 - Thickly packed snow caused by intermittent freezing/thawing and high winds
 - Hard-packed snow formed by strong wind
 - Dry, large-grained, water-holding snow at the deepest layers, closest to the ground, found in late winter and spring
 - Snow that forms a hard layer after rain
 - Ice sheet on pastures formed by rain on open ground that freezes
 - A layer of frozen snow between other snow layers that acts as an ice sheet
- Based on Jernsletten 1997.

FIGURE 9.2 Kinds of “Snow” the Saami Recognize Related to Reindeer Herding.

messages about themselves and their experiences. Not all cultures shape their members to be equally “talky” as will be discussed below in the section on the importance of silence. In “talky” cultures, being able to share *narratives* (stories) about one’s experiences, especially troubling or traumatic experiences, can be a path to healing (see Anthropology Works).

Nonverbal Language and Embodied Communication

Many forms of language and communication do not rely on verbal speech. Like verbal language, though, they are based on symbols and signs and have rules for their proper combination and meaning.

SIGN LANGUAGE **Sign language** is a form of communication that uses mainly hand movements to convey messages. A sign language provides a fully competent communication system for its users, just as spoken language does (Baker 1999). Around the world, many varieties of sign language exist, including American Sign Language, British Sign Language, Japanese Sign Language, Russian Sign Language, and many varieties of indigenous Australian sign languages. Most sign languages are used by people who are hearing impaired as their main form of communication. But in many indigenous Australian communities, people who are able to communicate verbally often opt to sign (Kendon 1988). They switch to sign language in situations in which verbal speech is forbidden or undesirable, for example, in some sacred contexts, for men during hunting, and for widows during mourning.

Gestures are movements, usually of the hands, that convey meanings. Some gestures may be universally

THINKING OUTSIDE THE BOX

Try to compose an English sentence with its main verb at the end.

ANTHROPOLOGY works

Narrating Troubles

Refugee survivors of violence are especially at risk of various mental health problems, including what Western psychiatrists call *post-traumatic stress disorder*, or PTSD. It includes symptoms such as depression, anxiety, sleep disorders, and changes in personality. In treating refugee survivors in North America, several approaches have been used, including *narrative therapy*, in which the sufferer tells about his or her experiences as a way of unloading the pent-up memories. It involves asking the individual to tell in detail, in a safe and caring interpersonal setting, the story of what happened to him or her.

A study of twenty Bosnian refugees who now live in the United States sheds light on the positive effects of having survivors narrate their experiences of terror and suffering (Weine et al. 1995). Ten of the refugees in the study were male, and ten were female, ranging in age from 13 to 62 years. All but one were Muslims, and all adults were married and had worked either inside or outside the home. Analysis of their narratives showed that all had experienced many traumatic events, the frequency increasing with a person's age.

Almost all the refugees experienced the destruction of their homes, forced evacuation, food and water deprivation, disappearance of family members, exposure to acts of violence or death, detainment in a refugee camp, and forced emigration: "Nearly all the refugees emphasized the shock that came with the sudden occurrence of human betrayal by neighbors, associates, friends, and relatives" (1995:538).

The testimonies document the genocidal nature of the traumas directed at the entire Muslim Bosnian population. The traumas experienced were "extreme, multiple, repeated, prolonged, and communal" (1995:539). Some of the survivors carry with them constant images of death and atrocity. One man describes them as "films" that play in his head. In contrast, others have lost their memories of the events, and one woman was later unable to remember the trauma story she told three weeks earlier: "All kinds of things come together. Being expelled. Things we lost. Twenty years of work—then suddenly being without anything. . . . All the memories come at the same moment and it's too much" (1995:541).

The massiveness of their suffering, the psychiatrists report, extends beyond the bounds of the psychiatric diagnostic category of PTSD. Yet, in spite of their deep and extensive suffering, many Bosnian refugees in the United States are recovering and rebuilding their lives, perhaps in part due to the success of narrative therapy.

Studies of this therapeutic approach among refugees of other cultures, however, reveal that some people are extremely reluctant to discuss their experiences, even in a supportive setting. Thus, narrative therapy may not be effective in all cultures.



MAP 9.2 Bosnia and Herzegovina.

Formerly part of the Socialist Federal Republic, Bosnia and Herzegovina have a population of around 4 million. Bosnia occupies the northern areas of the country, about four-fifths of the total area, whereas Herzegovina occupies the southern part. The country still faces the challenges of reconstruction following the war of 1992–1995. On a brighter note, it has one of the best income equality rankings in the world, placing eighth among 193 countries. Bosnia and Herzegovina are world champions in Paralympics volleyball, with a team consisting of players who lost their legs in the Yugoslav War.



A forensic expert clears the soil from a skull found in a mass grave in Bosnia. The grave is believed to contain bodies of perhaps 500 Muslim civilians killed by the Bosnian Serb forces during the 1992–1995 war.

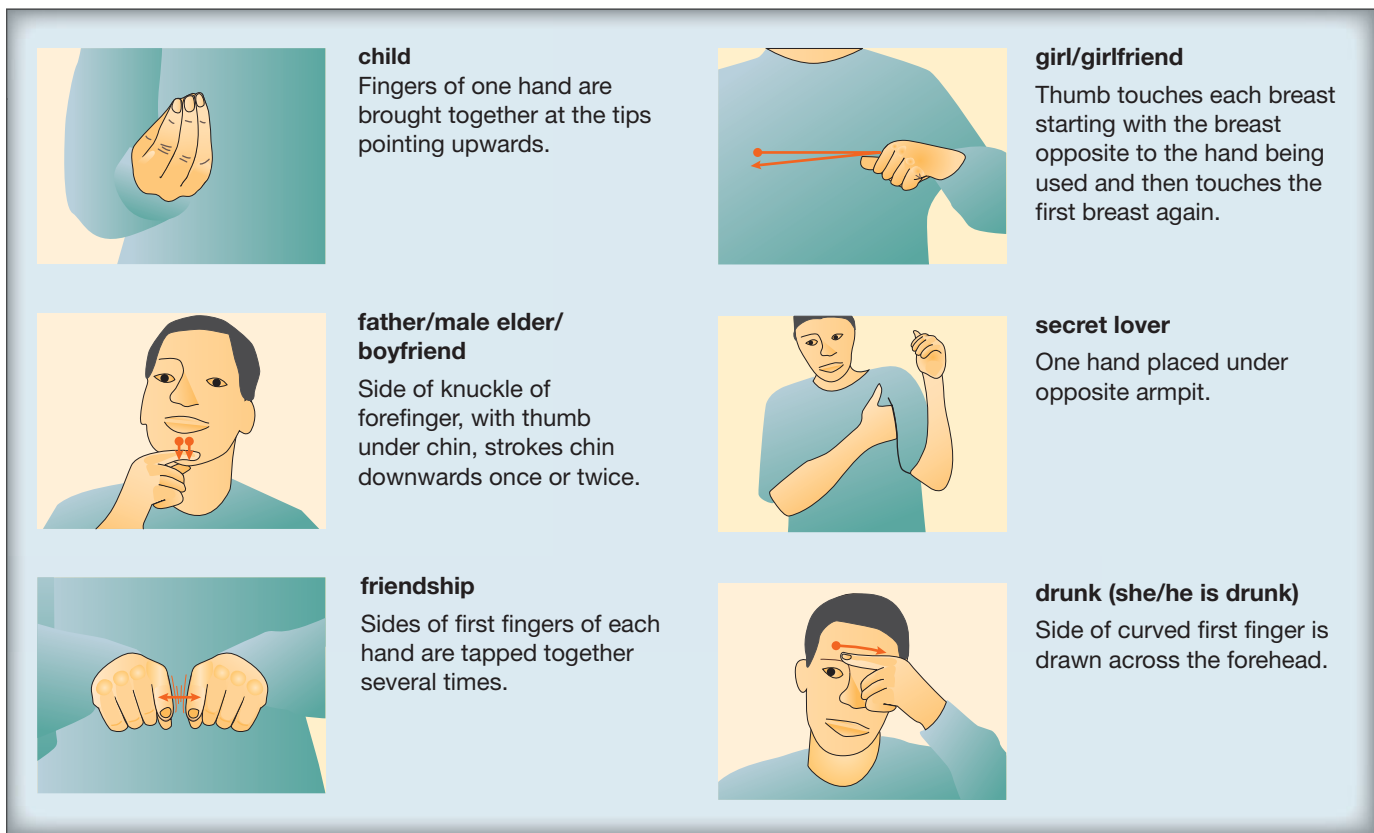


FIGURE 9.3 Some South African Gestures Used by a Man.

Source: From *A Repertoire of South African Quotable Gestures*, from *The Journal of Linguistic Anthropology*, Copyright © 2004 Blackwell Publishers Ltd. Reproduced with permission of Blackwell Publishers.

meaningful, but most are culturally specific and often completely arbitrary. Some cultures have more highly developed gesture systems than others. Black urban youths in the cities of Pretoria and Johannesburg in South Africa, use a rich repertoire of gestures (Brookes 2004) (see Map 7.5, page 150). Some of the gestures are widely used and recognized, but many vary by age, gender, and situation (Figure 9.3). Men use more gestures than women do; the reason for this difference is not clear.

Greetings, an important part of communication in every known culture, often involve gestures (Duranti 1997b). They are typically among the first communicative routines that children learn, as do tourists and anyone trying to learn a foreign language. Greetings establish a social encounter. They usually involve both verbal and nonverbal language. Depending on the context and the social relationship, many variations exist for both the verbal and the nonverbal component. Contextual factors include the degree of formality or informality. Social factors include gender, ethnicity, class, and age.

SILENCE Silence is another form of nonverbal communication. Its use is often related to social status, but in unpredictable ways. In rural Siberia, an in-married daughter-in-law

has the lowest status in the household, and she rarely speaks (Humphrey 1978). In other contexts, silence is associated with power. For example, in U.S. courts lawyers speak more than anyone else and the judge speaks rarely but has more power than a lawyer, while the silent jury holds the most power (Lakoff 1990).

Silence is an important component of communication among many American Indian cultures. White outsiders, including social workers, have sometimes misinterpreted this silence as a reflection of dignity or a lack of emotion or intelligence. How ethnocentric such judgments are is revealed by a study of silence among the Western Apache of Arizona (Basso 1972 [1970]) (Map 9.3). The Western Apache use silence in four contexts:

- When meeting a stranger, especially at fairs, rodeos, or other public events. Speaking with a stranger immediately indicates interest in something such as money, work, or transportation, all possibly serving as reasons for exhibiting bad manners.
- In the early stages of courting. Sitting in silence and holding hands for several hours is appropriate. Speaking “too soon” would indicate sexual willingness or interest.



MAP 9.3 Western Apache Reservation in Arizona.

Before European colonialism, the Apache lived in a wide area extending from present-day Arizona to northwestern Texas. Originally foragers, they started planting some food crops in the 1600s. After the arrival of the Spanish, the Apache gained horses from them and became skilled equestrian warriors. In the second half of the nineteenth century, the U.S. government was active in exterminating many Apache groups and forced those who survived to live on reservations in order to make way for White settlements.

- When a parent and child meet after the child has been away at boarding school. They should be silent for about 15 minutes. It may be two or three days before sustained conversations are initiated.
- When “getting cussed out,” especially at drinking parties.

An underlying similarity of all these contexts is the uncertainty, ambiguity, and unpredictability of the social relationships involved.

BODY LANGUAGE Human communication, in one way or another, often involves the body in sending and receiving messages. Beyond the mechanics of speaking, hearing, gesturing, and seeing, the body itself can function as a “text” that conveys messages. The full range of *body language* includes eye movements, posture, walking style, the way one stands and sits, cultural *inscriptions* on the body such as tattoos and hairstyles, and accessories such as dress, shoes, and jewelry. Body language follows patterns and rules just as verbal language does. As with verbal language,

the rules and meanings are learned, often unconsciously. Without learning the rules and meanings, one will commit communication errors, which are sometimes funny and sometimes serious.

Different cultures emphasize different body language channels more than others. Some are more touch oriented than others, and some use facial expressions more. Eye contact is valued during Euro-American conversations, but in many Asian contexts direct eye contact is considered rude or perhaps a sexual invitation.

Clothing, hairstyles, and modification of or marks on the body convey messages about age, gender, sexual interest or availability, profession, wealth, and emotions. The color of one’s clothing can send messages about a person’s identity, class, gender, and more. In the United States, gender differentiation begins in the hospital nursery with the color coding of blue for boys and pink for girls. In parts of the Middle East, public dress is black for women and white for men.

Covering or not covering various parts of the body with clothing is another culturally coded matter. Consider the different meanings of women’s head or face covering in Egypt and Kuwait (MacLeod 1992). Kuwaiti women’s head covering distinguishes them as relatively wealthy, leisured, and honorable, as opposed to the immigrant women workers from Asia, who do not cover their heads. In contrast, head covering in Egypt is done mainly by women from the lower and middle economic levels. For them, it is a way to accommodate conservative Islamic values while preserving their right to work outside the home. In Egypt, the head covering says, “I am a good Muslim and a good wife or daughter,” while in Kuwait, the headscarf says, “I am a wealthy Kuwaiti citizen.” In many conservative Muslim contexts, it is important for a woman in public to cover more than her head by wearing a full-length loose garment. These rules, along with other patriarchal values, make it difficult for women in some Muslim contexts to participate in sports while in school and in public sporting events such as the international Olympics.

In Japan, the kimono provides an elaborate coding system signalling gender and life-cycle stage (Dalby 2001). The higher one’s status, the shorter is the sleeve of one’s kimono. Men’s kimono sleeves come in one length: short. An unmarried woman’s kimono sleeves reach almost to the ground, whereas a married woman’s sleeves are nearly as short as that of a man’s.

Communicating with Media and Information Technology

Media anthropology is the cross-cultural study of communication through electronic media such as radio, television, film, recorded music, the Internet, and print media, including



(TOP) Japanese businessmen meet each other, bow, and exchange business cards. Bowing is an important part of nonverbal communication in Japan. (BOTTOM) The *furisode* kimono is distinguished by its fine silk material, long sleeves, and elaborate colors and designs. A girl's 20th birthday gift is typically a *furisode*, marking her transition to young adulthood. Only unmarried women wear the *furisode*, so wearing one is a statement of marital availability. Fluttering the long, wide sleeves at a man is a way to express love for him.

► What meanings do the styles and lengths of sleeves convey in your cultural world?

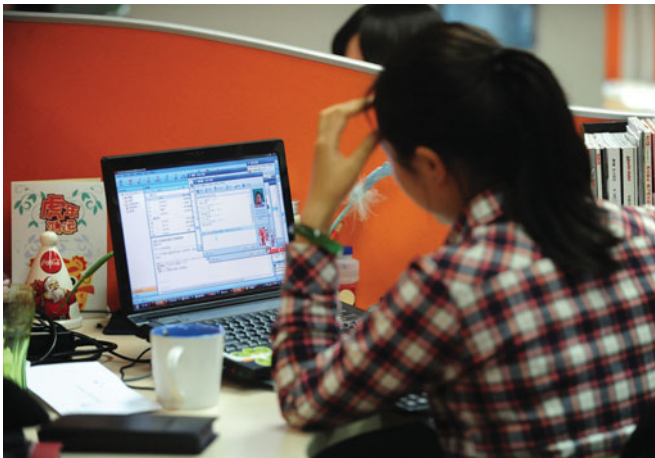
newspapers, magazines, and popular literature (Spitulnik 1993). Media anthropology is an important emerging area that links linguistic and cultural anthropology (Allen 1994). Media anthropologists study the media process and media content, the audience response, and the social effects of media presentations. **Critical media anthropology** asks to what degree access to media is liberating or controlling and whose interests the media serve. Critical media anthropologists examine power issues in many areas including journalism, television, movies, advertising, the Internet, social media, and gaming worlds. Two examples are provided here about journalism and advertising.

THE POLITICS OF JOURNALISM Mark Pedelty studied war correspondents in El Salvador to learn about journalists and journalistic practices during war (1995) (see Map 12.4, page 261). He found that the lives and identities of war correspondents are highly charged with violence and terror: “War correspondents have a unique relationship to terror . . . that combines voyeurism and direct participation. . . . They need terror to . . . maintain their cultural identity as ‘war correspondents’” (1995:2). The primary job of journalists, including war correspondents, is communication, but communication of a specific sort. They gather information that is time sensitive and often brutal. Their job is to provide brief stories for the public.

A critical media anthropology perspective reveals the important role of the news agency that pays their salary or, if they are freelancers or “stringers,” that buys their story. War correspondents in El Salvador write a story about the same event differently, depending on whether they are sending it to a U.S. newspaper or a European newspaper.

ADVERTISING FOR LATINOS IN THE UNITED STATES Within the U.S. advertising market, one of the most sought-after segments is the Latino population, also called “the Hispanic market” in the advertising industry (Dávila 2002). Interviews with staff of 16 Latino advertising agencies and content analysis of their advertisements reveal their approach of treating Latinos as a unified, culturally specific market. The dominant theme, or *trope*, is that of “the family” as being the most important feature of Latino culture, in contrast to the stereotype of the Anglo population as more individualistic. Recent milk-promotion advertisements for the Anglo population show a celebrity with a milk moustache. The Latino version shows a grandmother cooking a traditional milk-based dessert, and the caption reads, “Have you given your loved ones enough milk today?” (2002:270). In Spanish-language television and radio networks, a kind of “standard” Spanish is used, a generic form with no hint of regionalism or accent.

Latinos are, however, a highly heterogeneous population. By promoting a monolithic image of Latino culture, media



Cultural and linguistic anthropologists study social media to learn about how it can add to human agency as well as how it connects with the global political economy. (TOP) In Cairo, a woman previews a Facebook web page showing the picture of an alleged Egyptian victim of torture who was reportedly brutally tortured to death by the police in the city of Alexandria. (BOTTOM) In China, a woman works online in her cubicle at an office in Beijing. China's media sites like Weibo are booming in the world's largest Internet market.

messages may be contributing to identity change toward a more monolithic pattern. At the same time, they are certainly missing opportunities to tap into more specialized markets within the Latino population.



Language, Diversity, and Inequality

This section presents material about language, microcultures, and social inequality. It begins by presenting two models of the relationship between language and culture. Examples follow about class, gender and sexuality, “race” and ethnicity, and age.



A McDonald's billboard targets an immigrant, Spanish-speaking population in the South Los Angeles area of Los Angeles, California, one of the poorest areas of the city. South LA has the highest concentration of fast-food restaurants in the city and only a few grocery stores. Health problems associated with a fast-food diet, such as obesity and diabetes, plague many of the half-million people living there.

Language and Culture: Two Theories

During the twentieth century, two theoretical perspectives were influential in the study of the relationship between language and culture. They are presented here as two distinct models, even though they actually overlap in real life and anthropologists tend to draw on both of them (Hill and Mannheim 1992).

The first was formulated by two early founding figures in linguistic anthropology, Edward Sapir and Benjamin Whorf. In the mid-twentieth century, they formulated an influential model called the **Sapir-Whorf hypothesis**, a perspective which says that people's language affects how they think. If a language has many words for variations of the English word “snow,” for example, then someone who speaks that language can “think” about snow in more ways than someone can whose language has fewer “snow” terms. Among the Saami, whose traditional occupation was

critical media anthropology an approach within the cross-cultural study of media that examines how power interests shape people's access to media and influence the contents of its messages.

Sapir-Whorf hypothesis a perspective in linguistic anthropology which says that language determines thought.

THINKING
OUTSIDE
THE BOX

Given Pedelty's findings, how “accurate” is the news as presented in mainstream media?

reindeer herding (see Culturama, page 198), a rich set of terms exists for “snow” (review Figure 9.2, page 185). If a language has no word for “snow,” then someone who speaks that language cannot think of “snow.” Thus, a language constitutes a *thought world*, and people who speak different languages inhabit different thought worlds. This catchy phrase became the basis for *linguistic determinism*, a theory stating that language determines consciousness of the world and behavior. Extreme linguistic determinism implies that the frames and definitions of a person’s primary language are so strong that it is impossible to learn another language fully or, therefore, to understand another culture fully. Most anthropologists see value in the Sapir–Whorf hypothesis, but not in its extreme form.

A second approach to understanding the relationship between language and culture comes from scholars working in the area of **sociolinguistics**, a perspective that emphasizes how people’s cultural and social context shapes their language and its meanings. Sociolinguists are, therefore, *cultural constructionists*.

Most anthropologists see some value in both perspectives since language, culture, context, and meaning are highly interactive: Language shapes culture and cultural context shapes language.

Critical Discourse Analysis: Gender and “Race”

Discourse refers to culturally patterned verbal language use including varieties of speech, participation, and meaning. **Critical discourse analysis** is an approach within linguistic anthropology that examines how power and social inequality are reflected in and reproduced through verbal language (Blommaert and Bulcaen 2000). Critical discourse analysis reveals links between language and social inequality, power, and stigma. It also provides insights into agency and resistance through language. The material that follows presents examples of gender and racial power relations as expressed through language.

GENDER IN EURO-AMERICAN CONVERSATIONS

Most languages contain gender differences in word choice,

sociolinguistics a perspective in linguistic anthropology which says that culture, society, and a person’s social position determine language.

discourse culturally patterned verbal language including varieties of speech, participation, and meaning.

critical discourse analysis an approach within linguistic anthropology that examines how power and social inequality are reflected and reproduced in communication.

tag question a question placed at the end of a sentence seeking affirmation.

grammar, intonation, content, and style. Early studies of language and gender among white Euro-Americans revealed three general characteristics of female speech (Lakoff 1973):

- Politeness
- Rising intonation at the end of sentences
- Frequent use of **tag questions** (questions seeking affirmation and placed at the end of sentences, such as, “It’s a nice day, *isn’t it?*”)

In English, male speech, in general, is less polite, maintains a flat and assertive tone in a sentence, and does not use tag questions. Related to politeness is the fact that, during cross-gender conversations, men tend to interrupt women more than women interrupt men.

Deborah Tannen’s popular book *You Just Don’t Understand* (1990) shows how differences in conversational styles between white Euro-American men and women lead to miscommunication. She says that “women speak and hear a language of connection and intimacy, whereas men speak and hear a language of status and independence” (1990:42). Although both men and women use *indirect response* (not really answering the question), their different motivations create different meanings embedded in their speech:

Michele: What time is the concert?

Gary: We have to be ready by seven-thirty. (1990:289)

Gary sees his role as one of protector in using an indirect response to Michele’s question. He feels that he is simply “watching out for her” by getting to the real point of her question. Michele feels that Gary is withholding information by not answering her directly and is maintaining a power position. By contrast, a wife’s indirect response to a question from her husband is prompted by her goal of being helpful in anticipating her husband’s underlying interest:

Ned: Are you just about finished?

Valerie: Do you want to have supper now? (1990:289)

Cross-culturally, women’s speech is not universally accommodating, subservient, and polite. In cultural contexts in which women’s roles are prominent and valued, their language reflects and reinforces their position.

GENDER AND POLITENESS IN JAPANESE, AND THOSE NAUGHTY TEENAGE GIRLS

Gender registers in spoken Japanese reflect gender differences (Shibamoto 1987). Certain words and sentence structures convey femininity, humbleness, and politeness. One important contrast between male and female speech is the attachment, by female

speakers, of the honorific prefix “o-” to nouns; For example, a woman refers to chopsticks as *ohasi*, while a man calls them *hasi* (Figure 9.4). This addition gives women’s speech a more refined and polite tone.

A contrasting pattern of gendered language comes from the *kogals*, young Japanese women between 14 and 22 years of age known for their female-centered coolness (Miller 2004). The kogals have distinctive language, clothing, hairstyles, makeup, attitude, and activities, all of which challenge prescriptive norms for young women. Their overall style is flashy and exuberant, combining global and local elements. Heavy users of cell phones, kogals use a complex and ever-changing set of *emoticons*, or “face characters” including icons for “wow,” “ouch,” “applause,” and “I can’t hear you.” They have also invented a unique text message code for their cell phones that uses mixed scripts such as mathematical symbols and Cyrillic (Russian) letters.

The spoken language of the kogals is a rich and quickly changing mixture of slang, some classic but much newly created. They create new words through compounds and by adding the Japanese suffix “-ru,” which turns a noun into a verb, such as *maku-ru* (“go to McDonald’s”). They intentionally use strongly masculine language forms, openly talk about sex, and rework taboo sexual terms into new meanings. Reactions from mainstream society to kogals are mixed, ranging from horror to fascination. No matter what, they have cultural influence and are shaking up the gender order and language.

GAY LANGUAGE AND BELONGING IN INDONESIA

The national language of Indonesia is referred to as *bahasa Indonesia*. Many homosexual men in Indonesia speak *bahasa gay*, or “gay language” (Boellstorff 2004). Indonesia is the world’s fourth-largest country in terms of population, with nearly 250 million citizens living on over



A kogal in Tokyo’s trendy Shibuyu district displays her cell phone that is covered with stickers. Her facial makeup and dress are characteristic of some, but not all, kogals. Various kogal makeup and dress styles, like their language, exist and keep changing.

	Male	Female
Box lunch	bentoo	obentoo
Money	kane	okane
Chopsticks	hasi	ohasi
Book	hon	ohon

Source: *Language, Gender, and Sex in Comparative Perspective*, by Susan U. Phillips, Susan Steele, and Christine Tanz. Copyright © Cambridge University Press 1987. Reprinted with permission of Cambridge University Press.

FIGURE 9.4 Male-Unmarked and Female-Marked Nouns in Japanese.

6000 islands and speaking nearly 700 local languages. In spite of this cultural and linguistic diversity, *bahasa gay* is highly standardized.

Bahasa gay has a distinct vocabulary that plays humorously on mainstream language and provides a political commentary on mainstream life. Some of the vocabulary changes involve sound-alikes; others add a suffix to a standard word. In terms of the state’s strongly heterosexual image, Indonesian gays would seem to be a clearly excluded group. Nonetheless, *bahasa gay* is moving into mainstream linguistic culture, where it conveys agency and freedom from official control.

AFRICAN AMERICAN ENGLISH: PREJUDICE AND PRIDE

The topic of African American English (AAE), or African American Vernacular English (AAVE), is complicated by racism of the past and present (Jacobs-Huey 2006). Scholars debate whether AAE/AAVE is a language

in its own right or a dialect (nonstandard version) of English. “Linguistic conservatives,” who champion standard American Mainstream English (AME), view AAE as an ungrammatical form of English that needs to be “corrected.” In the current linguistic hierarchy in the United States, with AME at the top, speakers of AAE may be both proud of their language and feel stigmatized by those who judge AAE negatively and treat its speakers unfairly (Lanehart 1999).

African American English is a relatively new language, emerging out of slavery to develop a degree of standardization across the United States, along with many local variants. Some of its characteristic grammar results from its African roots. One of the most prominent is the use, or nonuse, of forms of the English verb “to be” (Lanehart 1999:217). In AAE, one says, “She married,” which means “She is married” in AME. Viewed incorrectly by outsiders as “bad” English, “She married” follows a grammatical rule in AAE. That AME has its own grammar and usage rules is evident in the fact that when non-AME speakers attempt to speak it or imitate it, they often make mistakes (Jacobs-Huey 1997).

Ethnographic research on African American school-age children in a working-class neighborhood of southwest Philadelphia examined within-gender and cross-gender conversations, including *directives* (getting someone to do something), argument, he-said-she-said accusations, and storytelling (Goodwin 1990). All these speech activities involve complex verbal strategies that are culturally embedded. In arguments, the children may bring in imaginary events as a “put-on,” preceded by the cue term “psych,” or use words of a song to create and maintain playfulness within an argument. Much of their arguments involve highly ritualized insults that work quickly to return an insult to the original giver. When a group of girls was practicing some dance steps and singing, a boy said, “You sound terrible.” A girl responded, “We sound just like you look” (1990:183). The study revealed the importance of verbal play and art among the children. It also showed that girls often excel at verbal competitions in mixed gender settings.

Children who grow up speaking a version of AAE at home and with peer groups face a challenge in schools, where they are expected to perform in AME. Just like native Spanish speakers or any non-English-speaking new immigrants, African American children are implicitly expected to become bilingual in AAE and AME. More than vocabulary and grammar are involved. Teachers should understand that African American children may have culturally distinct styles of expression that should be recognized and valued. For example, in narrative style, African American children tend to use a spiral pattern, skipping around to different topics before addressing the theme, instead of adopting a linear style. Rather

than being considered a deficiency, having AAE speakers in a classroom adds cultural diversity to those whose linguistic worlds are limited to AME.

Inspired by such findings, the Oakland School Board in California approved a resolution in 1996 to recognize *Ebonics*, or AAE, as the primary language, or vernacular, of African American students. The school developed a special teaching program, called the Bridge Program, in which AAE speakers were encouraged to learn Standard American English through a process of translation between AAE and SAE (Rickford 1997). After several months, students in the Bridge Program had progressed in their SAE reading ability much faster than African American students who were not in the program. Nevertheless, the program received so much negative publicity and raised such sensitive questions about the best way to enhance minority student learning that it was cancelled within the year.

The underlying issues of the so-called *Ebonics controversy* are still unresolved. One of the thorniest questions debated is whether AAE/AAVE/Ebonics is sufficiently distinct (either as a language separate from SAE or as a dialect) that U.S. schools should address it in their curriculum with special programs.



Language Change

Languages, like the cultures of which they are a part, experience both continuity and change, and for similar reasons. Human creativity and contact lead to linguistic innovation and linguistic borrowing. War, imperialism, genocide, and other disasters may destroy languages. This section looks first at what is known about the origins of human language and provides a brief history of writing. Later parts discuss the influence of European colonialism on languages, nationalism and language, world languages, and contemporary language loss and revitalization.

The Origins and History of Language

No one knows how verbal language began. Current evidence of other aspects of human cultural evolution suggests that verbal language began to develop between 100,000 and 50,000 years ago when early modern humans achieved both the physical and mental capacity for symbolic thinking and verbal communication. Facial expressions, gestures, and body postures were likely important features of early human communication, as they are among many nonhuman primate species today.

Early scholars of language were often misled by ethnocentric assumptions that the structure of European languages was normative and that languages with different structures were

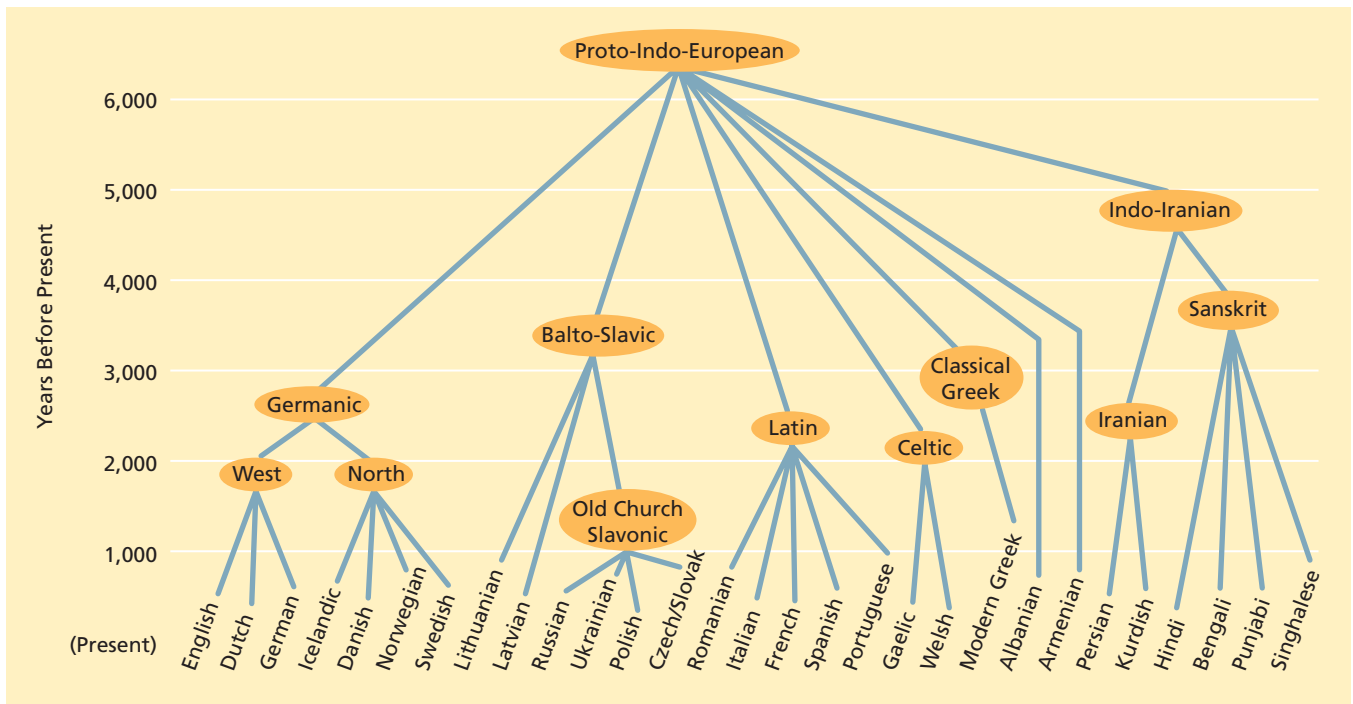


FIGURE 9.5 The Indo-European Language Family.

less developed and deficient. For example, they considered the Chinese language primitive because it lacks the kinds of verbs that European languages have. As discussed at the beginning of this chapter, the Pirahã language appears simpler in many ways compared with English, as does the Pirahã culture, but both Pirahã and English have to be examined within their cultural contexts. Pirahã is a language that works for a rainforest foraging population. English works for a globalizing, technology-driven, consumerist culture. Languages of foraging cultures today can, with caution, provide insights about what foragers' language may have been like thousands of years ago. But they are not "frozen in time" examples of "Stone Age" language.

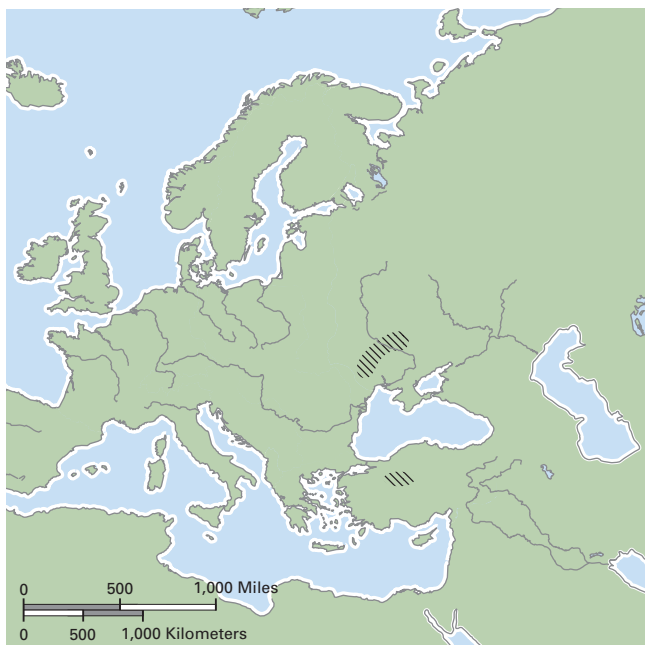
Historical Linguistics

Historical linguistics is the study of language change through history. It relies on many specialized methods that compare shifts over time and across space in aspects of language such as phonetics, syntax, and meaning. It originated in the eighteenth century with a discovery made by Sir William Jones, a British colonial administrator working in India. During his spare time, he studied Sanskrit, a classical language of India. He noticed marked similarities among Sanskrit, Greek, and Latin in vocabulary and syntax. For example, the Sanskrit word for "father" is *pitr*; in Greek it is *patéras*, and in Latin it is *pater*. This was an astounding discovery for the time, given the prevailing European mentality that placed its cultural heritage firmly in the classical Graeco-Roman world and depicted the "Orient" as completely separate from "Europe" (Bernal 1987).

Following Jones's discovery, other scholars began comparing lists of words and grammatical forms in different languages: for example, the French *père*, the German *Vater*, the Italian *padre*, the Old English *faeder*, the Old Norse *fadhir*, and the Swedish *far*. These lists allowed scholars to determine degrees of closeness and distance in the relationships among those languages. Later scholars contributed the concept of a **language family**, or groups of languages descended from a parent language (Figure 9.5). Individual languages descended from the same language, such as French and Spanish (both descended from Latin), are referred to as *sister languages*.

Using comparative evidence from historical and contemporary Eurasian languages, historical linguists developed a hypothetical model of the original parent language, or *proto-language*, of most Eurasian languages. It is called *Proto-Indo-European (PIE)*. Linguistic evidence suggests that PIE was located in Eurasia, either north or south of the Black Sea (Map 9.4). From its area of origin, between 6000 and 8000 years ago, PIE spread into Europe, then into Central, South, and East Asia, where local versions developed over the centuries.

historical linguistics the study of language change using formal methods that compare shifts over time and across space in aspects of language, such as phonetics, syntax, and semantics.
language family a group of languages descended from a parent language.



MAP 9.4 Two Sites of Proto-Indo-European Origins.
Two major theories about the location of PIE exist, with the site south of the Black Sea considered to be earlier.

Similar linguistic methods reveal the existence of the original parent form of the Bantu language family, *Proto-Bantu* (Afolayan 2000). Scholars can trace the *Bantu expansion* in Africa starting around 5000 years ago (Map 9.5). Today, some form of Bantu language is spoken by over 100 million people in Africa, not to mention the number of people in the African diaspora worldwide. Over 600 African languages are derived from Proto-Bantu. According to linguistic analysis, the homeland of Proto-Bantu is the present-day countries of Cameroon and Nigeria, West Africa. It is likely that Proto-Bantu spread through population migration as the farming population expanded and moved, over hundreds of years, into areas occupied by indigenous foragers. Bantu cultural imperialism may have wiped out some local languages, although it is impossible to document any such extinctions. Substantial linguistic evidence, however, suggests some interactions between the farmers and the foragers through which standard Bantu absorbed elements from local languages.

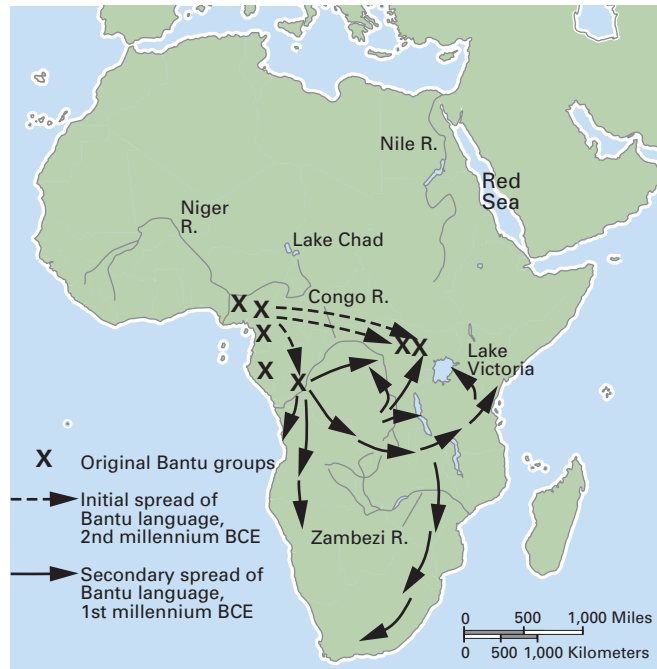
Writing Systems

Evidence of the earliest written languages comes from Mesopotamia, Egypt, and China. The oldest writing system was in use in the fourth millennium BCE in Mesopotamia

(Postgate et al. 1995). All early writing systems used **logographs**, signs that indicate a word, syllable, or sound. Over time, some logographs retained their original meaning; others were kept but given more abstract meaning, and nonlogographic symbols were added (Figure 9.6).

The emergence of writing is associated with the development of the state. Some scholars take writing as a key diagnostic feature that distinguishes the state from nonstate political forms because recordkeeping was such an essential task of the state. The Inca empire, centered in the Peruvian Andes, is a notable exception to this generalization. It used **kipu** (kee-poo), or cords of knotted strings of different colors, for keeping accounts and recording events. Scholars are not quite sure how khipu worked in the past because the Inca coding system is so complicated. Debates are ongoing as to whether khipu served as an actual language or more simply as an accounting system. Whatever is the answer, the world's largest empire in the fourteenth century relied on khipu.

Two interpretations of the function of early writing systems exist. The first says that early writing was mainly for ceremonial purposes. Evidence for this position consists of the prevalence of early writing on tombs, bone inscriptions, and temple carvings. The second says that early writing was mainly for secular use in government recordkeeping and trade. The archaeological record is biased toward durable substances,



MAP 9.5 The Bantu Migrations in Africa.

Linguistic evidence for the migrations of Bantu-speaking people relies on similarities between languages in parts of eastern, central, and southern Africa and languages of the original Bantu homeland in West Africa. Over 600 African languages are derived from Proto-Bantu.

logograph a symbol that conveys meaning through a form or picture resembling that to which it refers.

kipu cords of knotted strings used during the Inca empire for keeping accounts and recording events.

such as stone. Because ceremonial writing was intended to last, it was more likely to be inscribed on stone. Utilitarian writing, in contrast, was more likely to have been done on perishable materials because people would be less concerned with permanence. (Consider the way you treat shopping lists.) It is likely, however, that more utilitarian writing, as well as other forms of nonceremonial writing, also existed.

Colonialism, Nationalism, and Globalization

European colonialism was a major force of language change. Not only did colonial powers declare their own language as the language of government, business, and education, but they often took direct steps to suppress indigenous languages and literatures. Widespread *bilingualism*, or competence in a language other than one's birth language, is one prominent effect of colonialism. Also, globalization is having substantial and complex effects on language.

EUROPEAN COLONIALISM AND CONTACT LANGUAGES Beginning in the fifteenth century, European colonialism had dramatic effects on the people with whom it came into contact, as discussed elsewhere in this book. Language change is an important part of the story of colonialism and indigenous cultures. Depending on the type and duration of contact, it resulted in the development of new languages, the decline of



Khipu, or knotted strings, were the basis of state-level accounting in the Incan empire. The knots conveyed substantial information to those who could interpret their meaning.

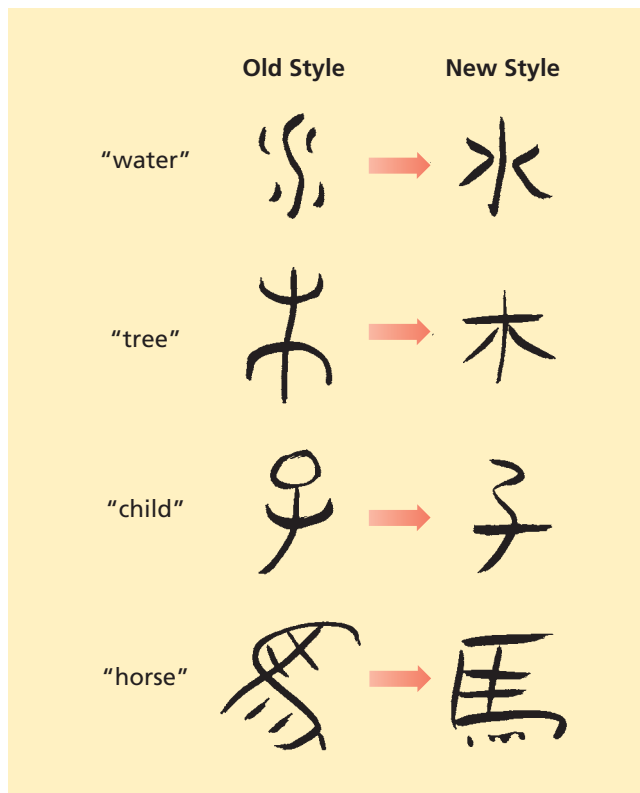


FIGURE 9.6 Logographic and Current Writing Styles in China.

others, and the extinction of many, along with the people who spoke them (Silverstein 1997). Two forms of new languages prompted by European colonialism are pidgins and creoles.

A **pidgin** is a language that blends elements of at least two parent languages and that emerges when two different cultures with different languages come in contact and must communicate (Baptista 2005). All speakers of pidgin have their own native language(s) but learn to speak pidgin as a second, rudimentary language. Pidgins are typically limited to specific functional domains, such as trade and basic social interactions. Many pidgins of the Western Hemisphere were the result of the Atlantic slave trade and plantation slavery. Owners needed to communicate with their slaves, and slaves from various parts of Africa needed to communicate with each other. Pidgins are common throughout the South Pacific.

A pidgin often evolves into a **creole**, which is a language descended from a pidgin and which subsequently has its own

pidgin a contact language that blends elements of at least two languages and that emerges when people with different languages need to communicate.

creole a language directly descended from a pidgin but possessing its own native speakers and involving linguistic expansion and elaboration.



French colonialism added another cultural layer to Arabic influences in Morocco, resulting in many bilingual and trilingual shop signs.

► Where have you seen multilingualism in public use? What languages were used and why?

native speakers, a richer vocabulary than a pidgin has, and a more developed grammar. Throughout the Western Hemisphere, many localized creoles have developed in areas such as Louisiana, the Caribbean, Ecuador, and Suriname. Though a living reminder of the heritage of slavery, Creole languages and associated literature and music are also evidence of resilience and creativity in the African diaspora.

Tok Pisin (the indigenous pronunciation of “talk pidgin”), originally a pidgin language of Papua New Guinea consisting of a mixture of English, Samoan, Chinese, and Malaysian, is now a creole and is recognized as one of the official languages of Papua New Guinea. Two other creoles are also nationally recognized: Seselwa, a blend including French spoken in the Seychelle Islands in the Indian Ocean and Papiamentu, a blend including Dutch spoken in Curaçao (coo-ruh-sao), the Netherland Antilles, in the Caribbean.

NATIONALISM AND LINGUISTIC ASSIMILATION

Nationalist policies of cultural assimilation of minorities have led to the suppression and loss of local dialects and the extinction of many indigenous and minority languages throughout the world. Direct policies of linguistic assimilation include the declaration of a standard language and rules about the language of instruction in public schools. Often, christian missionaries worked to suppress

global language a language spoken widely throughout the world and in diverse cultural contexts, often replacing indigenous languages.

Textese an emerging variant of written English and other languages associated with cell phone communication and involving abbreviations and creative slang.

indigenous languages as part of their attempts to “civilize” “pagan” peoples (see Culturama, page 198). Indirect mechanisms include discrimination in hiring on the basis of language and social stigma.

GLOBAL LANGUAGES Ninety-six percent of the world’s population speaks 4 percent of the world’s languages (Crystal 2000). The eight most-spoken languages are Mandarin, Spanish, English, Bengali, Hindi, Portuguese, Russian, and Japanese, in that order. Languages that are gaining widespread currency are called **global languages**, or *world languages*. Global languages are spoken worldwide in diverse cultural contexts. As they spread to areas and cultures beyond their home area and culture, they take on new, localized identities. At the same time, the “mother language” picks up words and phrases from local languages (Figure 9.7). Global

Alcohol	Arabic, Middle East
Avocado	Nahuatl, Mexico/Central America
Banana	Mandingo, West Africa
Bogus	Hausa, West Africa
Candy	Arabic, Middle East
Caucus	Algonquin, Virginia/Delaware, North America
Chimpanzee	Bantu, West and Central Africa
Chocolate	Aztec Nahuatl, Mexico/Central America
Dungaree	Hindi, North India, South Asia
Gong	Malaysia, Southeast Asia
Hammock	Arawakan, South America
Hip/hep	Wolof, West Africa
Hurricane	Taino, Caribbean
Lime	Inca Quechua, South America
Moose	Algonquin, Virginia/Delaware, North America
Panda	Nepali, South Asia
Savannah	Taino, Caribbean
Shampoo	Hindi, North India, South Asia
Sugar	Sanskrit, South Asia
Tepee	Sioux, Dakotas, North America
Thug	Hindi, North India, South Asia
Tobacco	Arawak, South America
Tomato	Nahuatl, Mexico/Central America
Tundra	Saami, Lapland, Northern Europe
Tycoon	Japanese
Typhoon	Mandarin Chinese, East Asia
Zombie	Congo and Angola, Central and West Africa

FIGURE 9.7 Loan Words in North American English.

languages may act as both a form of linguistic and economic opportunity and a form of cultural imperialism.

English is the most globalized language in history (Bhatt 2001; Crystal 2003). British English was first transplanted through colonial expansion to the present-day United States, Canada, Australia, New Zealand, South Asia, Africa, Hong Kong, and the Caribbean. English was the dominant language in the colonies, used in government and commerce and taught in schools. Over time, regional and subregional varieties of English developed, often leading to a “New English” that a native speaker from England cannot understand at all. So many varieties of English now exist that scholars are beginning to talk of the *English language family*, which includes American English, “Spanglish,” “Japlish,” and “Tex-Mex.”

Textese is a new and emerging variant of English and other languages associated with cell phone communications and involving abbreviations and slang. The limited number of characters allowed, so far, in a cell phone text message has prompted widespread creativity in shortening words and sentences. In English Textese, vowels are often deleted, numbers may stand in for part of a word or an entire word, and a single letter may convey a word. Many stock phrases exist as acronyms, such as lol, that are widely recognized by users.

Endangered Languages and Language Revitalization

The emergence of linguistic anthropology, as mentioned in Chapter 1, was prompted by the need to document disappearing indigenous languages in the United States. Today, anthropologists and other scholars, as well as descendant

language communities themselves, are still concerned about the rapid loss of languages (Fishman 1991; Maffi 2005). The task of documenting declining languages is urgent. It is often accompanied by applied work aimed at preserving and reviving endangered and dying languages (see Critical Thinking).

Scholars have proposed four phases or degrees of language decline and loss (Walsh 2005):

- *Language shift*, or *language decay*, is a category of language decline when speakers have a limited vocabulary in their native language and more often use a new language in which they may be semifluent or fluent (Hill 2001).
- *Language endangerment* exists when a language has fewer than 10,000 speakers.
- *Near-extinction* is a situation in which only a few elderly speakers are still living.
- *Language extinction* occurs when the language has no competent speakers.

Keeping track of endangered and dying languages is difficult because no one is sure how many languages have existed in the recent past or even how many exist now (Crystal 2000). Estimates of the number of living languages today range between 5000 and 7000. Part of the explanation for the fuzzy numbers is the problem in separating languages from dialects. The largest number of languages of any world region is found on the island of New Guinea, which comprises the country of Papua New Guinea and the Indonesian territory of West Papua, and several neighboring small



Indigenous language dictionaries and usage guides are increasingly available on the Web and help indigenous peoples, such as these Australian boys, preserve their cultures.

► Check out *The Internet Guide to Australian Languages*.

CULTURAMA

The Saami of Sápmi, or Lapland

The Saami (SAH-mee) are indigenous people who live in the northernmost parts of Norway, Sweden, Finland, and western Russia (Gaski 1993). The area is called Sápmi, the land of the Saami, or Lapland. The total Saami population is around 100,000 people, with the majority in Norway (Magga and Skutnabb-Kangas 2001).

At the time of the earliest written records of 1000 years ago, all Saami hunted wild reindeer, among other land and sea species, and may have kept some tamed reindeer for transport (Paine 2004). Over time, herding domesticated reindeer developed and became the economic mainstay. In the past few hundred years, though, reindeer pastoralism has declined and is now a specialization of about 10 percent of the population. Settled Saami are farmers or work in trade, small-scale industry, handicrafts, services, and the professions.

Traditional Saami reindeer herding has been a family-based system. Men and women cared for the herd, and sons and daughters inherited the rights to the herd equally (Paine 2004). The value of social equality was strong, entailing both rights and privileges.

In their relationships with the modern state, the Saami have experienced discrimination, exclusion, loss of territorial rights, and cultural and linguistic repression. Environmental risks to Saami cultural survival include having been downwind of the prevailing winds after the 1986 Chernobyl disaster, having been near the earlier Soviet atomic testing grounds in Siberia, having had their ancestral territory and sacred spaces lost or damaged by hydroelectric dam construction, and having had grazing lands taken over for use as military training grounds (Anderson 2004).

State policies of cultural assimilation and forced Christianization in the twentieth century marginalized the Saami language and led to language loss (Magga and Skutnabb-Kangas 2001). Several Saami languages and dialects still exist, however, and spatially distant versions are mutually unintelligible (Gaski 1993:116).

Language is of central cultural value to the Saami, and efforts to maintain it have been under way since the 1960s. Besides the Saami language, a traditional song form, the *yoik*, is of particular importance (Anderson 2005). Yoik lyrics allow a subtle system of double meanings that can camouflage political content (Gaski 1997).

Thanks to Myrdene Anderson, Purdue University, for reviewing this material.



(LEFT) The well-known Saami singer-songwriter Marie Boine performs at the Easter Festival in Kautokeino, Sápmi, northern Norway.

(CENTER) A Saami wedding in Norway with the bride and groom wearing traditional Saami dress. Weddings are held in the spring before the big reindeer migration.

MAP 9.6 The Saami of Sápmi, or Lapland. Sápmi spreads across Norway, Sweden, Finland, and Russia's Kola Peninsula.

CRITICAL thinking

Should Dying Languages Be Revived?

The Western media often carry articles about endangered biological species, such as certain frogs or birds, and the need to protect them from extinction. The reasons for concern about the loss of biological species are many. One major factor is simply that biological diversity is a good thing to have on the earth. Opponents of taking special measures to protect endangered species find support for their position in a Darwinian view that progress involves competition and the survival of those species that can make it. Economic progress might mean building a new shopping center or airport with a massive parking lot. If that means the extinction of a particular species of nonhuman

primate, bird, flower, or worm, so be it, in the name of “progress.”

Some parallels exist between the survival of endangered languages and that of endangered biological species (Maffi 2005). Supporters of language preservation and revitalization can point to the sheer fact of diversity on earth as a good thing, a sign of a culturally healthy planet with room for everyone’s language. They will argue that a people’s language is an intrinsic part of their culture. Without language, the culture, too, will die.

Others take the Darwinian view that languages, like species, live in a world of competition. Language survival means that the strong and fit carry on while the

weak and unfit die out. They may point out that preserving linguistic heritage is useless because dying languages are part of a past that no longer exists. They resist spending public funds on language preservation and regard revitalization programs as wasteful.

CRITICAL THINKING QUESTIONS ▼

- Have you read or heard of an endangered biological species in the media recently? What was the species?
- Have you read or heard of an endangered language in the media lately? What was the language?
- Where do you stand on biological species preservation and on language preservation, and why?

islands (Foley 2000). Over 1000 languages exist in this area, many from completely separate language families.

Language extinction is especially acute in the Australia–Pacific region, where 99.5 percent of the indigenous languages have fewer than 100,000 speakers (Nettle and Romaine 2000:40). The situation of indigenous languages in the Americas, Siberia, Africa, and South and Southeast Asia is becoming increasingly serious. Over half of the world’s languages have fewer than 10,000 speakers, and one-fourth have fewer than 1000 speakers.

Efforts to revive or maintain local languages face many challenges (Fishman 2001). Political opposition may come from governments that fear local identity movements. Governments are often averse to devoting financial resources to supporting minority language programs. Deciding which version of an endangered language to preserve may have political consequences at the local level (Nevins 2004). Notable achievements have been made, however, with perhaps

one of the most robust examples of language maintenance occurring in French-speaking Québec.

Approaches to language maintenance and revitalization must respond to local circumstances and to factors such as how serious the degree of loss is, how many living speakers there are, what version of the language should be maintained or revived, and what resources for maintenance and revitalization programs are available. Major strategies include the following (Walsh 2005):

- Formal classroom instruction
- A master–apprentice system in which an elder teaches a nonspeaker in a one-on-one situation
- Web-based tools and services to support language learning

Each method has both promise and pitfalls. One thing is key: It takes living communities to activate and keep alive the knowledge of a language (Maffi 2003).

9 the **BIG** questions REVISITED

✓ [Study and Review on myanthrolab.com

How do humans communicate?

Human communication is the sending of meaningful messages through language. Language is a systematic set of symbols and signs with learned and shared meanings. It may be spoken, hand-signed, written, or conveyed through body movements, marking, or accessories.

Human language has two characteristics that distinguish it from communicative systems of other living beings: productivity, or the ability to create an infinite number of novel and understandable messages; and displacement, the ability to communicate about the past, the future, and imaginary things.

Language consists of basic sounds, vocabulary, and syntax. Cross-culturally, languages vary substantially in the details of all three features.

Humans use many forms of nonverbal language to communicate with each other. Sign language is a form of communication that uses mainly hand movements to communicate. Silence is a form of nonverbal communication with its own cultural values and meaning. Body language includes body movements and body placement in relation to other people, body modifications such as tattoos and piercing, dress, hairstyles, and odors.

Media anthropology sheds light on how culture shapes media messages and on the social dynamics that play out in media institutions. Critical media anthropology examines the power relations involved in the media.

How does communication relate to cultural diversity and inequality?

The Sapir-Whorf hypothesis emphasizes how language shapes culture. A competing model, called sociolinguistics, emphasizes how one's culture and one's position in it shape language. Each position has merit, and many anthropologists draw on both models.

Critical discourse analysis studies how communication through language can serve the interests of the powerful,

maintaining or even increasing social inequality. Although language can reinforce and expand social exclusion, it can also empower oppressed people, depending on the context. In mainstream North America, women's speech is generally more polite and accommodating than that of men. In Japan, gender codes emphasize politeness in women's speech, but some young Japanese women, the kogals, are creating a new linguistic style of resistance. Gay language in Indonesia is entering the mainstream as an expression of freedom from official control. African American English (AAE), in the view of many experts, has evolved into a standard language with local variants.

How does language change?

The exact origins of human verbal language are not known. Historical linguistics and its discovery of language families provide insights about early human history and settlement patterns. The emergence of writing can be traced to around 6000 years ago, with the emergence of the state in Mesopotamia. Scripts have spread widely throughout the world, with the Aramaic system the basis of scripts in South and Southeast Asia. The functions of writing vary from context to context. In some situations official record-keeping predominates, whereas in others writing is important for courtship.

The recent history of language change has been influenced by the colonialism of past centuries and by Western globalization in the current era. Nationalist policies of cultural integration often involve the repression of minority languages and the promotion of a standard language. Colonial contact created the context for the emergence of pidgin languages, many of which evolved into creoles. Western globalization supports the spread of English and the development of localized variants.

In the past 500 years, colonialism and globalization have resulted in the extinction of many indigenous and minority languages. Many others are in danger of dying. Applied linguistic anthropologists seek to preserve the world's linguistic diversity. They document languages and participate in designing programs for teaching dead and dying languages. A key element in language revitalization and survival is having communities use the language.

KEY CONCEPTS

- call system**, p. 182
communication, p. 182
creole, p. 195
critical discourse analysis, p. 190
critical media anthropology, p. 189
discourse, p. 190
displacement, p. 182
ethnosemantics, p. 184
global language, p. 196
historical linguistics, p. 193
kipu, p. 194
language, p. 182
language family, p. 193
logograph, p. 194
phoneme, p. 182
pidgin, p. 195
productivity, p. 182
Sapir–Whorf hypothesis, p. 189
sign language, p. 184
sociolinguistics, p. 190
tag question, p. 190
Textese, p. 196

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 Read the Document on myanthrolab.com


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the **BIG** questions

 What is religion and what are the basic features of religions?

 How do world religions illustrate globalization and localization?

 What are some important aspects of religious change in contemporary times?

RELIGION

10

◀ An Aboriginal boy in Australia's Northern Territories is painted with his sacred totem and carried to his initiation ceremony. This scene was recorded for the movie *Yolngu Boy*.

OUTLINE

Religion in Comparative Perspective

Eye on the Environment: Eagle Protection, National Parks, and the Preservation of Hopi Culture

Anthropology Works: Aboriginal Women's Culture and Sacred Site Protection

World Religions and Local Variations

Culturama: Hui Muslims of Xi'an, China

Directions of Religious Change

While studying the religious life of people of rural Greece, anthropologist Loring Danforth observed rituals in which participants walk across several yards of burning coals (1989). They do not get burned, they say, because their faith in a saint protects them. Back in the United States, Danforth met an American who regularly walks on fire as part of his New Age faith and who organizes training workshops for people who want to learn how to do it. Danforth himself walked on fire in a ceremony in rural Maine.

Not all anthropologists who study religion undertake such challenges, but they all share an interest in questions about humanity's understanding of the supernatural realm and relationships with it: Why do some religions have many gods and others just one? Why do some religions practice sacrifice? Why do some religions have more participation by women? How do religions respond to changing conditions in the political economy?

Religion has been a cornerstone topic in cultural anthropology since the beginnings of the discipline. The early focus, in the nineteenth century, was on religions of indigenous peoples living in places far from Europe. Now anthropologists also study the religions of state-level societies and the effects of globalization on religious change.

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Religion in Comparative Perspective

This section sets the stage for the chapter by discussing basic topics in the anthropology of religion, including how to define religion, theories about the origin of religion, and types of religious beliefs, rituals, and religious specialists.

What Is Religion?

Since the earliest days of anthropology, scholars have proposed various definitions of religion. In the late 1800s, British anthropologist Sir Edward Tylor defined religion as the belief in spirits. A more comprehensive, current definition says that **religion** consists of beliefs and behavior related to supernatural beings and forces. This definition specifically avoids linking religion with belief in a supreme deity, because some religions have no concept of a supreme deity, whereas others have multiple deities.

Religion is related to, but not the same as, a people's *worldview*, or way of understanding how the world came to be, its

religion beliefs and behavior related to supernatural beings and forces.

magic the attempt to compel supernatural forces and beings to act in certain ways.

myth a narrative with a plot that involves the supernaturals.

doctrine direct and formalized statements about religious beliefs.



Christian fire walkers in northern Greece walking on hot coals. They reaffirm God's protection by not getting burned.

► If you have a religious faith, are pain or other physical discomforts involved in any of the rituals?

design, and their place in it. Worldview is a broader concept and does not include the criterion of concern with a supernatural realm. An atheist has a worldview, but does not have a religion.

MAGIC VERSUS RELIGION Sir Edward Tylor wrote that magic, religion, and science are alike in that they are different ways in which people have tried to explain the physical world and events in it (1871). He considered science to be the superior, most rational of the three. Sir James Frazer, writing not long after Tylor, defined **magic** as people's attempt to compel supernatural forces and beings to act in certain ways (1978 [1890]). He contrasted magic with religion, which he said is the attempt to please supernatural forces or beings. Frazer differentiated two general principles of magic:

- *The law of similarity*, the basis of *imitative magic*, is founded on the assumption that if person or item X is like person or item Y, then actions done to person or item X will affect person or item Y. A familiar example is a voodoo doll. If someone sticks pins into a doll X that represents person Y, then person Y will experience pain or suffering.
- *The law of contagion*, the basis of *contagious magic*, says that persons or things once in contact with a person can still have an effect on that person. Common items for working contagious magic include a person's hair trimmings, nail clippings, teeth, saliva, blood, and fecal matter, as well as the placenta of a baby. In cultures where contagious magic is practiced, people are careful about disposing of their personal wastes so that no one else can get hold of them.

Tylor, Frazer, and other early anthropologists supported an evolutionary model (review Chapter 1), with magic preceding religion. They evaluated magic as being less spiritual and ethical than religion and therefore more “primitive.” They assumed that, in time, magic would be completely replaced by the “higher” system of religion, which would eventually be replaced by science as the most rational way of thinking. They would be surprised to see the widespread presence of magical religions in the modern world, such as the so-called Wicca, or Neo-Pagan, religion that centers on respect for the Earth, nature, and the seasonal cycle. The pentacle is an important Wiccan symbol (Figure 10.1). As of 2007, the U.S. Department of Veterans Affairs added the pentacle to its list of approved religious symbols that can be placed on the headstones of the graves of deceased veterans and their family members.

Many people turn to magical behavior in situations of uncertainty. Magic, for example, is prominent in sports (Gmelch 1997 [1971]). Some baseball players in the United States repeat actions or use charms, including a special shirt or hat, to help them win. This practice is based on the assumption that if it worked before, it may work again. In baseball, pitching and hitting involve more uncertainty than fielding, and pitchers and hitters are more likely to use magic. Magical practices are also common in farming, fishing, the military, and love.

Varieties of Religious Beliefs

Religions comprise beliefs and behavior. Scholars of religion generally address belief systems first because they appear to inform patterns of religious behavior. Religious beliefs are

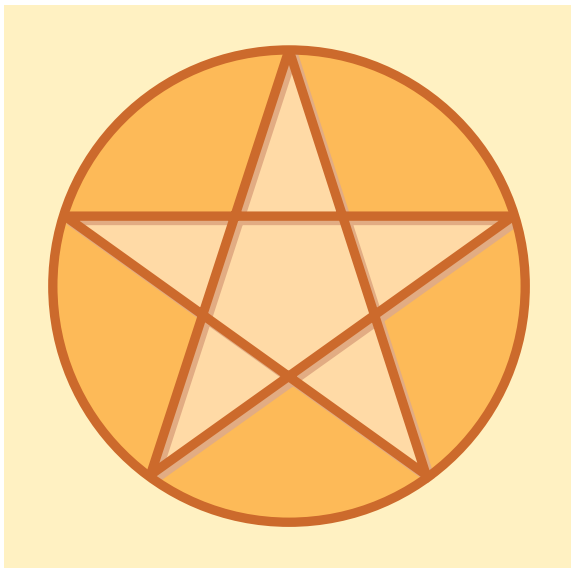


FIGURE 10.1 A Pentacle.

Sometimes called a pentagram, it is a five-pointed star surrounded by a circle. An important symbol in Neo-Pagan and Wiccan religions, the pentacle is also a magical tool used for summoning energies and commanding spirits.



Religion provides an important source of social cohesion and psychological support for many immigrant groups, whose places of worship attract both worshippers and cultural anthropologists interested in learning how religion fits into migrants’ adaptation. This is a scene at a Lao Buddhist temple in Virginia.

► *Learn about Buddhism in North America from the Internet.*

shared by a group, sometimes by millions of people, and are passed on through the generations. Elders teach children through songs and narratives, artists paint the stories on rocks and walls, and sculptors create images in wood and stone that depict aspects of religious belief.

HOW BELIEFS ARE EXPRESSED Beliefs are expressed and transferred over the generations in two main forms:

- **Myth**, stories about supernatural forces or beings
- **Doctrine**, direct statements about religious beliefs

A myth is a narrative that has a plot with a beginning, middle, and end. The plot may involve recurrent motifs, the smallest units of narrative. Myths convey messages about supernatural forces or beings (or, simply, supernaturals) indirectly, through the story itself, rather than by using logic or formal argument. Greek and Roman myths, such as the stories of Zeus, Athena, Orpheus, and Persephone, are world famous. Some people would say that the Bible is a collection of myths; others would object to that categorization as suggesting that the stories are not “real” or “sacred.” Myths have long been part of people’s oral tradition, and many are still unwritten.

eye on the ENVIRONMENT

Eagle Protection, National Parks, and the Preservation of Hopi Culture

For many generations, young men of the Hopi tribe have searched each spring for golden eaglets in the cliffs of Arizona's Wupatki National Park and other parts of northeastern Arizona (Fenstemaker 2007). They bring the young eagles to the reservation and care for them until the summer, when, as mature birds, they are smothered in a ceremony that the Hopi believe frees the spirits of the birds, which convey messages to their ancestors who reside in the spiritual world. This ceremony is the most important Hopi ritual, but the tribe uses golden eagle feathers in all its rituals. For the Hopi, golden eagles are their link to the spiritual world, and their ritual use is essential to the continuity of Hopi culture.

In 1783, the U.S. Continental Congress adopted the bald eagle as the national symbol of the newly independent country. By 1940, numbers of bald eagles had dropped so low that the U.S. Congress passed the Bald Eagle Protection Act to preserve the species that had

become established as the symbol of American ideals of freedom. In 1962, Congress amended the act to include golden eagles, because the young of the two species are nearly indistinguishable.

In 1994, President Clinton promoted some official accommodation to Hopi beliefs about golden eagles. His administration established a repository for golden eagle feathers and other remains in Colorado. The demand is, however, greater than the supply.

The Hopi have a permit for an annual take of 40 golden eagles in northeastern Arizona, but they are excluded from Wupatki because of its status as a national park. The United States policy toward national parks follows the *Yellowstone model*, which aims to preserve the physical environment and species but excludes indigenous peoples and their cultures. This model has been applied widely throughout the world to the detriment of peoples who have long successfully lived in regions that are now off limits to them for hunting, fishing, and

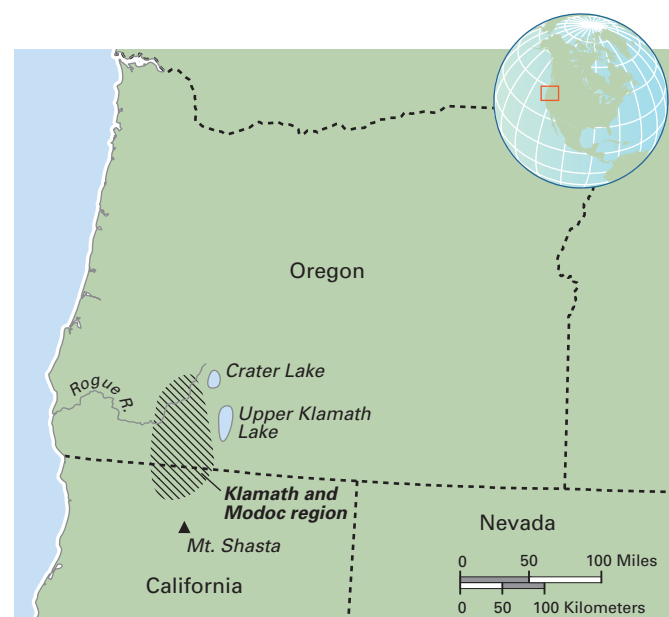
gathering. In addition, many of these lands are sacred to them, but they are prevented from using them in traditional ways for the sake of "conservation" as defined by the government.

Anthropologists and others support environmental and species preservation, but not to the exclusion of heritage populations and cultures. They suggest that a case-by-case approach should be followed in considering exemptions to national laws. With regard to the golden eagles of Arizona, they point out that golden eagles are abundant and the Hopi requests for the spring take are small and present no threat to the survival of the species.

Environmentalists are concerned, however, that granting exemptions will establish dangerous precedents that will, over time, destroy pristine environments and precious species. Other environmentalists counter that more eagles are killed every year by airplanes or contact with electrical wires, or they die from eating prey that contains lead bullets.

Anthropologists ask why myths exist. Bronislaw Malinowski said that a myth is a *charter* for society in that it expresses core beliefs and teaches morality (1948). The French anthropologist Claude Lévi-Strauss, arguably the most famous mythologist, saw myths as functional in a philosophical and psychological way (1967). In his view, myths help people deal with the deep conceptual contradictions between, for example, life and death or good and evil, by providing stories in which these dualities find a solution in a mediating third factor. These mythological solutions are buried within a variety of surface details in the myth. For example, many myths of the Pueblo Indians of the U.S. Southwest juxtapose grass-eating animals (vegetarians) with predators (carnivores). The mediating third character is the raven, who is a carnivore but unlike other meat eaters does not have to kill to eat meat because it is a scavenger.

A cultural materialist perspective, also functionalist, says that myths store and transmit information related to making a living and managing economic crises (Sobel and Bettles 2000). Analysis of 28 myths of the Klamath and Modoc Indians (Map 10.1) reveals that a consistent theme is



MAP 10.1 Klamath and Modoc Region in Oregon and California.



A Kachina doll. Among the Hopi, the word *kachina* (kuh-CHEE-nuh) refers to a spirit or “life-bringer.” Uncles carve kachina dolls for their nieces to help them learn about the many spirits that exist in the Hopi religion. Kachina dolls, especially older ones, are highly sought after by non-Indians who collect Indian artifacts.

In contrast to the Yellowstone model, anthropologists advocate for a *parks and people approach*, which builds on community-based conservation that does not exclude heritage populations from continuing to enjoy the economic and religious benefits of their territory

while also sharing with the wider population.

FOOD FOR THOUGHT ▼

Consider how you would feel if you were told that you could no longer practice the most important annual ritual in

your religion but that other people could have a touristic experience at the place where you would normally practice the ritual. For secular students, consider a secular ritual, for example, watching the Super Bowl.



MAP 10.2 Hopi Reservation in Arizona.

The Hopi and Navajo tribes once shared the area known as Big Mountain. U.S. Acts of Congress in 1974 and 1996 divided the area into two reservations, leaving the Hopi completely surrounded by the much larger Navajo reservation. About 7000 people live on the Hopi Reservation.

uncertainty about the availability of food. Other prominent themes are how to cope with hunger, food storage, resource diversification, resource conservation, spatial mobility, reciprocity, and supernatural forces. Thus, myths are repositories of knowledge related to economic survival and environmental conservation.

Doctrine, the other major form in which beliefs are expressed, explicitly defines the supernaturals, the world and how it came to be, and people’s roles in relation to the supernaturals and to other humans. Doctrine is written and formal. It is close to law because it links incorrect beliefs and behaviors with punishments. Doctrine is associated with institutionalized, large-scale religions rather than small-scale “folk” religions.

Doctrine, however, can and does change (Bowen 1998). Over the centuries, various popes have pronounced new doctrine for the Catholic Church. A papal declaration of 1854, made with the intent of reinvigorating European Catholicism, bestowed authenticity on the concept of the

Immaculate Conception, an idea with substantial popular support.

Muslim doctrine is expressed in the Qur’an, the basic holy text of the Islamic faith, which consists of revelations made to the prophet Muhammad in the seventh century and of collections of Muhammad’s statements and deeds. In Kuala Lumpur, Malaysia (see Map 6.3, page 124), a small group of highly educated women called the Sisters in Islam regularly debate with members of the local *ulama*, religious authorities who are responsible for interpreting Islamic doctrine, especially concerning families, education, and commercial affairs (Ong 1995). Debates concern such issues as polygamy, divorce, women’s work roles, and women’s clothing.

Beliefs about Supernatural Forces and Beings Supernaturals range from impersonal forces to those who look just like humans. Supernaturals can be supreme and all-powerful creators or smaller-scale, annoying spirits that take up residence in people through possession.

The term **animatism** refers to a belief system in which the supernatural is conceived of as an impersonal power. An important example is *mana*, a concept widespread throughout the South Pacific region, including Melanesia, Polynesia, and Micronesia. Mana is a force outside nature that works automatically; it is neither spirit nor deity. It manifests itself in objects and people and is associated with personal status and power, because some people accumulate more of it than others.

Some supernaturals are *zoomorphic*, deities in the shape, or partial shape, of animals. No satisfactory theory has appeared to explain why some religions develop zoomorphic deities and for what purposes, and why others do not. Religions of classical Greece and Rome and ancient and contemporary Hinduism are especially rich in zoomorphic supernaturals. *Anthropomorphic* supernaturals, deities in the form of humans, are common but not universal. The human tendency to perceive of supernaturals in their own form was noted 2500 years ago by the Greek philosopher Xenophanes (zen-AHF-uh-neeZ), who lived sometime between 570 and 470 BCE. He said,

But if horses or oxen, or lions had hands and could draw with their hands and accomplish such works as men, horses would draw the figures of their gods as similar to horses and the oxen as similar to oxen, and they would make the bodies of the sort which each of them had. (Leshner 2001:25)

The question, though, of why some religions have anthropomorphic deities and others do not remains unanswered.

Anthropomorphic supernaturals, like humans, can be moved by praise, flattery, and gifts. They have emotions. They get annoyed if neglected. They can be loving and caring, or they can be distant and nonresponsive. Most anthropomorphic supernaturals are adults, though some are children. Supernaturals tend to have marital and sexual relationships similar to those of the humans who worship them do. Divine marriages are heterosexual, and in some societies male gods have multiple wives. Although many supernaturals have children, grandchildren are not prominent. In *pantheons* (collectivities of deities), a division of labor reflects specializations in human society. There may be deities of forests, rivers, the sky, wind and rain, agriculture, childbirth, disease, warfare, and marital happiness. The supernaturals have political roles and hierarchies. High gods, such as Jupiter and Juno of classical Roman religion, are all-powerful, with a range of less powerful deities and spirits below them.

In some cultures, deceased ancestors can be supernaturals. Many African, Asian, and American Indian religions have a cult of the ancestors in which the living must do certain things to please the dead ancestors and may also ask for their help in time of need (see Eye on the Environment on page 206).

animatism a belief system in which the supernatural is conceived of as an impersonal power.

In contemporary Japan, ancestor worship is the principal religious activity of many families. Three national holidays recognize the importance of ancestors: the annual summer visit of the dead to their homes and the visits by the living to graves during the two equinoxes.

Beliefs about Sacred Space Beliefs about sacred space probably exist in all religions, but such beliefs are more prominent in some religions than others. Sacred spaces, such as rock formations or rapids in a river, may or may not be permanently marked (Bradley 2000). Among the Saami (see Culturama in Chapter 9, page 198), traditional religious beliefs were closely tied to sacred natural sites (Mulk 1994). The sites, often unmarked, included rock formations resembling humans, animals, or birds. The Saami sacrificed fish and other animals at these sites until strong pressures from Christian missionaries forced them to repress their practices and beliefs. Many Saami today know where the sacred sites are, but they will not reveal them to outsiders.

Another important form of sacred space that has no permanent mark occurs in a domestic ritual conducted by Muslim women throughout the world. The ritual is called the *khatam quran* (khuh-tum kuh-rahN), the “sealing” or reading of the holy book of the Qur’an (Werbner 1988). Among Pakistani migrants living in the city of Manchester, northern England (Map 10.3), this ritual involves a gathering of women who read the Qur’an and then share a ritual meal. The reason for gathering is to give thanks or seek divine blessing. During the ritual, the otherwise nonsacred space of the house becomes sacred. A “portable” ritual such as this one is especially helpful in the adaptation of migrants to their new contexts, because it can be conducted without a formally consecrated ritual space. All that is required is a place, a supportive group of kin and friends, and the Qur’an.



Uluru, Kata Tjuta National Park, Australia. Located roughly in the center of Australia in the Northern Territory and 280 miles south of Alice Springs, Uluru is an Aboriginal sacred site and a World Heritage Site. Tourists often want to make the arduous climb to the top, although the Anangu people who are the custodians urge people to consider other ways to enjoy the region.



MAP 10.3 England.

England is the largest in area and the most populous of the constituent countries of the United Kingdom. Its population of 50 million accounts for 84 percent of the total. DNA analysis reveals that a majority of the English are of Germanic descent, as is their language. The terrain is mainly rolling hills, with some mountains in the north and east. London is by far the largest city, with Manchester and Birmingham competing for second place. English is the dominant language, with its diverse regional accents. Many other languages brought into the country by immigrant communities are spoken as first languages, including several South Asian languages, Polish, Greek, and Cantonese. An estimated 250,000 people speak British Sign Language. Although the Church of England is the state religion, everyone in England has the right to religious freedom.

Religions of the Aboriginal people of Australia are closely tied to sacred space. During a mythological past called the Dreamtime, the ancestors walked the earth and marked out the territory belonging to a particular group. People's knowledge of where the ancestors roamed is secret. In several cases that have recently been brought to the courts, Aboriginal peoples have claimed title to land that is being sought by commercial developers. Some anthropologists provide expert testimony in court, based on their knowledge of a particular culture, to document the validity of the Aboriginal claims (see *Anthropology Works* on page 210). In one such case, secret Aboriginal knowledge about a sacred place and its associated beliefs was gender specific: It belonged to women and could not be told to men. The anthropologist who was hired to support the women's claims was a woman, so the women could tell her about the sacred places, but she could not convey that knowledge in court to the male judge, a situation that demanded considerable ingenuity on the part of the anthropological consultant.

Ritual Practices

A **ritual** is patterned, repetitive behavior focused on the supernatural realm. Such *sacred rituals* are the enactment of beliefs expressed in myth and doctrine, for example, the Christian ritual of communion. Sacred rituals are distinct from *secular rituals*, such as sorority or fraternity initiations, that have no connection to the supernatural realm. Some ritual events combine sacred and secular elements. The U.S. holiday of Thanksgiving originated as a Christian sacred meal with the primary purpose of giving thanks to God for the survival of the Pilgrims (Siskind 1992). Its original Christian meaning is not maintained by everyone who celebrates the holiday today. Secular features of the holiday, such as watching football, may be of greater importance than the ritual aspect of thanking God for plentiful food.

Anthropologists categorize sacred rituals in many ways. One division is based on their timing. Regularly performed rituals are called *periodic rituals*. Many periodic rituals are performed annually to mark a seasonal milestone such as planting or harvesting or to commemorate an important event. For example, Buddha's Day, an important periodic ritual in Buddhism, commemorates the birth, enlightenment, and death of the Buddha (all on one day). On this day, Buddhists gather at monasteries, hear sermons about the Buddha, and perform rituals such as pouring water over images of the Buddha. Calendrical events, such as the shortest day of the year, the longest day, the new moon, and the full moon, often shape ritual cycles. *Nonperiodic rituals*, in contrast, occur irregularly, at unpredictable times, in response to unscheduled events, such as a drought or flood, or to mark events in a person's life, such as illness, infertility, birth, marriage, or death. The following material presents highlights of various types of ritual.

LIFE-CYCLE RITUALS A **life-cycle ritual**, or rite of passage, marks a change in status from one life stage to another of an individual or group. Victor Turner's (1969) fieldwork among the Ndembu (en-DEM-boo), horticulturalists of northwestern Zambia, provides insights into the phases of life-cycle rituals. Turner found that, among the Ndembu and cross-culturally, life-cycle rituals have three phases: separation, transition, and reintegration.

- In the first phase, the initiate (the person undergoing the ritual) is separated physically, socially, or symbolically from normal life. Special dress may mark the separation. In many cultures of the Amazon and in East and West Africa, adolescents are secluded for several years in separate huts or areas away from the village.

ritual patterned behavior that has to do with the supernatural realm.

life-cycle ritual a ritual that marks a change in status from one life stage to another; also called *rite of passage*.

ANTHROPOLOGY works

Aboriginal Women's Culture and Sacred Site Protection

A group of Ngarrindjeri (pronounced NAR-en-jeery) women and their lawyer hired cultural anthropologist Diane Bell to serve as a consultant to them in supporting their claims to a sacred site in southern Australia (Bell 1998). The area on Hindmarsh Island was threatened by the proposed construction of a bridge that would cross sacred waters between Goolwa and the island. The women claimed protection for the area and sought prevention of the bridge building on the basis of their secret knowledge of its sacredness, knowledge passed down in trust from mother to daughter over generations. The High Commission formed by the government to investigate their claim considered it to be a hoax perpetrated to block a project important to the country.

Helping the women prove their case to a White, male-dominated court system was a challenging task for Diane Bell, a White Australian with extensive fieldwork experience among Aboriginal women. Bell conducted research over many months to marshal evidence for the validity of the women's claims. She examined newspaper archives, early recordings of ritual songs, and oral histories of Ngarrindjeri women. She prepared reports for the courtroom about women's sacred knowledge that were general enough to avoid violating the rule of secret, women-only knowledge but detailed enough to convince the High Court judge that the women's knowledge was authentic. In the end, the judge was convinced, and the bridge project was canceled in 1999.

Land developers, however, did not give up, and in 2001, the Federal Court dismissed claims of "secret women's business" as fabricated.

The case is one of the most bitter court conflicts related to "race" in Australia's history—not to mention gender. The senior women who fought for the protection of their sacred places have passed on. Many Ngarrindjeri and those who stood with them during the struggle to stop the construction will not use the bridge.

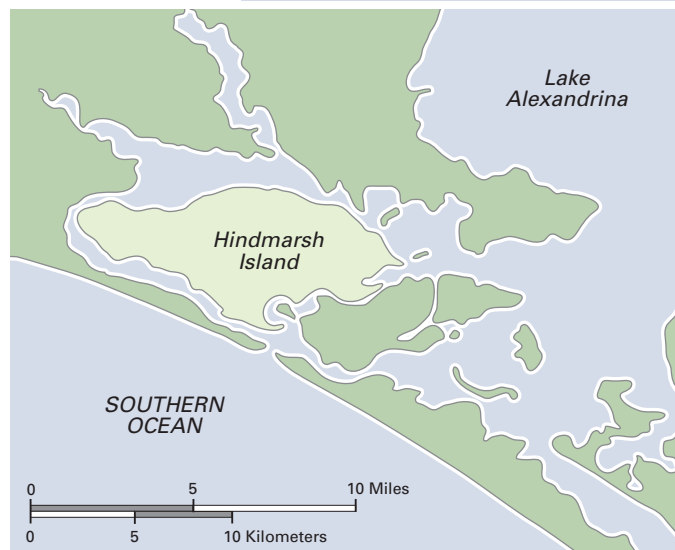
In the latest development, in July 2010, high level officials apologized to the Ngarrindjeri women, acknowledging that their claims were genuine when they objected to the construction of the bridge. Following this public apology, some Ngarrindjeri women, in a peace-making move, walked the bridge for the first time. Others say they will never do so.

FOOD FOR THOUGHT ▼

On the Internet, learn more about this case and other disputes in Australia about sacred sites.



The pedestrian bridge from Hindmarsh Island to South Australia.



MAP 10.4 Hindmarsh Island in Southeast Australia.

The Ngarrindjeri name for Hindmarsh Island is Kumarangk.

- The transition, or *liminal*, phase is when the person is no longer in the previous status but is not yet a member of the next stage. *Liminality* often involves the learning of specialized skills that will equip the person for the new status.
- Reintegration, the last stage, occurs when the initiate emerges and is welcomed by the community as an individual occupying the new status.

Differences in the cross-cultural distribution of puberty rituals for boys and girls reflect the economic value and status of males and females (review Chapter 4). Most societies have some form of puberty ceremony for boys, but puberty ceremonies for girls are less common. In societies where female labor is important and valued, girls have elaborate, and sometimes painful, puberty rites (Brown 1978). Where their labor is not important, menarche is unmarked and there is no puberty ceremony. Puberty rites function to socialize future members of the labor force, among other things. For example, among the Bemba of northern Zambia, during her initiation a girl learns to distinguish 40 kinds of mushrooms and to know which are edible and which are poisonous.

PILGRIMAGE **Pilgrimage** is round-trip travel to a sacred place or places for purposes of religious devotion or ritual. Prominent pilgrimage places are Varanasi (var-uh-nuh-see) in India (formerly called Banaras or Benares) for Hindus; Mecca in Saudi Arabia for Muslims; Bodh Gaya in India for Buddhists; Jerusalem in Israel for Jews, Christians, and Muslims; and Lourdes in France for Christians. Pilgrimage often involves hardship, with the implication that the more suffering that is involved, the more merit the pilgrim accumulates. Compared with a weekly trip to church or synagogue, pilgrimage removes a person further from everyday life, is more demanding, and therefore is potentially more transformative.

Victor Turner applied the three sequences of life-cycle rituals to pilgrimage: The pilgrim first separates from everyday life, then enters the liminal stage during the actual pilgrimage, and finally returns to be reintegrated into society in a transformed state (1969). A person who has gone on a pilgrimage often gains enhanced public status as well as spiritual benefits.

RITUALS OF INVERSION In a **ritual of inversion**, normal social roles and relations are temporarily inverted. A functionalist perspective says that these rituals allow for social pressure to be released. They also provide a reminder about the propriety of normal, everyday roles and practices to which people must return once the ritual is over.

Carnival (or *carnaval* in Portuguese) is a ritual of inversion with roots in the northern Mediterranean region. It is celebrated widely throughout southern Europe and the Western



An Apache girl's puberty ceremony. Cross-cultural research indicates that the celebration of girls' puberty is more likely to occur in cultures in which adult women have valued productive and reproductive roles.

► How does this theory apply to your microcultural experience?

Hemisphere. Carnival is a period of riotous celebration before the Christian fast of Lent. It begins at different times in different places, but always ends on Mardi Gras (or Shrove Tuesday), the day before the fasting period of Lent begins. The word *carnival*, from Latin, means “flesh farewell,” referring to the fact that believers give up eating meat during Lent.

In Bosa, a town in Sardegna (Sardinia), Italy, carnival involves social-role reversal and the relaxing of usual social norms. Discotheques extend their hours, mothers allow their daughters to stay out late, and men and women flirt with each other in public in ways that are forbidden during the rest of the year (Counihan 1985). Carnival in Bosa has three major phases. The first is impromptu street theater and masquerades that take place over several weeks, usually on Sundays. The skits are social critiques of current events and local happenings. In the masquerades, men dress up as exaggerated women:

Young boys thrust their padded breasts forward with their hands while brassily hiking up their skirts to reveal their thighs. . . . A youth stuffs his shirt front with melons and holds them proudly out. . . . The high school gym teacher dresses as a nun and lifts up his habit to reveal suggestive red underwear. Two men wearing nothing but bikinis, wigs, and high heels feign a stripper's dance on a table top. (1985:15)

The second phase occurs on the morning of Mardi Gras, when hundreds of Bosans, mostly men, dress in black, like

pilgrimage round-trip travel to a sacred place or places for purposes of religious devotion or ritual.

ritual of inversion a ritual in which normal social roles and order are temporarily reversed.

widows, and flood the streets. They accost passersby, shaking in their faces dolls and other objects that are maimed in some way or bloodied. They shriek at the top of their lungs as if mourning, and they say, “Give us milk, milk for our babies. . . . They are dying, they are neglected, their mothers have been gallivanting since St. Anthony’s Day and have abandoned their poor children” (1985:16).

The third phase, called *Giolzi*, takes place during the evening. Men and women dress in white, wearing sheets for cloaks and pillowcases for hoods. They blacken their faces. Rushing into the street, they hold hands and chant the word “*Giolzi*.” They storm at people, pretending to search their bodies for *Giolzi* and then say, “Got it!” It is not clear what *Giolzi* is, but whatever it is, it represents something that makes everyone happy.

How does a cultural anthropologist interpret these events? Carnival allows people for a short time to act out roles that are normally denied them. It is also a time when everyone has fun. In this way, rituals of inversion may function as a mechanism for maintaining social order. After a few days of revelry, everyone returns to his or her original place for another year.

SACRIFICE Many rituals involve **sacrifice**, or the offering of something for transfer to the supernaturals. Sacrifice has a long history throughout the world and is probably one of the oldest forms of ritual. It may involve killing and offering animals; making human offerings (of whole people, parts of a person’s body, or bloodletting); or offering vegetables, fruits, grains, flowers, or other products. One interpretation of flowers as sacrificial offerings is that they, like vegetables and fruits, are symbolic replacements for former animal sacrifices (Goody 1993).

Spanish documents from the sixteenth century describe the Aztec practice of public sacrifice of humans and other animals to please the gods. The details are gory and involve marching thousands of human victims up to the top of a temple and then cutting out their hearts so that the blood spurts forth. Debate exists among anthropologists as to how many victims were actually sacrificed and why. Cultural materialist Marvin Harris has argued that the numbers were large, up to 100,000 at particular sites, and that the remains of the victims were butchered and eaten by commoners (1977). He maintains that the Aztec state, through such rituals, demonstrated its power and also provided protein to the masses. In opposition to Harris, symbolic anthropologist Peggy Sanday takes an emic perspective and says that the sacrifices were necessary to please the gods and had nothing to do with maintaining the worldly power of leaders (1986).

sacrifice a ritual in which something is offered to the supernaturals.

Religious Specialists

Not all rituals require the presence of a *religious specialist*, or someone with extensive, formal training, but all require some level of knowledge on the part of the performer(s) about how to do them correctly. Even the daily, household veneration of an ancestor requires some knowledge gained through informal learning. At the other extreme, many rituals cannot be done without a highly trained specialist.

SHAMANS AND PRIESTS General features of the categories of shaman and priest illustrate key differences between these two types of specialists. (Many other specialists fit somewhere in between.) A *shaman* or *shamanka* (defined in Chapter 5) is a religious specialist who has a direct relationship with the supernaturals, often by being “called.” A potential shaman may be recognized by special signs, such as the ability to go into a trance. Anyone who demonstrates shamanic abilities can become a shaman; in other words, this is an openly available role. Shamans are more often associated with nonstate societies, yet faith



A Mongolian shamanka.

healers and evangelists of the United States could fit into this category.

In states, the more complex occupational specialization in religion means that there is a wider variety of types of specialists, especially what anthropologists refer to as *priests* (not the same as the specific modern role of the Catholic priest), and promotes the development of religious hierarchies and power structures. The terms **priest and priestess** refer to a category of full-time religious specialists whose position is based mainly on abilities gained through formal training. A priest may receive a divine call, but more often the role is hereditary, passed on through priestly lineages. In terms of ritual performance, shamans are more involved with nonperiodic rituals. Priests perform a wider range of rituals, including periodic state rituals. In contrast to shamans, who rarely have secular power, priests and priestly lineages often do.

OTHER SPECIALISTS Many other specialized religious roles exist cross-culturally. *Diviners* are specialists who are able to discover the will and wishes of the supernatural through techniques such as reading animal entrails. Palm readers and tarot card readers fit into the category of diviners.

Prophets are specialists who convey divine revelations usually gained through visions or dreams. They often possess charisma, an especially attractive and powerful personality, and may be able to perform miracles. Prophets have founded new religions, some long-lasting and others short-lived.

Witches use psychic powers and affect people through emotion and thought. Mainstream society often condemns witchcraft as negative. Some scholars of ancient and contemporary witchcraft differentiate between positive forms that involve healing and negative forms that seek to harm people.

World Religions and Local Variations

The term **world religion** was coined in the nineteenth century to refer to religions that were based on written sources, with many followers that crossed country borders and that had a concern with salvation (the belief that human beings require deliverance from an imperfect world). At that time, the term referred only to Christianity, Islam, and Buddhism. It was later expanded to include Judaism, Hinduism, Confucianism, Taoism, and Shintoism. Because of the global importance of the African diaspora that began with the European colonial slave trade, a sixth category of world religions is included here that describes key elements shared among the diversity of traditional African belief systems even though they are oral, not text-based, traditions.



An early nineteenth-century painting of the Virgin of Guadalupe by Isidro Escamilla, a Mexican artist. The Virgin of Guadalupe, or Our Lady of Guadalupe, is Mexico's most popular image. Her depiction involves syncretism with the indigenous Aztec goddess Tonantzin, part of a conscious strategy of Christian clergy to convert the Indians. Today, the Virgin of Guadalupe conveys messages of sacrifice and nurturance as well as strength and hope. She appeals to Mexican mothers, nationalists, and feminists alike.

For many centuries, the world religions have traveled outside their original borders through intentional attempts to expand and gain converts or through the migration of believers to new locales. European colonialism was a major force that led to the expansion of Christianity through the missionary work of Protestant sects. The increased rate of population migration since the twentieth century (Chapter 12) and the expansion of television and

priest/priestess a male or female full-time religious specialist whose position is based mainly on abilities gained through formal training.

world religion a term coined in the nineteenth century to refer to a religion that is based on written sources, has many followers, is regionally widespread, and is concerned with salvation.

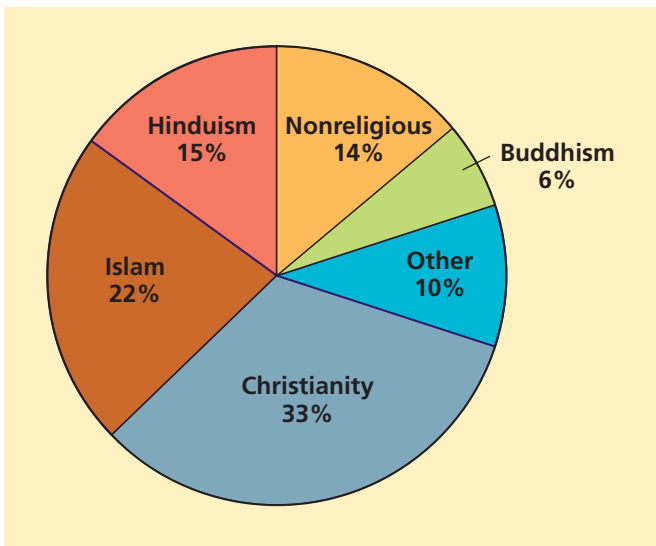


FIGURE 10.2 Population Distribution of Major World Religions. Judaism, due to its relatively small numbers, is classified in “Other.”

the Internet give even greater impetus to religious movement and change. Each world religion comprises many local variants, raising a predicament for centrally organized religions in terms of how to maintain a balance between standardization based on core beliefs and the local differences (Hefner 1998).

The material that follows first discusses five long-standing world religions in terms of their history, distribution, and basic teachings (Figure 10.2). The world religions are presented in order by historical age, based on the dates of written texts. For each world religion, an example of a local variation is presented. When a world religion moves into a new cultural region, it encounters local religious traditions. In many cases, the incoming religion and local religions coexist as separate traditions, either as complements or competitors, in what is called **religious pluralism**. In **religious syncretism**, elements of two or more religions blend together. Religious syncretism is most likely to occur when aspects of two religions form a close match with each other. For example, if a local myth involves a hero who has something to do with snakes, there may be a syncretistic link with the Catholic belief in St. Patrick, who is believed to have driven snakes out of Ireland.

Many situations of nonfit also exist. For example, Christian missionaries have had difficulty translating the Bible into some indigenous languages because of a lack of matching words or concepts and because of differing kinship

and social structures. Some Amazonian groups, such as the Pirahã (review Chapter 9), have no word that corresponds to the Christian concept of “heaven” (Everett 1995, personal communication). In other cases, matrilineal peoples have found it difficult to understand the significance of the Christian construct of “god the father.”

The two world religions that emphasize *proselytizing*, or seeking converts, are Christianity and Islam. Their encounters with local religions have sometimes been violent, involving the physical destruction of sacred places and objects (Corbey 2003). Common methods include burning, overturning, dismantling, or cutting up sacred objects, dumping them into rivers, and hiding them in caves. European Christian missionaries in the 1800s often confiscated sacred goods and shipped them to Europe for sale to private owners or museums. Both Christian and Islamic conversion efforts frequently involved the construction of their own places of worship on top of the original sacred site. Conflict between these two religions is, unfortunately, not a matter of the past only.

Hinduism

Over 900 million people, or about 15 percent of the world’s population, are Hindus. About 97 percent of all Hindus live in India, where Hinduism accounts for 80 percent of the population. The rest live throughout the world in countries such as Bangladesh, Myanmar, Pakistan, Sri Lanka, the United States, Canada, the United Kingdom, Malaysia, Fiji, Trinidad, Guyana, and Hong Kong.

A Hindu is born a Hindu, and Hinduism does not actively seek converts. The core texts of Hinduism are the four Vedas, which were composed in Sanskrit in northern India between 1200 and 900 BCE. Many other scholarly texts, epics and stories, and oral traditions enrich the Hindu tradition. The two most widely known stories are the *Mahabharata* (muh-huh-bhar-uh-tuh), the story of a war between two patrilineages in which Krishna plays an important role, and the *Ramayana* (ruh-my-uh-nuh), the story of King Rama and his devoted wife, Sita. Throughout India, many local stories also exist, some containing elements from pre-Vedic times.

Hinduism offers a rich polytheism and, at the same time, a philosophical tradition that reduces the multiplicity of deities into oneness. Deities range from a simple stone placed at the foot of a tree to elegantly carved and painted icons of gods such as Shiva and Vishnu and the goddesses Durga and Saraswati. Everyday worship of a deity involves lighting a lamp in front of the god, chanting hymns and mantras (sacred phrases), and taking *darshan* (dar-shun), which means the act of seeing the deity, usually in the form of an icon (Eck 1985). These acts bring blessings to the worshipper. Local variations of worship often involve deities and rituals unknown

religious pluralism the condition in which one or more religions coexist either as complementary to each other or as competing systems.

religious syncretism the blending of features of two or more religions.

elsewhere. For example, fire walking is an important part of goddess worship in southern and eastern India (Freeman 1981) and among some Hindu groups living outside India, notably in Fiji (Brown 1984).

Caste differences in beliefs and practices are also marked, even within the same village. Lower caste deities prefer offerings of meat sacrifices and alcohol, whereas upper caste deities prefer flowers, rice, and fruit.

A NAYAR FERTILITY RITUAL The matrilineal Nayars (nye-ers) of Kerala, South India (see Map 13.2, p. 276), perform a nonperiodic ritual as a remedy for the curse of the serpent deities who cause infertility in women (Neff 1994). This ritual illustrates the unity of Hinduism in several ritual elements: the use of a camphor flame and incense, the importance of serpent deities, and the offering of flowers to the deity. Locally specific elements are related to the matrilineal cultural context of Kerala.

The all-night ritual includes, first, women painting a sacred design of intertwined serpents on the floor. Several hours of worshipping the deity follow, with the camphor flame, incense, and flowers. Music comes from drumming, playing the cymbals, and singing. The presence of the deity is fully achieved when one of the women goes into a trance. Through her, matrilineal family members may speak to the deity and be blessed.

Among the Nayars, a woman's mother, mother's brothers, and brothers are responsible for ensuring that her desires for motherhood are fulfilled. They share her interest in continuing the matrilineage. What the women say during the trance is important. They typically draw attention to family disharmonies



Celebration of Holi, a spring festival popular among Hindus worldwide. In this scene in New Delhi, a young woman sprays colored water on a young man as part of the joyous event. The deeper meaning of Holi is tied to a myth about a demon.

► *Is the arrival of spring ritually marked in your culture?*

or neglect of the deities. This message diverts blame from the infertile woman for whom the ritual is being held. It reminds family and lineage members of their responsibilities to each other.

HINDU WOMEN AND KARMA IN NORTHERN ENGLAND

One of Hinduism's basic concepts is *karma*, translated as "destiny" or "fate." A person's karma is determined at birth on the basis of his or her previous life and how it was conducted. The karma concept has prompted many outsiders to judge Hindus as fatalistic, lacking a sense of agency. But anthropological research on how people actually think about karma in their everyday lives reveals much individual variation, from fatalism to a strong sense of being in charge of one's destiny. One study looked at women's perceptions of karma among Hindus living in the city of Leeds, northern England (Knott 1996) (see Map 10.3, page 209). Some of the women are fatalistic in their attitudes and behavior. One woman who had a strongly fatalistic view of karma said,

When a baby's born . . . we have a ritual on the sixth day. That's when you name the baby, you know. And on that day, we believe the goddess comes and writes your future . . . we leave a blank white paper and a pen and we just leave it [overnight]. . . . So I believe that my future—whatever happens—is what she has written for me. That tells me [that] I have to do what I can do, and if I have a mishap in between I have to accept that. (1996:24)

Another woman said that her sufferings were caused by the irresponsibility of her father and the "bad husband" to whom she had been married. She challenged her karma and left her husband: "I could not accept the karma of being with Nirmal [her husband]. If I had done so, what would have become of my children?" (1996:25). Because Hindu women's karma dictates being married and having children, leaving one's husband is a major act of resistance.

Options for women seeking support when questioning or changing their karmic roles can be religious, such as praying more and fasting, or secular, such as seeking the advice of a psychological counselor or social worker. Some Hindu women in England have become counselors, working in support of other women's independence and self-confidence. They illustrate how human agency can work against traditional religious rules.

Buddhism

Buddhism originated in a founding figure, Siddhartha Gautama (ca. 566–486 BCE), revered as the Buddha, or Awakened One (Eckel 1995:135). It began in northern India, where the Buddha was born and grew up. From there, it spread throughout the subcontinent, into inner Asia and China, to

Buddhism gained an established footing in Japan in the eighth century. The city of Nara was an important early center of Buddhism. An emperor sponsored the casting of this huge bronze statue of the Buddha.

► *Is there a Buddhist temple where you live? If so, have you visited it? If not, find out where the nearest one is and visit it if possible.*



Sri Lanka, and on to Southeast Asia. Buddhism's popularity declined in India, and Buddhists now constitute less than 1 percent of India's population. Over the past 200 years, Buddhism has spread to Europe and North America.

Buddhism has a great diversity of doctrine and practice, to the extent that it is difficult to point to a single essential feature other than the importance of Gautama Buddha. No one text is accepted as authoritative for all forms of Buddhism. Many Buddhists worship the Buddha as a deity, but others do not. Instead, they honor his teachings and follow the pathway he suggested for reaching *nirvana* (nur-VAH-nuh), or release from worldly life. The total number of Buddhists worldwide is around 400 million, or about six percent of the global population.

Buddhism arose as a protest against Hinduism, especially caste inequality, but it retained and revised several Hindu concepts, such as karma. In Buddhism, everyone has the potential through good deeds to achieve a better rebirth with each incarnation, until finally, release from *samsara* (the cycle of birth, reincarnation, death, rebirth, and so on) is achieved. Compassion toward others, including animals, is a key virtue. Branches of Buddhism have different texts that they consider their canon. Buddhism is associated with a strong tradition of monasticism through which monks and nuns renounce the everyday world and spend their lives meditating and doing good works. Buddhists have many annual festivals and rituals. Some events bring pilgrims from around the world to northern India to visit Sarnath, where

the Buddha gave his first teaching, and Gaya, where he gained enlightenment.

LOCAL SPIRITS AND BUDDHISM IN SOUTHEAST ASIA

Wherever Buddhism exists outside India, it is never the exclusive religion of the devotees, because it arrived to find established local religions already in place (Spiro 1967). In Myanmar (formerly Burma) (Map 10.5), Buddhism and indigenous traditions coexist without one being dominant. Indigenous beliefs remain strong because they offer a way of dealing with everyday problems. Buddhist beliefs about karma in Myanmar are similar to those in Hinduism: A person's karma is the result of previous births and determines his or her present condition. If something bad happens, the person can do little but suffer through it.

In contrast, indigenous supernaturalism says that the bad things happen because of the actions of capricious spirits called *nats*. Ritual actions, however, can combat the influence of nats. Thus, people can deal with nats but not with karma. The continuity of belief in nats is an example of human agency and creativity. Burmese people kept what was important to them from their traditional beliefs and adopted aspects of the new religion.

Buddhism became an important cultural force and the basis for social integration in Myanmar. A typical village may have one or more Buddhist monasteries and several resident monks. All boys are ordained as temporary members of the monastic order. Almost every villager observes



MAP 10.5 Mainland Southeast Asia.

Mainland Southeast Asia comprises Myanmar, Thailand, Laos, Vietnam, Kampuchea, and Malaysia. Although each country has a distinct history, the region shares a tropical monsoon climate, emphasis on wet-rice agriculture, and ethnic contrasts between highlanders and lowlanders. Many national and ethnic languages exist. Languages in the Mon-Khmer language family have the most speakers. Theravada Buddhism, Islam, and Christianity are the major religions. Growth in industry and informatics has created an economic upsurge in many parts of the region.

Buddhist holy days. Nonetheless, although Buddhism is held to be the supreme truth, the spirits retain control when it comes to dealing with everyday problems such as a toothache or a monetary loss. In Myanmar, the two traditions exist in a pluralistic situation as two separate options.

Judaism

The first Judaic religious system was defined around 500 BCE, following the destruction of the Temple in Jerusalem by the Babylonians in 586 BCE (Neusner 1995). The early writings, called the Pentateuch (pen-tuh-took), established the theme of exile and return as a paradigm for Judaism that endures

today. The Pentateuch is also called the Five Books of Moses, or the Torah. Followers of Judaism share in the belief in the Torah as the revelation of God's truth through Israel, a term for the "holy people." The Torah explains the relationship between the supernatural and human realms and guides people in how to carry out the worldview through appropriate actions. A key feature of all forms of Judaism is the identification of what is wrong with the present and how to escape, overcome, or survive that situation. Jewish life is symbolically interpreted as a tension between exile and return, given its foundational myth in the exile of the Jews from Israel and their period of slavery in Egypt.

Judaism is monotheistic, teaching that God is one, unique, and all powerful. Humans have a moral duty to follow Jewish law, to protect and preserve life and health, and to follow certain duties, such as observing the Sabbath. The high regard for human life is reflected in the general opposition to abortion within Jewish law and in opposition to the death penalty. Words, both spoken and written, are important in Judaism. There is an emphasis on truth telling in life and on the use of established literary formulas at precise times during worship. These formulas are encoded in a *sidur* (sih-door), or prayer book. Dietary patterns distinguish Judaism from other religions; for example, rules of kosher eating forbid the mixing of milk or milk products with meat.

Contemporary varieties of Judaism range from conservative Hasidism to Reform Judaism, which emerged in the early 1800s. One difference between these two perspectives concerns the question of who is Jewish. Jewish law traditionally defined a Jewish person as someone born of a Jewish mother. In contrast, reform Judaism recognizes as Jewish the offspring of a Jewish father and a non-Jewish mother. Currently, the Jewish population numbers about 15 million worldwide, with about half living in North America, a quarter in Israel, and 20 percent in Europe and Russia. Smaller populations are scattered across the globe.

WHO'S WHO AT THE KOTEL The most sacred place to all Jews is the Kotel (ko-TELL), or Western Wall in Jerusalem (Map 10.6, page 218). Since the 1967 war, which brought Jerusalem under Israeli rule, the Kotel has been the most important religious shrine and pilgrimage site of Israel. The Kotel is located at one edge of the Temple Mount (also called Haram Sharif), an area sacred to Jews, Muslims, and Christians. According to Jewish scriptures, God asked Abraham to sacrifice his son Isaac on this hill. Later, King Solomon built the First Temple here in the middle of the tenth century BCE. It was destroyed by Nebuchadnezzar (neh-boo-kud-NEZZ-er) in 587 BCE, when the Jews were led into captivity in Babylon. Around 500 BCE, the Second Temple was built on the same site. The Kotel is a remnant of the Second Temple.



The Kotel, or Western Wall, in Jerusalem is a sacred place of pilgrimage, especially for Jews. Men pray in a section marked off on the left, women in the area on the right. Both men and women should cover their heads, and when leaving the wall area, women should take care to keep their faces toward it and avoid turning their backs to it.

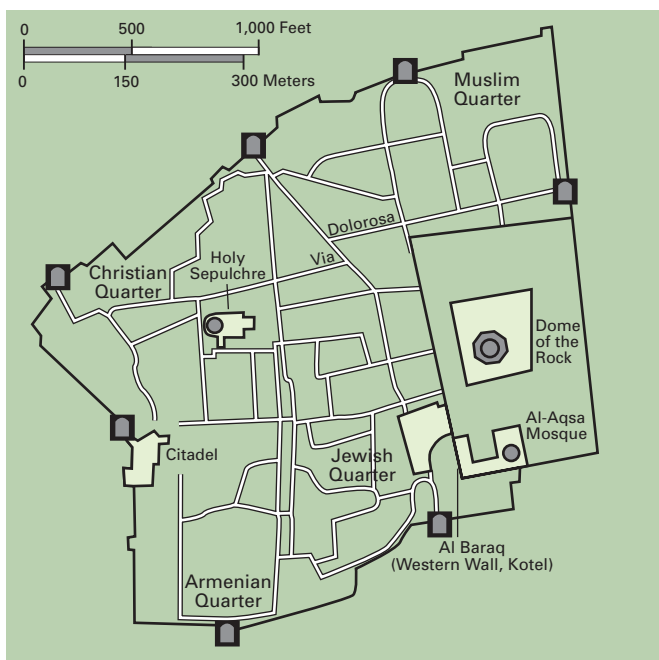
► Think of some behavioral rules at another sacred place you know.

The Hasid . . . with a fur shtreimel on his head may enter the synagogue area alongside a man in shorts who utilizes a cardboard skullcap available for “secular” visitors. American youngsters in jeans may ponder Israeli soldiers of their own age, dressed in uniform, and wonder what their lot might have been if they [had been] born in another country. Women from Yemen, wearing embroidered trousers under their dresses, edge close to the Wall as do women accoutred in contemporary styles whose religiosity may have been filtered through a modern education. . . . (Storper-Perez and Goldberg 1994:321)

In spite of plaques that state the prohibition against begging, beggars offer to “sell a blessing” to visitors. They may remind visitors that it was the poor who built the wall in the first place. Another category of people is young Jewish men who, in search of prospective “born again” Jews, “hang around” looking for a “hit” (in their words). Most of the hits are young Americans, who are urged to take their Jewishness more seriously and, if male, to be sure to marry a Jewish woman. Other regulars are Hebrew-speaking men who are available to organize a prayer service. One of the most frequent forms of religious expression at the Kotel is the insertion of written prayers into the crevices of the wall.

The social heterogeneity of the Jewish people is thus transcended in a single space, creating some sense of what Victor Turner (1969) called *communitas*, a sense of collective unity that bridges individual differences.

PASSOVER IN KERALA The Jews of the Kochi (ko-chee) area of Kerala, South India, have lived there for about 1000 years (Katz and Goldberg 1989) (see Map 13.2, page 276). The Maharaja of Kochi had respect for the Jewish



MAP 10.6 Sacred Sites in the Old City of Jerusalem, Israel.

Jerusalem is the holiest city of Judaism, the third holiest city of Islam, and holy to Christians. The section called the Old City is surrounded by walls that have been built, razed, relocated, and rebuilt over several hundred years. The Old City contains four quarters—Armenian, Christian, Jewish, and Muslim—and many sacred sites, such as the Kotel and the Via Dolorosa.

Jews of all varieties, as well as non-Jews, come to the Kotel in vast numbers from around the world. The Kotel plaza is open to everyone, pilgrims and tourists alike. The wall is made of massive rectangular stones weighing between 2 and 8 tons each. At its base is a synagogue area partitioned into men’s and women’s sections.

This single site brings together a variety of Jewish worshippers and secular visitors. The great diversity among the visitors is evident in the various styles of dress and gesture:

people, who were mainly merchants. He relied on them for external trade and contacts. In recognition of this relationship, he allowed a synagogue, which is still standing, to be built next to his palace. Syncretism is apparent in Kochi Jewish lifestyle, social structure, and rituals. Basic aspects of Judaism are retained, along with many aspects of Hindu practices.

Three aspects of syncretism with Hinduism are apparent in Passover, one of the most important annual rituals of the Jewish faith. First, the Western/European Passover celebration is typically joyous and a time of feasting. In contrast, the Kochi version has adopted a tone of austerity and is called “the fasting feast.” Second, Kochi Passover allows no role for children, whereas, at a traditional Western/European ritual meal, or *seder* (say-der), children usually ask four questions as a starting point of the narrative. The adult Kochi Jews chant the questions in unison. (In Hinduism, children do not have solo roles in rituals.) Third, a Kochi seder stresses purity even more than standard Jewish requirements do. Standard rules about maintaining the purity of kosher wine usually mean that no gentile (non-Jew) should touch it. But Kochi Jews expand the rule to say that if the shelf or table on which the wine sits is touched by a gentile, the wine is impure. This extra level of “contagion” is influenced by Hindu concepts of pollution.

Christianity

Christianity has many ties with Judaism, from which it sprang. One of the strongest ties is the biblical teaching of a coming savior, or *messiah* (anointed one). Christianity began in the eastern Mediterranean in the second quarter of the first century (Cunningham 1995). Most of the early believers were Jews who took up the belief in Jesus Christ as the messiah who came to earth in fulfillment of prophecies contained in the Hebrew scriptures.

Today, Christianity is the largest of the world religions, with about 2 billion adherents, roughly one-third of the world’s population. It is the majority religion of Australia, New Zealand, the Philippines, Papua New Guinea, most countries of Europe and of North and South America, and about a dozen southern African countries. Christianity is a minority religion throughout Asia, but Asian Christians constitute 16 percent of the world’s Christians and are thus a significant population.

Christians accept the Bible (Old and New Testaments) as containing the basic teachings of their faith, believe that a supreme God sent His son to earth as a sacrifice for the welfare of humanity, and look to Jesus as the model to follow for moral guidance. The three largest branches of Christianity are Roman Catholic, Protestant, and Eastern Orthodox. Within each of these branches, various denominations exist. The greatest growth in Christianity is occurring in sub-Saharan Africa, India, Indonesia, and Eastern Europe.



(TOP) The Vatican in Rome. The Vatican attracts more pilgrims/visitors each year than any religious site in the world. (BOTTOM) In the nearby neighborhood, shops cater to pilgrims and tourists by offering a variety of religious and secular goods.

PROTESTANTISM AMONG WHITE APPALACHIANS

Studies of Protestantism in Appalachia describe local traditions that outsiders who are accustomed to standard, urban versions may view as “deviant.” For example, some churches in rural West Virginia and North Carolina, called Old Regulars, practice three obligatory rituals: footwashing, communion (a ritual commemorating the Last Supper that Jesus had with his disciples), and baptism (Dorgan 1989). The footwashing ceremony occurs once a year in conjunction with communion, usually as an extension of the Sunday service. An elder is called to the front of the church, and he preaches for 10 to 20 minutes. A round of handshaking and embracing follows. Two deaconesses then come forward to “prepare the table” by uncovering the sacramental elements placed there earlier under a white tablecloth. The elements are unleavened bread, serving plates for the bread, cups for the wine, and a decanter or quart jar or two of wine. The deacons break the bread into pieces and the moderator pours the wine into the cups. Men and women form separate groups as the deacons serve the bread

and wine. The deacons serve each other, and then it is time for the footwashing.

The moderator begins by quoting from the New Testament (John 13:4): “He riseth from supper, and laid aside his garments; and he took a towel and girded himself.” The moderator takes a towel and basin from the communion table, puts water in it, and selects a senior elder and removes his shoes and socks. The moderator washes his feet slowly and attentively. Other members come forward and take towels and basins and take turns washing others’ feet and having their feet washed. Soon “the church is filled with crying, shouting, and praising as these highly poignant exchanges unleash a flood of emotions” (Dorgan 1989:106). A functional interpretation of the ritual of footwashing is that it helps maintain social cohesion.

Another feature of worship in some small Protestant churches in Appalachia, especially remote areas of rural West Virginia, involves the handling of poisonous snakes. This practice finds legitimation in the New Testament (Daugherty 1997 [1976]). According to a passage in Mark (16:15–18), “In my name shall they cast out devils; they shall speak with new tongues; they shall take up serpents; and if they drink any deadly thing, it shall not hurt them; they shall lay hands on the sick, and they shall recover.” Members of “Holiness-type” churches believe that the handling of poisonous snakes is the supreme act of devotion to God. Biblical literalists, these people choose serpent handling as their way of celebrating life, death, and resurrection and of proving that only Jesus has the power to deliver them from death. Most serpent handlers have been bitten many times, but few have died.

One interpretation says that the risks of handling poisonous snakes mirror the risks of the environment. In Appalachia, unemployment rates are high and many people are economically poor. The structivist view (review Chapter 1) points to the fact that serpent handling increased when local people lost their land rights to big mining and forestry companies (Tidball and Toumey 2003:4). As their lives became more economically insecure, they turned to a way of increasing their sense of stability through a dramatic religious ritual. Outsiders might ask whether such dangerous ritual practices indicate that the people are disturbed. In fact, psychological tests indicate that members of Holiness churches are more emotionally healthy, on average, than members of mainline Protestant churches.

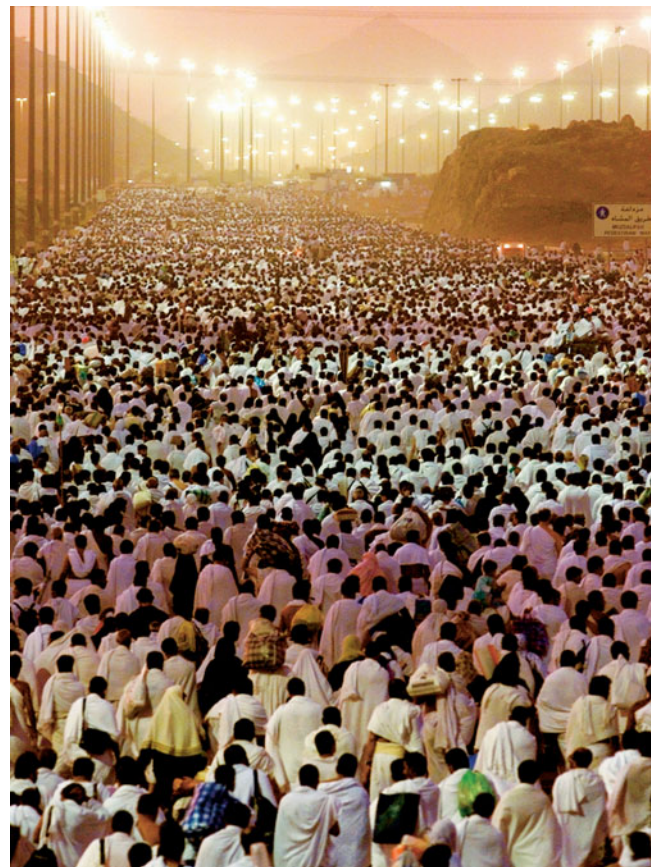
THE LAST SUPPER IN FIJI Among Christians in Fiji (see Map 5.6, page 111), the image of the Last Supper is a dominant motif (Toren 1988). This scene, depicted on tapestry hangings, adorns most churches and many houses. People say, “Christ is the head of this household, he eats with us and overhears us” (1988:697). The image’s popularity is the result of its fit with Fijian notions of communal eating and kava drinking. Seating rules at such

events place the people of highest status, such as the chief and others close to him, at the “above” side of the room, away from the entrance. Others sit at the “lower” end, facing the highly ranked people. Intermediate positions are located on either side of the person of honor, in ranked order.

Leonardo Da Vinci’s fifteenth-century painting of the Last Supper places Jesus Christ in the position of a Fijian chief, with the disciples in an ordered arrangement around him. The disciples and the viewers “face” the chief and eat and drink together, as is appropriate in Fijian society. The positioning parallels the orderly placement of Fijian people around the kava as encountered “virtually every day in the village” (1988:706). This kind of cultural fit is a clear example of religious syncretism.

Islam

Islam is based on the teachings of the prophet Muhammad (570–632 CE) and is thus the youngest of the world religions (Martin 1995:498–513). The Arabic word *Islam* means “submission” to the will of the one god, Allah,



Every year, millions of Muslim pilgrims do the Hajj to Mecca, in Saudi Arabia. The Hajj is one of the Five Pillars of Sunni Islam and is also important in Shi’a Islam. A person who has done the Hajj is referred to as a *hajji*, a term of honor.

CULTURAMA

Hui Muslims of Xi'an, China

The Hui (hway), one of China's largest designated minorities, number around 10 million people. Most live in the northwestern part of the country. The state classifies the Hui as "backward" and "feudal" in comparison to China's majority Han population. Hui residents of Xi'an (shee-ahn), however, reject the official characterization of them as less civilized and less modern than the Han majority (Gillette 2000).

About 60,000 Hui live in Xi'an, mainly in the so-called Old Muslim Quarter, which is dominated by small shops, restaurants, and mosques. The quality of housing and public services is inferior to that found elsewhere in the city. Parents worry that their children are not getting the best education and feel that the state is not providing adequate schooling in their neighborhood. Many Hui have taken steps to improve their houses themselves and to send their children to schools outside the district.

The Hui of Xi'an construct what they consider to be a modern and civilized lifestyle by choosing aspects of Muslim culture and Western culture.

Their form of "progress" is visible in many aspects of their daily life, such as eating habits, dress styles, housing, religious practices, education, and family organization.

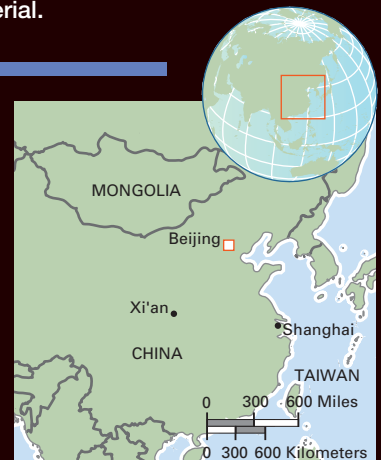
Being Muslim in China poses several challenges in relation to the dominant Han culture. Diet is one prominent example. The Qur'an forbids four types of food to Muslims: animals that have not been consecrated to God and properly slaughtered, blood, pork, and alcohol (Gillette 2000:116). Three of the four rules apply to meat, and meat is the central part of a proper meal for Muslims. The Hui say that pork is especially impure. This belief differentiates the Hui clearly from other Chinese people, for whom pork is a major food item. Given the Hui belief that the kinds of food one eats affect a person's essence and behavior, they view pork eaters with disdain.

Hui residents consider alcohol even more impure than pork (Gillette 2000:167). Hui of Xi'an do not drink alcohol. They avoid using utensils that have touched alcohol and people who

are drinking it. Many Hui of Xi'an, however, make a living in the restaurant business, which caters to Chinese Han and foreign tourists. Although selling alcohol boosts business, many Hui object to it. Some community members say that restricting alcohol has improved the quality of life by making the neighborhood more peaceful and orderly.

In 2003, an urban development project in the Old Muslim Quarter was launched with financial support from the Norwegian government (*People's Daily* 2003). The project will widen the main street, replace "shabby" housing and infrastructure, and restore crumbling buildings of historic interest. A commercial area will be dedicated to restaurants serving Hui food in recognition of the touristic appeal of traditional Hui specialties such as baked beef and mutton, buns with beef, mutton pancake, and mutton soup. It is unclear where alcohol consumption will fit into this plan.

Thanks to Maris Boyd Gillette, Haverford College, for reviewing this material.



(LEFT) At a street stand in Xi'an, Hui men prepare and sell a noodle dish. Like Muslim men in many parts of the world, they wear a white cap.

(CENTER) Hui women in Xi'an participate in a ritual that commemorates Hui people who died in a massive conflict that spread across northwestern China from 1862 to 1874.

MAP 10.7 The City of Xi'an in China. Xi'an, the capital of Shaanxi province, is one of the most economically developed cities in the northwestern part of China.

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through which peace will be achieved. Followers of Islam, known as Muslims, believe that Muhammad was God's final prophet. Islam has several denominations with essentially similar beliefs but also distinct theological and legal approaches. The two major schools of thought are Sunni and Shi'a. About 85 percent of the total Muslim population worldwide is Sunni, and about 15 percent is Shi'a. Sufism is a more mystical variant of Islam, with much smaller numbers of adherents. Many other subgroups exist.

The Five Pillars of Islam are profession of faith in Allah, daily prayer, fasting, contributing alms for the poor, and the *Hajj* (pilgrimage to Mecca). The five pillars are central to Sunni Islam but less so to other branches of Islam, such as the Shi'as and the Sufis.

The total number of Muslims worldwide is about 1.4 billion, making it the second-largest religion with 22 percent of the world's population. Muslim-majority nations are located in northern Africa; the Middle East, including Afghanistan, Pakistan, and Bangladesh in South Asia; and several nations in Central Asia and Southeast Asia. Most of the world's Muslims (60 percent) live in South Asia or Southeast Asia. Muslims are minorities in many other countries, including China, where they seek to maintain their religious practices (see *Culturama*). Although Islam originally flourished among pastoralists, only 2 percent of its adherents are now in that category.

A common and inaccurate stereotype of Islam prevalent among many non-Muslims is that it is the same no matter where it exists. This erroneously monolithic model tends to be based on an image of conservative Wahhabist Islam as practiced in Saudi Arabia. But Wahhabist Islam is only one of many varieties of Islam.

A comparison of Islam in highland Sumatra (part of Indonesia in Southeast Asia) and Morocco (in North Africa) reveals differences that are the result of local cultural adaptations (Bowen 1992). Eid-ul-Adha (eed-ull-ah-dah), or the Feast of Sacrifice, is celebrated annually by Muslims around the world. It commemorates Ibrahim's willingness to sacrifice his son Ishmael (Isaac in the Christian tradition, Yitzhak in the Jewish) to Allah. It occurs on the tenth of the last month of the year, called Pilgrimage Month, and marks the end of the Hajj. The ritual reminds Muslims of their global unity within the Islamic faith.

An important aspect of this ritual in Morocco (see Map 4.2, p. 77) involves the king publicly plunging a dagger into a ram's throat, a reenactment of Muhammad's performance of the sacrifice on the same day in the seventh century. Each male head of household follows the pattern and sacrifices a ram. The size and virility of the ram are a measure of the man's power and virility. Other men of the household stand to witness the sacrifice, while women and

children are absent or in the background. After the ram is killed, the men come forward and dab its blood on their faces. In some villages, women play a more prominent role before the sacrifice by daubing the ram with henna (red dye), thus sanctifying it, and using its blood afterward in rituals to protect the household. These state and household rituals are symbolic of male power in the public and private domains—the power of the monarchy and the power of patriarchy.

In Isak (ee-suk), Sumatra (see Map 6.3, p. 124), the cultural context is less patriarchal and the political structure does not emphasize monarchy. Isak is a traditionalist Muslim village where people have been Muslims since the seventeenth century. They sacrifice many kinds of animals: chickens, ducks, sheep, goats, and water buffalo. The people believe that so long as the animal's throat is cut and the meat is eaten, the sacrifice satisfies God. Most sacrifices are family affairs and receive little public notice. They are done in the back of the house. Both women and men of the household refer to it as "their" sacrifice, and there are no signs of male dominance. Women may sponsor a sacrifice, as did one wealthy woman trader who sacrificed a buffalo. (The cutting was done by a man.)

The Moroccan ritual emphasizes fathers and sons, whereas the Isak ritual includes attention to a wider range of kin on both the husband's and wife's side, daughters as well as sons, and even dead relatives. In Isak, the ritual carries no centralized political meanings. The differences are not due to the fact that Moroccans know the scriptures better than Sumatrans do. The Isak area has many Islamic scholars who are familiar with the scriptures and regularly discuss them with each other. Rather, the two cultural contexts, including kinship and politics, shape the ritual to local realities.

African Religions

Many African religions are now global. In earlier centuries, they spread outside Africa through the coerced movement of people as slaves. African diaspora religions are especially prominent in the United States, the Caribbean region, and Central and South America. This section summarizes some key features of African religions and then offers two examples of African religions in the Western Hemisphere.

FEATURES OF AFRICAN RELIGIONS With its diverse geography, cultural variation, and long history, Africa encompasses a wide range of religious affiliations, including many Muslims, Christians, Jews, Hindus, practitioners of indigenous religions, and people who follow some combination of these.



A sacred altar in a local African religion in Togo, West Africa.

► Can you distinguish some of the ritual elements displayed here? Are some incomprehensible to you? How would an anthropologist begin to learn about the beliefs involved in this religion?

Indigenous African religions are difficult to typify, but some of their shared features are as follows:

- Myths about a rupture that once occurred between the creator deity and humans
- A pantheon that includes a high god and many secondary supernaturals ranging from powerful gods to lesser spirits
- Elaborate initiation rituals
- Rituals involving animal sacrifices and other offerings, meals, and dances

- Altars within shrines as focal places where humans and deities meet
- Close links with healing

Although these features are fairly constant, African religions are rethought and reshaped locally and over time, with complex and variable results (Gable 1995). In their home locations, they have been influenced by foreign religions, notably Islam and various types of Christianity. The out-migration of African peoples has brought African religions to new locations, where they have been localized and revitalized in their new contexts (Clarke 2004).

Religious syncretisms in North and South America often combine aspects of Christianity with African traditions and elements of indigenous Indian beliefs and practices. Widely popular in Brazil are blended religions such as *umbanda*, *santería*, and *condoblé* that appeal to people of all social classes, urban and rural, especially for providing social support and alleviation of stress (Burdick 2004).

RAS TAFARI Also called Rastafarianism, Ras Tafari is an Afro-Caribbean religion with its original roots in Jamaica. It is not known how many Rastafarians there are, because they refuse to be counted (Smith 1995:23). Ras Tafari is a protest religion that shares only a few of the features of the African religions just mentioned. It traces its history to several preachers of the early twentieth century who taught that Ras (“Prince”) Tafari, then the Ethiopian emperor Haile Selassie, was the “Lion of Judah” who would lead Blacks to the African promised land.



Bob Marley, legendary reggae artist and Rastafarian, performing at the Roxy Theater in Hollywood, California, in 1979. Marley died in 1981 at the age of 36, but he is still the most revered reggae musician. He launched the global spread of Jamaican music. Reggae is a genre of Jamaican music associated with Rastafarianism. Its songs address poverty, social injustice, love, and sexuality.

Rastafarianism does not have an organized set of doctrines or written texts. Shared beliefs of the many diffuse groups in the Caribbean, the United States, and Europe include the belief that Ethiopia is heaven on earth, that Haile Selassie is a living god, and that all Blacks will be able to return to the homeland through his help. Since the death of Haile Selassie in 1975, more emphasis has been placed on pan-African unity and Black power, and less on Ethiopia.

Rastafarianism is particularly strong in Jamaica, where it is associated with reggae music, dreadlocks, and *ganja* (marijuana) smoking. Variations within the Rastafarian movement in Jamaica range from the belief that one must fight oppression to the position that living a peaceful life brings victory against evil.



Directions of Religious Change

All religions have mythologies and doctrines that provide for continuity in their beliefs and practices. Yet no religion is frozen and unchanging. Cultural anthropologists have traced the resurgence of religions that seemed to be headed toward extinction through colonial forces, and they have documented the emergence of new religions. Likewise, they are observing the contemporary struggle of once-suppressed religions in socialist states to find a new position in the postsocialist world. Religious *icons* (images, pictures, or other forms of representation), once a prominent feature in Russian Orthodox churches, had been removed and placed in museums. The churches want them back.

Indigenous people's beliefs about the sacredness of their land are an important part of their attempts to protect their territory from encroachment and development by outside commercial interests. The world of religious change offers these examples, and far more, as windows into wider cultural change.

Revitalization Movements

Revitalization movements are religious movements that seek to bring about positive change through reestablishing a religion that has been threatened by outside forces or through adopting new practices and beliefs. These movements often

revitalization movement a socioreligious movement, usually organized by a prophetic leader, that seeks to construct a more satisfying situation by reviving all or parts of a religion that has been threatened by outside forces or by adopting new practices and beliefs.

cargo cult a form of revitalization movement that emerged in Melanesia in response to Western and Japanese influences.

arise in the context of rapid cultural change and appear to represent a way for people to try to make sense of their changing world and their place in it. One such movement that emerged as a response of Native Americans to the invasion of their land by Europeans and Euro-Americans was the Ghost Dance movement (Kehoe 1989). In the early 1870s, a shaman named Wodziwob of the Paiute (pie-yoot) tribe in California declared that the world would soon be destroyed and then renewed: Native Americans, plants, and animals would come back to life. He instructed people to perform a circle dance, known as the Ghost Dance, at night.

The movement spread to other tribes in California, Oregon, and Idaho but ended when the prophet died and his prophecy was unfulfilled. A similar movement emerged in 1890, led by another Paiute prophet, Wovoka, who had a vision during a total eclipse. His message was the same: destruction, renewal, and the need to perform circle dances in anticipation of the impending event. The dance spread widely and had various effects. Among the Pawnee, it provided the basis for a cultural revival of old ceremonies that had fallen into disuse. The Sioux altered Wovoka's message and adopted a more overtly hostile stance toward the government and White people. Newspapers began to carry stories about the "messiah craze," referring to Wovoka. Ultimately, the government took action against the Sioux, killing Chief Sitting Bull and Chief Big Foot and about 300 Sioux at Wounded Knee. In the 1970s, the Ghost Dance was revived again by the American Indian Movement, an activist organization that seeks to advance Native American rights.

Cargo cults are a type of revitalization movement that emerged throughout Melanesia in response to Western influences. Most prominent in the first half of the nineteenth century, cargo cult behavior emphasized the acquisition of Western trade goods, or *cargo* in local terms. Typically, a prophetic leader emerged with a vision of how the cargo will arrive. In one instance, the leader predicted that a ship would come, bringing not only cargo but also the people's dead ancestors. Followers set up tables for the expected guests, complete with flower arrangements.

Later, after World War II and the islanders' experiences of aircraft arrivals bringing cargo, the mode of anticipated arrival changed to planes. Once again, people would wait expectantly for the arrival of the plane. Cargo cults emerged as a response to the disruptive effects of new goods being suddenly introduced into indigenous settings. The outsiders imposed a new form of exchange system that emphasized the importance of Western goods and suppressed the importance of indigenous valuables such as shells and pigs. This transformation undermined traditional patterns of gaining status through the exchange of indigenous goods. Cargo cult leaders sought help, in the only way they knew, in obtaining Western goods so that they could acquire social status in the new system.



John Frum Movement supporters stand guard around one of the cult's flagpoles at Sulphur Bay village, on Tanna Island, Vanuatu, in the region of Melanesia.

► Does this scene remind you of anything from your culture?

Contested Sacred Sites

Religious conflict often becomes focused on sacred sites. One place of recurrent conflict is Jerusalem, where many religions and sects within religions compete for control of sacred terrain. Three major religions claim that they have primary rights: Islam, Judaism, and Christianity. Among the Christians, several different sects vie for control of the Church of the Holy Sepulchre (see Map 10.6 on page 218). In India, frequent conflicts over sacred sites occur between Hindus and Muslims. Hindus claim that Muslim mosques have been built on sites sacred to Hindus. On some occasions, the Hindus have destroyed the mosques. Many conflicts that involve secular issues surrounding sacred sites also exist worldwide. In the United States, White racists have burned African American churches. In Israel, some Jewish leaders object to archaeological research because they believe that the ancient Jewish burial places should remain undisturbed.

A similar situation exists among indigenous populations in the Western Hemisphere. Their sacred sites and burial grounds have often been destroyed for the sake of urban growth, petroleum and mineral extraction, and recreational sports. Resistance to such destruction is growing, with

indigenous people finding creative ways to protect, restore, and manage their heritage.

Religious Freedom as a Human Right

According to a United Nations Declaration, freedom from religious persecution is a universal human right. Yet violations of this right by countries and by competing religions are common. Sometimes people who are persecuted on religious grounds can seek and obtain sanctuary in other places or nations. Thousands of Tibetan Buddhist refugees, including their leader, the Dalai Lama, fled Tibet after it was taken over by the Chinese. Many Tibetan communities have been established in exile in India, the United States, and Canada, where the Tibetan people attempt to keep their religion, language, and heritage alive.

Religions are often the focal point of conflict and dissension and sometimes a source of conflict resolution. As an integral part of the heritage of humanity, religions are best understood within a cross-cultural and contextualized perspective. Such an understanding is essential for building a more peaceful future.

10 the BIG questions REVISITED

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What is religion and what are the basic features of religions?

Early cultural anthropologists defined religion in contrast to magic and suggested that religion was a more evolved form of thinking about the supernatural realm. They collected information on religions of non-Western cultures and constructed theories about the origin and functions of religion. Since then, ethnographers have described many religious systems and documented a rich variety of beliefs, forms of ritual behavior, and types of religious specialists. Beliefs are expressed in either myth or doctrine and often are concerned with defining the roles and characteristics of supernatural beings and how humans should relate to them.

Religious beliefs are enacted in rituals that are periodic or nonperiodic. Some common rituals worldwide are life-cycle rites, pilgrimage, rituals of inversion, and sacrifice. Rituals are transformative for the participants.

Many rituals require the involvement of a trained religious specialist, such as a shaman/shamanka or priest/priestess. Compared with the situation in states, religious specialist roles in nonstate contexts are fewer, less than full time, and less formalized, and they carry less secular power. In states, religious specialists are often organized into hierarchies, and many specialists gain substantial secular power.

How do world religions illustrate globalization and localization?

The so-called world religions are based on texts and generally agreed-on teachings and beliefs shared by many people around

the world. In order of historic age, the five longstanding world religions are Hinduism, Buddhism, Judaism, Christianity, and Islam. Christianity has the largest number of adherents, with Islam second and Hinduism third. Due to accelerated global population migration in the past few centuries, many formerly local religions now have a worldwide membership. Because of Western colonialism and slavery, African religions are prominent in the Western Hemisphere, with a variety of syncretistic religions attracting many adherents.

As members of the world religions have moved around the globe, religious beliefs and practices have become contextualized into localized variants. When a new religion moves into a culture, it may be blended with local systems (syncretism), may coexist with indigenous religions in a pluralistic fashion, or may take over and obliterate the original beliefs.

What are some important aspects of religious change in contemporary times?

Religious movements of the past two centuries have often been prompted by colonialism and other forms of social contact. In some instances, indigenous religious leaders and cults have arisen in an attempt to resist unwanted outside forces of change. In other cases, they evolve as ways of incorporating selected outside elements. Revitalization movements, such as the Ghost Dance movement in the U.S. Plains region, look to the past and attempt to recover lost and suppressed religious beliefs and practices.

Issues of contemporary importance include the increasing amount of conflict surrounding sacred sites, hostilities related to the effects of secular power interests on religious institutions and spaces, and religious freedom as a human right.

KEY CONCEPTS

animatism, p. 208

cargo cult, p. 224

doctrine, p. 204

life-cycle ritual, p. 209

magic, p. 204

myth, p. 204

pilgrimage, p. 211

priest or priestess, p. 213

religion, p. 204

religious pluralism, p. 213

religious syncretism, p. 213

revitalization

movement, p. 224

ritual, p. 209

ritual of inversion, p. 211

sacrifice, p. 212

world religion, p. 213

SUGGESTED READINGS


- Paulo Apolito. *The Internet and the Madonna: Religious Visionary Experience on the Web*. Antony Shugaar, trans. Chicago: University of Chicago Press, 2003. This book traces the Christian cult of Mary as it has developed and grown through the medium of the World Wide Web.
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- Todd Sanders. *Beyond Bodies: Rainmaking and Sense Making in Tanzania*. Toronto: University of Toronto Press, 2008. This study of rainmaking rituals among the Inhanzu of central Tanzania reveals ideas about gender roles and relations.
- Maureen Trudelle Schwarz. *Blood and Voice: Navajo Women Ceremonial Practitioners*. Tucson: University of Arizona Press, 2003. Contemporary Navajo women are increasingly taking on the ritual role of ceremonial Singer, formerly the domain of men. This book describes how women gain sacred knowledge.
- Stephen Selka. *Religion and the Politics of Ethnic Identity in Bahia, Brazil*. Gainesville: University Press of Florida, 2008. This study shows how Catholicism, evangelical Protestantism, and the traditional Brazilian religion of Candomblé shape the discourse of race and identity in northeastern Brazil.
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 Read the Document on myanthrolab.com


- Julie Adkins. 2010. Helping the Homeless in Dallas: Lessons and Challenges from a Faith-Based Nonprofit. *Practicing Anthropology* 32:11–16. This article considers the role of a Presbyterian church in advocating for the homeless poor.
- David Geary. 2008. Destination Enlightenment: Branding Buddhism and Spiritual Tourism in Bodhgaya, India. *Anthropology Today* 24(3):11–14. In 2002, the Mahabodhi Temple in northern India, where Buddha attained enlightenment, was declared a World Heritage Site. Since then, commercialization has brought new complexities in terms of managing and conserving a living place of worship in the face of increasing numbers of tourists.
- Louise Soutar. 2010. British Female Converts to Islam: Choosing Islam as a Rejection of Individualism. *Language and Intercultural Communication* 10:3–16. The author, though not a cultural anthropologist, uses three in-depth interviews to reveal motivations of White British women who convert to Islam.
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the **BIG** questions

 How is culture expressed through art?

 What do play and leisure activities reveal about culture?

 How is expressive culture changing in contemporary times?

EXPRESSIVE CULTURE

◀ Colombia's singer Shakira at the final game of the 2010 World Cup tournament in South Africa, standing next to the official 2010 mascot, Zakumi. This was the first World Cup tournament held in Africa.

11

OUTLINE

Art and Culture

Critical Thinking: Probing the Categories of Art

Play, Leisure, and Culture

Culturama: The Gullah of South Carolina

Change in Expressive Culture

Anthropology Works: A Strategy on Cultural Heritage for the World Bank

This chapter considers a vast area of human behavior and thought called **expressive culture**, or behavior and beliefs related to art, leisure, and play. (Definitions of these terms are provided later.) It begins with a discussion of theoretical perspectives on cross-cultural art and how anthropologists study art and expressive culture. The second section considers the topics of play and leisure cross-culturally. The last section provides examples of change in expressive culture.

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Art and Culture

Compared with the definition of art and how to study the subject as presented in art history classes you may have taken, the approach of cultural anthropologists is rather different. Their findings, here as in other cultural domains, stretch and subvert Western concepts and categories and prompt us to look at art within its context. Thus, anthropologists consider many kinds of products, practices, and processes to be art. They also study the artist and the artist's place in society. In addition, they ask questions about how art, and expressive culture more generally, is related to microcultural variation, inequality, and power. They question how cross-cultural art is to be selected and put on display in museums.

What Is Art?

Are ancient rock carvings art? Is subway graffiti art? An embroidered robe? A painting of a can of Campbell's soup? Philosophers, art critics, anthropologists, and art lovers have all struggled with the question *What is art?* The issue of how to define art involves more than mere word games. The way art is defined affects the manner in which a person values and treats artistic creations and those who create art (see Critical Thinking).

Anthropologists propose broad definitions of art to take into accountemic definitions cross-culturally. One definition says that **art** is the application of imagination, skill, and style to matter, movement, and sound that goes beyond the purely practical (Nanda 1994:383). Such imagination, skill, and style can be applied to many substances and activities, and the product can be considered art—for example, a beautifully presented meal, a well-told story, or a perfectly formed basket. In this sense, art is a human universal, and no culture can be said to lack artistic activity completely. The Pirahã of the Brazilian Amazon, however, appear to have very little visual art, but they do have verbal art (review Chapter 9).

In addition to studying the art product itself, anthropologists pay attention to the process of making art, variations in art and its preferred forms cross-culturally, and the way culture constructs and changes artistic traditions. They also consider various categories of art. Within the general category of art, subcategories exist, sometimes denoting eras, such as Paleolithic or modern art. Other subcategories are based on the medium of expression, such as graphic or plastic arts (painting, drawing, sculpture, weaving, basketry, and architecture); decorative arts (interior design, landscaping, gardens, costume design, and body adornment such as hairstyles, tattooing, and painting); performance arts (music, dance, and theater); and verbal arts (poetry, writing, rhetoric, and telling stories and jokes). A long-standing distinction in the Western view exists between *fine art* and *folk art*. This distinction is based on a Western-centric judgment that defines fine art as rare, expensive art produced by artists usually trained in the Western classical tradition. This is the kind of art that is included in college courses called “Fine Arts.” The implication is that all other art is less than fine and is more appropriately called folk art, ethnic art, primitive art, or crafts. Characteristics of Western fine art are as follows: The product is created by a formally schooled artist, it is made for sale on the market, it is clearly associated with a particular artist, its uniqueness is valued, and it is not primarily utilitarian but is rather “art for art’s sake.” In contrast, all the rest of the world’s art that



Painting walls has a long heritage, going back at least to prehistoric cave paintings discovered in Europe and Australia. (LEFT) Graffiti in New York City in the 1980s. (CENTER) A mural in west Belfast, Northern Ireland, where the Irish Republican Army and its opponents frequently expressed their views in large wall paintings. (RIGHT) Albetina Mahalangu paints a wall with Ndebele designs in South Africa.

CRITICAL thinking

Probing the Categories of Art

Probably every reader of this book, at one time or another, has looked at an object in an art museum or in an art book or magazine and exclaimed, “But that’s not art!” As a critical thinking research project on “What is art?” visit two museums, either in person or on the Internet. One of these should be a museum of either fine art or modern art. The other should be a museum of natural history. In the former, examine at least five items on display. In the latter,

examine several items on display that have to do with human cultures (that is, skip the bugs and rocks).

Take notes on all the items that you are examining. Then answer the following questions.

CRITICAL THINKING QUESTIONS ▼

- What is the object?
- What contextual explanation does the museum provide about the object?

- Was the object intended as a work of art or as something else?
- In your opinion, is it art or not, and why or why not?
- Compare your notes on the objects in the two types of museums. What do your notes tell you about categories of art?

is non-Western and nonclassical is supposedly characterized by the opposite features:

- It is created by an artist who has not received formal training.
- It is not produced for sale.
- The artist is anonymous and does not sign or individually claim the product.
- It is made primarily for everyday use, such as food procurement, processing, or storage; in ritual; or in war.

A closer examination of these two categories is in order. All cultures have art, and all cultures have a sense of what makes something art versus non-art. The term *esthetics* refers to socially accepted notions of quality (Thompson 1971). Before anthropologists proved otherwise, Western art experts believed that esthetics either did not exist or was poorly developed in non-Western cultures. We now know that esthetic principles, or established criteria for artistic quality, exist everywhere, whether or not they are written down and formalized. **Ethno-esthetics** refers to culturally specific definitions of what art is. The standards for wood carving in West Africa illustrate the importance of considering cross-cultural variation in the criteria for art (Thompson 1971). Among the Yorùbà (YOR-uh-buh) of Nigeria, esthetic guidelines for wood carving include these:

- Figures should be depicted midway between complete abstraction and complete realism so that they resemble “somebody,” but no one in particular. Portraiture in the Western sense is considered dangerous.
- Humans should be depicted at their optimal physical peak, not in infancy or old age.
- Line and form should have clarity.
- The sculpture should have the quality of luminosity achieved through a polished surface and the play of incisions and shadows.
- The piece should exhibit symmetry.



Yorùbà wood carving follows esthetic principles that require clarity of line and form, a polished surface that creates a play of light and shadows, symmetry, and the depiction of human figures that are neither completely abstract nor completely realistic.

► Have you seen African sculptures that follow these principles? Visit an African art museum on the Web for further exploration.

expressive culture behaviors and beliefs related to art, leisure, and play.

art the application of imagination, skill, and style to matter, movement, and sound that goes beyond what is purely practical.

ethno-esthetics culturally specific definitions of what art is.

Studying Art in Society

The anthropological study of art seeks to understand not only the products of art but also who makes it and why, the role of art in society, and its wider social meanings. Franz Boas was the first anthropologist to emphasize the importance of studying the artist in society. Functionalism (review Chapter 1) was the most important theory informing anthropological research on art in the first half of the twentieth century. Anthropologists wrote about how paintings, dance, theater, and songs serve to socialize children into the culture, provide a sense of social identity and group boundaries, and promote healing. Art may legitimize political leaders and enhance efforts in war through body painting, adornment, and magical decorations on shields and weapons. Art may also serve as a form of social control, as in African masks worn by dancers who represent deities visiting humans to remind them of the moral order. Art, like language, can be a catalyst for political resistance or a rallying point for ethnic solidarity in the face of oppression.

The anthropology of art relies on a range of methods in data gathering and analysis. The basic method is participant observation, supplemented by collecting and analyzing oral or written material such as video and tape recordings. Thus, strong ties often exist between cultural and linguistic anthropologists in the study of art.

Many anthropologists have become apprentices in an artistic tradition. For John Chernoff, learning to play African drums was an important part of building rapport during his fieldwork in Ghana and an essential aspect of his ability to gain an understanding of the importance of music in Ghanaian society (1979). His book, *African Rhythm and African Sensibility*, takes into account the position and role of the ethnographer and how it shapes what the ethnographer learns. Reading the book's introduction will convince you that fieldwork in cultural anthropology is far more than simply gathering the data you think you need for the project you have in mind, especially if your project concerns processes of creativity and expression.

Chernoff argues that only by relinquishing a scientific approach can a researcher learn about creativity and how it is related to society. As one of his drumming teachers said, "The heart sees before the eyes." Chernoff had to do more than practice participant observation. His heart had to participate, too. During his early months in the field, Chernoff often found himself wondering why he was there. To write a book? To tell people back in the United States about Ghana? No doubt, many of the Ghanaians he met wondered the same thing, especially given that his early efforts at drumming were pretty bad, although he did not realize it because he always drank copious amounts of gin before playing. Eventually, he became the student of a master drummer and went through a formal initiation ceremony. For the ceremony, he had to kill



Expressive culture in Sumba, Indonesia. (TOP) A woman weaves ikat (IH-kut) cloth on a bamboo loom. Ikat is a style of weaving that uses a tie-dye process on either the warp or weft before the threads are woven. The process will create a design in the final product. Double ikat is when both warp and weft threads are tie-dyed before weaving. The motif on this piece of ikat is the Tree of Life flanked by roosters. (BOTTOM) Linguistic anthropologist Joel Kuipers interviews a ritual speaker who is adept at verbal arts performance.

► What is a form of verbal art in your culture?

two chickens himself and eat parts of them in a form that most North Americans will never see in a grocery store. Still he was not playing well enough. He went through another ritual to make his wrist “smart” so that it would turn faster, like a cat chasing a mouse. For that ritual, he had to go into the bush, 10 miles outside town, and collect ingredients. The ritual worked. Having a cat’s hand was a good thing, but anthropologically it was more important to Chernoff that he had begun to gain an understanding of drumming in its social and ritual contexts.

Chernoff learned about Ghanaian family life and how it is connected to individual performers and to rituals that have to do with music. He also grew to see where his performance fell short and what he needed to do to improve. He gained great respect for the artists who taught him and admiration for their striving for respect. Chernoff’s personality was an important ingredient of the learning process. He comments, “I assumed that I did not know what to do in most situations. I accepted what people told me about myself and what I should be doing. . . . I waited to see what people would make of me. . . . By staying cool I learned the meaning of character” (1979:170).

FOCUS ON THE ARTIST In the early twentieth century, Franz Boas urged his students to go beyond the study of the products of art and study the artists. One goal of the anthropologist, he said, is to study art from the artist’s perspective.

Ruth Bunzel’s (1972 [1929]) research with Native American potters in the U.S. Southwest is a classic example of this focus. While undergoing training as an apprentice potter, she asked individual potters about their choices for pot designs. One Zuni (zoo-nee) potter commented, “I always know the whole design before I start to paint” (1972:49). A Laguna potter said, “I learned this design from my mother. I learned most of my designs from my mother” (1972:52). Bunzel discovered the importance of both individual agency and following tradition.

The social status of artists is another aspect of the focus on the artist. Artists may be revered and wealthy as individuals or as a group, or they may be stigmatized and economically marginal. In ancient Mexico, goldworkers were highly respected. In American Indian groups of the Pacific Northwest coast, male carvers and painters had to be initiated into a secret society, and they had higher status than other men. Often a gender division exists. Among the Navajo of Arizona, women weave and men do silversmithing. In the Caribbean, women of African descent are noted for their carvings of calabashes (large gourds). In the contemporary United States, most famous and successful graphic artists are men, although the profession includes many women. Depending on the genre, “race”/ethnicity/indigeneity is another factor shaping success as an artist.

As with other occupations, the performing arts are more specialized in state-level societies. Generally, among



Rap star LL Cool J hosts and performs at Wet Republic Ultra Pool grand opening day at MGM Grand Resort in Las Vegas, Nevada.

► Do your parents or grandparents know who LL Cool J is?

free-ranging foragers, little specialization exists. Artistic activity is open to all, and artistic products are shared equally by all. Some people may be especially appreciated as singers, storytellers, or carvers. With increasing social complexity and a market for art, specialized training is required to produce certain kinds of art, and the products are sought after by those who can afford them. Class differences in artistic styles and preferences emerge along with the increasingly complex division of labor.

MICROCULTURES, ART, AND POWER Art forms and styles, like language, are often associated with micro-cultural groups' identity and sense of pride. For example, the Berbers of highland Morocco are associated with woolen carpets, Maya Indians with woven and embroidered blouses, and the Inuit of Alaska with stone carvings of figurines. Cultural anthropologists provide many examples of linkages between various microcultural dimensions and power issues. In some instances, more powerful groups appropriate the art forms of less powerful groups. In others, forms of art are said to be expressive of resistance. One study reveals how nationalist interests in Israel result in co-opting Arab art and handicrafts.

An example of how gender relations play out in expressive culture comes from a study of male strip dancing in Florida (Margolis and Arnold 1993). Advertisements in the media tell women that seeing a male strip dancer is "their chance," "their night out." Going to a male strip show is marketed as a time of reversal of traditional gender roles in which men are dominant and women submissive. Are gender roles actually reversed in a male stripper bar? The short answer is no. Women customers are treated like juveniles. As they stand in line waiting for the show to open, the manager instructs them on how to tip. They are symbolically dominated by the dancers, who take on various roles such as lion tamers. The *dive-bomb* is further evidence of women's subordinate position. The dive-bomb is a form of tipping the dancer in which the woman customer gets on her hands and knees and tucks a bill held between her teeth into the dancer's g-string. The interpretation of all this behavior is that, rather than reversing the gender hierarchy, it reinforces it.

Not all forms of popular art and performance are mechanisms of social control and maintenance of hierarchies. In the United States, for example, hip-hop and urban Black youths' verbal arts and rap music can be seen as a form of protest through performance (Smitherman 1997). Their lyrics report on their experience of economic oppression, the danger of drugs, and men's disrespect for women. The global spread of

hip-hop and related music is another example of social resistance through song and performance.

Performance Arts

The performance arts include music, dance, theater, rhetoric (speechmaking), and narrative (storytelling). One important area has developed its own name: **ethnomusicology**, the cross-cultural study of music. Ethnomusicologists study a range of topics, including the form of the music itself, the social position of musicians, how music interacts with other domains of culture such as religion or healing, and change in musical traditions. This section provides examples about music and gender in Malaysia, music and globalization in Brazil, and theater and society in India.

MUSIC AND GENDER AMONG THE TEMIAR OF MALAYSIA

An important topic for ethnomusicologists is gender differences in access to performance roles in music. (For ideas about research on this topic, see Figure 11.1) A cultural materialist perspective would predict that in cultures where gender roles are quite egalitarian, access to and meanings in music will also be egalitarian for males and females. This is the case among the Temiar (tem-ee-yar), foragers of the highlands of peninsular Malaysia (see Map 6.3, page 124). Their musical traditions emphasize balance and complementarity between males and females (Roseman 1987).

If you were doing an ethnographic study of gender roles in musical performance, the following questions would be useful in starting the inquiry. But they would not exhaust the topic. Can you think of questions that should be added to the list?

1. Are men and women equally encouraged to use certain instruments and repertoires?
2. Is musical training available to all?
3. Do male and female repertoires overlap? If so, how, when, and for what reasons?
4. Are the performances of men and women public, private, or both? Are women and men allowed to perform together? In what circumstances?
5. Do members of the culture give equal value to the performances of men and women? On what criteria are these evaluations based, and are they the same for men and women performers?

Source: From "Power and Gender in the Musical Experiences of Women," pp. 224–225 by Carol E. Robertson in *Women and Music in Cross-Cultural Perspective*, ed. by Ellen Koskoff. Copyright © 1987. Reprinted by permission of the Greenwood Publishing Group, Inc. Westport, CT.

FIGURE 11.1 Five Ethnographic Questions about Gender and Music.

ethnomusicology the cross-cultural study of music.

theater a form of enactment, related to other forms such as dance, music, parades, competitive games and sports, and verbal art, that seeks to entertain through acting, movement, and sound.

Among the Temiar, kinship and marriage rules are flexible and open. Marriages are based on the mutual desires of the partners. Descent is bilineal (review Chapter 6), and marital residence follows no particular rule after a period of bride service. Marriages often end in separation, and serial monogamy is common. Men, however, do have a slight edge over women in political and ritual spheres. They are typically the village leaders, and they are the spirit mediums who sing the songs that energize the spirits.

Although the spirits enter the community through male singers, the male spirit-medium role is not of greater importance or status than a woman's performance role in singing choruses. The singing of the male spirit medium and the female chorus is blurred through overlap between phrases and repetition. The performance is one of general community participation, with integrated male and female roles, as in Temiar society in general.

COUNTRY MUSIC AND GLOBALIZATION IN BRAZIL Linguistic anthropologist Alexander Dent studies the growing popularity of *música sertaneja* (MOO-see-kah ser-tah-NAY-shah), Brazilian country music (2005). *Música sertaneja* draws heavily on U.S. country music, but it is significantly

localized within Brazilian contexts. Brazilian performers creatively use North American country music songs, such as “Achy Breaky Heart,” to convey messages about gender relationships, intimacy, the family, the past, and the importance of the countryside that make sense in the Brazilian context. In their performances and recordings, they use an American genre to critique American-driven processes such as extreme capitalism and globalization and to critique the Brazilian adoption of such Western ways.

A prominent feature of Brazilian country music is performance by a *dupla* (doo-plah), or two “brothers,” who may or may not be biological brothers. They emphasize their similarity by cutting their hair the same way and wearing similar clothes. Musically, they blend their voices, with neither voice dominating the other. When performing, they sing part of a song with their arms over each other's shoulders and gaze at each other affectionately. The *dupla* and their music emphasize kinship and caring as important aspects of Brazilian tradition that should be preserved.

THEATER AND MYTH IN SOUTH INDIA Theater is a type of enactment that seeks to entertain through movement and through words related to dance, music, parades,



(LEFT) Many forms of theater combine the use of facial makeup, masks, and costumes to transform an actor into someone (or something) else. This Kathakali dancer is applying makeup before a performance in Kerala, South India. (RIGHT) A new use for classical dance-drama in India is for raising social awareness about problems such as excessive dowries and female infanticide. Street theater groups go into neighborhoods and act out skits, drawing members of the audience into dialogue with them.

competitive games and sports, and verbal art (Beeman 1993). Cross-culturally, strong connections exist among myth, ritual, and performance.

One theatrical tradition that offers a blend of mythology, acting, and music is the Kathakali (kuh-tuh-kal-lee) ritual dance-drama of southern India (Zarrilli 1990). Stylized hand gestures, elaborate makeup, and costumes contribute to the attraction of these performances, which dramatize India's great Hindu epics, especially the *Mahabharata* (review Chapter 10) and the *Ramayana*. Costumes and makeup transform the actor into one of several well-known characters from Indian mythology. The audience easily recognizes the basic character types at their first entrance by the performers' costumes and makeup. Six types of makeup exist to depict characters ranging from the most refined to the most vulgar. Kings and heroes have green facial makeup, reflecting their refinement and moral uprightness. Vulgar characters are associated with black facial makeup and occasionally black beards. With their black faces dotted with red and white, they are the most frightening of the Kathakali characters.

Architecture and Decorative Arts

Like all art forms, architecture is interwoven with other aspects of culture. Architecture may reflect and protect social rank and class differences, as well as gender, age, and ethnic differences (Guidoni 1987). Decorative arts—including interior decoration of homes and buildings, and external design features such as gardens—likewise reflect people's social position and “taste.” Local cultures have long defined preferred standards in these areas of expression, but global influences from the West and elsewhere, such as Japan and other non-Western cultures, have been adopted and adapted by other traditions.

ARCHITECTURE AND INTERIOR DESIGN Foragers, being highly mobile, build dwellings as needed and then abandon them. (Refer to the photo on p. 49 of Ju/'hoansi shelter.) Having few personal possessions and no surplus goods, they need no permanent storage structures. The construction of dwellings does not require the efforts of groups larger than the family unit. Foragers' dwellings are an image of the family and not of the wider society. The dwellings' positioning in relation to each other reflects the relations among families.

More elaborate shelters and greater social cohesiveness in planning occur as foraging is combined with horticulture, as in the semipermanent settlements of the Amazon rainforest. People live in the settlement part of the year but break into smaller groups that spread out into a larger area for foraging. Important decisions concern how the site will fare with respect to the weather, the availability of drinking water, and defensibility. The central

plaza must be elevated for drainage and drainage channels dug around the hearths. The overall plan is circular. In some groups, separate shelters are built for extended family groups; in others, they are joined into a continuous circle with connected roofs. In some cases, the headman has a separate and larger shelter.

Pastoralists have designed ingenious portable structures, such as the North American teepee and the Mongolian *ger*, or *yert*. The teepee is a conical tent made with a framework of four wooden poles tied at the top with thongs, to which are joined other poles to complete the cone. This frame is then covered with buffalo hide. A *ger* is also a circular, portable dwelling, but its roof is flatter. The covering is made of cloth. This lightweight structure is easy to set up, take down, and transport, and it is adaptable to all weather conditions. Encampments are often arranged around the teepees or *gers* in several concentric circles. Social status was the structuring principle, and the council of chiefs and the head chief were located in the center.

With the development of the state, urban areas grew and showed the effects of centralized planning and power, for example, in grid-style planning of streets rather than haphazard placement. The symbolic demonstration of the power, grandeur, and identity of states was and is expressed architecturally through the construction of impressive urban monuments: temples, administrative buildings, memorials, and museums.

Interior decoration of domestic dwellings also became more elaborate. In settled agricultural communities and urban centers, where permanent housing is the norm, decoration is more likely to be found in homes. Wall paintings, sculptures, and other features distinguish the homes of wealthier individuals. Research on interior decoration in contemporary Japan involved studying the contents of home-decorating magazines and doing participant observation within homes (Rosenberger 1992). Findings reveal how people incorporate and localize selected aspects of Western decorating styles.

Home-decorating magazines target middle- and upper-class Japanese housewives who seek to express their status through new consumption styles. A trend is the abandonment of three features of traditional Japanese design: *tatami*, *shoji*, and *fusuma*. *Tatami* are 2-inch-thick mats that are about 3 feet wide and 6 feet long. A room's size is measured in terms of the number of *tatami* it holds. *Shoji* are the sliding screen doors of *tatami* rooms; one door is covered with glass and the other with translucent rice paper often printed with a design of leaves or waves. *Fusuma* are sliding wall panels made of thick paper; they are removable so that rooms can be enlarged for gatherings. The *tatami* room usually contains a low table in the center, with pillows for seating on the floor. A special alcove



(LEFT) The Duomo in Florence, Italy. The Duomo, or Cathedral of Santa Maria del Fiore, was begun in 1296. Its massive dome, designed by architect and sculptor Filippo Brunelleschi, was not completed until 1436. The goal was to surpass all other edifices in height and beauty. The Duomo still physically dominates the city of Florence and also attracts many tourists from around the world. (RIGHT) Burj Dubai, or Dubai Tower, in Dubai, United Arab Emirates, is currently the tallest building in the world. Its immense height signals the importance of Dubai in the modern world and, more generally, the success and prosperity of the Middle East.



may contain a flower arrangement, ancestors' pictures, and a Buddhist altar. Futons are stored in closets around the edges and brought out at night for sleeping.

In distancing themselves from the old style, "modern" Japanese housewives make several changes. The kitchen has a central rather than marginal location and is merged with a space called the DK (dining-kitchen) or LDK (living-dining-kitchen), with wood, tile, or carpeting on the floor. Western products such as carpeting and curtains (instead of the fusuma, the tatami, and shoji) are used to cover surfaces and to separate rooms. The LDK has a couch, a dining set, a VCR, a stereo, and an array of small items on display, such as Western-style teapots, cuckoo clocks, and knickknacks.

These design choices accompany deeper social changes that involve new aspirations about marriage and family

relationships. Home-decorating magazines promote the idea that the modern style brings with it happier children who earn better grades and closer husband-wife ties. Tensions exist, however, between these ideals and the realities of middle- and upper-class life in Japan. Women feel compelled to work either part time or full time to be able to contribute income for satisfying their new consumer needs in spite of societal pressure against careers and for devoting more time to domestic pursuits. Children are in the conflicting position of being indulged as new consumer targets even as the traditional value of self-discipline still holds. Husbands are in the conflicting position of needing to be more attentive to wife and home, whereas the corporate world calls them for a "7-11" working day. Last, the Western image of the happy nuclear family contains no plan for the aged. Only the wealthiest Japanese families manage to satisfy both

individualistic desires and filial duties because they can afford a large house in which they dedicate a separate floor for the husband's parents, complete with tatami mats. Less wealthy people have a more difficult time caring for their aged parents.

GARDENS AND FLOWERS Gardens for use, especially for food production, are differentiated from gardens for decorative purposes. The concept of the decorative garden is not a cultural universal. Circumpolar peoples cannot construct gardens in the snow, and highly mobile pastoralists have no gardens because they are on the move. The decorative garden is a product of state-level societies, especially in the Middle East, Europe, and Asia (Goody 1993). Within these contexts, variation exists in what are considered to be the appropriate contents and designs for gardens. A Japanese garden may contain no blooming flowers, focusing instead on the shape and placement of trees, shrubs, stones, and bodies of water.

Elite Muslim culture, with its core in the Middle East, has long been associated with formal decorative gardens. The Islamic garden pattern involves a square design with a symmetrical layout, fountains, waterways, and straight pathways, all enclosed within walls. A garden, enclosed with four walls, is symbolically equivalent to the concept of paradise. Islamic gardens often surround the tombs of prominent people. India's Taj Mahal, built by a Muslim emperor, follows this pattern, with one modification: The tomb is placed at one edge of the garden rather than in the center. The result is a dramatic stretch of fountains and flowers leading from the main gate to the monument.

The contents of a personal garden, like a dinner menu with all its special ingredients or a collection of souvenirs from around the world with all their memories and meanings, make a statement about its owner's identity and status. For example, in Europe during the height of colonialism, imperial gardens contained specimens from remote corners of the globe, collected through scientific expeditions. Such gardens were created through the collection and placement of plants from many parts of the world and are thus examples of what the French cultural theorist Michel Foucault refers to as a **heterotopia**, or something formed from elements drawn from multiple and diverse contexts (Foucault 1970). Heterotopias can be constructed in architecture, cuisine, dress, and more. In the case of the colonial European

gardens, the heterotopic message conveyed the owner's worldliness and intellectual status.

Cut flowers are now important economic products. They provide income for gardeners throughout the world, and they are also exchange items. In France, women receive flowers from men more than any other kind of gift (Goody 1993:316). In much of the world, special occasions require gifts of flowers: In the West, as well as in East Asia, funerals are times for displays of flowers. Ritual offerings to the deities in Hinduism are often flowers such as marigolds woven into a chain or necklace.

Flowers are prominent motifs in Western and Asian secular and sacred art, but less so in African art (Goody 1993). Some possible reasons for this variation include ecological and economic factors. Eurasia's more temperate environment possesses a greater variety of blooming plants than Africa's does. Also, sheer economic necessity in developing countries of Africa limits the amount of space that can be used for decorative purposes. In wealthy African kingdoms, prominent luxury goods include fabrics, gold ornaments, and wooden carvings rather than flowers. This pattern of production is changing with globalization, and many African countries now grow flowers for export to the world market.



Play, Leisure, and Culture

This section turns to the area of expressive culture related to what people do for "fun." It is impossible to draw a clear line between the concepts of *play* or *leisure* and art or performance, however, because they often overlap. For example, a person could paint watercolors in her leisure time, yet simultaneously be creating a work of art. In most cases, though, play and leisure can be distinguished from other activities (Huizinga, as summarized in Hutter 1996). In the case of play:

- it is an unnecessary activity
- it serves no direct utilitarian purpose for the participants
- it is limited in terms of time
- it has rules
- it may contain chance and tension

Leisure activities often overlap with play, but many leisure activities, such as reading or lying on a beach, would not be considered play because they lack rules, chance, and tension. Within the broad category of play and leisure activities, several subcategories exist, including varieties of games, hobbies, and recreational travel. Although play and leisure, as well as their subcategories, may be pursued from a nonutilitarian perspective, they are often situated in a wider context of commercial

THINKING OUTSIDE THE BOX

Think of some occasions in your cultural world in which cut flowers play a role, and what that role is.



The South African tradition of blowing the vuvuzela (voo-voo-zell-uh) prompted heated debate during the 2010 World Cup games. The French team said the noise distracted them, causing them to lose. No matter what, the vuvuzela gained instant global fame.

and political interests. Major international competitions are examples of such complexities. South Africa's hosting of the 2010 World Cup soccer games was an opportunity for it to demonstrate its position as a world leader. At the same time, the major advertising sponsors for the events were big-name American companies such as McDonald's, Coca-Cola, and Budweiser.

Cultural anthropologists study play and leisure within their cultural contexts as part of social systems. They ask, for example, why some leisure activities involve teams rather than individuals; what the social roles and statuses of people involved in particular activities are; what the goals of the games are and how those goals are achieved; how much danger or violence is involved; how certain activities are related to group identity; and how such activities link or separate different groups within or between societies or countries.

Games and Sports as a Cultural Microcosm

Games and sports, like religious rituals and festivals, can be interpreted as reflections of social relationships and cultural ideals. In Clifford Geertz's terms, they are both *models of a culture*, depicting basic ideals, and *models for a culture*, socializing people into certain values and ideals (1966). American football can be seen as a model for corporate culture in its clear hierarchy with leadership vested in one person (the

quarterback) and its goal of territorial expansion by taking over areas from the competition.

A comparison of baseball as played in the United States and in Japan reveals core values about social relationships in each country (Whiting 1979). The differences emerge clearly when U.S. players are hired by Japanese teams. The U.S. players bring with them an intense sense of individualism, which promotes the value of "doing your own thing." This pattern conflicts with a primary value that influences the playing style in Japan: **wa**, meaning discipline and self-sacrifice for the good of the group. In Japanese baseball, players must seek to achieve and maintain team harmony. Japanese baseball players have a negative view of extremely individualistic, egotistical plays and strategies.

SPORTS AND SPIRITUALITY: MALE WRESTLING IN INDIA

In many contexts, sports are closely tied to religion and spirituality. Asian martial arts, for example, require forms of concentration much like meditation, leading to spiritual self-control. Male wrestling in India, a popular form of entertainment at rural fairs and other public events, involves a strong link with spiritual development and asceticism (Alter 1992).

In some ways, these wrestlers are just like other members of Indian society. They go to work, and they marry and have families, but their dedication to wrestling involves important differences. A wrestler's daily routine is one of self-discipline. Every act—defecation, bathing, comportment, devotion—is integrated into a daily regimen of discipline. Wrestlers come to the *akhara* (AKH-uh-ruh), equivalent to a gymnasium, early in the morning for practice under the supervision of a guru or other senior *akhara* member. They practice moves with different partners for two to three hours. In the early evening, they return for more exercise. In all, a strong young wrestler will do around 2000 push-ups and 1000 deep-knee bends a day in sets of 50 to 100.

The wrestler's diet is strictly defined. Most wrestlers are mainly vegetarian. Although they avoid alcohol and tobacco, they do consume *bhang*, a beverage made of blended milk, spices, almonds, and concentrated marijuana. In addition to regular meals, wrestlers consume large quantities of milk, ghee (clarified butter), and almonds. These substances are sources of strength because, according to traditional dietary principles, they help to build up the body's semen.

Several aspects of the wrestler's life are similar to those of a Hindu *sannyasi* (sun-YAH-see) or holy man, who renounces

heterotopia something formed from elements drawn from multiple and diverse contexts.

wa a Japanese word meaning discipline and self-sacrifice for the good of the group.



Wrestlers in a village in northern India. They follow a rigorous regimen of dietary restrictions and exercise in order to keep their bodies and minds under control.

► Think of another sport that emphasizes dietary restrictions.

life in the normal world. The aspiring sannyasi studies under a guru and learns to follow a strict routine of discipline and meditation called yoga, and he adheres to a restricted diet to achieve control of the body and its life force. Both wrestler and sannyasi roles focus on discipline to achieve a controlled self. Therefore, in India, wrestling does not involve the “dumb jock” stereotype that it sometimes does in North America. Rather, wrestlers have respect because their sport requires perfected physical, spiritual, and moral health.

PLAY, PLEASURE, AND PAIN Many leisure activities combine pleasure and pain. Serious injuries can result from mountain climbing, horseback riding, or playing touch football in the backyard. A more intentionally dangerous category of sports is **blood sports**, competitions that explicitly seek to bring about a flow of blood or even death. Blood sports may involve human contestants, humans contesting against animal competitors, or animals or birds fighting other animals or birds (Donlon 1990). In the United States and Europe, professional boxing is an example of a popular blood sport that few, if any, anthropologists have studied so far. Cultural anthropologists have looked at the use of animals in blood sports such as cock-fights and bullfights. These sports are variously interpreted as providing sadistic pleasure, as offering vicarious self-validation (usually of males) through the triumph of their representative pit bulls or fighting cocks, and as the triumph of culture over nature in the symbolism of bullfighting.

Even the seemingly pleasurable leisure experience of a Turkish bath can involve discomfort and pain. One phase involves scrubbing the skin vigorously several times with a rough natural sponge, a pumice stone, or a piece of cork wood wrapped in cloth (Staats 1994). The scrubbing removes layers of dead skin and opens the pores so that the skin will be beautiful. In Turkey, an option for men is a massage that can be quite violent, involving deep probes of leg muscles, cracking of the back, and being walked on by the often weighty masseur. In Ukraine, being struck repeatedly on one’s bare skin with birch branches is the final stage of the bath. Violent scrubbing, scraping, and beating of the skin, along with radical temperature changes in the water, are combined with valued social interaction at the bathhouse.

Leisure Travel

Anthropologists who study leisure travel, or tourism, often comment that their research is dismissed as trivial and based on “hanging out” at beautiful beaches or at five-star hotels. Research on tourism, however, is just as challenging as the anthropological study of any other topic.

Tourism is one of the major economic forces in the world, and it has dramatic effects on people and places in tourist destination areas. A large percentage of worldwide tourism involves individuals from Europe, North America, and Japan traveling to less industrialized countries. Ethnic tourism, cultural tourism, and ecotourism are attracting increasing numbers of travelers. They are often marketed as providing a view of “authentic” cultures. Images of indigenous



Many international tourists seek “cultural tourism” so that they can participate in what is presented to them as a “traditional” cultural context. Safari tour groups in Africa, as in this visit to Maasailand, combine sightings of exotic wildlife and contact with Maasai people.

► Go to the Web to learn about cultural tourism opportunities among the Maasai.

THINKING OUTSIDE THE BOX

In your cultural world, what are some examples of leisure activities that combine pleasure and pain?

people figure prominently in travel brochures and advertisements (Bruner 2005).

Tourist promotional literature often presents a “myth” of other peoples and places and offers travel as a form of escape to a mythical land of wonder. Research on Western travel literature shows that, from the time of the earliest explorers to the present, it has been full of *primitivist* images about indigenous peoples who are portrayed as having static or “stone age” traditions, largely unchanged by the forces of Western colonialism, nationalism, economic development, and even tourism. Tourists often seek to find the culture that the tourist industry defines rather than gaining a genuine, more complicated, and perhaps less photogenic view of it. For the tourist, obtaining these desired cultural images through mass tourism involves packaging the “primitive” with the “modern” because most tourists want comfort and convenience along with their “authentic” experience. Thus, advertisements minimize the foreignness of the host country, noting, for example, that English is spoken and that the destination is remote, yet accessible, while simultaneously promoting primitivist and racist imagery.

The tensions involved in accurately presenting a cultural experience, sensationalism, and social stigma emerge clearly in research on tourism in the coal-mining region of Appalachia in Virginia (LaLone 2003). Mary LaLone pinpoints some of the challenges that arise in representing Appalachian culture with accuracy and dignity while at the same time responding to marketing demands of tourists. For example, in portraying people’s everyday lives, accuracy says that it is right to show people wearing shoes and using indoor plumbing, whereas tourists may expect and want to see displays of “hillbilly life” that emphasize poverty, shoelessness, outhouses, feuding, and “moonshining” (producing and consuming illicit alcohol). LaLone suggests that cultural anthropologists can help find a way toward the interpretation and presentation of a region’s heritage that provides a more complex view so that hosts retain their dignity, accuracy is maintained, and tourists learn more than they expected.

The anthropology of tourism has focused on the impact of global and local tourism on indigenous peoples and places. Such studies are important in exposing the degree to which tourism helps or harms local people and local ecosystems. For example, the formation of Amboseli National Park in Kenya prevented Maasai herders from accessing traditional water resources (Drake 1991). The project staff promised benefits (such as shares of the revenues from the park) to the Maasai if they stayed off the reserve, but most of the benefits never materialized. In contrast, in Costa Rica, local people were included in the early planning stages of the Guanacaste National Park (Map 11.1), and now they play a greater role in the park management system and share in some of the benefits.



High-end tourism in Costa Rica.



MAP 11.1 Costa Rica.

The Republic of Costa Rica was the first country in the world to constitutionally abolish its army, and it has largely escaped the violence that its neighbors have endured. Agriculture is the basis of the economy, with tourism—especially ecotourism—playing an increasing role. Most of the 4 million inhabitants of Costa Rica are descended from Spanish colonialists. Less than 3 percent are Afro-Costa Ricans, and less than 2 percent, or around 50,000, are indigenous people. Seventy-five percent of the people are Roman Catholic and 14 percent Protestant. The official language is Spanish.

blood sport a competition that explicitly seeks to bring about a flow of blood from, or even the death of, human–human contestants, human–animal contestants, or animal–animal contestants.

CULTURAMA

The Gullah of South Carolina

The Gullah (goo-luh) culture in South Carolina stretches along the coast, going inland about 30 miles (National Park Service 2005). The Gullah are descended from African slaves originating in West and Central Africa. In the early eighteenth century, Charleston, South Carolina, was the location of the largest slave market in British North America.

The enslaved people brought with them many forms of knowledge and practice. Rice was a central part of their African heritage and identity. They knew how to plant it in swamps, harvest it, and prepare it. Gullah ancestors in colonial South Carolina were influential in developing tidal irrigation methods of rice growing, using irrigation and management of the tides to increase yields compared with yields from rainfall-dependent plantings.

Experts at net fishing, the Gullah made handwoven nets that are masterpieces of folk art. Their textile arts include a form of quilting, or sewing strips of cloth together into a larger

piece. Gullah women combined their African quilting styles with those of Europeans to form new styles and patterns. Many quilts tell a story in their several panels.

Gullah cuisine combines African elements such as rice, yams, peas, okra, hot peppers, peanuts, watermelon, and sesame seeds with European ingredients and with American Indian foods such as corn, squash, tomatoes, and berries (2005). Popular dishes are stews of seafood and vegetables served over rice. Rice is the cornerstone of the meal, and the family rice pot is a treasured possession passed down over the generations.

Gullah culture in South Carolina has become a major tourist attraction, including music, crafts, and cuisine. If there is a single item that tourists identify with the Gullah, it is sweetgrass baskets. Basketmaking, once common among all Gullah people in South Carolina, is now a specialized activity. In South Carolina, it is thriving

in the Charleston area largely through a combination of tourist demand and the creativity of local artists. Both men and women “sew” the baskets. They sell them in shops in Charleston’s historic center and along Highway 17.

As the success of the basketmakers has grown and the popularity of the baskets increased, so too, has the need for sweetgrass. Sweetgrass baskets thus are a focal point of conflict between Gullah cultural producers and local economic developers who are destroying the land on which the sweetgrass grows. Because tourism in low-country South Carolina is increasingly dependent on cultural tourism, some planners are trying to find ways to devote land to growing sweetgrass.

The story of the Gullah of South Carolina begins with their rich African cultural heritage, through their suffering as slaves, to racism and social exclusion, and to their current situation in which their expressive culture is a key factor in the state economy.



(LEFT) Barbara Manigault, a Gullah artist, with her sweetgrass baskets in Mt. Pleasant, South Carolina.



(CENTER) Drummers at the Gullah Festival in Beaufort (byew-fert). The Festival celebrates the culture and accomplishments of the Gullah people.



MAP 11.2 The Gullah Region of South Carolina. The heartland of Gullah culture is in the low-country areas of South Carolina, Georgia, and Florida, and on the Sea Islands.

Local people with long-standing rights to land, water, and other resources often attempt to exercise agency and take an active role in transforming the effects of tourism to their advantage, designing and managing tourist projects (Miller 2009; Natcher, Davis, and Hickey 2005). The Gullah people of South Carolina are one such example (see Culturama on page 242). The last section of this chapter provides others.

Change in Expressive Culture

Forms and patterns of expressive culture are constantly in motion. Much change is influenced by Western culture through globalization, but influence does not occur in only one direction. African musical styles have transformed the U.S. musical scene since the days of slavery. Japan has exerted a strong influence on upper-class garden styles in the United States. Cultures in which tradition and conformity have been valued in pottery making, dress, or theater find themselves having to make choices about whether to innovate and, if so, how. Many contemporary artists (including musicians and playwrights) from Latin America to China are fusing ancient and “traditional” motifs and styles with more contemporary themes and messages.

Changes occur through the use of new materials and technology and through the incorporation of new ideas, tastes, and meanings. These changes often accompany other aspects of social change, such as colonialism and global tourism.

Colonialism and Syncretism

Western colonialism had dramatic effects on the expressive culture of indigenous peoples. In some instances, colonial disapproval of particular art forms and activities resulted in their extinction. For example, when colonialists banned head-hunting in various cultures, the change also meant that body decoration, weapon decoration, and other related expressive activities were abandoned. This section provides an example of how colonial repression of indigenous forms succeeded, but only temporarily.

In the Trobriand Islands of Papua New Guinea (Map 11.3, page 244), British administrators and missionaries sought to eradicate the frequent tribal warfare as part of a pacification process. One strategy was to replace it with intertribal competitive sports (Leach 1975). In 1903, a British missionary introduced the British game of cricket in the Trobriands as a way of promoting a new morality, separate from the warring traditions. As played in England, cricket involves particular rules of play and a proper look of pure white uniforms. In the early stages of the adoption of cricket in the Trobriands, the game followed the British pattern closely. As time passed and the game spread into more parts of the islands, it developed localized and syncretized versions.

Throughout the Trobriands, the islanders merged cricket into indigenous political competition between big-men (Foster 2006). Big-men leaders urged their followers to increase production in anticipation of a cricket match because matches were followed by a redistributive feast. (Review the discussion of moka in Chapter 8.) The British missionaries discouraged traditional magic in favor of Christian beliefs,



In the Trobriand Islands, British missionaries tried in the late nineteenth century to substitute their game of cricket for intertribal rivalries and warfare. It did not take long, however, for the Trobriand people to transform British rules and style to Trobriand ways.

► *If you wanted to watch a cricket match, what would be the closest place for you to go?*



MAP 11.3 Turkey.

Turkey straddles two continents, with most of its territory being in Asia. Its largest city, Istanbul, is located in the European part. The capital city, Ankara, is located in the Asian part, called Anatolia. Turkey's culture is a blend between East and West. Its population is over 70 million. Under the leadership of Mustafa Kamal Atatürk, a constitutional, representative political system was established in 1923, following the breakup of the Ottoman Empire after World War I. Turkish is the only official language of the country. It is also widely spoken in countries that were once part of the Ottoman Empire, including Albania, Bosnia-Herzegovina, the Republic of Macedonia, Greece, Romania, and Serbia. Over 2 million Turkish-speaking immigrants live in Germany. Islam is the overwhelmingly predominant religion of Turkey, with 99 percent of the people Muslim. Of these, 75 percent are Sunni, 20 percent Shia, and 5 percent Sufi. According to the constitution, Turkey is a secular state, so there is no official state religion. The most popular sport is football (soccer). The most serious internal security issue is the Kurdish quest for greater cultural autonomy and rights.

but the Trobriand Islanders brought war-related magic into cricket. For example, they used spells against opposing teams, and they decorated bats like war weapons. Weather magic was important, too. If things were not going well, a ritual specialist might use a spell to bring rain and force cancellation of the game.

Over time, the Trobrianders stopped wearing the crisp white uniforms and instead painted their bodies and adorned

material cultural heritage the sites, monuments, buildings, and movable objects considered to have outstanding value to humanity.

intangible cultural heritage UNESCO's view of culture as manifested in oral traditions, languages, performing arts, rituals and festive events, knowledge and practices about nature and the universe, and craftsmanship.



A belly dancer performing in Istanbul, Turkey. Belly dancing may have originated in Egypt. In Turkey, it is influenced by Egyptian styles and also by Roma traditions, because many prominent contemporary Turkish belly dancers are Roma. Turkish belly dancing is distinguished by its highly energetic and athletic style and the adept use of *zils*, or finger cymbals.

themselves with feathers and shells. The teams announced their entry into the host village with songs and dances, praising their team in contrast to the opposition. Syncretism is notable in team songs and dances, which draw on Western elements. An example is the famous entry song of the "P-K" team. (P-K is the name of a Western chewing gum.) The P-K team chose its name because the stickiness of gum is like the ability of their bat to hit the ball. Other teams incorporated sounds and motions of airplanes, objects that they first saw during World War II. The songs and dances were explicitly sexual and enjoyed by all, in spite of Christian missionary attempts to suppress the "immoral" aspects of Trobriand culture, which included sexual metaphors in songs about large yams and thrusting hip movements of the dancers among other things.

The Trobrianders also changed some of the rules of play. The home team should always win, but not by too many runs. In this way, guests show respect to the hosts. Winning is not the major goal. The feast after the match is the climax, in which hosts demonstrate their generosity to their guests, establishing the requirement for the next match and feast.

Tourism's Complex Effects

Global tourism has had varied effects on indigenous arts. Often, tourist demand for ethnic arts and souvenirs has led to the mass production of sculpture, woven goods, or jewelry of a lesser quality than was created before the demand. Tourists' interest in seeing an abbreviated form of traditionally long dance or theater performances has led to the presentation of

“cuts” rather than an entire piece. As a result, some scholars say that tourism leads to the decline in quality and authenticity of indigenous arts.

Tourist support for indigenous arts, however, is often the sole force maintaining them because local people in a particular culture may themselves be more interested in foreign music, art, or sports. Vietnamese water puppetry is an ancient performance mode, dating back at least to the Ly Dynasty of 1121 (Contreras 1995). Traditionally, water puppet shows took place in the spring during a lull in the farm work, or at special festival times. The stage for this performance art is either a small natural pond or an artificial water tank with a backdrop that hides the puppeteers from the audience. The puppeteers operate carved and painted wooden figures with bamboo poles, wires, and strings, making them appear to glide over the water on their own. Since the 1980s, water puppetry has grown in popularity among Vietnamese people and international tourists (Foley 2001). It has spread from its core area in the Red River Delta in the northern part of the country to being nationwide and from being a seasonal performance to being year-round.

An even more complicated situation exists in the growth of belly dancing as an essential touristic performance in Istanbul, Turkey (Potuoğlu-Cook 2006). International and Turkish tourists associate belly dancing with the Ottoman past, and it is increasingly available in various venues, including classical concerts, restaurants, and nightclubs in Istanbul and other major cities (see Map 11.3). In spite of Muslim values about female modesty, commercial interests are promoting this performance mode. Even middle-class housewives are taking belly-dancing lessons, a sign that a formerly stigmatized and lower-class activity is gentrifying. The rising popularity of belly dancing is evidence of Turkey’s growing cosmopolitanism.

One positive result of global tourism is the growing international and local support for the preservation of **material cultural heritage**, which includes sites, monuments and buildings, and movable objects considered of outstanding world value in terms of history, art, and science (Cernea 2001). UNESCO proposed the basic definition of material cultural heritage in 1972. Since then, several hundred locations worldwide have been placed on its World Heritage List and receive some financial support for preservation. Many other invaluable sites are lost, and will be lost in the future, through destructive engineering projects, urbanization, war, looting, private collecting, and climate change.

Applied anthropologists are involved in promoting improved stewardship of material cultural heritage. Some are motivated by a desire to preserve the record of humanity for

future generations or for science. Some know that studying how people interact with and perceive the value of a particular site reveals much about culture, identity, and belonging. For example, an ethnographic study of local Korean tourists who visit Changdoek Palace, a World Heritage Site in Seoul, South Korea, offers insights into its role in reinforcing Korean nationalism (Park 2010). Many tourists mention how visiting the site reinforced their sense of being Korean through bringing forth sentiments and feelings about their heritage, even among visitors who said they are not very nationalistic: “It makes my stomach churn to feel that I am naturally connected with this place. I am part of it and it is part of me” (2010:000).

Cultural heritage, both material sites and so-called intangible culture are also sources of local economic development, if managed correctly (see Anthropology Works).

In 2003, UNESCO ratified a new policy aimed at protecting **intangible cultural heritage**, or living heritage, manifested in oral traditions, languages, performing arts, rituals and festive events, knowledge and practices about nature and the universe, and craftmaking. Support for this policy is based on the understanding that intangible culture provides people with a sense of identity and continuity, promotes respect for cultural diversity and human creativity, is compatible with the promotion of human rights, and supports sustainable development. Through this initiative, member countries of the United Nations are asked to make lists of valuable forms of intangible culture and take steps to preserve them. This policy has stimulated discussion and debate among cultural anthropologists, who see culture as more than a list of traits—highly contextualized, always changing, and not amenable to being managed or preserved through policy mandates (Handler 2003).

Cultural anthropologists point to the fact that the preservation of expressive culture sometimes occurs as a form of resistance to outside development forces. One example of this phenomenon is the resurgence of the hula, a traditional Hawai’ian dance (Stillman 1996). Beginning in the early 1970s, the *Hawai’ian Renaissance* grew out of political protest, mainly against American colonialism. Hawai’ian youth began speaking out against encroaching development that was displacing indigenous people

THINKING
OUTSIDE
THE BOX

Explore at least three sites using UNESCO’s interactive map of World Heritage Sites at <http://whc.unesco.org/en/254> (Google Earth is required, but is available free). Follow up by looking at photographs for each site at <http://ourplaceworldheritage.com>.

ANTHROPOLOGY works

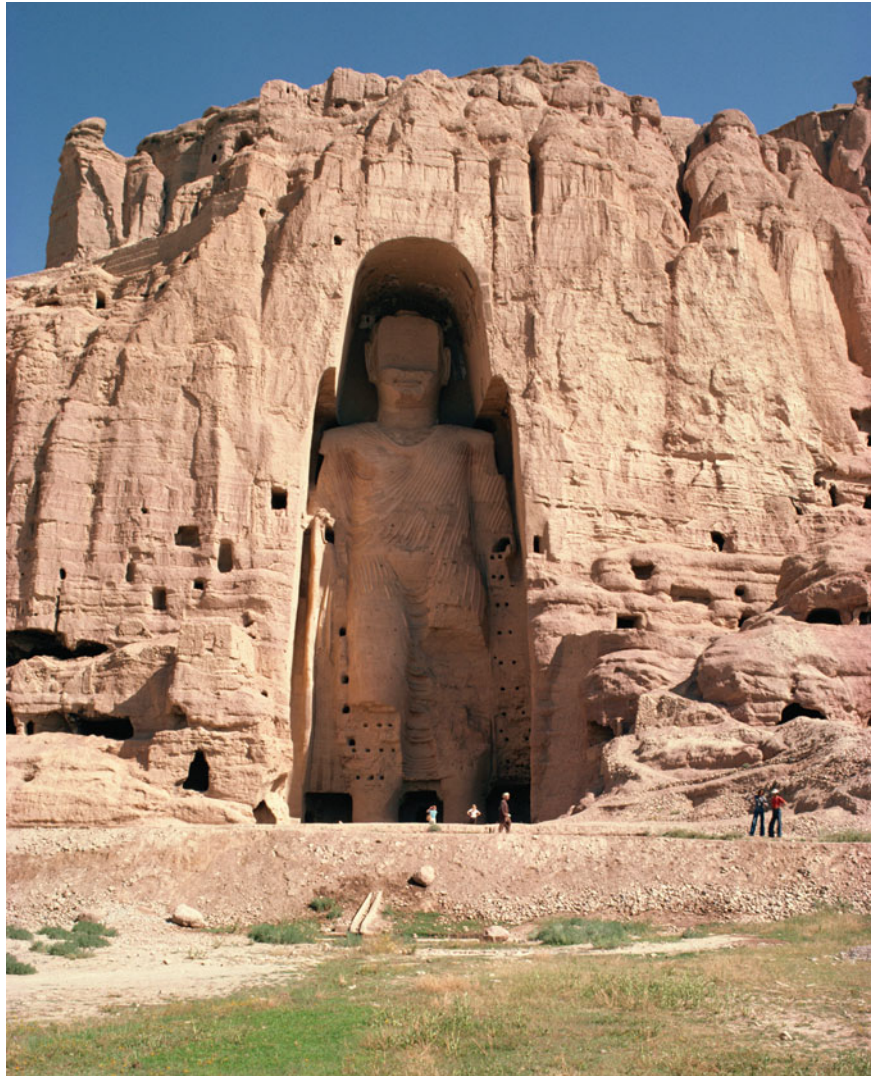
A Strategy on Cultural Heritage for the World Bank

With headquarters in Washington, DC, and offices throughout the world, the World Bank is an international organization funded by member nations that works to promote and finance economic development in poor countries. Even though most of its permanent professional staff members are economists, the Bank has begun to pay more attention to noneconomic factors that affect development projects.

A major move in that direction occurred in 1972 when the Bank hired its first anthropologist, Michael Cernea (CHAIR-nyuh). For three decades, Cernea has drawn attention to the cultural dimensions of development, especially in terms of the importance of local participation in development projects and people-centered approaches to resettlement (when, for example, large dams are being planned). He has worked to convince top officials at the World Bank that the Bank should become involved in supporting cultural heritage projects as potential pathways to development.

The World Bank already has in place a “do no harm” rule when it approves projects such as roads, dams, and mines. Cernea agrees that a “do no harm” rule is basic to preventing the outright destruction of cultural heritage, but he points out that it is a passive rule and does nothing to provide resources to preserve sites. Cernea wants the Bank to move beyond its “do no harm” rule. He has written a strategy that is active, not passive. It has two objectives:

- The World Bank should support cultural heritage projects that help to reduce local poverty by creating employment and generating capital from tourism.
- Projects should emphasize the educational value of preserving cultural heritage to local people and international visitors on the grounds that cultural understanding promotes goodwill and good relations at all levels—local, state, and international.



Bamiyan, Afghanistan, is a World Heritage site. But being a World Heritage Site does not provide protection from political violence. In 2001, the Taliban destroyed two monumental sculptures of the Buddha at Bamiyan including the statue shown here. Japan, Switzerland, and other countries are funding a project to rebuild the two Buddhas.

► *Learn about UNESCO’s declaration about intangible cultural heritage and consider what it may mean for the preservation of particular cultural forms.*

Cernea offers two suggestions for better management of cultural heritage projects: selectivity in site selection on the basis of the impact of the project on reducing poverty; and building partnerships for project planning and implementation among local, national, and international institutions.

FOOD FOR THOUGHT ▼

On the Internet, find the UNESCO World Heritage Site that is nearest to where you live. What does the site contain, and what can you learn about its potential role in generating income for the local people?

from their land and destroying their natural resources. They launched a concerted effort to revive the Hawai'ian language, the hula, and canoe paddling, among other things. Since then, hula schools have proliferated, and hula competitions among the islands are widely attended by local people and international tourists.

The 1990s saw the inauguration of the International Hula Festival in Honolulu, which attracts competitors from around the world. Although the hula competitions have helped ensure the survival of this ancient art form, some Hawai'ians voice concerns. First, they feel that allowing non-Hawai'ians to compete is compromising the quality of the dancing. Second, the format of the competition violates traditional rules of style and presentation, which require more time than is allowed, so important dances have to be cut. Third, for Hawai'ians, hula has close ties to religious beliefs and stories about the deities (Silva 2004). Performing hula in a mainly secular format is offensive to the gods and violates the true Hawai'ian way.

Another approach to preserving cultural heritage that is not top-down is “people-first” cultural heritage projects (Miller 2009). These are projects designed by the people whose culture is to be preserved—designed for their benefit and managed by them. A growing number of examples worldwide demonstrate the value of *people-first cultural heritage preservation* as having strong positive, measurable

effects. An example of people-first heritage preservation with implications for territorial entitlements and cultural survival is the Waanyi Women's History Project in Northern Queensland, Australia (Smith, Morgan, and van der Meer 2003). This is a case of a community-driven project devoted to archiving cultural heritage and to establishing local community management. The “community” is a group of Waanyi (waan-ye) women who value their family history as heritage. The traditional way of maintaining this heritage has been to pass it on verbally from mother to daughter. The women wanted to have a written record of their history and documentation of sites and places of significance to them. They hired an anthropologist consultant to collect and record their narratives. An interesting feature of the case, which contrasts with traditional academic research, is that the knowledge generated cannot be published. The role of the researcher is limited to supporting the aspirations of the Waanyi women.

The project generated new sources of cash income for some Waanyi women through their employment in the National Park as “cultural rangers” responsible for the conservation of women's sites. It thus helped reduce material deprivation and entitlement insecurity and offers a clear case of a locally initiated and locally controlled heritage project with financial benefits going to local people and not to outsiders.

11

the **BIG** questions REVISITED

✓ [Study and Review on myanthrolab.com

How is culture expressed through art?

Cultural anthropologists choose a broad definition of art that takes into account cross-cultural variations. From the anthropological perspective, all cultures have some form of art and a concept of what is good art.

Ethnographers document the ways in which art is related to many aspects of culture: economics, politics, human development and psychology, healing, social control, and entertainment. Art may serve to reinforce social patterns, and it may also be a vehicle of protest and resistance.

Anthropologists who study art examine it within its cultural context. To do this, anthropologists often become apprentices, learning how to make pots or play drums and, in that way, gaining both artistic skills and valuable insights into the culture of art, artists, the meanings of art, the role of the artist in society, and how art changes. A current trend is to examine how art and other forms of expressive culture are related to power issues and social inequality.

Various categories of art exist cross-culturally, and different cultures emphasize different forms. These categories include performance arts, architecture and decorative arts, graphic arts, and more.

What do play and leisure activities reveal about culture?

Anthropological studies of play and leisure examine these activities within their cultural contexts. Cultural anthropologists view games as cultural microcosms, both reflecting and reinforcing dominant social values. Sports and leisure activities, although engaged in for nonutilitarian purposes, are often tied to economic and political interests. In some contexts, sports are related to religion and spirituality.

Tourism is a rapidly growing part of the world economy with vast implications for culture. Anthropologists who study

tourism examine both its impact on local cultures and questions of authenticity in the touristic experience. Tourism companies often market “other” cultures to appeal to consumers, a phenomenon that perpetuates stereotypes and denigrates the “host” culture. Some cultural anthropologists work with the tourism industry and local people to find better ways of representing culture that are more accurate, less stigmatizing to the host culture, and more informative for tourists. Local groups are actively seeking ways to share in the benefits of large-scale tourism and conservation projects and to contribute to cultural and environmental sustainability.

How is expressive culture changing in contemporary times?

Major forces of change in expressive culture include Western colonialism, contemporary tourism, and globalization in general. As with other kinds of cultural change through contact, expressive culture may reject, adopt, or adapt new elements. Cultural resistance and syncretism are increasingly frequent, as exemplified in the Trobriand Islanders’ co-optation and recreation of cricket.

In some cases, outside forces have led to the extinction of local forms of expressive culture. In others, outside forces have promoted continuity or the recovery of practices that had been lost. The rising popularity of belly dancing among the middle and upper classes of Istanbul is partly inspired by the demand for its performance by international tourists. Resistance to colonialism and neocolonialism has often inspired cultural revitalization, as in the Hawai’ian Renaissance and community-designed projects in Australia.

UNESCO’s policies about the preservation of material cultural heritage and intangible cultural heritage are increasing worldwide attention to, and protection of, many sites and cultural practices. At the same time, tourism may have detrimental effects on the sustainability of a site and the vitality of a cultural practice. In contrast to international policies, many local indigenous groups are taking cultural rights into their own hands and trying to preserve and protect their heritage for themselves and their descendants, rather than for tourists.

KEY CONCEPTS

art, p. 231

blood sport, p. 241

ethno-aesthetics, p. 231

ethnomusicology, p. 234

expressive culture, p. 231

heterotopia, p. 239

intangible cultural

heritage, p. 244

material cultural heritage, p. 244

theater, p. 234

wa, p. 239

SUGGESTED READINGS

- Kathleen M. Adams. *Art as Politics: Re-Crafting Identities, Tourism, and Power in Tana Toraja, Indonesia*. Honolulu: University of Hawai'i Press, 2006. Adams explores the intersection of art, Christian-Muslim politics, and tourism in Sulawesi, Indonesia, using her ethnographic research that began in the 1980s.
- Eduardo Archetti. *Masculinities: Football, Polo, and the Tango in Argentina*. New York: Berg, 1999. An Argentinean anthropologist examines expressive culture in Buenos Aires and how it is related to elite tastes, gender, and international competitiveness.
- Edna G. Bay, ed. *Asen, Ancestors, and Vodun: Tracing Change in African Art*. Champaign-Urbana: University of Illinois Press, 2008. This book documents the rise and decline in Benin, West Africa, of the production of *asen*, metal art objects created to honor the spirits of ancestors and vodun deities.
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- Kevin K. Birth. *Bacchanalian Sentiments: Musical Experiences and Political Counterpoints in Trinidad*. Durham, NC: Duke University Press, 2008. The author explores links among several Trinidadian musical styles and political consciousness on the island.
- Tara Browner. *Heartbeat of the People: Music and Dance of the Northern Pow-Wow*. Urbana: University of Illinois Press, 2002. An ethnomusicologist of Choctaw heritage uses archival research on the pow-wow and participant observation to show how elements of the pow-wow in North America have changed.
- Shirley F. Campbell. *The Art of Kula*. New York: Berg, 2002. The author focuses on designs painted on kula canoes and finds that kula art and its associated male ideology linked to the sea competes with female ideology and symbolism linked to the earth.
- Michael Chibnik. *Carving Tradition: The Making and Marketing of Oaxacan Wood Carvings*. Austin: University of Texas Press, 2003. Chibnik examines the production of, and international trade in, Oaxacan wood carvings, an art form developed for tourists.
- Alaina Lemon. *Between Two Fires: Gypsy Performance and Romani Memory from Pushkin to Post-Socialism*. Durham, NC: Duke University Press, 2000. This book examines how theater in Moscow both liberates Roma in Russia and reinforces their status as stigmatized outsiders.
- Beverly B. Mack. *Muslim Women Sing: Hausa Popular Song*. CD included. Bloomington: Indiana University Press, 2004. This ethnography provides an intimate portrait of the life and art of Hausa women singers in northern Nigeria. It shows how Hausa women exercise agency and creativity through music and dance.
- Roger Magazine. *Golden and Blue Like My Heart: Masculinity, Youth, and Power among Soccer Fans in Mexico City*. Tucson: University of Arizona Press, 2007. This book is an ethnography of fan clubs devoted to the Pumas, one of the most popular soccer teams in Mexico City.
- Laura Miller. *Beauty Up: Selling and Consuming Body Aesthetics in Japan*. Berkeley: University of California Press, 2006. The author, a linguistic anthropologist, examines the diversity of Japanese personal beauty practices of both males and females. She links eyelid surgery, body hair removal, and beauty products to a wider context of body esthetics.
- Mwenda Ntarangwi. *East African Hip-Hop: Youth Culture and Globalization*. Champaign-Urbana: University of Illinois Press, 2009. Cross-cultural exchange of hip-hop music among Kenya, Uganda, and Tanzania is creating a rich blend of music shaped by youth culture.

 Read the Document on myanthrolab.com


- Allan Abramson and Robert Fletcher. 2007. Recreating the Vertical: Rock-Climbing as Epic and Deep Eco-Play. *Anthropology Today* 23(6):3–7. The authors explore why vertical rock-climbing is so compelling to those who do it and how risky rock-climbing practices interact with pleasure.
- David Hume. 2008. The Development of Tourist Art and Souvenirs—The Arc of the Boomerang: From Hunting, Fighting and Ceremony to Tourist Souvenir. *International Journal of Tourism Research* 11(1):55–70. How does the boomerang, a functional tool,


become a highly sought-after tourist item? The author examines this and other questions about how everyday things become souvenirs.


- Carolyn Jones, Felicity Baker, and Toni Day. 2004. From Healing Rituals to Music Therapy: Bridging the Cultural Divide between Sudanese Refugees. *The Arts in Psychotherapy* 31(2):89–100. This article examines how a school in the United States encourages non-English speaking immigrant students to explore and express their feelings through music and talking about song lyrics.



the **BIG** questions

 What are the major categories of migration?

 What are examples of the new immigrants in the United States and Canada?

 How do anthropologists contribute to migration policies and programs?

PEOPLE ON THE MOVE

12

◀ The Marsh Arab people of southern Iraq, who are Shia Muslims, suffered from political repression under Saddam Hussein. He ordered the draining of the marshes to drive out the Marsh Arabs. Many have now returned, and the marshes are being restored.

OUTLINE

Categories of Migration

Critical Thinking: Haitian Cane Cutters in the Dominican Republic: Structure or Agency?

Culturama: The Maya of Guatemala

The New Immigrants to the United States and Canada

Migration Policies and Programs in a Globalizing World

Anthropology Works: Mapping African Pastoralists' Movements for Risk Assessment and Service Delivery

The current generation of North American youth will move more times during their lives than previous generations did. College graduates are likely to change jobs an average of eight times during their careers, and these changes are likely to require relocation.

Environmental, economic, familial, and political factors are causing population movements worldwide at seemingly all-time high levels. Research in anthropology shows, however, that frequent moves during a person's life and mass movements have occurred throughout human evolution. Foragers, horticulturalists, and pastoralists relocate frequently as a normal part of their lives.

Migration is the movement of a person or people from one place to another. Its causes are linked to basic aspects of life, such as providing for one's food or for marriage. It often has profound effects on a person's economic and social status, for better or worse, as well as on health, language, religious identity, and education.

Thus, migration is of great interest to many academics and many professions. Historians, economists, political scientists, sociologists, and scholars of religion, literature, art, and music have studied migration. The professions of law, medicine, education, business, architecture, urban planning, public administration, and social work have specialties that focus on the process of migration and the period of adaptation following a move. Experts working in these areas share with anthropologists an interest in such issues as the kinds of people who migrate, causes of migration, processes of migration, health and psychosocial adaptation to new locations, and implications for planning and policy.

Cultural anthropologists do research on many issues related to migration. They study how migration is related to



Chinese Canadians live mainly in urban areas such as Vancouver and Toronto. In Vancouver, they constitute about 16 percent of the population. Vancouver's Chinatown is a vibrant tourist site and a place where Chinese Canadians reaffirm their cultural heritage, as in the celebration, shown here, of Chinese New Year.

► *When does Chinese New Year take place, and how is the date determined?*

economic and reproductive systems, health and human development over the life cycle, marriage and household formation, politics and social order, and religion and expressive culture. Because migration affects all areas of human life, it pulls together the material in preceding chapters of this book.

Three tendencies characterize research on migration in cultural anthropology:

- Fieldwork experience in more than one location in order to understand the places of origin and destination.
- The combination of macro- and microperspectives. Studying migration challenges the traditional fieldwork focus on one village or neighborhood, creating the need to take into account national and global economic, political, and social forces.
- Involvement in applied work. Many opportunities exist for anthropologists to contribute their knowledge to address the situation of people forced to move by war, environmental destruction, and development projects such as dams.

This chapter first presents information on the most important categories of migrants and the opportunities and challenges they face. The second section provides descriptions of several examples of immigrants to the United States and Canada. The last section considers urgent issues related to migration, such as human rights and risk prevention programs.

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Categories of Migration

Migration and its effects on people come in many forms. Both vary in terms of the distance involved, the purpose of the move, whether the move was forced or a matter of choice, and the migrant's status in the new destination. Microcultures play an important role in migration and its consequences for the migrant, as the rest of this chapter will document.

Categories Based on Spatial Boundaries

This section reviews the basic features of three categories of population movement defined in terms of the spatial boundaries crossed:

- **Internal migration**, movement within country boundaries
- **International migration**, movement across country boundaries
- **Transnational migration**, movement in which a person regularly moves back and forth between two or more countries and forms a new cultural identity transcending a single geopolitical unit



An Iraqi girl carries her sister at a camp for internally displaced persons (IDPs) near Falluja in 2004. Iraq has the largest number of IDPs of any country in the world. Some IDPs live in the same city but in a different neighborhood because they fear their former neighbors.

INTERNAL MIGRATION Rural-to-urban migration was the dominant form of internal population movements in most countries during the twentieth century. A major reason that people migrate to urban areas is the availability of work. According to the **push-pull theory** of labor migration, rural areas are unable to support population growth and rising expectations about the quality of life (*the push factor*). Cities (*the pull factor*), in contrast, attract people, especially youths, for employment and lifestyle reasons. According to this theory, rural people weigh the costs and benefits of rural versus urban life and then decide to go or stay. The theory is related to the approach in anthropology that emphasizes human agency, or choice (review Chapter 1). Many instances of urban migration, however, are shaped by structural forces that are beyond the control of the individual, such as war or poverty.

INTERNATIONAL MIGRATION International migration has grown in volume and significance since 1945 and especially since the mid-1980s. Around 100 million people, or nearly 2 percent of the world's population, including legal and undocumented immigrants, live outside their home countries. Migrants who move for work-related reasons constitute most of the people in this category. The driving forces behind the trend are economic and political changes that affect labor demands and human welfare (see Critical Thinking).

The major destination countries of early international immigration were the United States, Canada, Australia, New

Zealand, and Argentina. The immigration policies that these countries applied in the early twentieth century are labeled “White immigration” because they explicitly limited non-White immigration (Ongley 1995). In the 1960s, Canada made its immigration policies less racially discriminatory and more focused on skills and experience. The “White Australia” policy formally ended in 1973. In both the Canadian and Australian cases, a combination of changing labor needs and interest in improving those countries’ international image prompted the reforms.

During the 1980s and 1990s, the United States, Canada, and Australia experienced large-scale immigration from new sources, especially from Asia, and—to the United States—from Latin America and the Caribbean. These trends continue in the twenty-first century.

The earlier classic areas of outmigration—northern, western, and southern Europe—are now, instead, receiving many immigrants, including refugees from Africa and the Middle East. International migration flows in the Middle East are complex, with some countries, such as Turkey, experiencing substantial movements both in and out. Millions of Turkish people immigrated to Germany in the later decades of the twentieth century. Turkey, in turn, has received many Iraqi and Iranian Kurdish refugees (review Culturama, Chapter 8, page 176). Over 2 million Palestinian refugees and their descendants live in Jordan and Lebanon. Israel has attracted Jewish immigrants from Europe, northern Africa, the United States, and Russia.

TRANSNATIONAL MIGRATION Transnational migration is increasing along with other aspects of globalization. It is important to recall, however, that rising rates of transnational migration are related to the creation of state boundaries in recent centuries. Pastoralists with extensive seasonal herding routes were “transnational” migrants long before state boundaries cut across their pathways.

Much contemporary transnational migration is motivated by economic factors. The spread of the global corporate economy is the basis for the growth of one category of transnational migrants nicknamed “astronauts”: businesspeople (mainly men) who spend most of their time flying among different cities as investment bankers or corporate executives. At the lower end of the income scale are transnational migrant laborers, who spend

migration movement from one place to another.

internal migration movement within country boundaries.

international migration movement across country boundaries.

transnational migration regular movement of a person between two or more countries resulting in a new cultural identity.

push-pull theory an explanation for rural-to-urban migration that emphasizes people's incentives to move because of a lack of opportunity in rural areas (the “push”) compared with urban areas (the “pull”).

CRITICAL thinking

Haitian Cane Cutters in the Dominican Republic: Structure or Agency?

The circulation of male labor from villages in Haiti (see Map 13.3, p. 279) to work on sugar estates in the neighboring Dominican Republic is the oldest and perhaps largest continuing population movement within the Caribbean region (Martínez 1996). Beginning in the early twentieth century, Dominican sugarcane growers began to recruit Haitian workers, called **braceros** (bruh-SARE-ohs) in Spanish—agricultural laborers permitted entry to a country to work for a limited time. Between 1852 and 1986, an agreement between the two countries' governments regulated and organized the labor recruitment. Since then, recruitment has become a private matter, with men crossing the border on their own or with recruiters working in Haiti without official approval.

Many studies and reports have addressed this system of labor migration. Two competing perspectives exist:

- View 1, the structuralist position: The bracero system is neo-slavery and a clear violation of human rights.
- View 2, the human agency position: Braceros are not slaves because they migrate voluntarily.

View 1

Supporters of this position point to interviews with Haitian braceros in the Dominican Republic that indicate, they say, a consistent pattern of labor rights abuses. Haitian recruiters approach poor men, and boys as young as 7 years old, and promise them easy, well-paid employment in the Dominican Republic. Those who agree to go are taken to the frontier on foot and then either transported directly to a sugar

estate in the Dominican Republic or turned over to Dominican soldiers for a fee for each recruit and then passed on to the sugar estate. Once there, the workers are given only one option for survival: cutting sugarcane, for which even the most experienced workers can earn only about US\$2 a day. Working and living conditions on the estates are bad. The cane cutters are coerced into working even if they are ill, and working hours start before dawn and extend into the night. Many estate owners prevent Haitian laborers from leaving by having armed guards patrol the estate grounds at night. Many of the workers say that they cannot save enough from their meager wages to return home.

View 2

According to this view, reports of coercion are greatly exaggerated and miss the point that most Haitian labor

substantial amounts of time working in different places and whose movements depend on the demand for their labor.

An important feature of transnational migration is how it affects a migrant's identity, sense of citizenship, and entitlements. Constant movement weakens the sense of having one home and promotes instead a sense of belonging to a community of similar transnational migrants whose lives "in between" take on a new cultural reality.

As a response to the increased rate of transnational migration and the growth of overseas diaspora populations (review the definition in Chapter 7), many "sending" countries (countries that are the source of emigrants, or people who leave) are redefining themselves as *transnational countries*. A transnational country is a country with a substantial proportion of its population living outside the country's boundaries (Glick Schiller and Fouron 1999). Examples are Haiti, Colombia, Mexico, Brazil, the Dominican Republic, Portugal, Greece, and the Philippines. These countries grant continuing citizenship to emigrants and their descendants in order to foster a sense of belonging and willingness to continue to send **remittances**, or transfers of money or goods from a migrant to his or her family back home. Remittances are an increasingly large, though difficult to quantify, proportion of the global economy and often a large part of a country's economy. For example, at least 60 percent of the

gross domestic product of the small Pacific island country of Tonga comes from remittances from members of the Tongan diaspora (Lee 2003:32) (see Map 12.1 on p. 256). India is the country that receives the largest total amount of money through remittances.

Categories Based on Reason for Moving

Migrants are also categorized on the basis of their reason for relocating. The spatial categories just discussed overlap with the categories based on reason. An international migrant, for example, may also be a person who moved for employment reasons. Migrants experience different kinds of spatial change and, at the same time, have various reasons for moving.

LABOR MIGRANTS Many thousands of people migrate each year to work for a specific length of time. They do not intend to establish permanent residence and are often explicitly barred from doing so. This form of migration, when legally contracted, is called *wage labor migration*. The period of work may be brief, or it may last several years.

Asian women are the fastest-growing category among the world's more than 35 million migrant workers (<http://www.ilo.org>). More than 1.5 million Asian women are working



A Haitian migrant laborer. It is a matter of debate how much choice such a laborer has regarding whether he will migrate to the neighboring Dominican Republic for short-term work cutting cane, given the fact that he cannot find paid work in Haiti.

migrants cross the border of their own volition. On the basis of his fieldwork in Haiti, cultural anthropologist Samuel Martínez comments that “Recruitment by force in Haiti seems virtually unheard of. On the contrary, if this is a system of slavery, it may be the first in history to turn away potential recruits” (1996:20). Some recruits have even paid bribes to recruiters in order to be hired. Most people, even young people, are aware of the terrible working conditions in the Dominican Republic, so they are making an informed choice when they decide to migrate. Repeat migration is common and is further evidence of free choice. The major means of maintaining labor discipline and productivity on the sugar estates is not force but wage incentives, especially piecework. The life histories of braceros show that many of them move from one estate to another, thus discrediting the view that the estates are “concentration camps.”

Martínez does, however, raise the issue of how free the “choice” to

migrate to the Dominican Republic really is, given the extreme poverty in which many Haitians live. In Haiti, few work opportunities exist, and the prevailing wage for rural workers is US\$1 a day. Thus, the poor are not truly free to choose to work in their home country: Labor migration to the Dominican Republic becomes a necessity.

In this view, what looks like a free choice to participate in the bracero system is actually “illusory” or structured choice. It is based on the unavailability of the option to work for a decent wage in Haiti and on the forced, or structured, choice to work in the Dominican Republic.

CRITICAL THINKING QUESTIONS ▼

- What are the comparative strengths of View 1 and View 2?
- What does each perspective support in terms of policy recommendations?
- How does the concept of structured choice change those policy recommendations?

abroad. Most are in domestic service jobs, and some work as nurses and teachers. Major sending countries are Indonesia, the Philippines, Sri Lanka, and Thailand. Main receiving countries are Saudi Arabia and Kuwait, and, to a lesser degree, Hong Kong, Japan, Taiwan, Singapore, Malaysia, and Brunei. Such women are usually alone and typically are not allowed to marry or have children in the countries where they are temporary workers. They are sometimes illegally recruited and have no legal protection against unjust working conditions.

Circular migration is a regular pattern of population movement between two or more places. It may occur within or between countries. Internal circular migrants include, for example, female domestic workers throughout Latin America and the Caribbean. These women have their permanent residences in rural areas, but they work for long periods for better-off people in the cities. They may leave their children in the care of grandparents in the country, sending remittances for the children’s support.

DISPLACED PERSONS Displaced persons are people who are evicted from their homes, communities, or countries and forced to move elsewhere (Guggenheim and Cernea 1993). Colonialism, slavery, war, persecution, natural disasters, and large-scale mining and dam building are major causes of population displacement. A 2010 report stated that nearly

44 million people worldwide were displaced from their homes due to conflict or persecution (UNHCR 2010).

Refugees are internationally displaced persons. Many refugees are forced to relocate because they are victims or potential victims of persecution on the basis of their race, religion, nationality, ethnicity, gender, or political views (Camino and Krulfeld 1994). Refugees constitute a large and growing category of displaced persons. An accurate count of all refugees globally is unavailable, but it is about 16 million people, meaning that about 1 of every 500 people is a refugee (UNHCR 2008). Eighty percent of the world’s refugees are sheltered in poor countries: Pakistan, Iran, and Syria house three million refugees (UNHCR 2010). Around one-fourth of the world’s refugees are Palestinians.

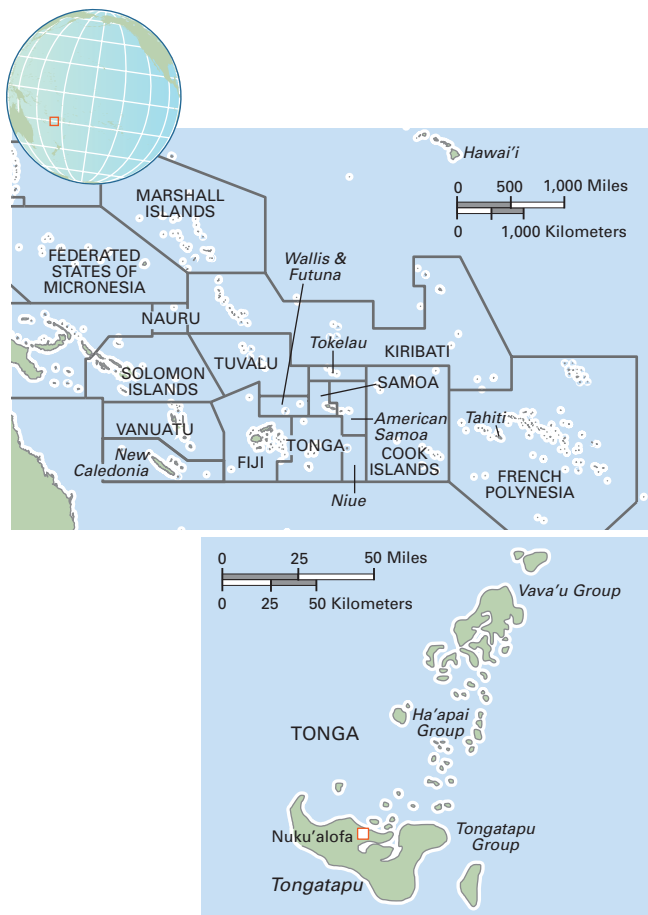
bracero an agricultural laborer in Latin America and the Caribbean who is permitted entry to a country to work for a limited time.

remittance the transfer of money or goods by a migrant to his or her family in the country of origin.

circular migration repeated movement between two or more places, either within or between countries.

displaced person someone who is forced to leave his or her home, community, or country.

refugee someone who is forced to leave his or her home, community, or country.



MAP 12.1 Tonga.

The Kingdom of Tonga is an archipelago of 169 islands, nicknamed by Captain Cook as the Friendly Islands on the basis of his reception there. A constitutional monarchy, Tonga has great reverence for its king, stemming from a tradition of the sacred paramount chief. The current king, who has reigned since 1965, is Taufa'ahau Tupou IV. Before him, Queen Salote Tupou II reigned from 1918 to 1965. The population is around 113,000, with two-thirds living on the main island, Tongatapu. Rural Tongans are small-scale farmers. Most Tongans are ethnically Polynesian, and Christianity is by far the dominant religion. Languages are Tongan and English. Many Tongans have emigrated, and remittances are a major part of the economy.

Women and children, who form the bulk of refugees, are vulnerable to abuse in refugee camps, including rape and trading sex for food (Martin 2005). Some case studies, however, shed a more positive light on the refugee experience. Many refugee women from El Salvador, for example, learned to read

internally displaced person (IDP) someone who is forced to leave his or her home or community but who remains in the same country.

development-induced displacement the forced migration of a population due to development.

institutional migrant someone who moves into a social institution either voluntarily or involuntarily.

and write in the camps and found positive role models in the humanitarian aid workers and their vision of social equality (Burton 2004).

Internally displaced persons (IDPs) are people who are forced to leave their homes and communities but who remain within their country. They are the fastest-growing category of displaced people, with an estimated number of total IDPs worldwide of 51 million people (UNHCR 2008), or about one of every 250 people displaced worldwide. Africa is the continent with the most IDPs. Within Africa, Sudan (see Map 13.6, page 285) has the highest number, estimated at 2.7 million people. Iraq has an estimated 2.8 million IDPs, making it the country with the largest number of IDPs. Following the devastating earthquake in 2010, Haiti had around 1.5 million IDPs out of a total population of around 10 million. Thus 15 percent of its population was displaced, or one of every seven Haitians.

Many IDPs, like refugees, live for extended periods in makeshift housing or refugee camps with limited access to basic amenities such as latrines, health care, and schools. Because IDPs do not cross country boundaries, they are not under the purview of the United Nations or other international bodies, which have no authority over problems within countries. Several social activists have taken up the cause of IDPs and worked to raise international awareness of the immensity of the problem including the formal definition of IDPs.

Political violence and conflicts over access to critical resources are major causes of people becoming IDPs. But other factors come into play as well, including natural disasters and large-scale development projects (discussed in Chapter 13). Dam construction, mining, and other projects have displaced millions in the past several decades. Dam construction alone is estimated to have displaced around 80 million people since 1950 (Worldwatch Institute 2003). Forced migration due to development projects is called **development-induced displacement**. Depending on a country's policy, people displaced by development may or may not be compensated financially for the loss of their homes and homeland. Even with monetary compensation, it is rarely possible to replace the life one had and the local knowledge that made life livable.

Mega-dam projects, or dam construction projects that involve costs in the billions of dollars and affect massive areas of land and huge numbers of people, are now attracting the attention of concerned people worldwide who support local resistance to massive population displacement. One of the most notorious cases is India's construction of a series of high dams in its Narmada River Valley, which cuts across the middle of the country from the west coast. This project involves relocating hundreds of thousands of people. The relocation is against the residents' wishes, and government compensation



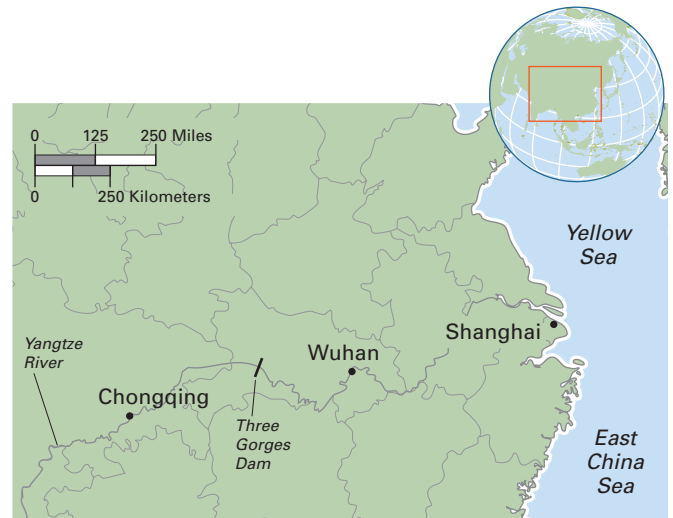
Scene of a flood-triggered landslide in the Three Gorges Dam region in 2010. The flooding crushed buildings and left 21 people missing. The Three Gorges Dam project is the largest dam project in the world. Since it began operations in 2008, thousands of people in the affected areas have experienced landslides.

► Do research so that you can present a five-minute oral report to your class on the social and environmental implications of the Three Gorges Dam.

for the loss of their homes, land, and livelihood is inadequate. Thousands of people in the Narmada Valley have organized protests over the many years of construction, and international environmental organizations have lent support. Celebrated Indian novelist Arundhati Roy joined the cause by learning everything she could about the 20 years of government planning for the Narmada dam projects, interviewing people who have been relocated, and writing a passionate statement called *The Cost of Living* (1999) in opposition to the project. In her book, a man who was displaced and living in a barren resettlement area tells how he used to pick 48 kinds of fruit in the forest. In the resettlement area, he and his family have to purchase all their food, and they cannot afford to eat any fruit at all.

Governments promote mega-dam projects as important to the general welfare of the country. The uncalculated costs, however, are high for the local people who are displaced. In fact, the benefits are skewed toward corporate profits, energy for industrial plants, and energy and water for urban consumers who can pay. In China, the Three Gorges Dam project (Map 12.2), which was completed in 2006, displaced around 1.3 million people. While the government offered financial and other forms of compensation, its efforts have not been sufficient to prevent widespread dissatisfaction and depression (Xi and Hwang 2011).

The manner in which displaced persons are relocated affects how well they will adjust to their new life. Displaced persons in general have little choice about when and where they move, and refugees typically have the least choice of all. The Maya people of Guatemala suffered horribly during years of state violence and genocide. Many became refugees,



MAP 12.2 Site of Three Gorges Dam in China.

The Three Gorges Dam, the world's biggest dam, is one of several mega-projects that are transforming China's environment. The dam created a vast reservoir upstream to Chongqing. Engineers praise the dam for controlling annual flooding of the Yangtze River. Economists point to its ability to generate immense amounts of power. Environmentalists describe the downsides, which include the decline of fish species, destabilizing slopes, and eroding islands in the Yangtze River delta. Cultural anthropologists are concerned about the fate of the 1.3 million people who had to migrate from their cities and villages, and the loss of rural farming livelihoods as farmland went under water. Archaeologists decry the destruction of unknown numbers of prehistoric and historic sites. Others mourn the loss of one of the most beautiful places in the world, with its "gumdrop" mountains and breathtaking vistas that inspired artists for centuries.

relocating to Mexico and the United States. Others fit into the category of internally displaced persons (see Culturama).

Cultural anthropologists have done substantial research on refugee populations, especially those affected by war and other forms of violence and terror (Camino and Krulfeld 1994; Hirschon 1989; Manz 2004). They have discovered some key factors that ease or increase relocation stresses. One critical factor is the extent to which the new location resembles or differs from the home place in features such as climate, language, and food (Muecke 1987). Generally, the more different the places of origin and destination are, the greater are the adaptational demands and stress. Other key factors are the refugee's ability to get a job commensurate with his or her training and experience, the presence of family members, and whether people in the new location are welcoming or hostile to the refugees.

INSTITUTIONAL MIGRANTS Institutional migrants are people who move into a social institution, either voluntarily or involuntarily. They include monks and nuns, the elderly, prisoners, boarding school or college students, and

CULTURAMA

The Maya of Guatemala

The term *Maya* refers to a diverse range of indigenous people who share elements of a common culture and speak varieties of the Mayan language. (Note: The adjective includes a final *n* only when referring to the language.) Most Maya people live in Mexico and Guatemala, with smaller populations in Belize and the western parts of Honduras and El Salvador. Their total population in Mexico and Central America is about 6 million.

In Guatemala, the Maya live mainly in the western highlands. The Spanish treated the Maya as subservient, exploited their labor, and took their land. Descendants of a formerly rich and powerful civilization, most Maya now live in poverty and lack basic human rights.

The Maya in Guatemala suffered years of genocide during the country's 36-year civil war, when about 200,000 Maya "disappeared" and were brutally murdered by

government military forces (Manz 2004). Many more were forcibly displaced from their homeland, and today around 250,000 Maya live as IDPs (Fitigu 2005). Thousands left the country as refugees, fleeing to Mexico and the United States.

Beatriz Manz tells a chilling story of one group of K'iche' Maya and their struggle to survive during the war (2004). Manz began her fieldwork in 1973 among the Maya living in the rural areas near the highland town of Santa Cruz del Quiché in the province of El Quiché. The Maya farmed small plots, growing maize and other food items, but found it increasingly difficult to grow enough food for their families. An American Catholic priest came to them with an idea for a new settlement, over the mountains to the east, in Santa María Tzejá.

Several Maya from the highlands decided to establish a new village. They divided land into equal-size plots

so that everyone had enough to support their families. Over time, more settlers came from the highland village. They cleared land for houses, farms, workshops, and a school.

In the late 1970s, their lives were increasingly under surveillance by the Guatemalan military, who suspected the village of harboring insurgents. In the early 1980s, the military began taking village men away. These men were never seen alive again. In 1982, a brutal attack left the village in flames and survivors fleeing into the jungle. Some went to Mexico, where they lived in exile for years, and others migrated as refugees to the United States. The peace accords of 1996 officially ended the bloodshed. Many of the villagers returned and began to rebuild.

Thanks to Beatriz Manz, University of California at Berkeley, for reviewing this material.



(LEFT) Maya women pray in a church 55 miles southeast of Guatemala City in 2003. The coffins contain the remains of the victims of a 1982 massacre inside the church.



(CENTER) Maya women are active in market trade.



MAP 12.3 Guatemala. Within the Republic of Guatemala, the Maya constitute about 40 percent of the country's population of 14.6 million.



A new platoon of U.S. Army troops are briefed on arrival at Jaghatu Combat Outpost, Afghanistan. They are entering a life and death situation in the combat zone from which they will never fully exit psychologically.

people serving in the military. The following material is about military migrants.

Anthropologists have published little about the effects of migration on people in the military. One matter is clear, however: Military people on assignment need more in-depth training about how to communicate with local people and about the importance of respecting local cultures. A pocket-size handbook on Iraqi etiquette used by some U.S. troops in Iraq provides limited guidelines (Lorch 2003). It says, for example, that one should avoid arguments and should not take more than three cups of coffee or tea if one is a guest. Also, one should not use the “thumbs up” gesture because its meaning is obscene, and one should not sit with one’s feet on a desk because that is rude. Such basics are helpful, but they do little to provide more in-depth cultural awareness that can make all the difference in conflict and post-conflict situations.

During wartime, soldiers are trained primarily to seek out and destroy the enemy, not to engage in cross-cultural communication. As mentioned in Chapter 8, winning a war in contemporary times often hinges on what the conquerors do after the outright conflict is over, and that often means keeping troops stationed on foreign soil for a long time. Such extended assignments take a heavy toll on military personnel’s mental health and appear to be linked to high rates of suicide, interpersonal violence, stress-based acts of violence against people in the occupied country, and readjustment problems after returning home. Journalist Sebastian Junger, who has a B.A. in cultural anthropology, spent extended time with an American platoon at a remote and dangerous outpost in the mountains of Afghanistan between 2007 and 2008. His book, *War* (2010) and the film he co-directed, *Restrepo* (2010), are anthropologically informed documentaries of everyday life in a war zone. More than that, Junger’s work documents the difficulty for combat soldiers in returning home to their wives and families and the high likelihood that they will opt to

return to combat and re-create the strong male bonding of the platoon. Many combat soldiers become circular migrants, moving in and out of combat, never completely comfortable in one place or the other.



The New Immigrants to the United States and Canada

The term **new immigrant** refers to a person who moved internationally since the 1960s. The category of new immigrants worldwide includes rapidly increasing proportions of refugees, most of whom are destitute and desperate for asylum. Three trends characterize the new international migration in the twenty-first century:

- **Globalization**—More countries are involved in international migration, leading to increased cultural diversity in both sending and receiving countries.
- **Acceleration**—Growth in numbers of migrants has occurred worldwide.
- **Feminization**—Women are a growing percentage of international migrants to and from all regions and in all types of migration; some types exhibit a majority of women.

In the United States, the category of new immigrants refers to people who arrived after the 1965 amendments to the Immigration and Naturalization Act. This change made it possible for far more people from developing countries to enter, especially if they were professionals or trained in some desired skill. Later, the *family reunification* provision allowed permanent residents and naturalized citizens to bring in close family members. Most of the new immigrants in the United States are from Asia, Latin America, and the Caribbean, although increasing numbers are from Eastern Europe, especially Russia.

The United States offers two kinds of visas for foreigners: immigrant visas (also called residence visas) and nonimmigrant visas for tourists and students (Pessar 1995:6). An immigration visa is usually valid indefinitely and allows its holder to be employed and to apply for citizenship. A nonimmigrant visa is issued for a limited period and usually bars its holder from paid employment. Some immigrants are granted visas because of their special skills in relation to labor market needs, but most are admitted under the family unification provision.

new immigrant an international migrant who has moved since the 1960s.

The New Immigrants from Latin America and the Caribbean

Since the 1960s, substantial movements of the *Latino* or *Hispano* population (people who share roots in former Spanish colonies in the Western Hemisphere) have occurred, mainly to the United States. Latinos are about 10 percent of the U.S. population, excluding the population of Puerto Rico.

In the United States as a whole, and in some cities, such as Los Angeles, Miami, San Antonio, and New York, Latinos are the largest minority group. Within Latino new immigrants, the three largest subgroups are Mexicans, Puerto Ricans, and Cubans. Large numbers also come from the Dominican Republic, Colombia, Ecuador, El Salvador, Nicaragua, and Peru.

MEXICAN IMMIGRANTS: NEITHER HERE NOR THERE

Mexico is by far the major source of foreign-born immigrants to the United States, generating roughly one-third of all United States immigrants (<http://www.migrationinformation.org>). Nearly 12 million foreign-born Mexicans live in the United States, a number that doubled from 1995 to 2008. Most live in the traditional destination states of California, Texas, and Illinois, but since the 1990s, more are settling in states such as Georgia, Arkansas, North Carolina, Nebraska, and Ohio. Mexico is also the major source of unauthorized immigration into the United States. Due to the large number of out-migrants, many rural areas in Mexico are left with mainly elderly people and their grandchildren until the Christmas holidays, when migrant workers return to join their families for a week or two.

While it is impossible to generalize about the vast numbers of migrants to the United States from Mexico, a theme of “neither here nor there” seems currently applicable for many adult immigrants (Striffler 2007). Through the 1980s, many Mexican men were seasonal labor migrants to the traditional destination areas, working in the United States for several months of the

year but always returning home for at least a few months. Due to changing legal and economic conditions in the United States starting in the late 1980s, job opportunities declined in the traditional areas of migration, especially California, and opened up in “heartland” states. A study of poultry processing workers in Arkansas reveals how Mexican immigrants shifted from seasonal work in California to year-around work in a Tyson Foods processing plant (Striffler 2007). This shift made it possible for the men to bring their wives and children to live with them, and the women also started working at the plant.

As seasonal migrants, the men considered “home” to be in Mexico. In Arkansas, as families have been able to reunite, “home” for adults is both here and there, or neither here nor there. For their children, however, the United States is home. For them, travelling to Mexico is a “vacation” to a foreign place where they complain about the food and experience stomach problems.

CHAIN MIGRATION OF DOMINICANS The Dominican Republic has ranked among the top 10 source countries of immigrants to the United States since the 1960s (Pessar 1995) (see Map 13.3, page 279), and Dominicans are one of the fastest-growing immigrant groups in the United States. They live in clusters in a few states, with their highest concentration in New York State.

For Dominican immigrants, as for many other immigrant groups, the *cadena*, or chain, links one immigrant to another (Pessar 1995). **Chain migration** is a form of population movement in which a first wave of migrants comes and then attracts relatives and friends to join them in the destination place. Most Dominicans who are legal immigrants have sponsored other family members. Thus, many Dominicans have entered the United States through the family unification provision. The policy, however, defines a family as a nuclear unit (review Chapter 6) and excludes



(LEFT) Latino immigrants studying English in a program in Virginia.



(RIGHT) A Dominican Day parade in New York City.

► Learn about an ethnic festival or event that is being held in the near future. Attend it and observe what signs and symbols of ethnicity are displayed, who attends, and what major messages about identity are conveyed.

important members of Dominican extended families, such as cousins and ritual kin (*compadres*). To overcome this barrier, some Dominicans use a technique called the *business marriage*. In a business marriage, an individual seeking to migrate pays a legal immigrant or citizen a fee, perhaps \$2,000, to contract a “marriage” with that person. The migrant then acquires a visa through the family unification provision. A business marriage does not involve cohabitation or sexual relations; it is meant to be broken.

In New York City, most Dominicans work in manufacturing industries, including the garment industry. They are more concentrated in these industries than is any other ethnic group. Recent declines in manufacturing jobs in New York City, and the redefining of better positions into less desirable ones, have therefore disproportionately affected Dominicans. Many Dominicans have established their own retail businesses, or *bodegas*. Bodegas are often located in unsafe areas, and some owners have been assaulted or killed. Economic challenges are aggravated by the arrival of even newer immigrants, especially from Mexico and Central America, who are willing to accept lower wages than Dominicans do and worse working conditions.

Although many middle-class and upper-class Dominican migrants secured fairly solid employment in the United States on their arrival, they have generally not prospered since then. Dominicans have the highest poverty rate in New York City. Wages are higher for men than women. Poverty is concentrated among women-headed households with young children, and women are more likely than men to be on public assistance.

Still, Dominican women in the United States are more often regularly employed than they would be in the Dominican Republic. This pattern upsets a patriarchal norm in which the nuclear household depends on male earnings and female domestic responsibilities. A woman’s earning power means that husband–wife decision making is more egalitarian. A working Dominican woman is likely to obtain more assistance from the man in doing household chores. All of these changes help explain why more Dominican men are eager to return to the Dominican Republic than women are. As one man said, “Your country is a country for women; mine is for men” (Pessar 1995:81). Although most Dominicans left their homeland in search of a better life, many hope to return to the Dominican Republic. A common saying is that in the United States, “there is work but there is no life.”

SALVADORANS: ESCAPING WAR TO STRUGGLE WITH POVERTY Salvadorans make up the fourth largest Latino population in the United States, numbering around 1,200,000 in 2008 (<http://www.migrationinformation.org>). The civil war in El Salvador, which began in 1979 and continued for over a decade, was the major stimulus for Salvadoran emigration (Mahler 1995) (Map 12.4). Most of the refugees came to the United States. About half of all Salvadorans in the United States live in California, especially Los Angeles (Baker-Christales



MAP 12.4 El Salvador.

In recent times, the Republic of El Salvador has tended to emphasize one or two major export crops, with coffee being dominant. Coffee growing requires high-altitude land, and therefore coffee production has displaced many indigenous people. The country’s total population is nearly 7 million. About 90 percent are mestizo, 9 percent of European descent (mostly Spanish), and 1 percent indigenous. The dominant language is Spanish, although some indigenous people speak Nahuat. Eighty-three percent of the people are Roman Catholic, and Protestants are 15 percent and growing in number.

chain migration a form of population movement in which a first wave of migrants comes and then attracts relatives and friends to join them in the destination.

THINKING OUTSIDE THE BOX

Find a detailed map that shows the geography of the United States, Mexico, and Central America, including El Salvador. Trace a possible overland migration route from El Salvador to the U.S., and find the three rivers that Salvadoran refugees had to cross.

2004), with another large cluster in the Washington, DC, area. Many also settled around New York City, including many who moved to suburban areas of Long Island.

Middle-class and upper-class Salvadorans obtained tourist or even immigration visas relatively easily. The poor, however, were less successful, and many entered the United States illegally as a *mojado* (mo-HAH-do), derogatory slang meaning a “wet-back.” Like Mexican illegal immigrants, Salvadorans use the term *mojado* to describe their journey. The Salvadorans, though, had to cross three rivers instead of one. These three crossings are a prominent theme of their escape stories, which are full of physical and psychological hardships, including hunger, arrests, and women being beaten and raped along the way. Once they arrived, things were still not easy, especially in the search for work and housing. Lack of education and marketable skills limit the job search. For undocumented immigrants, getting a decent job is even harder. These factors make it more likely that Salvadorans work in the *informal sector*, doing non-salaried work that is not officially registered, where they are easy targets for economic exploitation.

Salvadorans living on Long Island receive low wages and labor in poor conditions. Their jobs involve providing services to better-off households. Men do outside work, such as gardening, landscaping, construction, and pool cleaning. Women work as nannies, live-in maids, housecleaners, restaurant workers, and caregivers for the elderly. The Salvadorans often hold down more than one job—for example, working at a McDonald’s in the morning and cleaning houses in the afternoon. Men’s pride prevents them from taking lowly (“female”) jobs such as washing dishes. Women are more flexible and hence are more likely than men to find work. For the poorest Salvadoran refugees, even exploitative jobs may be an economic improvement compared with conditions back home, where they could not support their families at all.

The Salvadorans were attracted to Long Island by its thriving informal economy, a sector where checking for visas was less likely to occur. Unfortunately, the cost of living on Long Island is higher than in many other places. The combination of low wages and high cost of living has kept most Salvadorans in the category of the working poor, with few prospects for improvement. They attempt to cope with high housing costs by crowding many people into units meant for small families. Compared with El Salvador, where most people, except for the urban poor, owned their own homes, only a few Salvadorans on Long Island own homes. Residential space and expenses are shared among extended kin and nonkin who pay rent. This situation causes tension and stress among household members.

The New Immigrants from Asia

Research on how international migrants change their behavior in the new destination have addressed, among other things, the question of whether different consumption patterns



In the United States, economic problems starting in 2008 have resulted in foreclosures on home ownership by millions of families. Minority families were the most affected.

emerge and, if so, how, why, and what effects such changes have on other aspects of their culture.

CHANGING PATTERNS OF CONSUMPTION AMONG HONG KONG CHINESE

A Canadian study examined consumption patterns among four groups: Anglo-Canadians, Hong Kong immigrants who had arrived within the previous seven years, long-time Hong Kong immigrants, and Hong Kong residents (Lee and Tse 1994). Since 1987, Hong Kong has been the single largest source of migrants to Canada. The new immigrant settlement pattern in Canada is one of urban clustering. The Hong Kong Chinese have developed their own shopping centers, television and radio stations, newspapers, and country clubs. Because of generally high incomes, Hong Kong immigrants have greatly boosted Canadian buying power.

For most of the poor Hong Kong migrants, however, the move brought a lowered economic situation, reflected in consumption patterns. New immigrants may have to reduce spending on entertainment and expensive items. Primary needs of the new immigrants included items that only about half of

all households owned: TVs, a car, a house, a VCR, carpets, and a microwave oven. Items in the second-needs category were a dining room set, a barbecue, a deep freezer, and a dehumidifier. Long-time immigrants owned more secondary products.

At the same time, businesses in Canada have responded to Hong Kong immigrant tastes by providing Hong Kong-style restaurants, Chinese branch banks, and China-oriented travel agencies. Supermarkets offer specialized Asian sections. Thus, traditional patterns and ties are maintained to some extent. Two characteristics of Hong Kong immigrants distinguish them from other groups discussed in this section: their relatively secure economic status and their high level of education. Still, in Canada, they often have a difficult time finding suitable employment. Some have named Canada “Kan Lan Tai,” meaning a difficult place to prosper.

THREE PATTERNS OF ADAPTATION AMONG VIETNAMESE-AMERICANS

More than 125 million refugees left Vietnam during and after the wartime 1970s. Most relocated to the United States, but many others went to Canada, Australia, France, Germany, and Britain (Gold 1992). Vietnamese immigrants in the United States constitute the nation's fourth-largest Asian American minority group. Three distinct subgroups are the 1975-era elite, the “boat people,” and the ethnic Chinese. Although they interact frequently, they have retained distinct patterns of adaptation.

The first group, the 1975-era elite, avoided many of the traumatic elements of flight. They were U.S. employees and members of the South Vietnamese government and military. They left before having to live under the communists, and they spent little time in refugee camps. Most came with intact families and received generous financial assistance from the United States. Using their education and English language skills, most found good jobs quickly and adjusted rapidly.

The boat people began to enter the United States after the outbreak of the Vietnam–China conflict of 1978. Mainly of rural origin, they had lived for three years or more under communism. Their exit, either by overcrowded and leaky boats or on foot through Cambodia, was dangerous and difficult. More than 50 percent died on the way. Those who survived faced many months in refugee camps in Thailand, Malaysia, the Philippines, or Hong Kong before being admitted to the United States. Because many more men than women escaped as boat people, these refugees are less likely to have arrived with intact families. They were less well educated than the earlier wave, with half lacking competence in English. They faced the depressed U.S. economy of the 1980s. By the time of their arrival, the U.S. government had severely reduced refugee cash assistance and had canceled other benefits. These refugees had a much more difficult time adjusting to life in the United States than the 1975-era elite did.

The ethnic Chinese, a distinct and socially marginalized class of entrepreneurs in Vietnam, arrived in the United States mainly as boat people. Following the 1987 outbreak of

hostilities between Vietnam and China, the ethnic Chinese were allowed to leave Vietnam. Some, using contacts in the overseas Chinese community, were able to reestablish their roles as entrepreneurs. Most have had a difficult time in the United States because they lacked a Western-style education. They were also sometimes subject to discrimination from other Vietnamese in the United States.

The general picture of first-generation Vietnamese adjustment in the United States shows high rates of unemployment, welfare dependency, and poverty. Interviews with Vietnamese refugees in southern California reveal generational change and fading traditions among youths. Vietnamese teenagers in southern California, for example, have adopted the lifestyle of low-income U.S. teenagers. Their Euro-American friends are more important to them than their Vietnamese heritage is. Given social variations and regional differences in adaptation throughout the United States, however, generalizations about Vietnamese Americans must be made with extreme caution.

HINDUS OF NEW YORK CITY MAINTAIN THEIR CULTURE

With the 1965 change in legislation in the United States, a first wave of South Asian immigrants dominated by male professionals from India arrived (Bhardwaj and Rao 1990). Members of this first wave settled primarily in eastern and western cities. Subsequent immigrants from India, who were less well educated and less wealthy, tend to be concentrated in New York and New Jersey. New York City has the largest population of South Asian Indians in the United States, with about one-eighth of the total number (Mogelonsky 1995).

Members of the highly educated first wave are concentrated in professional fields such as medicine, engineering, and management (Helweg and Helweg 1990). One of the major immigrant groups in Silicon Valley, California, is South Asian Indians. Members of the less educated, later wave find work in family-run businesses or service industries. Indians dominate some trades, such as convenience stores. They have penetrated the ownership of budget hotels and motels and operate nearly half of the total number of establishments in this niche. More than 40 percent of New York City's licensed cab drivers are Indians, Pakistanis, or Bangladeshis (Mogelonsky 1995).

The South Asian Indian population in the United States is one of the better-off immigrant groups and is considered an immigrant success story. South Asian Indians place high value on their children's education and urge them to pursue higher education in fields such as medicine and engineering. They tend to have few children and invest heavily in their schooling and social advancement.

A continuing concern of many members of the first wave is the maintenance of Hindu cultural values in the face of patterns prevalent in mainstream U.S. culture, such as dating, premarital sex, drinking, and drugs (Lessinger 1995). The Hindu population supports the construction of Hindu temples that offer Sunday school classes for young people and cultural



In Flushing, New York, a major Hindu temple holds a ceremony with a priest from India (wearing orange) offering prayers.

events as a way of passing on the Hindu heritage to the next generation. South Asian Hindus attempt to appeal to their youths by accommodating to their lifestyles and preferences in terms of things such as the kind of food served after rituals. Vegetarian pizza is now a common temple menu item for the young people.

Another challenge for Hinduism in the United States and Canada is to establish temples that offer ritual diversity that speaks to Hindus of many varieties. In New York City, the growth of one temple shows how its ritual flexibility helped it to expand. The Ganesha (guh-NAY-shuh) Temple was founded in 1997 under leadership of Hindus from southern India. Temple rituals at first were the same as those conducted in southern Indian temples. Over the years, though, in order to widen its reach, the temple expanded its rituals to include those that would appeal to Hindus from other regions of India. The congregation has grown, and the physical structure has expanded to provide for this growth. The daily and yearly cycle has become more elaborate and more varied than what one would find at a typical Hindu temple in southern India.

The New Immigrants from the Former Soviet Union

The breakup of the Soviet Union into 15 separate countries spurred the movement of more than 9 million people throughout Eastern Europe and Central Asia. Many, of Slavic descent, lived in Central Asia during the existence of the Soviet Union and seek to return to their homelands. Another large category includes people who were forcibly relocated to Siberia or Central Asia. Since 1988, refugees from the former

Soviet Union have been one of the largest refugee nationalities to enter the United States (Gold 1995).

SOVIET JEWS FLEE PERSECUTION Many of the refugees from the former Soviet Union are Soviet Jews. Although most Soviet Jews live in Israel, since the mid-1960s more than 300,000 have settled in the United States, especially in California (Gold 1995). Several features characterize the experience of Soviet Jewish refugees in the United States. First, their origins in the Soviet Union accustomed them to the fact that the government controlled most aspects of life and provided many public services, including jobs, housing, day care, and health care. In their new locations, they had to find ways of meeting these needs in a market economy. Second, Soviet Jews, as “White Europeans,” become members of the “racial” majority group. Their high level of education places them in the elite of new immigrant groups. Third, they have access to established and prosperous communities of American Jews, which provides them with sponsors when they arrive. Most other new immigrant groups do not have these advantages.

Soviet Jewish immigrants, however, face several challenges. Many have a difficult time finding a job commensurate with their education and previous work in the Soviet Union. Throughout the United States, many Soviet Jewish immigrants remain unemployed or work at menial jobs far beneath their qualifications. This pattern is especially true for women. They were employed professionals in the Soviet Union but can find no work in the United States other than housecleaning or babysitting. Another major challenge



In January 2004, more than 50,000 Russian immigrants to Israel returned to Russia. Motivations for the move back include the difficult living conditions for many Russian immigrants in Israel, violence, and the improving economic situation in Russia. Nonetheless, people from Russia continue to migrate to Israel, and they now number over 1 million people, about 13 percent of the population.

► Learn how many people left Russia after the breakup of the Soviet Union in 1989 and where they went.

lifeboat mentality a view that seeks to limit growth of a particular group because of perceived resource constraints.

involves marriage options. Cultural norms promote intra-ethnic marriage, but the number in the U.S. marriage pool is small.

Migration Policies and Programs in a Globalizing World

The major questions related to migration policies and programs concern state and international policies of inclusion and exclusion of particular categories of people. The human rights of various categories of migrants vary dramatically. Migrants of all sorts, including long-standing migratory groups such as pastoralists and horticulturalists, seek ways of protecting their lifestyles, maintaining their health, and creating security for the future.

Protecting Migrants' Health

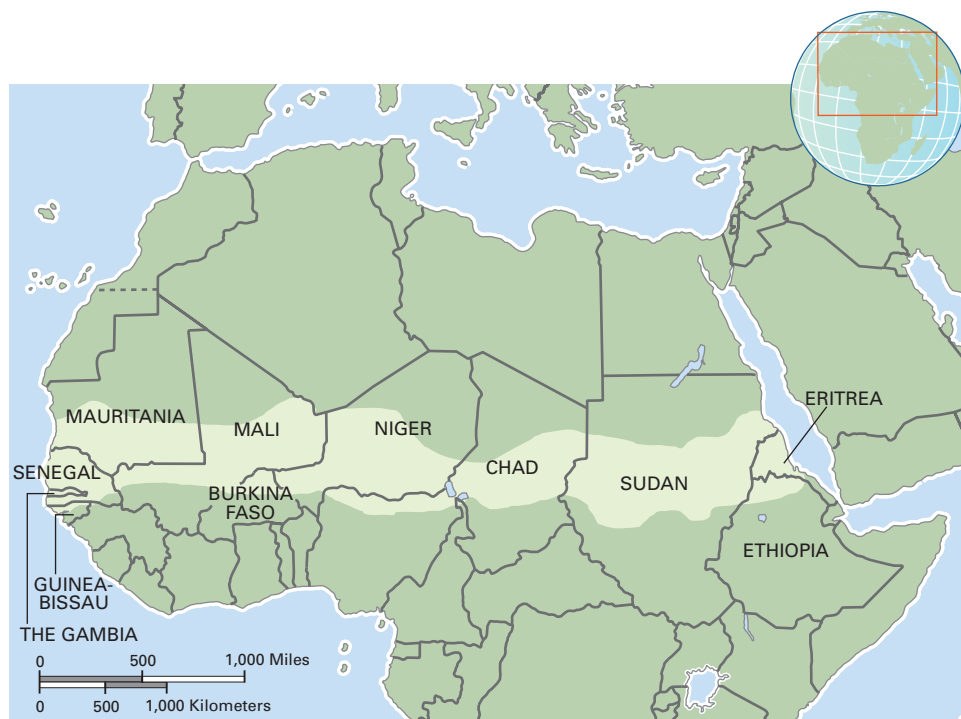
Health risks to migrants are many and varied, depending on the wide variety of migrant types and destinations. One group of migrants of special concern consists of those whose livelihoods depend on long-standing economic systems requiring spatial mobility, such as foragers, horticulturalists, and pastoralists. The frequency of drought and food shortages in the Sahel region of Africa (Map 12.5) in recent decades is prompting research by cultural anthropologists to learn how to prevent such situations through better monitoring and enhanced service provision (see Anthropology Works).

Inclusion and Exclusion

National policies that set quotas on the quantity and types of immigrants who are welcome and that determine how they are treated are dictated largely by political and economic interests. Even in cases of seemingly humanitarian quotas, governments undertake a cost-benefit analysis of how much will be gained and how much will be lost. Governments show their political support or disapproval of other governments through their immigration policies. One of the most obvious economic factors affecting policy is labor flow. Cheap, including illegal, immigrant labor is used around the world to maintain profits for businesses and services for the better off. Flows of such labor undermine labor unions and the status of established workers.

State immigration policies are played out in local communities. In some instances, local resentments are associated with a so-called **lifeboat mentality**, a view that seeks to limit enlarging a particular group because of perceived constraints on resources. This perspective may be part of the explanation for many recent outbreaks of hostility throughout the world in which host populations, instead of being gracious and sharing what they have, seek to drive out the immigrants to protect their own entitlements.

Recent politically conservative trends in the United States have succeeded in reversing earlier, more open immigration policies. Police raids in areas thought to have many undocumented migrants have brought mass expulsions. This lifeboat mentality of exclusiveness is held mainly by the dominant White majority and others who have achieved the “American dream” and resent competition from outsiders. But as the economic downturn continues, it is likely that the lifeboat mentality will become more widespread.



MAP 12.5 Sahel Region.

The word *sahel* comes from the Arabic for “shore” or “border,” referring in this case to the area between the Sahara desert and the more fertile regions to the south. Primarily savanna, the region has been the home to many rich kingdoms that controlled Saharan trade routes. Most people make their living from pastoralism and semisedentary cattle raising. The region has recently experienced several major droughts, leading to the widespread death of herd animals, widespread human starvation and malnutrition, and forced population displacement.

ANTHROPOLOGY works

Mapping African Pastoralists' Movements for Risk Assessment and Service Delivery

Pastoralists are often vulnerable to malnutrition as a consequence of climate changes, fluctuations in food supply, and war and political upheaval. Because of their spatial mobility, they are difficult to reach with relief aid during a crisis. Cultural anthropologists are devising ways to gather and manage basic information about pastoralists' movements and nutritional needs in order to improve service delivery (Watkins and Fleisher 2002). The data required for such proactive planning include the following:

- Information on the number of migrants and the size of their herds in a particular location at a particular time. Such data can inform planners about the level of services required for public health programs, educational programs, and veterinary services. This information can be used to assess the demand on particular grazing areas and water sources and is therefore important in predicting possible future crises.
- Information on patterns of migratory movements. This information can

enable planners to move services to where the people are, rather than expecting people to move to the services. Some nongovernmental organizations, for example, are providing mobile banking services and mobile veterinary services. Information about pastoralist movements can be used as an early warning to prevent social conflicts that might result if several groups arrive in the same place at the same time. And conflict resolution mechanisms can be put in place more effectively if conflict does occur.

The data collection involves interviews with pastoralists, often with one or two key participants, whom the anthropologists select for their specialized knowledge. Interviews cover topics such as the migratory paths followed (both typical and atypical), population levels, the sizes of herds, and the nutritional and water requirements of people and animals. Given the complex social systems of pastoralists, the data gathering must also include information on group leadership,

decision-making practices, and concepts about land and water rights.

The anthropologists organize this information into a computerized database, linking the ethnographic data with other data collected and managed through what is called a geographic information system (GIS), which includes data on the environment and climate information from satellites. The anthropologists then construct various scenarios and assess the relative risks that they pose to the people's health. Impending crises can be foreseen, and warnings can be issued to governments and international aid agencies.

FOOD FOR THOUGHT ▼

The tracking system described here remains outside the control of the pastoralists themselves. How might it be managed so that they can participate more meaningfully and gain greater autonomy?



In 2006, after three years of drought, women of eastern Kenya search out increasingly scarce pasture for their declining herd of goats. As they walk through a dust storm, clouds and a rainbow in the distance are signs that rain is coming. Heavy rains did come in the next few days, but the pastoralists in the region, like these women, had lost most of their animals during the drought and were dependent on food aid and other forms of humanitarian assistance for survival. Global climate change is linked to increasingly severe swings in climatic conditions in this region.



Memorials to illegal border crossers on the Mexico side of a fence along the U.S.–Mexico border. U.S.-built barriers along the border with Mexico have existed for decades. Recent increases in illegal crossings and a closed-border policy prompted President George W. Bush to push for the Secure Fence Act of 2007, which authorized the construction of 700 additional miles of fencing. Human rights supporters say that the fences simply push immigrants to attempt to cross into the United States in more desolate and dangerous areas, adding to the mortality rate of illegal immigrants.

► *The World Trade Organization supports the free trade of goods between countries. Where does it stand, if at all, on the free movement of labor between countries?*

Migration and Human Rights

Several questions arise about migration and human rights. One of the most basic is whether migration is forced or voluntary (review Critical Thinking, this chapter). Forced migration itself may be considered a violation of a person’s human rights. Another issue is whether members of a displaced group have a guaranteed **right of return**, or a refugee’s entitlement to return to and live in his or her homeland. The right of return has been considered a basic human right in the West since the time of the signing of the Magna Carta. It is included in United Nations General Assembly Resolution 194, passed in 1948, and was elevated by the United Nations to an “inalienable right” in 1974.

The right of return is a pressing issue for the hundreds of thousands of Palestinians who fled or were driven from their homes during the 1948 war. They went mainly to Jordan, the West Bank/East Jerusalem, Gaza, Lebanon, Syria, and other Arab states. Jordan and Syria have granted Palestinian refugees rights equal to those of their citizens. In Lebanon, where estimates of the number of Palestinian refugees range between 200,000 and 600,000, the government refuses them such rights (Salam 1994). Israel favors the lower number because it makes the problem seem less severe. The Palestinians favor the higher number to highlight the seriousness of their plight. The Lebanese government also favors the higher

number to emphasize its burden in hosting so many refugees. Palestinians know that they are not welcome in Lebanon, but they cannot return to Israel because Israel denies them the right of return. Israel responds to the Palestinians’ claims by saying that its acceptance of Jewish immigrants from Arab countries constitutes an equal exchange.

The right of return can be considered, just as validly, within states, even though most have no policy close to that of the United Nations. A stark instance of internal displacement and loss of rights to return home comes from the 2005 hurricanes in New Orleans and the coastal counties of Mississippi and Louisiana. The “racial” lines of displacement are nowhere clearer than in the statistics for the city of New Orleans (Lyman 2006). Before Hurricane Katrina, the population of New Orleans was 54 percent White, 36 percent Black, and 6 percent Latino. In 2006, the population was 68 percent White and 21 percent Black, with no change in the Latino percentage. The causes of the differential displacement of the Black population are one problem. The fact that many Black people, all these many years later, have little chance of returning to their homes—*differential resettlement*—is another.

right of return the United Nations’ guaranteed right of a refugee to return to his or her home country to live.

12 the **BIG** questions REVISITED

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What are the major categories of migration?

Migrants are classified as internal, international, or transnational. Another category is based on the migrants' reason for moving. On this dimension, migrants are classified as labor migrants, institutional migrants, or displaced persons. People's adjustment to their new situation depends on the degree of voluntarism involved in the move, the degree of cultural and environmental difference between the place of origin and the destination, and how well expectations about the new location are met, especially in terms of making a living and establishing social ties.

Displaced persons are one of the fastest-growing categories of migrants. Refugees fleeing from political persecution or warfare face serious adjustment challenges because they often leave their home countries with few material resources and frequently have experienced much psychological suffering. The number of internally displaced persons is growing even faster than the number of refugees. Mega-dams and other large-scale development projects result in thousands of people becoming IDPs, and these individuals do not fall under the purview of international organizations such as the United Nations.

What are examples of the new immigrants in the United States and Canada?

Worldwide, the "new immigrants" are contributing to growing transnational connections and to the formation of increasingly multicultural populations within countries. In the United States, the new immigrants from Latin America, especially Mexico, are the largest and fastest-growing category.

In the United States, members of most refugee immigrant groups tend to have jobs at the lower end of the economic scale.

Jewish refugees from the Soviet Union experience a major gap in what their employment was like in Russia versus their limited options in the United States. Immigrants from East and South Asia, who are more likely than others to have immigrated to the United States voluntarily, have achieved greater levels of economic success than most other new immigrant groups.

Immigrant groups throughout the world may face discrimination in their new destinations, although the degree to which it occurs among those already residing in those locales varies with the level of perceived competition for resources. Gender can affect immigrant experiences.

How do anthropologists contribute to migration policies and programs?

Anthropologists have studied national and international migration policies and programs in terms of social inclusion and exclusion. Fieldwork in particular contexts reveals a range of patterns between local residents and immigrants. Working-class resentment among local people against immigrants is not universal and varies with the overall amount and type of employment available.

Anthropologists examine possible infringements of the human rights of migrants, especially as regards the degree of voluntarism in their move and the conditions they face in the destination area. Another human rights issue related to migration is the right of return. The United Nations proclaimed the right of return for internationally displaced populations. Most countries, however, have no such policy. Internally displaced persons, including the evacuees from the 2005 hurricanes in the Gulf region of the United States, have no guarantee that they can return to their home area.

Cultural anthropologists find many roles in applied work related to migration. Gathering data on migratory movements of traditionally mobile people, such as pastoralists, can help make humanitarian aid programs more timely and effective.

KEY CONCEPTS

bracero, p. 255

chain migration, p. 261

circular migration, p. 255

development-induced

displacement, p. 256

displaced person, p. 255

institutional migrant, p. 257

internal migration, p. 253

internally displaced person

(IDP), p. 256

international migration, p. 253

lifeboat mentality, p. 264

migration, p. 253

new immigrant, p. 259

push-pull theory, p. 253

refugee, p. 255

remittance, p. 255

right of return, p. 267

transnational migration,

p. 253

SUGGESTED READINGS

- Rogaia Mustafa Abusharaf. *Wanderings: Sudanese Migrants and Exiles in North America*. Ithaca, NY: Cornell University Press, 2002. Abusharaf provides historical background on the first wave of Sudanese migration to the United States and Canada, information on various Sudanese groups that have migrated, and an interpretation of Sudanese identity in North America.
- Beth Baker-Cristales. *Salvadoran Migration to Southern California: Redefining El Hermano Lejano*. Gainesville: University of Florida Press, 2004. This book provides a history of Salvadoran migration to the United States and a detailed description of the lives of Salvadoran migrants in Los Angeles.
- Jeffrey H. Cohen. *The Culture of Migration in Southern Mexico*. Austin: University of Texas Press, 2004. Migration is a way of life for many individuals and entire families in the Mexican state of Oaxaca. Cohen discusses outmigration in 12 communities and its effects on those who remain.
- Kesha Fikes. *Managing African Portugal: The Citizen-Migrant Distinction*. Durham, NC: Duke University Press, 2010. Portugal's entry into the European Union affected perceptions of the suitability of domestic employment for working-class Portuguese women compared to immigrant Black women from Cape Verde.
- Alyshia Gálvez. *Guadalupé in New York: Devotion and the Struggle for Citizenship Rights among Mexican Immigrants*. New York: New York University Press, 2010. This ethnography shows the importance of devotion to the Virgin of Guadalupe in the lives of undocumented Mexican immigrants in New York City.
- Julianne Hammer. *Palestinians Born in Exile: Diaspora and the Search for a Homeland*. Austin: University of Texas Press, 2004. In the decade following the 1993 Oslo Peace Accords, 100,000 diasporic Palestinians moved to the West Bank and Gaza. This ethnography documents the experiences of young adults and their adjustment to the move.
- Josiah McC. Heyman. *Finding a Moral Heart for U.S. Immigration Policy: An Anthropological Perspective*. Washington, DC: American Ethnological Society, Monograph Series, Number 7, 1998. This critique finds that U.S. immigration policy is basically anti-immigrationist. The author suggests steps toward a more inclusive policy.
- Helen Morton Lee. *Tongans Overseas: Between Two Shores*. Honolulu: University of Hawai'i Press, 2003. This book about Tongan migrants in Melbourne uses participant observation and analysis of messages on a Tongan Internet forum called Kava Bowl.
- Ann Aurelia López. *The Farmworkers' Journey*. Berkeley: University of California Press, 2007. Interviews conducted over a 10-year period document the lives of farm workers who migrate from west-central Mexico to central California.
- Martin F. Manalansan, IV. *Global Divas: Filipino Gay Men in the Diaspora*. Durham, NC: Duke University Press, 2004. This book is based on the life narratives of 50 Filipino gay men in New York City and participant observation in homes, bars, hospitals, restaurants, and the Gay Pride Parade.
- Karen Richman. *Migration and Vodou*. Gainesville: University of Florida Press, 2005. This book and its accompanying CD reveal the innovative ways that Haitian migrants in South Florida maintain their religious traditions and familial connections.
- Archana B. Verma. *The Making of Little Punjab in Canada: Patterns of Immigration*. Thousand Oaks, CA: Sage Publications, 2002. Verma describes the historical connections between Hindu migrants from a village in India's northern state of Punjab to Vancouver Island, British Columbia.

 Read the Document on myanthrolab.com

Mary Beth Chrostowsky. 2010. The Role of Asylum Location on Refugee Adjustment Strategies: The Case of Sudanese in San Diego, California. *Practicing Anthropology* 32(1):38–42. Sudanese refugees in the United States experience a large gap between their home culture and their destination, a fact that puts them at risk for greater stress. In addition, most refugees had already spent several years in refugee camps in Egypt or Kenya, further adding to their adjustment challenges.


Judith Friedenberg and Gail Thakur. 2009. Immigrant Life Histories as a Heritage Resource for Civic Engagement. *Practicing*


Anthropology 31(3):30–35. This article considers factors that play into either immigrants having “historical amnesia” or valuing their past and memorializing it.


Deborah James and Evan Killick. 2010. Ethical Dilemmas? UK Immigration, Legal Aid Funding Reform and Caseworkers. *Anthropology Today* 26(1):13–15. A study of caseworkers and clients in South London sheds light on the legal process of asylum-seeking in the United Kingdom and the role of cultural anthropologists as expert witnesses.



the **BIG** questions

 What is development and what are the approaches to achieving it?

 How has development affected indigenous people and women, and how are they redefining development?

 What are urgent issues in development?

PEOPLE DEFINING DEVELOPMENT

◀ A Somali mother and child in a refugee camp near the Ethiopia-Somalia border in 2011. Thousands of Somalis have fled to Ethiopia and Kenya in search of food and water, with many dying along the way. The region has experienced one of the worst droughts in decades, and political instability makes it difficult to get aid to those who need it.

13

OUTLINE

Defining Development and Approaches to It

Anthropology Works: The Saami, Snowmobiles, and Social Impact Analysis

Culturama: Peyizan Yo of Haiti

Development, Indigenous People, and Women

Eye on the Environment: Oil, Environmental Degradation, and Human Rights in the Niger Delta

Urgent Issues in Development

We have had many visitors to Walpole Island since the French “discovered us” in the seventeenth century in our territory, Bkejwanong. In many cases, these visitors failed to recognize who we were and to appreciate our traditions. They tried to place us in their European framework of knowledge, denying that we possessed our indigenous knowledge. They attempted to steal our lands, water, and knowledge. We resisted. They left and never came back. We continued to share our knowledge with the next visitors to our place. . . . It was a long-term strategy that has lasted more than three hundred years. (Dr. Dean Jacobs, Executive Director of Walpole Island First Nation, from his foreword in VanWynsberghe 2002:ix)

These are the words of a leader of the Walpole Island First Nation, located in southern Ontario, Canada (Map 13.1). They, along with many other indigenous groups worldwide, have taken strong action in recent decades to protect their culture and natural environment. The Walpole Island First Nation organized itself and successfully fought to control industrial waste that was polluting its water and land. In the process, the people have regained their pride and cultural integrity.

The subfield of development anthropology looks at how culture and “development” interact to improve people’s lives and reduce poverty. Thus, it has a strong applied component, as well as a critical component that asks hard questions about the causes of poverty. This chapter’s first section considers concepts related to change and development and various approaches to development. The second section focuses on development in relation to indigenous peoples and women. The third section looks at urgent issues in development and what cultural anthropology can contribute to them.

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Defining Development and Approaches to It

This chapter focuses on the topic of contemporary cultural change as shaped by **development**, or directed change aimed at improving human welfare. A major focus of development

development change directed toward improving human welfare.

poverty the lack of tangible and intangible assets that contribute to life and the quality of life.

invention the discovery of something new.

diffusion the spread of culture through contact.

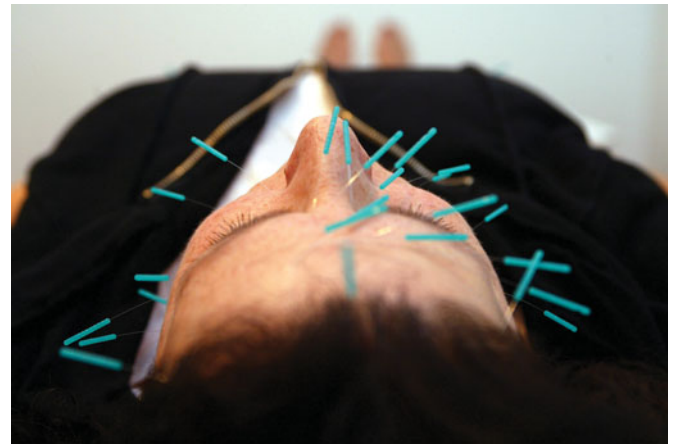
acculturation a form of cultural change in which a minority culture becomes more like the dominant culture.

assimilation a form of cultural change in which a culture is thoroughly acculturated, or deculturated, and is no longer distinguishable as having a separate identity.



MAP 13.1 Walpole Island Reservation in Southern Ontario, Canada.

efforts is preventing or reducing poverty. Poverty is extremely difficult to define, but one workable definition says that **poverty** is the lack of tangible and intangible assets that contribute to life and the quality of life. Some approaches to reducing poverty focus on *basic needs* such as access to decent food, water, housing, and clothing, factors without which a person may die or certainly fail to thrive. More expanded definitions include access to things such as education and personal security (freedom from fear). Development experts in Paris, Rome, and Washington, DC, spend much time discussing how to measure poverty rates, how to assess whether poverty is increasing or decreasing and why, and what kinds of policies and programs are best to reduce poverty. More locally, real people in real places experience poverty and attempt to deal with it.



(LEFT) A doctor administering polio vaccine in Ecuador. In 1985, the Pan American Health Organization (PAHO) established a plan for eradicating the polio virus from the Americas by 1990. (RIGHT) A patient rests with acupuncture needles on her face during a “face lift” treatment (to remove wrinkles and make the individual look younger) in New York City. This acupuncture procedure, based in traditional Chinese medicine, follows the theory that the face is where the essence of yin and the energy of yang meet. The procedure seeks to adjust imbalances in yin and yang through the insertion of needles at particular places in the face.

► Do research to find out whether traditional Chinese acupuncture had a specialization in “face lifting.” If not, can you learn when, where, and why this specialization emerged?

Two Processes of Cultural Change

Two basic processes underlie all cultural change. The first is **invention**, the discovery of something new. The second is **diffusion**, the spread of culture through contact.

INVENTION Most inventions evolve gradually through experimentation and the accumulation of knowledge, but some appear suddenly. Examples of technological inventions that have created cultural change include the printing press, gunpowder, the polio vaccine, and satellite communication. Conceptual innovations, such as Jeffersonian democracy, are also inventions. Many inventions bring about positive cultural change, but not all inventions have positive social outcomes. Inventions inspired by a socially positive goal may have mixed or unintended negative consequences.

DIFFUSION Diffusion is logically related to invention because new discoveries are likely to spread. Diffusion can occur in several ways. First, in mutual borrowing, two societies that are roughly equal in power exchange aspects of their culture. Second, diffusion sometimes involves a transfer from a dominant culture to a less powerful culture. This process may occur through force or, more subtly, through education or marketing processes that promote the adoption of new practices and beliefs. Third, a more powerful culture may appropriate aspects of a less powerful culture, through *cultural imperialism*. Last, a less powerful and even oppressed cultural group often provides sources of cultural change in a dominant culture.

Changes in a minority culture that make it more like the dominant culture are referred to as **acculturation**. In extreme cases, a culture becomes so thoroughly acculturated

that it is **assimilated**, or *decultured*—that is, it is no longer distinguishable as having a separate identity. In the most extreme cases, the impact on the minority culture is that it becomes extinct. These processes parallel degrees of language change resulting from contact with dominating cultures and languages. Such changes have occurred among many indigenous people as the result of globalization and the introduction of new technology (see *Anthropology Works*). Other responses to acculturative influences include the partial acceptance of something new with localization and syncretism, as in the case of the game of cricket in the Trobriand Islands (Chapter 11), or rejection and resistance.

Theories and Models of Development

This subsection reviews theories and models of development and the various kinds of institutions involved in development. It then examines development projects and how anthropologists work with, or sometimes against, those projects.

No single view of development or how to achieve it exists. Debates about these issues are heated and involve experts from many disciplines and governments and local people worldwide.

THINKING
OUTSIDE
THE BOX

Choose two inventions made in your lifetime, and assess how they affect your everyday activities, social interactions, and way of thinking.

ANTHROPOLOGY works

The Saami, Snowmobiles, and Social Impact Analysis

How might the adoption of a new belief or practice benefit or harm a particular culture and its various members? Although often difficult to answer, this question must always be asked. A classic study of the snowmobile disaster among a Saami group in Finland offers a careful response to the question in a context of rapid technological diffusion (Pelto 1973).

In the 1950s, the Saami of Finland (review *Culturama*, Chapter 9, p. 198) had an economy based on reindeer herding, which provided most of their diet.

Besides supplying meat, reindeer had other important economic and social functions. They were used as draft animals, especially for hauling wood for fuel. Their hides were made into clothing and their sinews used for sewing. Reindeer were key items of exchange, in both external trade and internal gift-giving. Parents gave a child a reindeer to mark the appearance of the child's first tooth. When a couple became engaged, they exchanged a reindeer with each other to mark the commitment.

By the 1960s, all this had changed because of the introduction of the snowmobile. Previously, people herded the reindeer herds on skis. The use of snowmobiles for herd management had several unintended and interrelated consequences, all of which were detrimental to the herding way of life.

First, the herds were no longer kept domesticated for part of the year, a practice during which they became tame. Instead, they were allowed to roam freely all year and thus became wilder. Second, snowmobiles allowed herders to cover larger amounts of territory at roundup time and to do more than one roundup. As the number of snowmobiles increased, herd sizes declined dramatically. The reasons for the decline included the stress inflicted on the reindeer by the extra distance traveled during roundups, the multiple roundups instead of a single one, and the fear aroused by the noisy snowmobiles. Furthermore, roundups were held



A Saami herder riding a skidoo in northern Norway leads his herd.

at a time when the females were near the end of their pregnancies, a factor that induced reproductive stress.

Negative economic changes occurred, including a new dependence on the outside through the cash economy. Cash is needed in order to purchase a snowmobile, buy gasoline, and pay for parts and repairs. This delocalization of the economy created social inequality, which had not existed before. Other economic and related repercussions ensued as well:

- The cash cost of effective participation in herding exceeded the resources of some families, which then had to drop out of participation in herding.
- The snowmobile pushed many Saami into debt.
- Dependence on cash and indebtedness forced many Saami to migrate to cities for work.

Snowmobiles also changed Saami gender relations by increasing the prominence of young men in herding (Larsson 2005). Before the snowmobiles, reindeer herding was a family operation. Although men did more of the long-distance herding, women also worked closely with the herd. After snowmobiles were adopted, parents began steering their

sons toward herding and their daughters toward education and professional careers. Two rationales for such gender tracking are that driving a snowmobile is difficult because the vehicle is heavy and that the driver may get stuck somewhere. The use of snowmobiles also changed the age pattern of reindeer herding in favor of youth over age; thus, older herders were squeezed out.

Perti Pelto, the anthropologist who first documented this case, calls these transformations a disaster for Saami culture (1973). He offers a recommendation for the future: Communities confronting the adoption of new technology should have a chance to weigh evidence on the pros and cons and make an informed judgment. Pelto's work is one of the early warnings from anthropology about the need for a **social impact assessment**—a study that attempts to predict the potential social costs and benefits of particular innovations before change is undertaken.

FOOD FOR THOUGHT ▼

If you were a Saami herder, what would you have done if you had been able to consider a social impact assessment of the effects of snowmobiles?

Five theories or models of development are presented here. They differ in terms of the following characteristics:

- The definition of development
- The goal of development
- Measures of development
- Attention to environmental and financial sustainability

MODERNIZATION **Modernization** is a form of change marked by economic growth through industrialization and market expansion, political consolidation through the state, technological innovation, literacy, and options for social mobility. It originated in Western Europe in the beginning of the seventeenth century with the emerging emphasis on secular rationality and scientific thinking as the pathways to progress (Norgaard 1994). Given the insights of rationality and science, modernization is thought to spread inevitably throughout the world and lead to improvement in people's lives everywhere. The major goals of modernization are material progress and individual betterment.

Supporters and critics of modernization are found in both rich and poor countries. Supporters claim that the benefits of



Schoolgirls in Bhutan. The government of Bhutan rejects the Western concept of the gross domestic product (GDP) as the best measure of a country's success and instead uses a measure called gross domestic happiness (GDH).

► Go to the Internet to learn more about Bhutan and the government's aspirations for its people.

modernization (improved transportation, electricity, biomedical health care, and telecommunications) are worth the costs to the environment and society.

Others take a critical view and regard modernization as problematic because of its focus on ever-increasing consumption levels and heavy use of nonrenewable resources. Many cultural anthropologists are critical of Westernization and modernization because their research shows how modernization often brings environmental ruin, increases social inequality, destroys indigenous cultures, and reduces global cultural and biological diversity. In spite of strong cautionary critiques from anthropologists, environmentalists, and others about the detrimental effects of modernization, most countries worldwide have not slowed their attempts to achieve it. Some governments and citizen groups, however, are promoting lifestyles that rely less on nonrenewable resources and include a concern for protecting the environment.

GROWTH-ORIENTED DEVELOPMENT Development as "induced" change, brought about through applying modernization theory in so-called developing countries, emerged after World War II. At that time, the United States began to expand its role as a world leader, and aid for development was part of its international policy agenda. International development, as defined by major Western development institutions, is similar to modernization in terms of its goals. The process emphasizes economic growth as the most crucial element. According to growth-oriented development theory, investments in economic growth will lead to improved human welfare through the *trickle-down effect*: the gradual increase in wealth among the less well off as it filters down from the more well off.

Promoting economic growth in developing countries includes two strategies:

- Increasing economic productivity and trade through modernized agriculture and manufacturing and through participation in world markets.
- Reducing government expenditures on public services such as schools and health in order to reduce debt and reallocate resources to increase productivity. This strategy, called *structural adjustment*, has been promoted by the World Bank since the 1980s.

One measure for assessing the achievement of development through this model is the rate of growth of the economy, especially the *gross domestic product*, or *GDP*.

social impact assessment a study conducted to predict the potential social costs and benefits of particular innovations before change is undertaken.

modernization a model of change based on belief in the inevitable advance of science and Western secularism and processes, including industrial growth, consolidation of the state, bureaucratization, a market economy, technological innovation, literacy, and options for social mobility.

DISTRIBUTIONAL DEVELOPMENT *Distributional development* contrasts with growth-oriented development in its emphasis on social equity in benefits, especially in terms of increased income, literacy, and health. It rejects the trickle-down process as ineffective in reaching poor people. Its position is based on evidence that growth-oriented strategies, applied without concern for distribution, actually increase social inequality. In this view, the growth model ensures that “the rich get richer and the poor get poorer.”

The distributional approach opposes structural adjustment policies because they further undermine the welfare of the poor by removing the few entitlements they had in the form of services. Advocates of the distributional model see the need for benevolent governments to ensure equitable access to crucial resources in order to enhance the ability of the poor to provide for their own needs (Gardner and Lewis 1996).

Although conservative, “neoliberal” economists argue that redistribution is neither realistic nor feasible, supporters of the distributive approach point to cases that have worked. As an example, anthropological research in a village in central Kerala (CARE-uh-luh), a state in southern India (Map 13.2), assessed whether redistribution was an effective development strategy (Franke 1993). The findings showed the answer to be affirmative. Even though Kerala’s per capita income is the lowest of any state in India, it has the highest social indicators in the country in health and literacy.

Government attention to distribution in Kerala came about through democratic channels, including demonstrations and pressure on the government by popular movements and labor unions. These groups forced the state to reallocate land ownership, thereby alleviating social inequality somewhat. In other instances, people pressured government leaders to improve village conditions by providing school lunches for poor children, increasing school attendance by dalit children (review Chapter 7), and investing in school facilities. Through public action, Nadur village became a better place to live for many people.

HUMAN DEVELOPMENT Another alternative to the growth-first model is called *human development*, the strategy that emphasizes investing in human welfare. The United Nations adopted the phrase “human development” to emphasize the need for improvements in human welfare in terms of health, education, and personal security and safety. In this model, investments in improving human welfare will lead to economic development. The reverse is not invariably true: The level of economic growth of a country (or region within a country) is not necessarily correlated with its level of human development, as is clear from the case of Kerala. Thus, in this view, economic growth is neither an end in itself nor even a necessary component of development as measured by human welfare. Economic resources, combined with distributive



MAP 13.2 Kerala, South India.

With a population of 30 million, Kerala has living standards, literacy rates, and health indicators that are high compared with the rest of India. Kerala comprises 14 districts and three historical regions: Travancore in the south, Kochi in the central part, and Malabar in the north. Long a socialist democracy, Kerala now allows the free market and foreign direct investment to play larger roles. A major tourist destination because of its tropical ecology and cultural features such as dramatic martial arts and theater, Kerala also hosts a growing Ayurvedic health tourism industry along its coast.

policies, are a strong basis for attaining high levels of human development.

SUSTAINABLE DEVELOPMENT *Sustainable development* refers to forms of improvement that do not destroy non-renewable resources and are financially supportable over time. Advocates of sustainable development argue that the economic growth of wealthy countries has been, and still is, costly in terms of the natural environment and people whose lives depend on fragile ecosystems. They say that such growth cannot be sustained at even its present level, not to mention projected demands as more countries become industrialized.

Institutional Approaches to Development

Cultural anthropologists are increasingly aware of the importance of examining the institutions, organizations, and specialists involved in development policy making, programs, and projects. With this knowledge, cultural anthropologists have a better chance of shaping development policies and programs. Institutional research includes studying the management systems of both large-scale institutions such as the World Bank and small-scale organizations in diverse settings. Topics

include behavior within the institutions, social interactions with the “client population,” and institutional discourse. This section describes first some large development institutions and then some smaller organizations.

LARGE-SCALE DEVELOPMENT INSTITUTIONS

Two major types of large-scale development institutions exist. First are the *multilateral institutions*—those that include several countries as “donor” members. Second are the *bilateral institutions*—those that involve only two countries: a “donor” and a “recipient.”

The largest multilaterals are the United Nations and the World Bank. Each is a vast and complex social system. The United Nations, established in 1945, includes more than 160 member states. Each country contributes money according to its ability, and each has one vote in the General Assembly. Several United Nations agencies exist, fulfilling a range of functions, such as the United Nations Development Programme (UNDP), the Food and Agriculture Organization (FAO), the World Health Organization (WHO), the United Nations Children’s Fund (UNICEF), the United Nations Educational, Scientific, and Cultural Organization (UNESCO), and the United Nations High Commissioner for Refugees (UNHCR).

The World Bank is supported by contributions from over 150 member countries. Founded in 1944, the Bank is dedicated to promoting the concept of economic growth worldwide. Its main strategy is to promote international investment through loans. The World Bank is guided by a board of governors made up of the finance ministers of member countries. The World Bank system assigns each country a number of votes based on the size of its financial commitment. The economic superpowers, therefore, dominate.

The World Bank system includes the International Bank for Reconstruction and Development (IBRD) and the International Development Association (IDA). Both are administered at the World Bank headquarters in Washington, DC. They lend for similar types of projects and often in the same country, but their loan conditions differ. The IBRD provides loans to poor countries that are generally regarded as “bad risks” on the world commercial market. Thus, the IBRD is a source of interest-bearing loans to countries that otherwise would not be able to borrow. Most of its loans support large infrastructure projects such as roads and dams.

Prominent bilateral institutions include the Japan International Cooperation Agency (JICA), the United States Agency for International Development (USAID), the Canadian International Development Agency (CIDA), Britain’s Department for International Development (DfID), the Swedish Agency for International Development (SIDA), and the Danish Organization for International Development (DANIDA). These agencies vary in terms of the total size of their aid programs, the types of programs they support, and



The USAID has funded many development projects worldwide, such as this “improved road” in rural Bangladesh. Proceeds from the toll gate will help pay for maintenance of the road. The rickshaws are parked while their drivers pay their toll. The large white vehicle belongs to USAID and was being used by American researchers.

► What kinds of user fees have you paid in the past few months? Did you think that the fees were fair?

the proportion of aid disbursed as loans that have to be repaid with interest as opposed to aid disbursed as grants that do not require repayment. Compared to other bilaterals, USAID tends to give more loans (which must be repaid, with interest) than grants (which are more like gifts).

Loans and grants also differ in terms of whether they are *tied* or *untied*. Tied loans and grants require that a certain percentage of project expenditures go for goods, expertise, and services originating in the donor country. For example, a tied loan to a certain country for road construction would require allocating a designated percentage of the funds to donor country construction companies, to airfare for donor country road experts, and to in-country expenses, such as hotels, food, and local transportation, for donor country experts. When loans or grants are untied, the recipient country may decide freely how to use the funds. USAID offers more tied than untied aid, whereas countries such as Sweden, the Netherlands, and Norway tend to give untied aid.

Another difference among the bilaterals is the proportion of their total aid that goes to the poorest countries. The United Kingdom’s DfID sends more than 80 percent of its aid to the poorest countries, whereas most of U.S. foreign aid

THINKING
OUTSIDE
THE BOX

Visit the website of one multilateral development organization and one bilateral organization to learn about their goals, programs, and internship opportunities.
www.survival-international.org

dollars go to Egypt and Israel. Emphasis on certain types of aid also varies from one bilateral institution to another. Cuba has long played a unique role in bilateral aid, concentrating on aid for training health-care providers and for promoting preventive health care (Feinsilver 1993). Its development assistance goes to like-minded socialist countries, including many in Africa and in Latin America. Within Latin America, Brazil and Venezuela are taking on a visible role in development aid to neighboring countries, and they were among the earliest responders to the Haiti earthquake of January 2010.

GRASSROOTS APPROACHES Many countries have experimented with *grassroots approaches* to development, or locally initiated small-scale projects. This alternative to the top-down development pursued by the large-scale agencies described in the previous section is more likely to be culturally appropriate, supported through local participation, and successful.

The term **social capital** refers to the intangible resources that exist through social ties, trust, and cooperation. Many local grassroots organizations around the world use social capital to provide basic social needs, and they are successful even in the poorest countries (see Culturama).

Religious organizations sponsor a wide variety of grassroots development projects. In the Philippines, the Basic Ecclesiastical Community (BEC) movement is based on Christian teachings and follows the model of Jesus as a supporter of the poor and oppressed (Nadeau 2002) (see Map 5.1, page 97). BECs seek to follow the general principles of liberation theology, which blends Christian principles of compassion and social justice, political consciousness raising among the oppressed, and communal activism. In the rural areas, several BECs have successfully built trust among group members and leaders and developed people's awareness of the excesses of global capitalism and the dangers of private greed and accumulation. Part of their success is due to the fact that members were able to pursue new economic strategies outside the constraints of capitalism and that require little capital input, such as organic farming.

A BEC in Cebu City, on the Island of Cebu (suh-BOO), the Philippines, however, was unsuccessful. It faced the challenge of organizing people who make a living scavenging in a nearby city dump. Both adults and children scavenge for materials that are then sorted and sold for recycling, such as plastic. They work for fourteen hours a day, seven days a week. It is an organized operation, with district officials monitoring the dump. Customary arrangements among the scavengers regulate their work areas. Scavenging requires no formal education and few



Scavenging for a livelihood in an urban dump in the Philippines.

► *What kind of an entitlement is this?*

tools—just a basket and a steel hook, and a kerosene lantern for nighttime work. Scavengers earn more than other nonskilled laborers in the city. In the BEC meetings, the scavengers found little on which to build solidarity. Instead, they bickered with each other and complained about each other to the leaders. The sheer poverty of the people was so great that communal values could not compete against their daily economic struggle. In cases of extreme poverty with limited or no options for alternative forms of income generation, government programs may be required to complement faith-based, grassroots initiatives.

The Development Project

Development institutions, whether they are large multilaterals or local nongovernmental organizations (NGOs), implement their goals through the **development project**, a set of activities designed to put development policies into action. For example, suppose a government sets a policy of increased agricultural production by a certain percent within a designated period. A development project to achieve the policy goal might be the construction of irrigation canals that would supply water to a targeted number of farmers.

social capital the intangible resources existing in social ties, trust, and cooperation.

development project a set of activities designed to put development policies into action.

C U L T U R A M A

Peyizan Yo of Haiti

Haiti and the Dominican Republic share the island of Hispaniola. Following the island's discovery by Columbus in 1492, Spanish colonialists exterminated the island's indigenous Arawak Indians. In 1697, the French took control of what is now Haiti and instituted an exceptionally cruel system of African plantation slavery. In the late 1700s, the half million slaves revolted. In what is the only successful slave revolution in history, they ousted the French and established the first Black republic in the Western Hemisphere.

Haiti's population of around 10 million people occupies a territory somewhat smaller than the state of Maryland in the United States. The land is rugged, hilly, or mountainous. More than 90 percent of the forests have been cleared. In economic terms, Haiti is the poorest country in the Western Hemisphere. Extreme income inequality exists between the urban elite, who work and live in and near the capital city of Port-au-Prince, and everyone else.

The people in the countryside are called *peyizan yo* (the plural form of *peyizan*), a Creole term for small farmers who produce for their own use and for the market (Smith 2001). Many also participate in small-scale marketing. Most *peyizan yo* in Haiti own their land. They grow vegetables, fruits (especially mangoes), sugar-cane, rice, and corn.

Haiti has the highest prevalence of HIV/AIDS of any country in the Caribbean region. Medical anthropologist Paul Farmer emphasizes the role of colonialism in the past and global structural inequalities now in causing these high rates (1992). In the nineteenth century, colonial plantation owners grew fabulously rich from this island. Haiti produced more wealth for France than all of France's other colonies combined and more than the thirteen colonies in North America produced for Britain.

After the revolution, in 1804, Haiti became the first free country in Latin America and the first in the Western Hemisphere to abolish slavery. Yet, the

heritage of colonialism left an imprint of rule by a few and the sheer act of abolishing slavery brought economic and political isolation from slave-holding countries including the United States which did not recognize Haiti until after the Civil War. Now, neocolonialism and globalization leave new scars. For decades, the United States has played a major role in supporting conservative political regimes. Powerful drug traffickers use Haiti's coastline as a haven for moving drugs from South America to markets in North America and Europe.

Although the United States and other rich countries pledged billions of dollars to help Haiti "build back better" after the 2010 earthquake, very little of the money pledged has found its way to Haiti, and of that, far less to the people in need. Yet, the Haitian people find hope and resilience in their families, their faith, and their cultural pride, even the many thousands living in camps for displaced people.

Thanks to Jennie Smith-Pariola, Berry College, for reviewing this material.



(LEFT) A woman repays her loan at a small-scale savings and loan business in rural Haiti. Many of the credit union members use their loans to set up small businesses.

(CENTER) A child injured in the January 2010 earthquake sits in a makeshift hospital tent. The 7.0 magnitude earthquake devastated the capital city of Port au Prince, killed an estimated 200,000 people, and displaced around 1.5 million people.

MAP 13.3 Haiti. The Republic of Haiti occupies one-third of the Caribbean island of Hispaniola.

Project identification	Selecting a project to fit a particular purpose
Project design	Preparing the details of the project
Project appraisal	Assessing the project's budgetary aspects
Project implementation	Putting the project in place
Project evaluation	Assessing whether the project goals were fulfilled

FIGURE 13.1 The Development Project Cycle.

THE DEVELOPMENT PROJECT CYCLE Although details vary among organizations, all development projects have a **project cycle**, or the full process of a project from initial planning to completion (Cernea 1985). The project cycle includes five basic steps from beginning to end (Figure 13.1).

Since the 1970s, applied anthropologists have been involved in development projects. Early on, they were hired primarily to do project evaluations, to determine whether the project had achieved its goals. Their research shows that projects were often dismal failures (Cochrane 1979). Three major reasons for these failures are as follows:

- The project did not fit the cultural and environmental context.
- The project benefits did not reach the target group, such as the poor or women; instead, project benefits went to elites or some other less needy group.
- The intended beneficiaries were worse off after the project than before it.

One factor underlying these three problems is poor project design. The projects were designed by bureaucrats, usually Western economists, who lived in cities far from the project site with no firsthand experience of the lives of the target population. These experts applied a universal formula (“one size fits all”) to all situations (Cochrane 2009). The cultural anthropologists who evaluated the projects, in contrast, knew the local people and context and were therefore shocked by the degree of nonfit between the projects and the people.

Applied anthropologists gained a reputation in development circles as troublemakers—people to be avoided by those who favored a move-ahead approach to getting projects funded and implemented. Applied anthropologists are still considered a nuisance by many development policy makers

and planners, but sometimes, at least, a necessary nuisance. On a more positive note, through persistent efforts they have made progress in gaining a role earlier in the project cycle, at the stages of project identification and design.

CULTURAL FIT A review of many development projects over the past few decades reveals the importance of **cultural fit**, or taking the local culture into account in project design (Kottak 1985). A glaring case of nonfit between a project and its target population is a project intended to improve nutrition and health in some South Pacific islands by promoting increased milk consumption (Cochrane 1974). The project involved the transfer of large quantities of powdered milk from the United States to an island community. The local people, however, were lactose intolerant (unable to digest raw milk), and they all soon had diarrhea. They stopped drinking the milk and used the powder to whitewash their houses. Beyond wasting resources, inappropriately designed projects result in the exclusion of the intended beneficiaries. Two examples are when a person’s signature is required but the people do not know how to write and when photo identification cards are requested from Muslim women, whose faces may not be shown in public.

Applied anthropologists can provide insights into how to achieve cultural fit in order to enhance the success of a project. Anthropologist Gerald Murray played a positive role in redesigning a costly and unsuccessful reforestation project supported by USAID in Haiti (1987). Since the colonial era in Haiti (see Map 13.3, page 279), deforestation has been dramatic, with an estimated 50 million trees cut annually. Some of the deforestation is driven by the market demand for wood for construction and for charcoal in the capital city of Port-au-Prince. Another reason is that *peyizan yo*, or small farmers, need cleared land for growing crops and grazing their goats. The ecological consequences of so much clearing, however, are extensive erosion of the soil and declining fertility of the land.

In the 1980s, USAID sent millions of tree seedlings to Haiti and the Haitian government urged rural people to plant them. *Peyizan yo*, however, refused to plant the seedlings on their land and instead fed them to their goats. Murray, who had done his doctoral dissertation on rural Haitian land tenure practices, was called on by USAID to diagnose the problem and suggest an alternative approach. He advised that the kind of seedling promoted be changed from that of fruit trees, in which *peyizan yo* saw little benefit because they are not to be cut, to that of fast-growing trees, such as eucalyptus, which could be cut as early as four years after planting and sold in Port-au-Prince. *Peyizan yo* quickly accepted this plan because it would yield profits in the foreseeable future. The cultural nonfit was that USAID wanted trees to stay in place for many years, but *peyizan yo* viewed trees as things that were meant to be cut in the short term.

project cycle the steps of a development project from initial planning to completion: project identification, project design, project appraisal, project implementation, and project evaluation.

cultural fit a characteristic of informed and effective project design in which planners take local culture into account.

THE ANTHROPOLOGICAL CRITIQUE OF DEVELOPMENT PROJECTS

The early decades of development anthropology were dominated by what I call **traditional development anthropology**. In traditional development anthropology, the anthropologist takes on a role of helping to make development policies and programs work better. It is the “add an anthropologist and stir” approach to development. Like good applied anthropology in any domain, traditional developmental anthropology does work. For example, an anthropologist familiar with a local culture can provide information about what kinds of consumer goods would be desired by the people or what might persuade people to relocate with less resistance. The anthropologist may act as a cultural broker, someone who uses knowledge of both the *donor culture* and the *recipient culture* to devise a workable plan.

Concern exists among many anthropologists about development projects that have negative effects on local people and their environments. For example, a comparison of the welfare of local inhabitants of the middle Senegal River Valley (Map 13.4) before and after the construction of a large dam shows that people’s level of food insecurity increased after the dam was built (Horowitz and Salem-Murdock 1993). Before the dam, periodic flooding of the plain provided for a dense population supporting itself with agriculture, fishing, forestry, and herding. After the dam was constructed, water was released less often. The people downstream lacked sufficient water for their crops, and fishing was no longer a dependable source of food. At other times, dam managers released a large flood of water, damaging farmers’ crops. Many downstream residents have had to leave the area because of the effects of the dam; they are victims of development-induced displacement (review Chapter 12). Downstream people now have high rates of schistosomiasis, a severely debilitating disease caused by parasites, because the disease spreads quickly in the slow-moving water below the dam.

Other “dam stories” document the negative effects of dam construction on local people, including the destruction of their economy, social organization, sacred space, sense of home, and environment (Loker 2004). Such mega-projects force thousands, even millions, of people in the affected area to cope with the changes in one way or another. Many leave; others stay and try to replace what they have lost by clearing new land and rebuilding. Most end up in situations far worse than the one in which they lived originally.

The growing awareness of the detrimental effects of many supposedly positive development projects has led to the emergence of what I call **critical development anthropology**. In this approach, the anthropologist takes on a critical-thinking role. The question is not, What can I do to make this project successful? Instead, the anthropologist asks, Is this a good project from the perspective of the local people and their environment? If the answer is yes, then an applied anthropologist can take a supportive role. If the answer is no, then the



Map 13.4 Senegal.

The Republic of Senegal is mainly rolling sandy plains of the western Sahel. Senegal’s economy is mainly agricultural, with peanuts being the main crop. Social inequality is extreme, and urban unemployment is high. The population of Senegal is over 11 million, of which 70 percent live in rural areas. Of the many ethnic groups, the Wolof are the largest. Sufi Islam is the major religion, practiced by 94 percent of the population, with Christians making up 4 percent.

anthropologist can intervene with relevant information, taking on the role of either a whistle-blower to stop the project or an advocate promoting ideas about how to change the project in order to mitigate harm. In the case of the Senegal River dam project, applied anthropologists worked in collaboration with engineers and local people to devise an alternative management plan for the water flow in which regular and controlled amounts of water were released. In many other cases, the process has a less positive outcome, with planners ignoring the anthropologist’s advice (Loker 2000).



Development, Indigenous People, and Women

This section considers two categories of people who are increasingly taking an active role in redefining development in their own terms: indigenous people and women. Although

traditional development anthropology an approach to international development in which the anthropologist accepts the role of helping to make development work better by providing cultural information to planners.

critical development anthropology an approach to international development in which the anthropologist takes a critical-thinking role and asks why and to whose benefit particular development policies and programs are pursued.

In the southern part of Madagascar, pressure to grow more rice means irrigating more land. The expansion of intensive rice cultivation will bring the death of many baobab trees and threaten the habitats of wild animal species, including lemurs.

► Assume that you have just been appointed as Madagascar's minister of people, nature, and development. What do you want your research staff to brief you about during your first month of service?



they are overlapping categories, the section presents material about them separately for purposes of illustration.

Indigenous People and Development

Indigenous peoples have been victimized by many aspects of growth-oriented development, as they were by colonialism before it. But now many indigenous groups are redefining development and taking it into their own hands.

As noted in Chapter 1, indigenous people are usually a numerical minority in the states that control their territory. The United Nations distinguishes between indigenous peoples and other minority groups such as African Americans, the Roma, and the Tamils of Sri Lanka. It is more useful to view all “minority” groups as forming a continuum, from purely indigenous groups to minority/ethnic groups that are not geographically original to a place but that share many problems with indigenous peoples as a result of displacement and living within a more powerful majority culture (Maybury-Lewis 1997b).

Indigenous peoples differ from most minorities in that they tend to occupy remote areas and, often, areas rich in natural resources. Remoteness has, to some extent, protected them from outsiders. Now, however, governments, international business, conservationists, and tourists increasingly recognize that the lands of these people contain valuable natural resources, such as gas in the circumpolar region, gold in Papua New Guinea and the Amazon, sapphires in Madagascar, hydroelectric potential in large rivers throughout the world, and cultural attractions.

Accurate statistics on indigenous populations do not exist. Several reasons account for this lack of information (Kennedy and Perz 2000). First, no one agrees about whom

to count as indigenous. Second, some governments do not bother to conduct a census of indigenous people, or if they do, they may undercount indigenous people in order to downplay recognition of their existence. Third, it is often physically difficult, if not impossible, to carry out census operations in indigenous areas. The indigenous people of North Sentinel Island in India's Andaman Islands remain uncoun­ted because Indian officials cannot land on the island without being shot at with arrows (Singh 1994).

Rough estimates of the total population of indigenous people worldwide range between 300 million and 350 million people, or about 5 percent of the world's population (Hughes 2003). The greatest numbers are in Asia, including Central Asia, South Asia, East Asia, and Southeast Asia. Canada's First Nation population is under 2 million. The American Indian population in the United States numbers around 1 million.

INDIGENOUS PEOPLE AS VICTIMS OF COLONIALISM AND DEVELOPMENT

Like colonialism, contemporary global and state political and economic interests often involve the takeover and control of indigenous people's territory. Over the past several hundred years, many indigenous groups and their cultures have been exterminated as a result of contact with outsiders. Death and population decline have resulted from contagious diseases, slavery, warfare, and other forms of violence. With colonialism, indigenous people experienced wholesale attacks as outsiders sought to take their land by force, prevented them from practicing their traditional lifestyles, and integrated them into the colonial state as marginalized subjects. The loss of economic, political, and expressive autonomy have had devastating physical and psychological effects on indigenous peoples. Reduction in the biodiversity of their natural environments is directly linked to impoverishment, despair, and overall cultural

decline (Arambiza and Painter 2006; Maffi 2005). These processes are common worldwide, creating unforeseen new risks for indigenous people's welfare.

In Southeast Asia, states use policies of "planned resettlement" that displace indigenous people, or "hill tribes," in the name of progress (Evrard and Goudineau 2004). Development programs for the hill tribes in Thailand, for example, reveal the links among international interests, state goals, and the well-being of the tribes (Kesmanee 1994). The hill tribes include groups such as the Karen, Hmong, Mian, Lahu, Lisu, and Akha. They total about half a million people. International pressures are applied to have the hill tribes replace the cultivation of opium with other cash crops. International aid agencies therefore sponsor alternative agricultural projects and tourism. The Thai government, however, is more concerned with political stability and security in the area, given its strategic location, and therefore promotes development projects such as roads and markets to establish links between the highlands and the lowlands. Either way, the hill tribes are the target of outsiders' interests; thus, they face a challenge to the promotion of their own agendas.

Efforts to find viable substitute crops for opium have been unsuccessful, especially among the Hmong, who are most dependent on opium as a cash crop. Alternative crops require the heavy use of fertilizers and pesticides, which are costly to the farmers and greatly increase environmental pollution; moreover, such crops are less lucrative for the farmers. Logging companies have gained access to the hills and have done far more damage to the forests than the highlanders' horticultural practices have. Increased penetration of the hill areas by lowlanders and international tourists have promoted rising HIV/AIDS rates, illegal trafficking of girls and boys for sex, and opium addiction among the highlanders.

The Thai government, like the government of neighboring Laos, has attempted to relocate highland horticulturalists to the plains through various resettlement schemes. Highlanders who opt for relocation find the lowland plots to be unproductive because of poor soil quality. Relocated highlanders find that their quality of life and economic status decline in the lowlands. Yet another new risk for the resettlers in Thailand and Laos is that they are now heavy consumers of methamphetamines, an addictive, euphoria-inducing compound with serious negative side effects, such as rapid weight loss, tooth decay, diarrhea, nausea, and agitation (Lyttleton 2004). Overall, 50 years of so-called development have been disastrous for Southeast Asia's hill peoples.

INDIGENOUS PEOPLE AND TERRITORIAL ENTITLEMENTS Throughout their history of contact with the outside world, indigenous peoples have actively sought to resist the deleterious effects of "civilization." Since the 1980s, more effective and highly organized forms of protest have become prominent. Indigenous groups now hire lawyers and other

experts as consultants in order to reclaim and defend their territorial rights, gain self-determination, and secure protection from outside risks. Many indigenous people have themselves become trained as lawyers, researchers, and advocates. Conflicts range from lawsuits to attempts at secession (Stidsen 2006).

This section provides an overview on the status of indigenous people's territorial rights claims. Within each large world region, country-by-country variation exists in legal codes and in the adherence to any such codes that may exist.

Latin America Few Latin American countries provide legal protection against encroachment on the land of indigenous groups. Nicaragua, Peru, Colombia, Ecuador, Bolivia, and Brazil have taken the lead in enacting policies that legitimize indigenous rights to land and in demarcating and titling indigenous territories (Stocks 2005). A wide gap often exists, however, between policy and actual protection. Despite these efforts, increasing numbers of Indians throughout the entire region of Latin America have been forced off their land in the past few decades through poverty, violence, and environmental degradation due to encroachment by logging companies, mining operations, ranch developers, and others. In response, many migrate to cities and seek wage labor. Those who remain face extreme poverty, malnutrition, and personal and group insecurity.

A surge of political activism by indigenous people has occurred since the 1990s, sometimes involving physical resistance. Violence continues to erupt between indigenous groups and state-supported power structures, especially in the southern Mexican state of Chiapas (see Map 4.3, page 78). In 2005, participants at the First Symposium on Isolated Indigenous Peoples of the Amazon created a group called the International Alliance for the Protection of Isolated Indigenous Peoples. The group seeks to make the relevant state governments aware of the current endangered situation of many indigenous people. These people demand their right to isolation, if that is their choice, and to protection from unwelcome outside contact and encroachment. In 2008, the International Alliance of Forest Peoples formed to push for indigenous people's participation in global climate change talks and to devise a plan whereby wealthy countries would compensate developing countries for conserving tropical forests (Barrionuevo 2008).

Canada In Canada, the law distinguishes between two different types of Native Peoples and their land claims (Plant 1994). *Specific claims* concern problems arising from previous agreements or treaties, and *comprehensive claims* are those made by Native Peoples who have not been displaced and have made no treaties or agreements. Most of the former claims have led to monetary compensation. In the latter category, interest in oil and mineral exploration has prompted governments to negotiate with indigenous people in an effort to have the latter's native claims either relinquished or redefined. In some

MAP 13.5 Nunavut Territory, Canada.

Created in 1999, Nunavut is the newest and largest of Canada's Territories. It is also the least populated, with 30,000 people. About

85 percent of the people are First Nations peoples, mainly Inuit. Official languages are Inuktitut, Inuinnaqtun, English, and French. The landscape is mainly Arctic tundra. The award-winning movie *Atanarjuat (The Fast Runner)* was produced by Inuit filmmakers and filmed in Nunavut.



provinces, especially British Columbia, claims affect most of the province. The Nunavut land claim was settled, granting about 25,000 Inuit access to a vast tract of land, including sub-surface rights (Jensen 2004) (Map 13.5).

Asia In Asia, most countries have been reluctant to recognize the territorial rights of indigenous people (Plant 1994). In Bangladesh, the Chittagong Hill Tracts area in the southeast is being massively encroached upon by settlers from the crowded plains region (see Map 7.1, page 140). Encroachers from the lowlands now occupy the most fertile land, and the indigenous people and their culture are endangered in many ways, including suffering loss of land and livelihood as well as new health threats. In Thailand, no legal recognition of hill tribes' land rights exists, whereas in Laos and Vietnam, some land has been allocated to indigenous communities (Jensen 2004:5). Indigenous people actively contesting the state's hold over land and resources in the Asia-Pacific region include the Moros of the southern Philippines (see Map 5.1, page 97) and the people of Western Papua, the western part of the island of New Guinea controlled by Indonesia (see Map 1.3, page 15). In some cases, indigenous people's fight for secession from the state continues to cost many lives, mainly their own.

Africa In Africa, political interests of state governments in establishing and enforcing territorial boundaries have

created difficulties for indigenous peoples, especially mobile populations such as foragers and pastoralists. Many formerly autonomous pastoralists of the Sahel region (see Map 12.5, page 265) have been transformed into refugees living in terrible conditions. The Tuareg (TWAH-reg), for example, have traditionally lived and herded in a territory that now crosses five different countries: Mali, Niger, Algeria, Burkina Faso, and Libya (Childs and Chelala 1994).

Because of political conflict in the region, thousands of Tuareg people live in exile in Mauritania, and their situation is grim. Resistance movements spring up, but states act to suppress them. The people of southern Sudan and Darfur have been living in violence for many years (Map 13.6, p. 285). They have been subject to genocide and violent displacement for global and local political and economic reasons, not the least of which involves the rich deposits of oil in the southern part of the country (Warren 2001). As mentioned in the Culturama in Chapter 1 (page 19), South Africa has established more protective legislation for San peoples than have Namibia or Botswana.

Australia and New Zealand The picture is also mixed in Australia and New Zealand, with more progress in Australia in terms of legal recognition of Aboriginal territorial rights. Urban development, expansion of the non-Aboriginal population, road building, mineral extraction, and international tourism are some of the major threats to both livelihoods



MAP 13.6 Sudan and South Sudan.

The former Republic of Sudan gained its independence from Britain in 1956. A year before that, a civil war began between the north and the south. In 2003, conflict erupted in the Darfur region. In 2005, a treaty granted southern Sudan the status of an autonomous region for six years, to be followed by a referendum. In 2011, the referendum strongly supported the creation of a separate country of South Sudan with its capital in Juba. Disputes continue between Sudan and South Sudan about the oil revenues, and disputes within South Sudan involve local tribal groups. Other differences relate to religion with Sunni Islam the dominant religion in Sudan while in South Sudan, indigenous religions and Christianity predominate. Arabic is the dominant language of Sudan. In South Sudan where indigenous languages predominate, English is the official language.

and the protection of sacred space. Aboriginal activism has seen some notable successes in achieving what is referred to as *native title* (Colley 2002). A key turning point in Australia occurred through the efforts of Eddie Koiki Mabo (koy-ko mah-bo), from the Torres Strait Islands. He and his group, the Miriam people, took their claim of rights to their traditional land and water to the High Court, contesting the principle of *terra nullius*, or “empty land.” Colonialists and neocolonialist developers use *terra nullius* to justify territorial takeovers, claiming that no one lives in a particular place because there is no evidence of property ownership, agriculture, or permanent structures. This claim justified the colonial takeover of large parts of the world occupied by foragers, horticulturalists, and pastoralists. In this landmark case, Mabo convinced the High Court of the legitimacy of the Miriams’ claim in 1992 and set a precedent for a series of future land claims by indigenous peoples of Australia.

ORGANIZING FOR CHANGE Many indigenous peoples have formed organizations for change in order to promote *development from within*. In Ethiopia, for example, several NGOs organized by local people have sprung up since the 1990s (Kassam 2002). One organization in the southern region, Hundee, seeks to provide a model of development based on the oral folk traditions of the Oromo people. It combines elements of Western-defined development with Oromo values and traditional laws, thus offering an approach that recasts external notions of development in terms of Oromo lifeways.

Hundee’s long-term goal is to empower Oromo communities to be self-sufficient. It takes the view that the Oromo culture is a positive force for, rather than a barrier to, social and economic change. Hundee members use a participatory approach in all their endeavors. They consult with traditional legal assemblies to identify needs and then to shape projects that address those needs. Activities include the establishment of a credit association and a grain bank to help combat price fluctuations and food shortages. The Oromo feel that these are elements of *good development*, as distinguished from the outsiders’ *bad development*, which has inflicted hunger and dependency on the Oromo people.

In many cases, indigenous people’s development organizations join forces in response to external threats (Perry 1996:245–246). In Australia, several indigenous groups have formed regional coalitions and pan-Australian organizations that have been successful in land claim cases. In Canada, the Grand Council of the Cree collaborates with other northern groups over land issues and opposition to a major hydroelectric dam project (Coon Come 2004; Craik 2004). In southern Africa, several San groups joined together to claim a share in the profits from commercial marketing of hoodia as a diet pill (review Culturama, Chapter 1, page 19). Indigenous groups are taking advantage of new technology and media to build and maintain links with each other over large areas.

Although it is tempting to see hope in the newly emerging forms of resistance, self-determination, and organization among indigenous peoples, such hope cannot be generalized to all indigenous groups. Many are making progress in asserting their claims, and their economic status is improving, but many others are suffering extreme political and economic repression and possible extinction.

Women and Development

The category of women contrasts with that of indigenous peoples because women, as a group, do not have a recognized territory associated with them. But the effects of colonialism, and now development, on women are similar to their effects on indigenous people: Women have often lost economic entitlements and political power in their communities. Matrilineal kinship, for example, which keeps property

in the female line (review Chapter 6), is in decline throughout the world. Westernization and modernization are frequently the cause of this change. Another factor that has had a pervasive negative effect on women's status is the **male bias in development**, or the design and implementation of development projects with men as beneficiaries and without regard to their impact on women's roles and status.

THE MALE BIAS IN DEVELOPMENT In the 1970s, researchers began to notice and write about the fact that development projects were male biased (Boserup 1970; Tinker 1976). Many projects completely bypassed women as beneficiaries, targeting men for such initiatives as growing cash crops and learning about new technology. This male bias in development contributed to increased gender inequality by giving men greater access to new sources of income and by depriving women of their traditional economic roles. The development experts' image of a farmer, for example, was male, not female.

Women's projects were typically focused on the domestic domain—for example, infant feeding practices, child care, and family planning. This emphasis led to the *domestication of women* worldwide, meaning that their lives became more focused on the domestic domain and more removed from the public domain (Rogers 1979). For example, agricultural projects bypassed female horticulturalists, who were taught to spend more time in the house bathing their babies, and political leadership projects focused on men and left women out even in contexts where women traditionally had public political roles.

The male bias in development also contributed to the failure of some projects. In the West African country of Burkina Faso (see Map 12.5, page 265), a reforestation project included men as the sole participants, whose tasks would include planting and caring for the trees. Cultural patterns there, however, dictate that men do not water plants; women do. The men planted the seedlings and left them. Excluding women from the project ensured its failure. The exclusion of women from development continues to be a problem, in spite of many years of attempting to keep women's roles on the development agenda.

The inclusion of women's knowledge, concerns, and voices in research has brought new and important issues to the fore, redefining development to fit women's needs (Figure 13.2). One such issue is gender-based violence. This issue has gained attention even among large multilateral organizations, whose experts now realize that women cannot participate in a credit program, for example, if they fear that their husbands will beat them for leaving the house. The United Nations Commission on the Status of Women drafted a declaration in opposition of violence against women that was adopted by the General Assembly in 1993

male bias in development the design and implementation of development projects with men as beneficiaries and without regard to the impact of the projects on women's roles and status.

Prebirth	Sex-selective abortion, battering during pregnancy, coerced pregnancy
Infancy	Infanticide, emotional and physical abuse, deprivation of food and medical care
Girlhood	Child marriage, genital mutilation, sexual abuse by family members and strangers, rape, deprivation of food and medical care, child prostitution
Adolescence	Dating and courtship violence, forced prostitution, rape, sexual abuse in the workplace, sexual harassment
Adulthood	Rape and partner abuse, partner homicide, sexual abuse in the workplace, sexual harassment
Old Age	Abuse and neglect of widows, elder abuse

Source: Adapted from Heise, Pitanguy, and Germain 1994:5.

FIGURE 13.2 Violence against Girls and Women throughout the Life Cycle.

(Heise, Pitanguy, and Germain 1994). Article 1 of the declaration states that violence against women includes “any act of gender-based violence that results in, or is likely to result in, physical, sexual or psychological harm or suffering to women, including threats of such acts, coercion or arbitrary deprivations of liberty, whether occurring in public or private life” (Economic and Social Council 1992). This definition cites women as the focus of concern but also includes girls.

WOMEN'S ORGANIZATIONS FOR CHANGE In many countries, women have improved their status and welfare through forming organizations, which are sometimes part of their traditional culture and sometimes a response to outside inspiration. These organizations range from mothers' clubs that help provide for communal child care to credit organizations that give women opportunities to start their own businesses. Some are local and small scale; others are global, such as Women's World Banking, an international organization that started in India and Bangladesh and grew out of credit programs for poor working women.

In another case, an informal system of social networks emerged to help support poor Maya women vendors in San Cristóbal, Chiapas, Mexico (Sullivan 1992) (see Map 4.3, page 78). Many of the vendors who work in the city square have fled from the highlands because of long-term political conflict there. They manufacture and sell goods to tourists, earning an important portion of household income. In the city, they find social support in an expanded network that helps compensate for the loss of support from the extensive godparenthood system (review Chapter 6) of the highlands. The vendors' new networks include relatives, neighbors,

church members, and other vendors, regardless of their religious, political, economic, or social background.

The networks first developed in response to a series of rapes and robberies that began in 1987. Because the offenders were persons of power and influence, the women did not dare to press charges. Mostly single mothers and widows, they adopted a strategy of self-protection. First, they began to gather during the slow period each afternoon. Second, they always travel in groups. Third, they carry sharpened corset bones and prongs: “If a man insults one of them, the group surrounds him and jabs him in the groin” (Sullivan 1992:39–40). Fourth, if a woman is robbed, the other women surround her, comfort her, and help contribute something toward compensating her for her loss. The mid-afternoon gatherings developed into support groups that provide financial assistance, child care, medical advice, and training in job skills. The groups have publicly demonstrated against city officials’ attempts to prevent them from continuing their vending. Through their collective efforts, they have succeeded in bringing greater security into their lives.

A last example of women’s empowerment and personal risk reduction through organized efforts comes from Kazakhstan, Central Asia (see Map 8.2, page 172). In response to the widespread domestic violence of husbands against wives, an NGO called the Society of Muslim Women (SMW) defines domestic violence as a problem that the Islamic faith should address at the grassroots level (Snajdr 2005). The organization declines to work with the police and civic activists, who provide secular responses that involve criminalization of the offense, arrest of offenders, and other public procedures.



Grameen Bank is a development project that started in Bangladesh. It provides microcredit, or small loans to poor people, especially women. The microcredit model has spread from Bangladesh to developing countries around the world and, recently, to developed countries as well. Thousands of examples worldwide show how small loans can help poor people help themselves.

► How does the success of Grameen Bank cause you to question your previous image of Bangladesh?



Maya women vendors sell chickens in the town of San Cristóbal, the capital city of Chiapas state in southern Mexico. Chiapas has one of the largest indigenous populations in the country, a high poverty level, and stunning natural beauty.

Instead, SMW views domestic violence as a private matter that should be dealt with by using Islamic and Kazakh values. Its three approaches are counseling and shelter for abused women and couples’ mediation. SMW’s guiding principle is to find a way, if possible, to rebuild the family, something that may sound conservative, and even dangerous, in a situation where wife abuse is reported to occur in four out of five marriages. Yet, without funding or professional training, SMW members have provided support for countless women. They help the women overcome isolation by offering shelter, which conforms to the Kazakh custom of hospitality and the Muslim virtue of patience. SMW support gives the spouses time to think about their relationship and shifts blame from the victims by invoking Islamic values of familial commitment and gender equality. Nationalist rhetoric shifts blame for men’s alcoholism from the individual to the Russian occupation. Thus, SMW works within the bounds of Kazakh culture and uses that culture for positive outcomes within those bounds.



Urgent Issues in Development

This chapter opened with a quotation about the people of Walpole Island Reservation in Canada and their ongoing attempt to recover from the damage that colonialism and

THINKING
OUTSIDE
THE BOX

What lessons might SMW be able to share with programs that seek to prevent wife abuse in other countries? (Recall the case of wife abuse in Kentucky presented in Chapter 6, p. 132.)

eye on the ENVIRONMENT

Oil, Environmental Degradation, and Human Rights in the Niger Delta

During the British colonial era, Nigeria provided wealth for the Crown through the export of palm oil (Osha 2006). In the postcolonial era of globalization, a different kind of oil dominates the country's economy: petroleum. Starting in the 1950s, with the discovery of vast petroleum reserves in Nigeria's Delta region, several European and American companies have explored for, drilled for, and exported crude oil to the extent that Nigeria occupies an important position in the world economy.

Most local people in the delta, however, have gained few economic benefits from the oil industry and instead have reaped major losses in their agricultural and fishing livelihoods due to environmental pollution. They are poorer now than they were in the 1960s. In addition to economic suffering, they have lost personal security. Many have become victims of the violence that has increased in the region since the 1990s through state and corporate repression of a local resistance movement. Many others have become IDPs (review Chapter 12), leaving the delta region to escape the pervasive violence.

The Ogoni, who live in the south-eastern portion of the delta, are one of the most negatively affected groups. Ogoni author and Nobel prizewinner Ken Saro-Wiwa founded the Movement for Survival of the



A farmer walks through an oil-soaked field. About 500,000 Ogoni people live in Ogoniland, a deltaic region in southern Nigeria. The fertility of the Niger delta has supported farming and fishing populations at high density for many years. Since Shell discovered oil there in 1958, 100 oil wells were constructed in Ogoniland, and countless oil spills have occurred.

neocolonialism have wreaked on their culture and natural environment. In spite of much progress of local people and women in redefining development to improve their lives, rather than the lives of external groups such as international businesses and neocolonial states, the bulk of “development” money still goes for mega-projects that do more for people who already have resources than for the poor.

As discussed earlier in the chapter, development projects are the main mechanism through which development institutions implement their goals. These projects are typically designed by outsiders, often with little local knowledge, and they follow a universal, one-size-fits-all pattern. They range from

mega-projects such as massive dams to small projects, with the former being much more damaging to local people than the latter. The so-called beneficiaries or target population are often not consulted at all about projects that will affect their community. Critics of such externally imposed and often damaging initiatives refer to them as **development aggression**, the imposition of development projects and policies without the free, prior, and informed consent of the affected people (Tauli-Corpuz 2005). Such development violates the human rights of local people, including their right to pursue a livelihood in their homeland and to prevent environmental destruction of their territory. It also contributes nothing to prevent or alleviate poverty.



MAP 13.7 Nigeria and the Niger Delta.

Nigeria is the most populous country in Africa, with over 140 million people. It has more than 250 ethnic groups, with the largest being the Fulani, Hausa, Yorùbà, and Igbo. speak over 500 languages; English is the official language. Nigeria is Africa's biggest petroleum producer, with an average of 2 million barrels a day extracted in the Niger Delta. The delta makes up 7.5 percent of Nigeria's landmass, but its population of 31 million accounts for 22 percent of the population. The Niger Delta's petroleum industry supports a high economic growth rate for the country, making it one of the fastest growing economies in the world. Yet little of this wealth filters back to the local people of the delta, who bear the brunt of the environmental and cultural damage caused by the petroleum industry. Oil spills are a frequent problem. One of the world's richest wetlands and richest areas of cultural diversity, with over 40 ethnic groups, is endangered by large-scale petroleum mining that benefits people in the capital city and in other countries.

Ogoni People (MOSOP) in 1992 to protest Shell's actions in Ogoniland and the Nigerian government's militarized repression in the region. In 1995, he and eight other Ogoni activists were arrested, tried under suspicious circumstances, and executed by hanging.

In a 1992 speech to the United Nations Working Group on Indigenous Populations, Saro-Wiwa eloquently points to the connections among resource extraction, the environment, and Ogoni human rights and social justice:

Environmental degradation has been a lethal weapon in the war against the indigenous Ogoni people. . . . Oil exploration has turned Ogoni into a wasteland: lands, streams, and creeks are totally and continually polluted; the atmosphere has been poisoned, charged as it is with hydrocarbon vapors, methane, carbon monoxide, carbon dioxide, and soot emitted by gas which has been flared 24 hours a day for 33 years in close proximity to human habitation. . . . All one sees and feels around is death. (quoted in Sachs 1996:13–16)

Many social scientists agree with Saro-Wiwa that such forms of development violate human and cultural rights because they undermine a people's way of life and threaten its continued existence (Johnston 1994).

FOOD FOR THOUGHT ▼

Use the Internet to explore the concept of corporate social responsibility, and be prepared to discuss its relationship to the Ogoni situation.

Life Projects and Human Rights

Moving beyond critique, indigenous people, women, and others who are victimized by development aggression are redefining what should be done to improve their lives or protect them from further decline. They propose the concept of the life project rather than the development project. A **life project** is local people's vision of the direction they want to take in life, informed by their knowledge, history, and context, and how to achieve that vision.

Life projects can be considered a human right and thus in accord with the United Nations's Declaration of Human Rights that was ratified in 1948. Development that leads to

environmental degradation, including loss of biological diversity, air and water pollution, deforestation, and soil erosion, is a human rights abuse. Many examples exist worldwide of how rich natural resources are improperly exploited to the gain of a few and the detriment of many, turning what could be a blessing into a curse (see Eye on the Environment).

development aggression the imposition of development projects and policies without the free, prior, and informed consent of the affected people.

life project local people's definition of the direction they want to take in life, informed by their knowledge, history, and context.

The major extractive industries of mining, oil, and gas are driven by the profit motive to the extent that they are disinclined to take local people's interests and environmental concerns seriously. An **extractive industry** is a business that explores for, removes, processes, and sells minerals, oil, and gas that are found on or beneath the earth's surface and are nonrenewable. The many tragic cases of local violence around the world where local people seek to prevent or remove an extractive industry project from their land, however, have been a wake-up call to some companies. Rio Tinto, one of the largest mining companies in the world, seeks to use cultural anthropology expertise to find ways to treat "affected peoples" more fairly and to do a better job of ensuring that environmental "mitigation" will occur once a mine is closed (Cochrane 2009).

What drives extractive industries to continue to explore for gold, drill for oil, and cut down trees? What drives countries to build mega-dams and mega-highways? The demand lies with all of us, in our consumerist lifestyle that requires diamonds for engagement rings, gold and platinum for computers and gold and copper for cell phones, electrical power for air conditioning, and fuel to transport us, our food and water, and everything else we buy. Entire mountains in West Virginia are being leveled to provide coal to power the air conditioning used in Washington, DC. Forests in Brazil and New Guinea are taken down so that we can read the newspaper. Corn is being harvested to move vehicles rather than to feed people, and millions of gallons of water are being used to process minerals such as aluminum, rather than being available for human drinking, bathing, and swimming, or for fish and waterfowl. It will take a lot to turn this pattern around from destructive projects to life projects.

Cultural Heritage and Development: Linking the Past and Present to the Future

Chapter 11 discussed the potential of cultural heritage, both tangible and intangible, in creating employment opportunities for local people through cultural tourism. This section goes more deeply into the complicated connections between cultural heritage and improving people's welfare from a life project perspective.

The connection of cultural heritage tourism to development is a double-edged sword, with both benefits and costs (Bauer 2006). Promoting cultural heritage through tourism requires an expansion of supportive infrastructure such as roads and hotels and electricity, the provision of food and other supplies for tourists, and labor to provide services for tourists. Thus, at the same time that it generates revenue, such

extractive industry a business that explores for, removes, processes, and sells minerals, oil, and gas that are found on or beneath the earth's surface and are nonrenewable.



World Heritage Sites both benefit and suffer from the designation because of the many tourists drawn to them. Angkor Wat, which means "The Temple City," was designated as a World Heritage Site in 1983. It was built in the twelfth century as a Hindu temple, but later additions to it were Buddhist. Current tourist numbers are putting the site in danger due to trash, pedestrian traffic, and hotel development in the vicinity. In 1983, fewer than 8000 tourists visited the site. Projections for 2010 are 3 million.

► *Plan a trip to Angkor Wat, and learn whether "green" options exist and whether the site offers ways to reduce damage from tourists.*

tourism can preserve and protect cultural heritage, but the presence of the tourism industry and the tourists themselves may damage and even destroy it. Such famous World Heritage Sites as Angkor Wat in Cambodia and Machu Picchu in Peru are physically suffering the strains of huge numbers of tourists. Venice, the world's most toured city, is also a World Heritage Site. Given its particular attraction as a city of canals, it is at particular risk of overload and environmental degradation from the ever-increasing number of tourist boats in the canals, not to mention the massive amount of solid and liquid trash that tourists leave behind (David and Marvin 2004). Although promotional literature advertising Venice to tourists shows a romantic scene of a couple in a gondola or sitting alone in the Piazza San Marco, the reality is that the couple would be surrounded by crowds of tourists and heckled by ambitious local entrepreneurs.



Haitian dancers perform on Discover Miami Day at Miami's Little Haiti Caribbean Marketplace. Haitian culture in Miami is an increasingly popular tourist attraction in North America.

A growing area related to cultural heritage preservation is intellectual property rights law, or cultural property rights law. Lawyers worldwide are increasingly involved in providing legal definitions and protections of rights to various forms of cultural knowledge and behavior. The legalization of culture is another double-edged sword: On one hand, laws may help people, such as the San of southern Africa, to gain a share of the profits from the hoodia plant. On the other hand, the legalization of culture can transform much of everyday life into a legal battle requiring expensive legal specialists. For thousands of years, the San had full and unquestioned entitlement to hoodia and its benefits. They did not need to hire international lawyers.

Everything from website addresses that may use tribal names to the designation of what is or is not champagne can now become grounds for litigation. And money, you can be sure, is involved from the start to the finish, as is the more difficult to quantify sense of identity of people

who define themselves in relation to a place, a product, or a taste.

Cultural Anthropology and the Future

Over the next several years, culture will be a major factor in international, regional, and local development and change. Determining how knowledge in cultural anthropology can contribute more effectively to a better future for humanity is a challenge for a field with its intellectual roots in studying *what is* rather than *what might be*. But just as local people everywhere are redefining development and reclaiming their culture, so are they also helping to redefine the theory, practice, and application of cultural anthropology. Although we live in a time of war, it is also a time of hope, in which insights and strength often come from those with the least in terms of material wealth but with cultural wealth beyond measure.

13

the **BIG** questions REVISITED

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What is development, and what are the approaches to achieving it?

Several theories or models of development exist, including modernization, growth-oriented development, distributional development, human development, and sustainable development. They differ in terms of how they define development and how to achieve it.

Institutional approaches to development, whether pursued by large-scale or grassroots organizations, tend to rely on the development project as a vehicle of local change. Cultural anthropologists have been hired as consultants on development projects, typically at the end of the project cycle to provide evaluations. Anthropologists have pushed for involvement earlier in the project so that their cultural knowledge can be used in project planning to avoid common errors. A one-size-fits-all project design often results in failed projects.

In traditional development anthropology, anthropological knowledge contributes to a development project by adding insights that will make the project work. In critical development anthropology, anthropological knowledge may suggest that the most socially beneficial path is either to stop the project or to redesign it.

How has development affected indigenous people and women?

Indigenous people and women have been affected by international development in various ways, often negatively. They are taking an increasingly active role in redefining development to better suit their vision of the future.

Colonialism, neocolonialism, and globalization have eroded the entitlements of indigenous peoples and women worldwide. Often, such losses are tied to environmental degradation and violence. Indigenous peoples throughout the world suffer because they lack a secure claim to their ancestral territories. They seek social recognition of territorial claims from state governments and protection from

encroachment. Some governments are responding to their claims; others are not. Establishing activist organizations has been a major source of strength for promoting indigenous people's rights.

Western development planning and projects have long suffered from a male bias in project design. Excluding women from projects serves to domesticate women and often results in failed projects. Women are stating their needs and visions for the future, thus redefining development in ways that are helpful to them. They have added the issue of violence against women and girls to the policy agendas of development institutions worldwide, including the large multilateral organizations.

What are urgent issues in development?

Three urgent issues, as informed by cultural anthropology and the views and voices of people themselves, are the redefinition of development projects as life projects, or people-centered projects; the relationship between human rights and development; and the role of cultural heritage in development. Indigenous people, women, and others adversely affected by certain forms of development are promoting these new kinds of development in order to enhance their prospects for the future.

The concept of the life project is a human right and a right to live in one's cultural world without encroachment, threat, or discrimination. Cultural anthropologists contribute insights from different cultures about perceptions of basic human and cultural rights, and this knowledge, linked to advocacy, may be able to help prevent human/cultural rights abuses in the future.

People's cultural heritage can be a path toward improved welfare, but it is a double-edged sword. Promoting cultural tourism can protect culture but also lead to damage and destruction. An emerging area is the legalization of cultural heritage through intellectual property rights law, another double-edged sword.

Culture is a central issue of our time, and local people are working with cultural anthropologists to address the challenges of an increasingly globalized and insecure, but exciting, world.

KEY CONCEPTS

acculturation, p. 272
assimilation, p. 272
critical development
anthropology, p. 281
cultural fit, p. 280
development, p. 272

development
aggression, p. 289
development project, p. 278
diffusion, p. 272
extractive industry, p. 290
invention, p. 272

life project, p. 289
male bias in
development, p. 286
modernization, p. 275
poverty, p. 272
project cycle, p. 280

social capital, p. 278
social impact
assessment, p. 275
traditional development
anthropology, p. 281

SUGGESTED READINGS

Mario Blaser, Harvey A. Feit, and Glenn McRae, eds. *In the Way of Development: Indigenous Peoples, Life Projects and Globalization*. New York: Zed Books, 2004. The authors are indigenous leaders, social activists, and anthropologists. Topics include the environment, women's status, social justice, participation, and dealing with mega-development projects.

Glynn Cochrane. *Festival Elephants and the Myth of Global Poverty*. Boston: Pearson, 2009. More than 40 years of experience in international development inform this memoir and critique. Cochrane argues that no single form of global poverty exists but that poverty is local and must be addressed with local solutions.

Ann Frechette. *Tibetans in Nepal: The Dynamics of International Assistance among a Community in Exile*. New York: Bergahn Books, 2002. Frechette shows how aid complicates exiled Tibetans' attempts to define and maintain a sense of community.

Mark Goodale. *Surrendering to Utopia: An Anthropology of Human Rights*. Stanford: Stanford University Press, 2009. Goodale examines the influence of cultural anthropology on human rights doctrine following World War II.

Dorothy L. Hodgson. *Once Intrepid Warriors: Gender, Ethnicity, and the Cultural Politics of Maasai Development*. Bloomington: Indiana University Press, 2004. This ethnography shows how Maasai identity and gender connect with development and globalization to shape Maasai life today.

Gideon M. Kressel. *Let Shepherding Endure: Applied Anthropology and the Preservation of a Cultural Tradition in Israel and the Middle East*. Albany: SUNY Press, 2003. Kressel presents a case study of the Bedu of the Negev, southern Israel, showing how globalization is encroaching on herders to their great detriment and laying out an applied anthropology program for reconstituting and promoting pastoralism.

William Loker. *Changing Places: Environment, Development, and Social Change in Rural Honduras*. Durham, NC: Carolina Academic Press, 2004. Loker uses qualitative and quantitative data to assess the social and environmental effects of a large dam in the El Cajón region of Honduras.

Gordon Mathews and Carolina Izquierdo, eds. *Pursuits of Happiness: Well-Being in Anthropological Perspective*, 2009. Case studies provide varied notions about individual and social well-being in different contexts.

Richard J. Perry. *From Time Immemorial: Indigenous Peoples and State Systems*. Austin: University of Texas Press, 1996. Perry provides a comparative review of the history and status of indigenous peoples of Mexico, the United States, Canada, and Australia. Topics covered are state policies, state violence, resistance of the indigenous people, and efforts at self-determination.

Joanne Rappaport. *Intercultural Utopias: Public Intellectuals, Cultural Experimentation, and Ethnography*. Durham, NC: Duke University Press, 2005. Drawing on collaborative research with indigenous activists in Colombia, the author documents the country's complex indigenous political movement, with a focus on the southwestern Cauca region.

John Sherry. *Land, Wind and Hard Words: A Story of Navajo Activism*. Albuquerque: University of New Mexico Press, 2002. This book presents the story of the community-based activists of a Navajo environmental organization called Diné CARE, which seeks to protect Navajo forests from logging.

Jennie M. Smith. *When the Hands Are Many: Community Organization and Social Change in Rural Haiti*. Ithaca, NY: Cornell University Press, 2001. Fieldwork in southwest Haiti reveals how poor rural people use social organizing and expressive culture to unite in resistance to the larger forces that impoverish them.

 Read the Document on myanthrolab.com

Ben J. Wallace. Doing Development One Chicken at a Time: Happy Hollow Egg Production as an Unlikely Spin-Off from an Agroforestry Development Project. *Practicing Anthropology* 31(1): 9–10, 2010. Wallace describes how community members came up with an idea that worked for them, but it was not about trees.

Cheryl White. Saramaka Maroon Community Environmental Heritage. *Practicing Anthropology* 31(3):45–49, 2009. The Saramaka Maroons of Suriname, South America, have been asserting their human rights in the face of government-supported logging on and destruction of their ancestral land.

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- acculturation** a form of cultural change in which a minority culture becomes more like the dominant culture.
- achieved position** a person's standing in society based on qualities that the person has gained through action.
- adolescence** a culturally defined period of maturation from the time of puberty until adulthood that is recognized in some, but not all, cultures.
- age set** a group of people close in age who go through certain rituals, such as circumcision, at the same time.
- agency** the ability of humans to make choices and exercise free will even within dominating structures.
- agriculture** a mode of livelihood that involves growing crops with the use of plowing, irrigation, and fertilizer.
- amazon** a person who is biologically female but takes on a male gender role.
- animatism** a belief system in which the supernatural is conceived of as an impersonal power.
- anthropology** the study of humanity, including its prehistoric origins and contemporary human diversity.
- applied anthropology** the use of anthropological knowledge to prevent or solve problems or to shape and achieve policy goals.
- applied medical anthropology** the application of anthropological knowledge to furthering the goals of health-care providers.
- archaeology** the study of past human cultures through their material remains.
- art** the application of imagination, skill, and style to matter, movement, and sound that goes beyond what is purely practical.
- ascribed position** a person's standing in society based on qualities that the person has gained through birth.
- asexuality** lack of sexual attraction or interest in sexual activity.
- assimilation** a form of cultural change in which a culture is thoroughly acculturated, or deculturated, and is no longer distinguishable as having a separate identity.
- authority** the ability to take action based on a person's achieved or ascribed status or moral reputation.
- balanced exchange** a system of transfers in which the goal is either immediate or eventual equality in value.
- band** the form of political organization of foraging groups, with flexible membership and minimal leadership.
- berdache** a blurred gender category, usually referring to a person who is biologically male but who takes on a female gender role.
- big-man system or big-woman system** a form of political organization midway between tribe and chiefdom and involving reliance on the leadership of key individuals who develop a political following through personal ties and redistributive feasts.
- bilineal descent** the tracing of descent through both parents.
- biological anthropology** the study of humans as biological organisms, including evolution and contemporary variation.
- biological determinism** a theory that explains human behavior and ideas as shaped mainly by biological features such as genes and hormones.
- blood sport** a competition that explicitly seeks to bring about a flow of blood from, or even the death of, human-human contestants, human-animal contestants, or animal-animal contestants.
- bracero** an agricultural laborer in Latin America and the Caribbean who is permitted entry to a country to work for a limited time.
- brideprice** the transfer of cash and goods from the groom's family to the bride's family and to the bride.
- brideservice** a form of marriage exchange in which the groom works for his father-in-law for a certain length of time before returning home with the bride.
- call system** a form of oral communication among nonhuman primates with a set repertoire of meaningful sounds generated in response to environmental factors.
- cargo cult** a form of revitalization movement that emerged in Melanesia in response to Western and Japanese influences.
- caste system** a form of social stratification linked with Hinduism and based on a person's birth into a particular group.
- chain migration** a form of population movement in which a first wave of migrants comes and then attracts relatives and friends to join them in the destination.
- chiefdom** a form of political organization in which permanently allied tribes and villages have one recognized leader who holds an "office."
- circular migration** repeated movement between two or more places, either within or between countries.
- civil society** the collection of interest groups that function outside the government to organize economic and other aspects of life.
- class** a way of categorizing people on the basis of their economic position in society, usually measured in terms of income or wealth.
- collaborative research** an approach to learning about culture that involves anthropologists working with members of the study population as partners and participants rather than as "subjects."
- communication** the process of sending and receiving meaningful messages.
- community healing** healing that emphasizes the social context as a key component and that is carried out within the public domain.
- consumerism** a mode of consumption in which people's demands are many and infinite and the means of satisfying them are insufficient and become depleted in the effort to satisfy these demands.
- corporate social responsibility (CSR)** business ethics that seek to generate profits for the corporation while avoiding harm to people and the environment.
- couvade** customs applying to the behavior of fathers during and shortly after the birth of their children.
- creole** a language directly descended from a pidgin but possessing its own native speakers and involving linguistic expansion and elaboration.
- critical development anthropology** an approach to international development in which the anthropologist takes a critical-thinking role and asks why and to whose benefit particular development policies and programs are pursued.
- critical discourse analysis** an approach within linguistic anthropology that examines how power and social inequality are reflected and reproduced in communication.
- critical legal anthropology** an approach within the cross-cultural study of legal systems that examines the role of law and judicial processes in maintaining the dominance of powerful groups through discriminatory practices rather than protecting less powerful people.
- critical media anthropology** an approach within the cross-cultural study of media that examines how power interests shape people's access to media and influence the contents of its messages.
- critical medical anthropology** an approach within medical anthropology involving the analysis of how economic and political structures shape people's health status, their access to health care, and the prevailing medical systems that exist in relation to them.

- cross-cousin** offspring of either one's father's sister or one's mother's brother.
- cultural anthropology** the study of living peoples and their cultures, including variation and change.
- cultural broker** someone who is familiar with two cultures and can promote communication and understanding across them.
- cultural constructionism** a theory that explains human behavior and ideas as shaped mainly by learning.
- cultural fit** a characteristic of informed and effective project design in which planners take local culture into account.
- cultural materialism** a theory that takes material features of life, such as the environment, natural resources, and mode of livelihood, as the bases for explaining social organization and ideology.
- cultural relativism** the perspective that each culture must be understood in terms of the values and ideas of that culture and not judged by the standards of another culture.
- culture** people's learned and shared behaviors and beliefs.
- culture shock** persistent feelings of uneasiness, loneliness, and anxiety that often occur when a person has shifted from one culture to a different one.
- culture-specific syndrome** a collection of signs and symptoms that is restricted to a particular culture or a limited number of cultures.
- dalit** the preferred name for the socially defined lowest groups in the Indian caste system; the name means "oppressed" or "ground down."
- deductive approach (to research)** a research method that involves posing a research question or hypothesis, gathering data related to the question, and then assessing the findings in relation to the original hypothesis.
- demographic transition** the change from the agricultural pattern of high fertility and high mortality to the industrial pattern of low fertility and low mortality.
- descent** the tracing of kinship relationships through parentage.
- development** change directed toward improving human welfare.
- development aggression** the imposition of development projects and policies without the free, prior, and informed consent of the affected people.
- development-induced displacement** the forced migration of a population due to development.
- development project** a set of activities designed to put development policies into action.
- diaspora population** a dispersed group of people living outside their original homeland.
- diffusion** the spread of culture through contact.
- digital divide** social inequality in access to new and emerging information technology, notably access to up-to-date computers, the Internet, and training related to their use.
- discourse** culturally patterned verbal language including varieties of speech, participation, and meaning.
- disease** in the disease-illness dichotomy, a biological health problem that is objective and universal.
- disease of development** a health problem caused or increased by economic development activities that have detrimental effects on the environment and people's relationship with it.
- displaced person** someone who is forced to leave his or her home, community, or country.
- displacement** a feature of human language whereby people are able to talk about events in the past and future.
- doctrine** direct and formalized statements about religious beliefs.
- dowry** the transfer of cash and goods from the bride's family to the newly married couple.
- ecological/epidemiological approach** an approach within medical anthropology that considers how aspects of the natural environment and social environment interact to cause illness.
- emic** insiders' perceptions and categories, and their explanations for why they do what they do.
- endogamy** marriage within a particular group or locality.
- entitlement** a culturally defined right to life-sustaining resources.
- ethnicity** a shared sense of identity among members of a group based on heritage, language, or culture.
- ethnocentrism** judging another culture by the standards of one's own culture rather than by the standards of that particular culture.
- ethno-esthetics** culturally specific definitions of what art is.
- ethno-etiology** a culturally specific causal explanation for health problems and suffering.
- ethnography** a detailed description of a living culture, based on personal observation and study.
- ethnomedicine** the study of cross-cultural health systems.
- ethnomusicology** the cross-cultural study of music.
- ethnosemantics** the study of the meaning of words, phrases, and sentences in particular cultural contexts.
- etic** an analytical framework used by outside analysts in studying culture.
- exogamy** marriage outside a particular group or locality.
- expected reciprocity** an exchange of approximately equally valued goods or services, usually between people roughly equal in social status.
- expressive culture** behaviors and beliefs related to art, leisure, and play.
- extended household** a coresidential group that comprises more than one parent-child unit.
- extensive strategy** a form of livelihood involving temporary use of large areas of land and a high degree of spatial mobility.
- extractive industry** a business that explores for, removes, processes, and sells minerals, oil, and gas that are found on or beneath the earth's surface and are nonrenewable.
- family** a group of people who consider themselves related through a form of kinship, such as descent, marriage, or sharing.
- family farming** a form of agriculture in which farmers produce mainly to support themselves but also produce goods for sale in the market system.
- female genital cutting (FGC)** a range of practices involving partial or total removal of the clitoris and labia.
- fertility** the rate of births in a population or the rate of population increase in general.
- fieldwork** research in the field, which is any place where people and culture are found.
- foraging** obtaining food available in nature through gathering, hunting, or scavenging.
- functionalism** the theory that a culture is similar to a biological organism, in which parts work to support the operation and maintenance of the whole.
- gender** culturally constructed and learned behaviors and ideas attributed to males, females, or blended genders.
- gender pluralism** the existence within a culture of multiple categories of femininity, masculinity, and blurred genders that are tolerated and legitimate.
- generalized reciprocity** exchange involving the least conscious sense of interest in material gain or thought of what might be received in return.
- global language** a language spoken widely throughout the world and in diverse cultural contexts, often replacing indigenous languages.
- globalization** increased and intensified international ties related to the spread of Western, especially U.S., capitalism that affects all world cultures.
- heterotopia** something formed from elements drawn from multiple and diverse contexts.
- hijra** in India, a blurred gender role in which a person, usually biologically male, takes on female dress and behavior.
- historical linguistics** the study of language change using formal methods that compare shifts over time and across space in aspects of language, such as phonetics, syntax, and semantics.

- historical trauma** the intergenerational transfer of the detrimental effects of colonialism from parents to children.
- holism** the perspective in anthropology that cultures are complex systems that cannot be fully understood without paying attention to their different components, including economics, social organization, and ideology.
- horticulture** a mode of livelihood based on growing domesticated crops in gardens, using simple hand tools.
- household** either one person living alone or a group of people who may or may not be related by kinship and who share living space.
- humoral healing** healing that emphasizes balance among natural elements within the body.
- illness** in the disease-illness dichotomy, culturally shaped perceptions and experiences of a health problem.
- incest taboo** a strongly held prohibition against marrying or having sex with particular kin.
- indigenous knowledge** local understanding of the environment, climate, plants, animals, and making a living.
- indigenous people** groups of people who have a long-standing connection with their home territories that predates colonial or outside societies.
- inductive approach (to research)** a research approach that avoids hypothesis formation in advance of the research and instead takes its lead from the culture being studied.
- industrial capital agriculture** a form of agriculture that is capital-intensive, substituting machinery and purchased inputs for human and animal labor.
- industrialism/informatics** a mode of livelihood in which goods are produced through mass employment in business and commercial operations and through the creation and movement of information through electronic media.
- infanticide** the killing of an infant or child.
- influence** the ability to achieve a desired end by exerting social or moral pressure on someone or some group.
- informed consent** an aspect of fieldwork ethics requiring that the researcher inform the research participants of the intent, scope, and possible effects of the proposed study and seek their consent to be in the study.
- institutional migrant** someone who moves into a social institution either voluntarily or involuntarily.
- intangible cultural heritage** UNESCO's view of culture as manifested in oral traditions, languages, performing arts, rituals and festive events, knowledge and practices about nature and the universe, and craftmaking.
- intensive strategy** a form of livelihood that involves continuous use of the same land and resources.
- internal migration** movement within country boundaries.
- internally displaced person (IDP)** someone who is forced to leave his or her home or community but who remains in the same country.
- international migration** movement across country boundaries.
- interpretive anthropology** the view that cultures are best understood by studying what people think about, their ideas, and the meanings that are important to them.
- interview** a research technique that involves gathering verbal data through questions or guided conversation between at least two people.
- invention** the discovery of something new.
- kipu** cords of knotted strings used during the Inca empire for keeping accounts and recording events.
- kinship system** the predominant form of kin relationships in a culture and the kinds of behavior involved.
- kula** a trading network, linking many of the Trobriand Islands, in which men have long-standing partnerships for the exchange of everyday goods, such as food, as well as highly valued necklaces and armlets.
- language** a form of communication that is based on a systematic set of learned symbols and signs shared among a group and passed on from generation to generation.
- language family** a group of languages descended from a parent language.
- law** a binding rule created through enactment or custom that defines right and reasonable behavior and is enforceable by the threat of punishment.
- lifeboat mentality** a view that seeks to limit growth of a particular group because of perceived resource constraints.
- life-cycle ritual** a ritual that marks a change in status from one life stage to another.
- life project** local people's definition of the direction they want to take in life, informed by their knowledge, history, and context.
- linguistic anthropology** the study of human communication, including its origins, history, and contemporary variation and change.
- localization** the transformation of global culture by local cultures into something new.
- logograph** a symbol that conveys meaning through a form or picture resembling that to which it refers.
- magic** the attempt to compel supernatural forces and beings to act in certain ways.
- male bias in development** the design and implementation of development projects with men as beneficiaries and without regard to the impact of the projects on women's roles and status.
- market exchange** the buying and selling of commodities under competitive conditions, in which the forces of supply and demand determine value.
- marriage** a union, usually between two people who are likely to be, but are not necessarily, coresident, sexually involved with each other, and procreative.
- material cultural heritage** the sites, monuments, buildings, and movable objects considered to have outstanding value to humanity.
- matrescence** motherhood, or the cultural process of becoming a mother.
- matriarchy** the dominance of women in economic, political, social, and ideological domains.
- matrilineal descent** a descent system that highlights the importance of women by tracing descent through the female line, favoring marital residence with or near the bride's family, and providing for property to be inherited through the female line.
- medical pluralism** the existence of more than one health system in a culture; also, a government policy to promote the integration of local healing systems into biomedical practice.
- medicalization** the labeling of a particular issue or problem as medical and requiring medical treatment when, in fact, that issue or problem is economic or political.
- menarche** the onset of menstruation.
- menopause** the cessation of menstruation.
- mestizaje** literally, a racial mixture; in Central and South America, indigenous people who are cut off from their Indian roots, or literate and successful indigenous people who retain some traditional cultural practices.
- microculture** a distinct pattern of learned and shared behavior and thinking found within a larger culture.
- migration** movement from one place to another.
- minimalism** a mode of consumption that emphasizes simplicity, is characterized by few and finite consumer demands, and involves an adequate and sustainable means to achieve them.
- mode of consumption** the dominant pattern, in a culture, of using things up or spending resources in order to satisfy demands.
- mode of exchange** the dominant pattern, in a culture, of transferring goods, services, and other items between and among people and groups.
- mode of livelihood** the dominant way of making a living in a culture.

- mode of reproduction** the predominant pattern, in a culture, of population change through the combined effect of fertility (birth rate) and mortality (death rate).
- modernization** a model of change based on belief in the inevitable advance of science and Western secularism and processes, including industrial growth, consolidation of the state, bureaucratization, a market economy, technological innovation, literacy, and options for social mobility.
- moka** a strategy for developing political leadership in highland New Guinea that involves exchanging gifts and favors with individuals and sponsoring large feasts where further gift-giving occurs.
- monogamy** marriage between two people.
- multisited research** fieldwork conducted in more than one location in order to understand the culture of dispersed members of the culture or relationships among different levels of culture.
- myth** a narrative with a plot that involves the supernaturals.
- nation** a group of people who share a language, culture, territorial base, political organization, and history.
- new immigrant** an international migrant who has moved since the 1960s.
- norm** a generally agreed-upon standard for how people should behave, usually unwritten and learned unconsciously.
- nuclear household** a domestic unit containing one adult couple (married or partners) with or without children.
- parallel cousin** offspring of either one's father's brother or one's mother's sister.
- participant observation** basic fieldwork method in cultural anthropology that involves living in a culture for a long time while gathering data.
- pastoralism** a mode of livelihood based on keeping domesticated animals and using their products, such as meat and milk, for most of the diet.
- patrescence** fatherhood, or the cultural process of becoming a father.
- patriarchy** the dominance of men in economic, political, social, and ideological domains.
- patrilineal descent** a descent system that highlights the importance of men in tracing descent, determining marital residence with or near the groom's family, and providing for inheritance of property through the male line.
- personality** an individual's patterned and characteristic way of behaving, thinking, and feeling.
- phoneme** a sound that makes a difference for meaning in a spoken language.
- phytotherapy** healing through the use of plants.
- pidgin** a contact language that blends elements of at least two languages and that emerges when people with different languages need to communicate.
- pilgrimage** round-trip travel to a sacred place or places for purposes of religious devotion or ritual.
- placebo effect** a positive result from a healing method due to a symbolic or otherwise nonmaterial factor.
- policing** the exercise of social control through processes of surveillance and the threat of punishment related to maintaining social order.
- political organization** groups within a culture that are responsible for public decision making and leadership, maintaining social cohesion and order, protecting group rights, and ensuring safety from external threats.
- polyandry** marriage of one wife with more than one husband.
- polygamy** marriage involving multiple spouses.
- polygyny** marriage of one husband with more than one wife.
- potlatch** a grand feast in which guests are invited to eat and to receive gifts from the hosts.
- poverty** the lack of tangible and intangible assets that contribute to life and the quality of life.
- power** the ability to take action in the face of resistance, through force if necessary.
- priest or priestess** a male or female full-time religious specialist whose position is based mainly on abilities gained through formal training.
- primary group** a social group in which members meet on a face-to-face basis.
- productivity** a feature of human language whereby people are able to communicate a potentially infinite number of messages efficiently.
- project cycle** the steps of a development project from initial planning to completion: project identification, project design, project appraisal, project implementation, and project evaluation.
- pronatalism** an attitude or policy that encourages childbearing.
- puberty** a time in the human life cycle that occurs universally and involves a set of biological markers and sexual maturation.
- pure gift** something given with no expectation or thought of a return.
- push-pull theory** an explanation for rural-to-urban migration that emphasizes people's incentives to move because of a lack of opportunity in rural areas (the "push") compared with urban areas (the "pull").
- qualitative data** non-numeric information.
- quantitative data** numeric information.
- questionnaire** a formal research instrument containing a preset series of questions that the anthropologist asks in a face-to-face setting, by mail, or by e-mail.
- "race"** a classification of people into groups on the basis of supposedly homogeneous and biological traits such as skin color or hair characteristics.
- rainforest** an environment found at mid-latitudes, of tall, broad-leaf evergreen trees, with annual rainfall of 400 centimeters (or 60 inches) and no dry season.
- rapport** a trusting relationship between the researcher and the study population.
- redistribution** a form of exchange that involves one person collecting goods or money from many members of a group, who then, at a later time and at a public event, "returns" the pooled goods to everyone who contributed.
- refugee** someone who is forced to leave his or her home, community, or country.
- religion** beliefs and behavior related to supernatural beings and forces.
- religious pluralism** the condition in which one or more religions coexist either as complementary to each other or as competing systems.
- religious syncretism** the blending of features of two or more religions.
- remittance** the transfer of money or goods by a migrant to his or her family in the country of origin.
- revitalization movement** a socioreligious movement, usually organized by a prophetic leader, that seeks to construct a more satisfying situation by reviving all or parts of a religion that has been threatened by outside forces or by adopting new practices and beliefs.
- right of return** the United Nations' guaranteed right of a refugee to return to his or her home country to live.
- ritual** patterned behavior that has to do with the supernatural realm.
- ritual of inversion** a ritual in which normal social roles and order are temporarily reversed.
- sacrifice** a ritual in which something is offered to the supernaturals.
- Sapir-Whorf hypothesis** a perspective in linguistic anthropology which says that language determines thought.

- secondary group** a group of people who identify with one another on some basis but may never meet with one another personally.
- sectarian conflict** conflict based on perceived differences between divisions or sects within a religion.
- shaman or shamanka** a male and female healer, respectively.
- sign language** a form of communication that uses mainly hand movements to convey messages.
- social capital** the intangible resources existing in social ties, trust, and cooperation.
- social control** processes that, through both informal and formal mechanisms, maintain orderly social life.
- social group** a cluster of people beyond the domestic unit who are usually related on grounds other than kinship.
- social impact assessment** a study conducted to predict the potential social costs and benefits of particular innovations before change is undertaken.
- social justice** a concept of fairness based on social equality that seeks to ensure entitlements and opportunities for disadvantaged members of society.
- social stratification** a set of hierarchical relationships among different groups as though they were arranged in layers, or “strata.”
- sociolinguistics** a perspective in linguistic anthropology which says that culture, society, and a person’s social position determine language.
- somatization** the process through which the body absorbs social stress and manifests symptoms of suffering.
- state** a form of political organization in which a centralized political unit encompasses many communities, a bureaucratic structure, and leaders who possess coercive power.
- status** a person’s position, or standing, in society.
- structural suffering** human health problems caused by such economic and political factors as war, famine, terrorism, forced migration, and poverty.
- structurism** a theoretical position concerning human behavior and ideas that says large forces such as the economy, social and political organization, and the media shape what people do and think.
- susto** fright/shock disease, a culture-specific illness found in Spain and Portugal and among Latino people wherever they live; symptoms include back pain, fatigue, weakness, and lack of appetite.
- symbol** an object, word, or action with culturally defined meaning that stands for something else; most symbols are arbitrary.
- tag question** a question placed at the end of a sentence seeking affirmation.
- Textese** an emerging variant of written English and other languages associated with cell phone communication and involving abbreviations and creative slang.
- theater** a form of enactment, related to other forms such as dance, music, parades, competitive games and sports, and verbal art, that seeks to entertain through acting, movement, and sound.
- toponymy** the naming of places.
- trade** the formalized exchange of one thing for another according to set standards of value.
- traditional development anthropology** an approach to international development in which the anthropologist accepts the role of helping to make development work better by providing cultural information to planners.
- transnational migration** regular movement of a person between two or more countries resulting in a new cultural identity.
- trial by ordeal** a way of determining innocence or guilt in which the accused person is put to a test that may be painful, stressful, or fatal.
- tribe** a form of political organization that comprises several bands or lineage groups, each with a similar language and lifestyle and occupying a distinct territory.
- unbalanced exchange** a system of transfers in which one party seeks to make a profit.
- unilineal descent** the tracing of descent through only one parent.
- use rights** a system of property relations in which a person or group has socially recognized priority in access to particular resources such as gathering, hunting, and fishing areas and water holes.
- wa** a Japanese word meaning discipline and self-sacrifice for the good of the group.
- war** organized and purposeful group action directed against another group and involving lethal force.
- Western biomedicine (WBM)** a healing approach based on modern Western science that emphasizes technology for diagnosing and treating health problems related to the human body.
- world religion** a term coined in the nineteenth century to refer to a religion that is based on written sources, has many followers, is regionally widespread, and is concerned with salvation.
- youth gang** a group of young people, found mainly in urban areas, who are often considered a social problem by adults and law enforcement officials.

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Italicized page numbers indicate photos, figures, or maps

- AAA. *See* American Anthropological Association (AAA)
- AAE. *See* African American English (AAE)
- AAVE. *See* African American Vernacular English (AAVE)
- Aboriginal people of Australia
the Dreamtime, 167, 209
indigenous land rights, 284–285
indigenous language dictionaries, 197
initiation ceremony for young boy, 202
racism in judicial system, 169, 169
sacred space protection by Aboriginal women, 210, 210
social control, 167
- Abortion, induced, 79–80
- Absolute cultural relativism, 20, 21
- Abu-Lughod, Lila, 41
- Acceleration, new international migration and, 259
- Accidents, fieldwork and, 43
- Accra, Ghana
foster children in, 123, 125
Hausa dowry goods in, 129
- Acculturation, 272, 273
- Achieved position, defined, 147
- Activist groups, 154–155
- Acupuncture, 106
session in New York City, 273
- Adams, Abigail, 86
- Addiction, homelessness and, 133
- Adolescence
coming-of-age rituals, 85–86
debate about universal life-cycle stage, 83–85
gender identity and, 88
sexual identity and, 87
- Adolescent females. *See also* Gender; Girls; Women
female genital cutting and, 85–86
kogals in Japan, 191, 191
menarche, 75
politeness in Japanese society, 190–191
sororities, 143–144
violence against, 286
- Adolescent males. *See also* Boys; Gender; Men
circumcision, 85
fraternities, 143–144, 144
initiation practices, 85–87
youth gangs, 144–145
- Adoption, 122–123
closed, 123
open, 123
- Adult child living with parents, 135
- Adulthood
becoming a parent, 88–89
middle years, 89–90
senior years, 90
- Advertising, for Latinos in United States, 188
- Advocacy, anthropological, 174, 174, 175
- Afghanistan
causes of war in, 173
fertility control in, 79
platoon of U.S. Army troops being briefed in, 259
United States war in, 173
- Africa. *See also specific regions, countries, and peoples*
Bantu migrations in, 194
division of labor in horticulture, 52
indigenous people's defense of territorial entitlement, 284
luxury goods in, 238
marriage crisis in, 134
musical styles, 243
parallel-cousin marriage, 126
safari tour groups in, 240
Sahel region of, overview and map, 265, 265
schistosomiasis in, 108
sub-Saharan, child fostering in, 123
- African American English (AAE), 191–192
- African Americans, 18, 282
burning churches of, 225
DNA analysis, 120
friendship among, 142
Gullah of South Carolina, 242, 242
hurricanes and internal displacement in Louisiana and Mississippi, 267
incarceration rate, 168
Muncie (Indiana) community, 42–43, 43
racial inequality, children's shopping and, 60–61
Rastafarianism, 223–224
romantic love and, 128
- African American Vernacular English (AAVE), 191–192
- African drums, 232–233
- African languages, Proto-Bantu and, 194
- African religions, 222–224
features of, 222–223
sacred altar in Togo, West Africa, 223
- African Rhythm and African Sensibility* (Chernoff), 232
- Africans, in Puerto Rico, 177
- Afro Hair & Beauty Show (London), 29
- Age
ascribed position and, 148
cultural stages and, 20
division of labor among foragers and, 50
division of labor in family farming and, 55, 55
division of labor in horticulture and, 52
division of labor in pastoralism and, 54
fieldwork and, 35
40 syndrome among American men, 89
marriage, 134
at menarche, 75
microcultures based on, 11
senior years, 90
- Age hypergyny, 127
- Age hypogyny, 127
- Agency, defined, 11
- Age set, defined, 140
- Aging of population, in Japan, 73, 75, 75
- Agricultural cooperatives, 146
- Agriculture, 54–57. *See also* Family farming
defined, 54
family farming, 54, 55
industrial, 56–57
as intensive strategy, 54
mode of reproduction, 72–73
modes of livelihood, kinship, and household structure, 119
modes of livelihood and reproduction, 72
modes of livelihood and social groups, 141
modes of political organization, conflict, and social control, 161
- Old Order Amish of the U.S. and Canada, 74, 74
social inequality and, 58
sustainability of, 57
- Ahimsa, 80
- Ahmadu, Fuambai, 86
- AIDS and Accusation: Haiti and the Geography of Blame* (Farmer), 112
- Aka people, of Central African Republic, paternal child care and, 89, 90
- Aka region, of Central African Republic, overview and map, 90
- Akha, in Thailand, 283
- Akhara, 239
- Akyns, 172
- Alaska. *See* Inuit of Alaska
- Alcoholic beverages
beer-drinking rituals, 13
gender and, 13
Islamic prohibition against, 221
- Algonquin confederacy, 164
- Allah, 222
- Altamira dam project, Kayopo and, 162
- Amazonian tribes
gang rape, 144
Kayopo leader, 162
- Amazon region. *See also specific countries and peoples*
defined, 88
semipermanent settlements in, 236
sugar, salt, and steel tools in, 65–66
- Amboseli National Park, Kenya, 241
- AME. *See* American Mainstream English (AME)
- American Anthropological Association (AAA), 43, 171
code of ethics, 42
- American English, 197
- American Indian Movement, 224
- American Indians. *See also individual groups*
berdache, 88
confederacies, 164
dancer, 21
ethnomedical knowledge and, 96
gaming and, 63, 64, 64
Ghost Dance movement, 224
potters, 233

- American Indians (*continued*)
 precolonial distribution of, 106
 reservations, 107
 teepees of, 236
 textual material, 38
 use of silence by, 186
- American Mainstream English (AME), 192
- American Samoa, overview and map, 111
- American Sign Language, 184
- American-Vietnam War, 172
- Amish of U.S. and Canada
 birth rate, 73, 74
 informal social control among, 166
 Old Order, overview and map, 74, 74
- Anangu people of Australia, 208
- Ancestor worship, 208
- Andalucia (Spain), 40
 friendship in, 142, 142
- Andaman Islands (India), 51
 Andaman girl, 28
 indigenous people of, 282
- Andean region, South America, coca and
 health in, 103
- Anderson, Benedict, 175
- Androphobic groups, 144
- Angkor Wat (Cambodia), 290, 290
- Animal domestication, 172
 agriculture and, 54
- Animatism, 208
- Anorexia nervosa, 98, 98–99
- Anthropological life, living, 23
- Anthropology. *See also* Archaeology; Cultural anthropology; Linguistic anthropology
 of art, 232
 defined, 4
 fields of, 4, 4–8
 graduate study in, 23
 majoring in, 23
 popular impression of, 4
 of tourism, 241
- Anthropomorphic supernaturals, 208
- Antibiotics, 108
- Antinatalists, 77
- Antiracist anthropology, 11
- Apache Indians, girl's puberty ceremony, 211
- Apartheid, in South Africa, 149–150
- Appalachia
 Christianity in, 219–220
 tourism in, 241
- Applied anthropologists, stewardship
 of material cultural heritage and, 245
- Applied anthropology, 8
 defined, 4, 5
 in Papua New Guinea (PNG), 174, 174
- Applied medical anthropology, 112–113
 defined, 112
 public health communication, 113
 reducing lead poisoning among Mexican
 American children, 112–113
 vaccination programs, 113
 Western biomedicine and nonbiomedical
 systems, 113
- Arabic influences in Morocco, 196
- Arab Spring uprisings, 181
- Arawak Indians, Hispaniola, 279
- Archaeology, 4, 5–7
 of contemporary life, 6
 defined, 4
 subfields, 6
- Architecture, 236–238
 decorative arts and, 236–238
 gardens and flowers, 238
 interior design and, 236–238
 politics of, 237
- Argentina, as destination country for
 immigrants, 253
- Argonauts of the Western Pacific*
 (Malinowski), 31
- Arizona
 Hopi reservation in, 207
 Western Apache reservation in, 187
- Armchair anthropology, 28
- Armenia, Kurds in, 176
- Arranged marriage, 128, 130, 152
- Art
 architecture and, 236–238
 artists, 232, 233
 categories of, 231
 colonialism and syncretism, 243–244
 defined, 230
 fine art *vs.* folk art, 230
 graffiti in New York City, 230
 microcultures, power and, 234
 mural in Belfast, 230
 Paleolithic era, 230
 performance arts, 234–236
 probing categories of, 231
 in society, studying, 232–234
 tourism's complex effects on,
 244–245, 247
 verbal, of Piraha of Brazilian
 Amazon, 230
 wall painting in South Africa, 230
- Artificial insemination, 75
- Artists, studying, 232, 233
- Ascribed position, defined, 147
- Asexuality, defined, 88
- Ashanti of Ghana, political leader, 158
- Asia. *See also* regions, countries, and peoples
 humoral healing in, 100
 indigenous people's defense of territorial
 entitlement, 284
 McDonald's in, 17
 new immigrants in, 262–264
- Asian women, as migrant workers, 254–255
- Assimilation, 272, 273
- "Astronauts," 253
- Asturias (Spain), 40, 40
- Australia. *See also* Aboriginal people of
 Australia; other specific regions and
 peoples
 as destination country for immigrants, 253
 indigenous people's defense of territorial
 entitlement, 284–285
 indigenous sign language, 184
 Kata Tjuta National Park in, 208
 leader of Ngambri-Ngunnawal People
 in, 160
 organizing for change in, 285
 outcomes for aboriginal *vs.* white youth in
 judicial system, 169
 Waanyi Women's History Project in, 247
- Authority
 defined, 160
 of headman, 162
- Aymara, in Bolivia, 102
- Azarcon, 113
- Aztecs, sacrifice by, 212
- Babylonians, Temple in Jerusalem destroyed
 by, 217
- Baffin Island
 Inuit people of, 9
 overview and map, 39, 39
- Baghdad, Iraq
 family planning clinic in, 78
 game of dominoes played in, 143
- Bahasa gay, 191
- Bahia, ethno-etologies in, 99
- Bailey, Robert, 26
- Balanced exchange, 61, 61–62
 defined, 60
- Bald Eagle Protection Act, 206
- Bald eagles, as symbol of U.S., 206
- Bali, Indonesia, expression of grief in, 91
- Bamiyan, Afghanistan, stone sculpture of the
 Buddha in, 246
- Bananas, cooking in Uganda, 52
- Bands, 172, 177
 defined, 160, 161
 membership, 161–162
- Bangladesh
 Chittagong Hill Tracts area in, 284
 Global Handwashing Day, 15
 Grameen Bank in, 140, 287
 overview and map, 140
 USAID road development project in, 277
- Bantu migrations, in Africa, 194, 194
- Baseball, in United States *vs.* in Japan, 239
- Basic Ecclesiastical Community (BEC), 278
- Basketmaking, Gullah, 242, 242
- Basque area (Spain), 40
- Bathing
 in mineral waters, 103
 Turkish bath, 240
- Battered wives, in Kentucky, United States, 132
- BCE. *See* Before the Common Era (BCE)
- BEC. *See* Basic Ecclesiastical Community
 (BEC)
- Bedu women, 41
- Beer-drinking rituals, 13
- Before the Common Era (BCE), 9
- Beijing, China, playing dominoes in, 143
- Beirut, Lebanon
 male infertility study, 80
 sibling relationships research, 131
- Belfast, Northern Ireland, mural in, 230
- Beliefs, religious, 204–205
- Belize, Maya in, 258
- Bell, Diane, 210
- Belly dancing, in Istanbul, Turkey, 244, 245
- Below-replacement-level fertility, 73, 75
- Bemba of Zambia, girl's puberty rites, 211
- Benedict, Ruth, theoretical concepts, 10
- Bengali language, 196
- Berbers of Morocco, woolen carpets, 234
- Berdache, defined, 88
- Bestor, Ted, 63
- Beverages. *See also* Alcoholic beverages
 drinking patterns, 13
- Bhutan
 schoolgirls in, 275
 Sherpa in, 110
- Bible, 205, 219
- Big Foot (Indian chief), 224
- Big-man/big-woman leadership, 162–163
 defined, 162
 political ties and, 162–163

- Bilateral aid, Cuba and, 278
 Bilateral institutions, 277
 Bilineal descent, 120, 121–122
 among Temiar of Malaysia, 235
 Biological anthropologists, 8
 Biological anthropology, 4, 4
 Biological determinism
 cultural constructionism *vs.*, 22
 defined, 22
 Biological evolution, 9
 Biological or physical anthropology,
 subfields of, 5
 Birth and infancy
 birth context, 81
 bonding, 82
 gender in infancy, 82–83
 Birth control, 76
 Birth intervals, Ju/'hoansi of southern Africa, 72
 Bledsoe, Carolyn, 63
 Blood-based kin relations, 118
 Blood sports, 240, 241
 Boas, Franz, 37, 38, 67, 149
 on studying artist, 232, 233
 theoretical concepts, 9, 10
 Boat people, Vietnamese, 263
 Bodegas, 261
 Body language, 187
 Body modification, 187
 groups, 146, 146
 Boine, Marie, 198
 Bolivia, overview and map, 102
 Bolivian Amazon, local botanical knowledge
 and child health in, 102
 Bom Jesus, Brazil
 infant mortality rate in, 81, 81
 malnutrition in, 106
 Bonding, parent–infant, 82
 Bono, at press conference, 160
 Boomerang kids, 135
 Borneo
 household changes in, 134–135, 135
 lowland rainforest in, 7
 Bosa, Italy, carnival in, 211–212
 Bosnia and Herzegovina
 mass grave, 185
 narrative therapy and refugees
 from, 185
 overview and map, 185
 Botanical knowledge, Bolivian Amazon, 102
 Botswana, Ju/'hoansi region in, 19
 Bourgois, Philippe, 43, 133
 Bowling, in Japan, 188
 Boys. *See also* Adolescent males; Gender; Men
 crying and, 82
 fostered, 125
 puberty rituals, 211, 211
 relationships with sisters in Lebanon, 131
 selling hyssop in Syria, 103
 son preference, 89
 Braceros, 254
 Brahmins, 152, 152, 153
 Brazil. *See also specific peoples*
 abortion in, 79–80
 blended religions in, 223
 Bom Jesus, 106
 bonding pattern in, 82
 country music and globalization in, 235
 deforestation in, 290
 ethno-etiological in, 99
 farinha preparation in, 47
 favelas in, 33
 game of dominoes played in, 143
 girl with HIV/AIDS in, 96
 indirect infanticide in, 80–81
 industrial agriculture in, 56
 infant mortality rate in, 81
 prison population in, 168
 stratified reproduction in, 73
 street children in, 35
 as transnational country, 254
 Yanomami region in, 53
 Brazilian Amazon, sugar, salt, and steel tools
 in, 65–66
 Breaking Out ritual, at Virginia Military
 Institute, 86–87, 87
 Breastfeeding
 birth intervals and, 72
 incest rule, 122
 Brideprice (or bride-wealth), 128, 129, 134
 Bride(s)
 and groom, Japan, 134
 Moroccan, 77
 Brideservice, 128, 129, 129
 Bridge Program, 192
 British English, transplantation of, 197
 British Isles, sectarian conflict in, 171
 British Sign Language, 184
 Brother–sister marriage, 126
 Brunelleschi, Filippo, 237
 Budapest, Hungary, Roma minority in, 151
 Buddha (Awakened One), 215, 216
 Buddha's Day, 209
 Buddhism, 213, 215–217
 abortion and, 80
 Buddha sculpture, Bamiyan, Afghanistan, 246
 in Myanmar, 216–217
 in Nara, Japan, 216
 overview, 215–216
 pilgrimage, 211
 population distribution, 216, 216, 217
 in Southeast Asia, local spirits and, 216–217
 Theravada, 217
 Tibetan, 110
 Budweiser, 239
 Bulimia, 98
 Bullfights, 240
 Bunu, Noxolo, 108
 Bunzel, Ruth, 233
 Burkina Faso, Africa, male bias in development
 in, 286
 Burma. *See* Myanmar
 Bush, George W., 267
 Business ethics, corporate–social responsibility
 and, 175
 Business marriage, 261
 Cadena, 260
 Cairo, Egypt
 alleged victim of torture in, 189
 male infertility study in, 80
 Calabashes, carving, 233
 California
 breakfast behavior in, 30, 30
 Indian gaming in, 64
 Klamath and Modoc region in, 206
 Call system, defined, 182
 Cambodia, Angkor Wat in, 290, 290
 Camels, 54
 Campesinos, 175
 Canada. *See also specific regions and peoples*
 below–replacement fertility in, 73
 as destination country for immigrants, 253
 family farms in, 55
 Grand Council of Cree in, 285
 Hong Kong Chinese immigrants in, 262–263
 immigration policies in, 253
 indigenous people's defense of territorial
 entitlement in, 283
 industrial agriculture in, 56
 infanticide in, 80
 Nunavut Territory in, 284
 Old Order Amish in, 74
 same-sex marriage in, 125
 Walpole Island First Nation in, 272, 272, 287
 Canadian International Development Agency
 (CIDA), 277
 Cancer, 106
 Candy shops, in Valencia, Spain, 59
 Cannibalism, kuru and, 60
 Canoes, Kwakwaka'wakw and travel by, 67, 67
 Careers, in cultural anthropology, 23
 Cargo cults, defined, 224
 Caribbean countries. *See also countries and peoples*
 chain migration of Dominicans, 260–261
 marriage and legitimacy of children in, 125
 overview and map, 143
 racial classification in, 149
 Carneiro, Robert, 177
 Carnival (or *carnaval*), 211
 Casinos, Indian tribal people and, 63, 64, 64
 Casino Sandia (New Mexico), 64
 Caste system
 ascribed status and, 148, 152–153
 defined, 152
 Hinduism and, 215
 two views of, in India, 153
 Catalonia (Spain), 40
 Cátedra, María, 40
 Catholics, conflict with Protestants, 171
 Cattle, 54. *See also* Cows
 CCNN. *See* Center for California Native
 Nations (CCNN)
 Cebu Island, Philippines, Basic Ecclesiastical
 Community in, 278
 Cell phones, 8, 290
 Arab Spring uprisings and, 181
 friendship in Jamaica and, 141–142
 kogals in Japan and, 191, 191
 textese and, 197
 Censorship, democratization and lifting of, 175
 Center for California Native Nations
 (CCNN), 64
 Central African Republic, Aka region of, 90
 Central America. *See also countries and peoples*
 Maya in, 258
 mestizaje in, 148
 Central Asia. *See also countries and peoples*
 ethnic conflict in, 170–171
 new immigrants from, 264
 Central Asian states, overview and map, 172
 Ceremonial writing, 195
 Cernea, Michael, 246
 Chagnon, Napoleon, 170, 171
 Chain migration
 defined, 261
 of Dominicans, 260–261
 Changdoek Palace, South Korea, 245

- Charters, myths and, 206
- Chechnya, military prison in, 169
- Chennai, India, marriages in, 126
- Chernobyl disaster (1986), Saami people and, 198
- Chernoff, John, 232, 233
- Cherokee of Tennessee confederacy, 164
- Chiapas, Mexico
 violence between state and indigenous people, 283
 women selling chickens in, 287
- Chiefdoms, 162, 163–164
- Childhood malnutrition, 106
- Child labor, in agricultural villages, 56
- Child-rearing. *See also* Boys; Children; Girls;
 Son preference
 Mead on, 9
 men's role in, 89, 90
 women's role in, 83, 89
- Children. *See also* Adolescence; Adolescent females; Adolescent males; Boys; Girls
 adoption of, 122–123
 in agricultural societies, 56
 art and socializing of, 232
 changing households and, 135
 domestic violence and, 131
 factors affecting desire for, 135
 food stealing by, in West Africa, 63
 fostering, 123, 125
 homeless, in Ho Chi Minh City, Vietnam, 59
 in horticultural societies, 53
 in industrialized countries, 73
 malnourished, 112
 marriage and legitimacy of, 125
 Mexican American, reducing lead poisoning, 112–113
 mother's botanical knowledge and, 102
 naming, 122
 Nepali, 110
 obesity in, 109
 race and shopping preferences of, 60–61
 refugees, 256
 school lunches, 109
 socialization of, 83
 soldiers, 173
- Children's Aid Panels (South Australia), 169, 169
- Chin, Elizabeth, 60, 61
- China. *See also specific regions and peoples*
 body hair and racial classification in, 18
 consumerism in, 59
 control of religious expression in, 175
 dowry in, 128
 ethnic groups in, 150
 game of dominoes played in, 143
 hookworm in, 104
 Hui Muslims in, 221, 221
 humorism in, 100
 imprisonment rate in, 168
 industrial agriculture in, 56
 logographic and current writing styles in, 195
 Mao jacket worn in, 165
 marriage crisis in, 134
 office in Beijing, 189
 One-Child-per-Couple Policy in, 79
 police practice in, 160
 Three Gorges Dam Project in, overview and map, 257, 257
 Tibet taken over by, 225
 women's movement in, 153–154
 writing systems in, 194
- Chinese, ethnic, in United States, 263
- Chinese language, 193
- Chinese New Year celebration, Vancouver, 252
- Chiropractic, 106
- Chittagong Hill Tracts area, Bangladesh, 284
- Cholera, 99, 105
- Christianity, 213, 219–220. *See also* Amish of U.S. and Canada; Roman Catholicism
 contested sacred sites in Jerusalem, 225
 Last Supper in Fiji, 220
 overview of, 219
 pilgrimage, 211
 proselytizing, 214
 Protestantism among white Appalachians, 219–220
- Church of the Holy Sepulchre (Jerusalem), 225
- CIDA. *See* Canadian International Development Agency (CIDA)
- Circular migration, 255
 combat soldiers, 259
- Circumcision, 85
- Circumpolar languages, 184
- Circumpolar-region foragers
 division of labor among, 50
 temperate region foragers *vs.*, 49, 49
- Citizenship, 254
- City, as stressor to health, 104
- Civilizations, clash of, 17
- Civil society, 153–155
 activist groups, 154–155
 Chinese women's movement, 153–154
 CO-MADRES, 154
 defined, 152, 153
 new social movements and new social media, 154–155
- Clash of civilizations, 17, 17
- Class (social), 17
 achieved status and, 148
 clothing and, 187
 defined, 17
 fieldwork and, 34
- Climate change
 droughts in Kenya, 266
 indigenous people's participation and, 283
 research on, 29
- Clinton, Bill, 206
- Clitoridectomy, 85, 86
- Closed adoption, 123
- Clothing
 body language and, 187
 initiation, 211
 kogals in Japan, 191, 191
 separation phase of life-cycle ritual, 209
 as symbol of state power, 165, 166
- Clubs, 143–144
- Coca, indigenous use, 103
- Coca-Cola, 239
- Cockfights, 240
- Cognitive retrogression, 107
- Collaborative research, 42–43, 43
- Collateral extended household, 130
- Colombia
 indigenous land rights in, 283
 as transnational country, 254
- Colonialism. *See also* European colonialism
 changes in kinship and, 133
 effect on expressive culture of indigenous peoples, 243, 243–244
 HIV/AIDS and legacy of, 279
 imperial gardens, 238
 indigenous people as victims of, 282–283
- Columbus, Christopher, 279
- CO-MADRES, 154
- Coming of age ceremony, fiesta de quince, 70
- Coming of Age in Samoa* (Mead), 85
- Communication. *See also* Language
 advertising for Latinos in United States, 188
 defined, 182
 language and verbal communication, 184
 with media and information technology, 187–189, 189
 nonverbal and embodied, 184–187
 public health, 113
 Sapir-Whorf hypothesis, 190
 sociolinguistics, 190
 war correspondents, 188
- Communitas, 218
- Community activism, in Papua New Guinea (PNG), 174, 174
- Community healing, 99–100
- Compadres, 261
- Complex households, 130
- Comprehensive claims, in Canada, 283
- Condoblé, in Brazil, 223
- Condoms
 female, 108
 gay men and use of, 76
- Confederacy, 164
- Conflict, modes of, 161
- Confucianism, 213
- Connecticut
 African American children's spending patterns in New Haven, 60–61
 Foxwoods Resort and Casino in, 63
- Conservation, Hopi culture, eagle protection and, 206–207
- Consumer anthropology, 30
- Consumerism
 in China, 59
 defined, 58
 extractive industries and, 290
 narcissism and, 83
 in United States, 59
- Consumption, 48. *See also* Modes of consumption
 African American children's shopping patterns in New Haven (Connecticut), 60–61
 defined, 58
 modernization and, 275
- Consumption microcultures, 60–61
 “race” and children's shopping, 60–61
 women's deadly diet in Papua New Guinea, 60
- Contagious magic, 204
- Contemporary human biological variation, 5
- Contreras, Kate Spilde, 64
- Cooking, cultural variation around, 12. *See also* Diet; Food
- Cooperatives, 146–147
- Corn, 290. *See also* Maize
- Corporate agriculture, 57

- Corporate farms, 56
- Corporate-social responsibility (CSR), 172
 definition of, 175
 global-local conflict and, 173, 175
- Costa Rica, 172
 high-end tourism in, 241
 overview and map, 241
- Cost of Living, The* (Roy), 257
- Countercultural groups, 144–146
 body modification groups, 146
 youth gangs, 144–145
- Country music, in Brazil, 235
- Courts, as state systems of social control, 168
- Courtship
 changes in, 133
 use of silence during, 186
- Cousin marriages, 126, 127
- Couvade, 88, 89
- Cows, 22, 165
- Credit cooperatives, 146
- Cree Indians, Grand Council of, 285
- Creole, defined, 195
- Creolization, 17
- Cricket, in Trobriand Islands, 243, 243, 273
- Critical cultural relativism, 21, 21, 177
- Critical development anthropology,
 defined, 281
- Critical discourse analysis, defined, 190
- Critical legal anthropology, defined, 168, 169
- Critical media anthropology, defined, 189
- Critical medical anthropology, 105–108
 cultural critique of Western biomedical
 training, 106–108
 social inequality and poverty, 105–106
- Critique, 21
- CRM. *See* Cultural resource management
 (CRM)
- Crop harvesting, in industrial agriculture, 56
- Cross-cousin marriage, 126, 127
- Cross-cousins, 126
- CSR. *See* Corporate-social responsibility
 (CSR)
- Cuba, 70
 bilateral aid and, 278
 fiesta de quince in, 70
- Cultural anthropologists, 8
- Cultural anthropology, 4. *See also*
 Anthropology; Applied anthropology;
 Research methods
 brief history of, 9–11
 careers in, 23
 data collection in, 35
 defined, 4, 5
 distinctive features of, 20–21
 elements of field methods in, 28
 future of, 291
 international peacekeeping and, 177
 key contributors to, 10
 methods in, 28
 overview, 8–9
 research approaches in, 36, 36
 specialization areas in, 8
 study of art and, 232
 theoretical debates in, 22–23
 urgent issues in research, 41–43
- Cultural assimilation, nationalism and, 196
- Cultural brokers, 113, 174
- Cultural change, processes of, 273
- Cultural constructionism
 biological determinism *vs.*, 22
 defined, 22
- Cultural constructionists, 190
- Cultural fit, defined, 280
- Cultural heritage
 development and, 290–291
 “people-first” preservation, 247
- Cultural imperialism, 21, 273
- Cultural interaction. *See also* Colonialism;
 Globalization; McDonaldization
 four models of, 17
- Cultural materialism, 11
 adolescent phase and, 85
 defined, 10
 interpretive anthropology *vs.*, 22
- Cultural property rights law, 291
- Cultural relativism, 20–21
 defined, 9
 two views in, 20–21, 21
- Cultural resource management (CRM), 8
- Cultural Survival, 21
- Cultural tourism, 240
- Culture
 characteristics of, 11–17
 definitions of, 4, 5, 11
 drinking and, 13
 eating and, 12
 eliminating and, 13–15
 globalization and, 16–17
 integration of, 16
 kinship and, 118
 language and, 189–190
 learned nature of, 16
 legalization of, 291
 nature *vs.*, 12
 recording, 40–41
 sleeping and, 13
 symbols and, 15–16
- Culture shock, defined, 35
- Culture-specific syndrome
 defined, 97
 early studies of, 98
 selected, 98
- Curaçao, Netherland Antilles, *papiamentu*
 spoken in, 196
- Curanderos*, 113
- Dalai Lama, 225
- Dalby, Liza, 35, 35
- Dalit, 152, 152
- Dam projects
 IBRD, 277
 internally displaced persons and, 256
 resistance to, 162
 schistosomiasis and, 108–109
 in Senegal River Valley, 281
- Dance, 232, 234
 belly dancing in Turkey, 244, 245
 community healing and, 100
 Haitian dancers in Miami, 291
 hula revival in Hawaii, 245
 Kathakali dance-drama in India, 235, 236
 male strip dancing in Florida, 234
- Danforth, Loring, 204
- DANIDA. *See* Danish Organization for Inter-
 national Development (DANIDA)
- Dani of New Guinea, 4
- Danish Organization for International
 Development (DANIDA), 277
- Darfur, 284, 285
- Darshan*, 140
- Darwin, Charles, 9
- Data
 collecting, fieldwork and, 35
 emic, 36, 36
 etic, 36, 36
 qualitative, 36, 41
 quantitative, 36, 41
- Data analysis
 qualitative data, 36, 41
 quantitative data, 36, 41
- Data collection
 combining observation and talking, 37
 field notes, 40
 in fieldwork, 35–39
 interviews, 36
 life history, 37
 multiple research methods, 38
 participant observation, 36
 questionnaire, 36–37
 tape recordings, photographs, videos, 40–41
 team projects, 38–39
 texts, 38
 time allocation study, 37–38
- Dead Sea, bathing, 103
- Death and dying, 90–91
 cultural misunderstanding and, 111–112
 leading causes of, 106
- Death penalty, 168
- Declaration of Health for All, 113
- Declaration of Human Rights, 289
- Decorative arts, 236–238
- Deculturation, 273
- Deductive approach, 36, 36
- Defiant individualists, characteristics of, 145
- Deforestation
 in Haiti, 280
 malaria and, 108
- Dehumanization, in medical training, 108
- de León, Francisco, 5
- Delta Sigma Theta Sorority, step dancing by
 members of, 144
- Democracy, Jeffersonian, 273
- Democratic Republic of Congo
 Aka region of, 90
 Efe and Lese peoples in, 65, 65
- Democratization, 175, 177
- Demographic transition
 defined, 73
 model of, 73
- Denmark, women’s political role in, 165
- Dent, Alexander, 235
- Dental sound, 183
- Department for International Development
 (DfID), 277
- Department of Veterans Affairs, 205
- Dependent-dominant personality, 83
- Depersonalized consumption, 59,
 120–122
 bilineal, 120, 121–122
 change in, 133
 defined, 120
 matrilineal, 121, 133
 modes of livelihood and, 119
 patrilineal, 121, 122

- Depersonalized consumption (*continued*)
 sharing, 1222
 unilineal, 120, 121
- Descriptive linguistics, 7
- Development aggression, 288, 289
- Development anthropology, 272
- Development-induced displacement, 256
- Development (international)
 anthropological critique of, 281
 cultural change and, 273
 cultural heritage and, 290–291
 defined, 272, 280
 development project cycle, 278, 280, 280
 diseases of, 108–109
 distributional, 276
 grassroots approaches to, 278
 growth-oriented, 275
 human development, 276
 UN definition, 276
 human rights and, 289–290
 indigenous people and, 282–285
 institutional approaches to, 276–277
 large-scale development institutions,
 277–278
 male bias in, 286
 microcredit model, 287
 modernization and, 275
 organizing for change, 285
 sustainable, 276
 theories and models of, 273, 275–276
 urgent issues in, 287–291
 women and, 285–287
- Development project, defined, 278
- DfID. *See* Department for International
 Development (DfID)
- Diaspora population, 150, 254
- Dictionaries, indigenous language, 197
- Diet. *See also* Food
 Gullah of South Carolina and, 242
 Hui Muslims in China, 221
 indigenous, manufactured foods and, 66
 Judaism, 217
 male wrestlers in India, 239, 240
- Difference, hierarchy *vs.*, 17
- Differential resettlement, 267
- Diffusion
 cultural change and, 273
 defined, 272
- Direct infanticide, 80
- Directives, 192
- Disappearances
 activist groups, 154–155, 155
 Bosnian war, 185
 Maya of Guatemala, 258
- Discourse, defined, 190
- Discrimination, against homosexuals, 87–88
- Disease-illness dichotomy, 96
- Disease(s). *See also* Ethnomedicine; HIV/
 AIDS; Illness; Infectious diseases;
 Medical anthropology; Western
 biomedicine
 classifying, 96–99
 defined, 96, 97
 of development, 108–109
 fieldwork and, 43
 illness *vs.*, 96
- Displaced domains, 182
- Displaced persons, 255–257
- Displacement, defined, 182
- Distributional development, 276
- Dive-bomb, 234
- Diversity, valuing and sustaining, 21
- Divine marriages, 208
- Diversifiers, 213
- Division of labor
 among foragers, 50
 in family farming, 55–56
 in horticulture, 52–53
 in pastoralism, 54
- Doctrine, defined, 204
- Dogs
 domestication of, 50
 Hare Indians and, 50–51, 51
 importance of, 50–51, 51
 pastoralism and, 54
- Dohuk, Iraq, Mazi Supermarket and Dream
 City in, 176
- Dolphine Wedding, Japan, 134
- Domestication. *See also* Animal domestication;
 Plant domestication
 of women, 286
- Domestic violence, 131, 133
 Kazakhstan women activists and, 287
 in Kentucky, 132
- Dominican Day parade, in New York City, 260
- Dominican Republic, 279
 Haitian cane cutters in, 254–255, 255
 Hispaniola, 279
 immigrants from, 260–261
 as transnational country, 254
- Dominoes, friendship and, 143
- Donkeys, 54
- Donor culture, 281
- Double ikat, 232
- Douglas, Mary, theoretical concepts, 10
- Dowry, 129
 defined, 128
 Hausa of Ghana, 129
- Dreamtime, 167, 209
- Dress. *See* Clothing
- Drinking, 13. *See also* Beverages
 cross-cultural variations related to, 13
- Droughts, 266, 266
 refugee camp near Ethiopia-Somalia
 border, 270
- Drugs. *See also* Coca
 methamphetamine used by resettlers, 283
 trafficking, Haiti's coastline and, 279
- Drumming
 African, 232–233
 at Gullah Festival in Beaufort, South
 Carolina, 242
- Dry Tortugas National Park (Florida Keys), 8
- Dubai Tower, 237
- Duomo (Florence, Italy), 237
- Dupla, in Brazilian country music, 25
- Eagle protection, Hopi and, 206
- Earthquakes, Haitian (2010), 279, 279
- Eastern Europe. *See also specific countries
 and peoples*
 new immigrants from, 264
 Roma of, 18, 150–151, 151
- Eastern Orthodox, 219
- Eating. *See also* Consumption; Diet; Food
 culture and, 12
 in Ethiopia, 13
- Ebonics, 192
- Ecological/epidemiological approach
 defined, 105
 in medical anthropology, 104–105
- Economic anthropology, 8, 48
- Economic growth, promoting in developing
 countries, strategies for, 275
- Economy system, areas within, 48
- Ecotourism, 241, 241
- Ecuador
 family farming in, 55
 polio vaccine administered in, 273
- EDS. *See* Excessive daytime sleepiness (EDS)
- Educational institutions, 20
- Efe of Democratic Republic of Congo, 65, 65
- “Effectiveness of Symbols, The”
 (Lévi-Strauss), 105
- Ego, kinship relationships and, 119
- Egypt
 Bedu women, 41
 brother-sister marriage in, 126
 female genital cutting in, 86
 women's head or face covering in, 187
 writing systems in, 194
- El Dorado Task Force, 171
- Eliminating, 13–15
- Elopement, 134
- El Salvador
 CO-MADRES of, 154
 immigrants from, 261–262
 Maya in, 258
 overview and map, 261
 refugee women from, 256
 study of war correspondents in, 188
- Embodied communication, 184–187
- Emic approach
 defined, 36
 labeling health problems and, 96
- Emoticons, kogals in Japan and use of, 191
- Empacho, 113
- Enculturation, personality and, 81
- Endangered languages, 197
- Endogamy
 defined, 126
 jati, 152
 location and, 127
- England
 northern, Hindu women and karma in, 215
 overview and map, 209
- English as a second language (ESL)
 students, 20
- English language, 196
 family, 197
 globalization of, 197
- English Textese, 197
- Entitlements
 ascribed status and, 147
 social justice and, 170
 territorial, indigenous people and, 283–285
- Environmental degradation, oil and, 288,
 288–289
- Environmental Impact Assessment, 175
- Environmental pollution, racism and, 150
- Epidemiology, defined, 76
- Escamilla, Isidro, 214
- Eskimo type, kinship terms, 120
- ESL students. *See* English as a second language
 (ESL) students
- Esthetics, 231
- Ethics, research, 41–42

- Ethiopia
 development from within, 285
 eating in, 13
 female genital cutting in, 86
 Rastafarianism and, 223, 224
 Somali child and his mother in refugee camp, 270
- Ethnic cleansing, 18
- Ethnic conflict, 170–171
- “Ethnicity”
 art and, 233
 ascribed position and, 148
 ascribed status and, 148, 150
 defined, 18, 150
 fieldwork and, 34
 incarceration rate and, 168
 microcultures based on, 11
- Ethnocentrism, 20
- Ethnocide, 150, 170
- Ethno-esthetics, 231
- Ethno-etologies, defined, 99
- Ethnographer, role of, 232
- Ethnography, defined, 41
- Ethnomedicine, 96–103
 classifying health problems, 96–99
 defined, 96, 97
 ecological/epidemiological approach to, 104–105
 ethno-etologies, 99
 globalization and change, 133–135
 healing ways, 99–103
 Western biomedicine as, 96
- Ethnomusicology, 234
- Ethnosemantics, 184
- Etic approach, defined, 36
- Etiology, 99
- Eurasian languages, 193
- Euro-Americans (or White Americans)
 gender in conversations, 190
 intercourse frequency and, 76
 personality stereotypes, 82
- Europe. *See also specific countries and peoples*
 alternative food movements in, 66
 below-replacement fertility in, 73
 recording genealogy in family Bible in, 120
- European colonialism
 changes in kinship and, 133
 contact languages, 195–196
 infectious diseases and, 105
- Everett, Daniel, 183
- Evolutionary theory, 9
- Excessive daytime sleepiness (EDS), 13
- Exchange, 48. *See also Gift-giving*
 big-man leadership and, 163
 friendship and, 141, 142
 marriage and, 128–129, 129
 women’s patterns of, 31
- Exclusion, migrants and, 265
- Exclusionary medical service provision, 171
- Exogamy
 defined, 126
 village, 127
- Expected reciprocity, 62
- Expert testimony, Aboriginal claims, 209
- Exploitation, 65
- Expressive culture. *See also Architecture; Art; Dance; Leisure; Performing arts; Play*
 change in, 243–245, 247
- defined, 230
 in Sumba, Indonesia, 232
- Extended household, 130
- Extensive strategy
 foraging as, 49
 horticulture as, 54
 pastoralism as, 54
- Extractive industry, defined, 290
- Extra-multidrug-resistant tuberculosis (XMDRTB), 104
- Eye contact, 187
- Facebook, 155
 alleged victim of torture, in Cairo, Egypt, 189
- FAFG. *See* Guatemalan Forensic Anthropology Foundation (FAFG)
- False role assignments, 33
- Family. *See also* Descent; Household
 defined, 130
 fertility decisions made at level of, 78–79
 interior design and, 236–237
 in Latino culture, 188
- Family farming
 defined, 54, 55
 division of labor in, 55–56
 in highland Ecuador, 55
 property relations and, 56
- Family planning, at global level, 79
- Family reunification provision, 259
- FAO. *See* Food and Agriculture Organization (FAO)
- Farinha preparation, in Brazil, 47
- Farmer, Paul, 112, 279
- Farmers, kinship, 121
- Farmers’ markets, 59
- Farm families, pronatalism in, 73
- Farming, 54. *See also* Agriculture; Family farming
- Fast-food culture, 17
- Favela, 33
- Feast of Sacrifice, 222
- Feira de Santana, Bahia, ethno-etologies in, 99
- Female circumcision, 85
- Female dominance, in wet rice agriculture, 55–56
- Female farming systems, 55–56
- Female genital cutting (FGC), distribution of, 85–86
- Female infanticide, 170
- Feminist anthropology, 11, 29
- Feminization, new international migration and, 259
- Ferguson, Brian, 170, 171
- Fertility, 72. *See also* Birth; Reproduction
 below-replacement-level, 73, 75
 control of, 79–81
 decision-making about, 77–79
 defined, 73
 household changes and, 135
 masculine identity and, 80
 mortality and, 73
 replacement-level, 73
 reproductive technologies, 80
 sexual intercourse and, 75–77
- Fez, Morocco, market system in, 62
- FGC. *See* Female genital cutting (FGC)
- Field, 28
- Field equipment, 33
- Field methods, elements of, in cultural anthropology, 28
- Field notes, 40
- Fieldwork, 28
 collaborative research, 42–43
 in cultural anthropology, 232
 culture shock, 35
 data analysis, 41
 defined, 28
 ethics, 41–42
 gaining rapport, 33–34
 gift-giving and exchange, 34, 34
 language training, 33
 microcultures and, 34–35
 participant observation combined with, 28–29
 participation observation, 36
 preparing for the field, 31, 33
 project selection, 29–31
 safety in the field, 43
 site selection, 33
 tape recordings, photographs, and video, 40–41
 techniques, 3539
- Fiesta de quince, 70
- Fiji
 Last Supper in, 220
 sea turtle off coast of, 164
- Fine art, Western, characteristics of, 230
- Finland, Saami in, 198
- Fire walking
 among Christians, 204
 in India, 215
 in northern Greece, 204
- First People of Kalahari (FPK), 19
- Fishing. *See also* Foraging
 oil industry pollution in Niger Delta and, 288–289
- Fish market, Tokyo, world’s largest, 63, 63
- Five Books of Moses (Torah), 217
- Five Pillars of Islam, 220, 222
- Florence, Italy, Duomo in, 237
- Florida, male strip dancing in, 234
- Flowers, 238
- Focal vocabularies, 184
- Focus groups, 36
- Folk art, 230
- Food. *See also* Diet; *particular foods and beverages*
 activism, 109
 adoption of Western, 66
 alternative food movements, 66
 children’s tiefing of, 63
 culture shock, 35
 forager sources of, 49
 potlatch and supply of, 57–58
 Trobriand Islanders, 32
- Food and Agriculture Organization (FAO), 277
- Food consumption, lethal gender inequality in, 60, 60
- Food sharing, kinship through, 122
- Food taboos
 Islam, 12
 Judaism, 12
 pork, 221
- Football, 239
- Footwashing ritual, 219
- Foraging, 48–51
 age set and, 140
 bilineal descent and, 121
 contemporary, 49

- Foraging (*continued*)
 defined, 48–49
 division of labor and, 50
 dwellings, 236
 egalitarianism and, 58
 as extensive strategy, 49
 food sources, 49
 generalized reciprocity and, 61
 mode of reproduction, 72
 modes of livelihood, consumption, and exchange, 58
 modes of livelihood, kinship, and household structure, 119
 modes of livelihood and reproduction, 72
 modes of livelihood and social groups, 141
 modes of political organization, conflict, and social control, 161
 noncash economies of, 58
 nuclear households, 130
 political interests of state in Africa and, 284
 property relations in, 50–51
 as a sustainable system, 51
 temperate *vs.* circumpolar regions, 49
 tools for, 49
- Forced migration, human rights and, 267
- Foreclosures on home ownership (2008), minority families and, 262
- Forensic anthropologists, 8
- Fore people (Papua New Guinea), 60
- 40 syndrome, 89
- Fostering a child, 123, 125
- Foucault, Michel, 238
- Fox, Robin, 118, 119
- Foxwoods Resort and Casino (Connecticut), 63
- FPK. *See* First People of Kalahari (FPK)
- France
 flowers as gifts in, 238
 Kurds in, 176
 plantation slavery in Haiti, 279
- Fraternalities, 143–144, 144
- Fraternity Gang Rape: Sex, Brotherhood, and Privilege on Campus* (Sanday), 144
- Frazer, Sir James, 204
 theoretical concepts, 9, 10
- Free Enterprise Mine, 103
- French colonialism, influences in Morocco, 196
- French Guyana, 143
- French-speaking Québec, 199
- French structuralism, 10
- Fresh Kills landfill (Staten Island), 6–7
- Friendship, 141–143
 among the urban poor, 142–143
 in Andalusia, Spain, 142, 142
 defined, 141
 social characteristics of, 141–142
- Functionalism, 232. *See also* Holism
 defined, 9
 legal anthropology and, 160
 ritual inversion and, 211–212
- Funding, of fieldwork, 31
- Funerals, 91
 floral displays, 238
- Furisode* kimono, unmarried women in Japan and, 188
- Fusama, 236
- Galdikas Biruté, 6, 7
- Gale, Fay, 169
- Gambling, 63, 64, 64
- Games, as cultural microcosm, 239
- Ganesh Temple, New York City, 264
- Gang rape, 144, 154
- Ganja*, 224
- “Garbage Project,” 6
- Gardens
 decorative, 238
 Japanese, 243
- Garifuna people of Belize, male violence and, 131
- Gatekeepers, 33
- Gay and lesbian anthropology, 11
- Gay language, in Indonesia, 191
- Gay men, sexual behavior among, 76, 76
- Gaza City, graffiti in, 180
- GDH. *See* Gross domestic happiness (GDH)
- GDP. *See* Gross domestic product (GDP)
- Geertz, Clifford, 239
 culture defined by, 11
 theoretical concepts of, 10
- Geisha culture, 35, 35
- Gender. *See also* Adolescent females; Adolescent males; Boys; Division of labor; Girls; Male dominance; Men; Women
 artists and, 233
 ascribed position and, 148
 ascribed status and, 148, 152
 clothing and, 187
 consumption patterns and, 60
 defined, 18
 division of labor in family farming and, 55, 55
 division of labor in horticulture and, 52–53
 division of labor in pastoralism and, 54
 domestic violence and, 131, 132
 in Euro-American conversations, 190
 fieldwork and, 34–35
 friendship and, 141
 hand-washing practices and, 15
 incarceration rate and, 168
 in infancy, 82–83
 kimono in Japan and, 187
 leadership in states and, 165
 microcultures based on, 11
 music and
 ethnographic questions related to, 234
 Temiar of Malaysia, 234–235
 politeness and, 190–191
 reforestation in Burkina Faso and, 286
 sexism and, 152
 sex *vs.*, 18, 82
 violence and, 286
- Gender identity, coming of age and, 85–87
- Gender pluralism, defined, 88
- Genealogy, kinship diagram *vs.*, 119
- Generalized reciprocity, 60, 61
- Genital piercing, 146
- Genocide, 170, 192, 257, 258, 284
- Geographic information system (GIS), 266
- Germany
 industrial agriculture in, 56
 Kurds in, 176
- Ger (Mongolian), 236
- Gerontocracy, 20
- Gestures, 186, 186, 192
- Ghana
 Ashanti political leader, 158
 drumming in, 232–233
- foster children in, 123
 overview and map, 125
- Ghee, 239
- Ghost Dance movement, 224
- Gift-giving
 in fieldwork, 34, 34
 flowers, 238
 potlatch system, 58
 pure gift, 60, 61–62
- Girls. *See also* Adolescent females
 crying and, 82
 female genital cutting and, 85–86
 fostered, 123, 125
 puberty rituals, 211, 211
 relationships with brothers in Lebanon, 131
 schoolgirls in Bhutan, 275
 violence against, 286
- GIS. *See* Geographic information system (GIS)
- Global Handwashing Day, 15
- Globalization, 16–17, 29
 changes in kinship and, 133
 changing economies and, 65–66
 changing households and, 134–135
 consumerism and, 58
 country music in Brazil and, 235
 defined, 17
 diseases of development and, 108–109
 of English language, 197
 HIV/AIDS epidemic and, 108
 new infectious diseases and, 108
 new international migration and, 259
 state and, 175
 transnational migration and, 253
 weddings and, 134
- Global languages, 196–197
- Global-local conflict, 173, 175
- Global power structures, fertility decisions made at level of, 79
- Global warming, Nepal and, 110
- Goat herding
 in Kenya, 266
 Kurds and, 176
- Goats, 54
- Godparenthood, 125
- Go-Gurt, 30
- Goldworkers, in Mexico, 233
- Graduate study in anthropology, 23
- Graffiti
 in Gaza City, 180
 in New York City, 230
- Grameen Bank, 140, 287
- Grammar, 183
- Gramsci, Antonio, 153
- Grants
 for fieldwork, 31
 tied and untied, 277
- Graphic artists, 233
- Grassroots approaches to development, 278
- Great Ape Trust, 182
- Greece
 Christian fire walkers in, 204
 menopause among rural women in, 90
 as transnational country, 254
- Greek language, 193
- Greek myths, 205
- Greek system, on college campuses, 144, 144
- Greetings, gestures and, 186
- Greta*, 113

- Grief, 91
- Groomprice, 128, 129
- Gross domestic happiness (GDH), 275
- Gross domestic product (GDP), 275, 275
- Group interviews, 36
- Growth-oriented development, 275
- Guanacaste National Park (Costa Rica), 241
- Guatemala. *See also* Maya of Guatemala
forensic anthropology for Maya of, 155
map, 258
- Guatemalan Forensic Anthropology
Foundation (FAFG), 155
- Guatemalan Youth Commission, 155
- Gullah of South Carolina, overview and map,
242, 242
- Gusii (Kenya)
child labor, 56
division of labor in horticulture and, 53
nurturant-responsible personality in
children, 83
- Guyana, 143
friendship groups in rumshops, 141
- Gynophobic groups, 144
- Hairstyles, 187
- Haiti
health care delivery in, 112, 112
internally displaced persons in, 256
overview and map, 279, 279
Peyizan Yo of, 279, 279
racial classification in, 149
tent city in, 133
as transnational country, 254
USAID reforestation project in, 280
- Haitian cane cutters, in Dominican Republic,
254–255, 255
- Haitian dancers, in Miami, 291
- Hajj (Muslim), 220, 222
- Hamabata, Mathews, 34
- Handshakes, 167
- Handwashing practices, 15, 15
- Han majority, in China, 150
- Hare Indians (Canada), 50–51, 51
- Harijans, 152
- Harm reduction, 133
- Harris, Marvin, 170, 212
culture defined by, 11
theoretical concepts of, 10
- Hasidism, 217
- Ha Tsuen, Hong Kong, naming system, 122
- Hausa of Ghana, dowry goods, 129
- Havana, Cuba, 70
- Hawaii, hula dancing in, 245, 247
- Hawai'ian Renaissance, 245, 247
- Hawthorne effect, 36
- Hazing, in medical schools, 107
- Headdresses, Minangkabau, 124
- Head-hunting, 16
- Headman, 162
- Healers
criteria for becoming, 101
Ju/'hoansi, 99–100, 100
Mexican, 113
Nepal, 109
- Healing
African religions and, 233
community, 99–100
humoral, 100–101
midwifery, 101
narratives and, 184
substances for, 101–103, 103
- Health. *See also* Ethnomedicine; Illness;
Medical anthropology; Western
biomedicine
migrant, protecting, 265
poverty and social inequality and, 105–106
providing health care in Haiti, 112
Sherpa of Nepal, 109
- Health problems, defining and classifying,
96–99
- Health system, 96
- Hecht, Tobias, 35
- Hegel, G. W. F., 153
- Height hypergyny, 127
- Height-isogamous marriages, 127
- Herbalists, 101, 103
- Herding. *See also* Pastoralism; Reindeer herding
pastoralism and, 54
- Herdt, Gilbert, theoretical concepts of, 10
- Herodotus, 9
- Heterosexual monogamy, 129
- Heterotopia, 238, 239
- Hierarchy, difference *vs.*, 17
- Hijra, defined, 88
- Hikikomori*, 98
- Hill, The (U.S. Congress), age-graded
microculture of, 20
- Hillary, Sir Edmund, 110
- Himalayan region, polyandry in, 129, 130
- Himalayan Trust, 110
- Hindi, 183, 196
- Hindmarsh Island, Southeast Australia, 210, 210
- Hinduism, 213, 214–215
caste system and, 152, 215
contested sacred sites in, 225
cross-cousin marriage, 126
eating habits/taboo, 22
flowers and ritual offerings in, 238
Holi celebration, 215
intercourse frequency and, 76–77
karma and, 215
Mahabharata, 140, 236
Nayar fertility ritual, 215
in New York City, 263–264
overview, 214–215
pilgrimage, 211
Ramayana, 140, 236
syncretism with Judaism, 219
temple in Flushing, New York, 264
temples, 264
Vedas, 140
zoomorphic supernaturals in, 208
- Hip-hop, 234
- Hippies, 144
- Hispanic market, 188
- Hispanics. *See also* Latinos
incarceration rate, 169
new immigrants, 260–262
- Hispaniola, 279
- Historical archaeology, 6
- Historical linguistics, 7, 193
- Historical trauma, defined, 105
- HIV/AIDS, 29, 75, 106, 108
among highlanders in Thailand, 283
Brazilian girl with, 96
in Haiti, 112, 279
- infibulation and risk of contracting, 86
in Port Moresby, Papua New Guinea, 15
social stigma and, 108
Treatment Action Campaign, 150
- Hmong, in Thailand, 283
- Ho Chi Minh City, Vietnam, homeless
children in, 59
- Holi celebration (New Delhi), 115
- Holism, 9, 16, 29
- Holocaust, absolute cultural relativism and,
20–21
- Home-decorating magazines, 237
- Homelessness, 59, 131, 133, 133
- Homeownership, “race” and, 60
- Homo sapiens*, 5
- Homosexuality, 87–88
- Honduras, Maya in, 258
- Hong Kong, overview and map, 123
- Hong Kong Chinese, changing consumption
patterns among, 262–263
- Honor killings, 152
- Hoodia, 19, 19, 285, 291
- Hookworm, rice cultivators and, 104, 104
- Hopi
eagle protection and, 206
Kachina doll, 207
matrilineal descent among, 121
reservation in Arizona, 207
- Horses, 54
- Horticulture, 51–53
brideservice in, 129
defined, 50, 51
division of labor in, 52–53
kinship, 121
life-cycle rituals, 209
major crops, 52
modes of livelihood, kinship, and household
structure, 119
modes of livelihood and social groups, 141
modes of political organization, conflict, and
social control, 161
nurturant-responsible personality type
and, 83
prominent horticultural regions, 52
property relations and, 53
stages in, 52
as a sustainable system, 53
tools for, 52
- House, Matilda, 160
- Household. *See also* Descent; Family; Kinship
changing, 134–135
defined, 130
domestic violence in, 131
expenditures, in Jamaica, 41, 42
extended, 130
headship, 130
modes of livelihood and, 130
multigenerational, 135
nuclear, 130, 134, 135
sibling relationships, 131
spouse-partner relationships, 130–131
variations in types of, 130
without a home (homelessness), 131, 133
women as head of, 124
- Housing, fieldwork, 33
- Howard University, step dancing at, 144
- Howell, Nancy, 43
- HTS. *See* Human Terrain System (HTS)

- Hui Muslims, of Xi'an, China, 221, 221
- Hula dancing, in Hawaii, 245, 247
- Human development, 276
- Human rights
- CO-MADRES, 154
 - forensic anthropology for Maya of Guatemala, 155
 - life projects and, 289–290
 - migration and, 267
 - military prison in Chechnya, 169
 - oil, environmental degradation and, in Niger Delta, 288, 288–289
 - religious freedom as, 225
- Human Terrain System (HTS), 24
- Humoral healing, 100–101
- Hundee, Ethiopia, long-term goals of, 285
- Hungary, Roma minority in, 151
- Hunt, George, 67
- Hurricanes, internal displacement in Louisiana and Mississippi, 267
- Hurston, Zora Neale, theoretical concepts of, 10
- Hussein, Saddam, 176, 251
- Hutterites, birth rate, 73
- Hybridization, 17, 17
- Hypergyny, 127, 128
- Hypogyny, 127, 128
- Hypothesis, 36
- Hyssop, boys selling in Syria, 103
- Iberian Peninsula, 40
- Ibn Khaldun, 9
- IBRD. *See* International Bank for Reconstruction and Development (IBRD)
- Icons, religious, 224
- IDA. *See* International Development Association (IDA)
- IDPs. *See* Internally displaced persons (IDPs)
- Ikat cloth, weaving, 232
- Illegal immigration, 262
- Illness, defined, 96, 97
- Imagined Communities* (Anderson), 175
- Imagined community, 175
- Imitative magic, 204
- Immigrants. *See also* Migration; New immigrants; Refugees
- Dominican, 260–261
 - from former Soviet Union, 264–265
 - health risks and, 111
 - Hindu, 263–264
 - Hong Kong Chinese, 262–263
 - illegal, 262
 - from Latin America, 260–262
 - Latino, 260, 260
 - Mexican, 260
 - Salvadoran, 261–262
 - studying English in Virginia, 260
 - Vietnamese, 263
- Immigrant visas, 259
- Immigration and Naturalization Act, 259
- Immigration quotas, 265
- Immigration visas, 262
- Inca empire, khipu used in, 194, 195
- Incarceration rate, 168
- Incest taboo, 122, 126
- Inclusion, migrants and, 265
- India. *See also* Hinduism; Islam; *specific countries, regions, and peoples*
- caste system in, 152, 153
 - classical dance-drama in, 235, 236
 - cross-cousin marriage in, 126
 - eliminating in, 13
 - groomprice in, 128
 - Hindu immigrants from, 263–264
 - Hinduism practiced in, 214
 - Holi celebration in, 215
 - humoralism in, 100
 - hypergyny in, 127
 - industrial agriculture in, 56
 - intercourse frequency among Hindus in, 76–77
 - male wrestling in, 239–240, 240
 - mega-dam projects in, 256
 - pilgrimage places in, 211
 - pardah practiced in, 165
 - redistribution assessment in, 276
 - sleeping habits in, 13
 - Taj Mahal, 238
 - Varna categories, 152
 - wet rice agriculture in, 55
 - widowhood in, 16, 134
 - Women's World Banking in, 286
- Indigenous knowledge, 38, 39
- Indigenous language dictionaries, 197
- Indigenous languages, extinction of, 8
- Indigenous peoples
- activist groups, 154–155, 155
 - botanical knowledge, 102, 103
 - defined, 18
 - development and, 282–285
 - effect of tourism on, 244–245, 247
 - environmental degradation and, 288, 288–289
 - fertility control, 79
 - Hawaiian Renaissance, 245, 247
 - historical trauma and, 105
 - humoral healing and, 100
 - Maya of Guatemala, 258, 258
 - mestizaje in Central and South America, 148
 - nationalism and linguistic assimilation, 196
 - organizing for change by, 285
 - pastoralist systems, 54
 - race, ethnicity and, 17–18
 - territorial entitlements and, 283–285
 - valuing and sustaining diversity of, 21
 - as victims of colonialism and development, 282–283
 - Walpole Island First Nation, Canada, 272, 272
 - Western contact and consumption patterns of, 65–66
 - worldwide population of, 282
- Indirect infanticide, 80
- Indirect response, 190
- Individual agency, structurism *vs.*, 22–23
- Indo-European language family, 193
- Indonesia
- child labor in, 56
 - expressive culture in, 232
 - gay language and belonging in, 191
 - orangutan regions in, 6
 - Weyéwa region in, 12
- Inductive approach, 36, 36
- Industrial agriculture, 56–57
- Industrial capital agriculture, defined, 56
- Industrialism/informatics, 57
- bilineal descent and, 121
 - death and dying, 91
 - defined, 56
 - 40 syndrome, 89
 - mode of reproduction, 73, 75
 - modes of livelihood, consumption, and exchange, 58
 - modes of livelihood, kinship, and household structure, 119
 - modes of livelihood and reproduction, 72
 - modes of livelihood and social groups, 141
 - modes of political organization, conflict, and social control, 161
 - nuclear households, 130, 134
 - social inequality and, 58
- Industrial Revolution, 148
- Infancy. *See* Birth and infancy
- Infanticide, defined, 80
- Infant mortality rate, 78, 81
- Infectious diseases
- new, 108
 - urbanization and, 104
- Infertility
- adoption and, 122
 - reproductive technologies and, 80
- Infibulation, 85
- Influence, defined, 160
- Information technology, 8
- Informed consent, defined, 31
- Infrastructure, 22
- Inheritance practices, 121, 124
- family farming systems and, 56
 - social control in states, 167
- Initiation rituals, 85–87, 144, 145, 146, 152, 167, 202, 232–233
- Institutional approaches to development, 276–277
- Institutional isolation, domestic violence and, 132
- Institutional migrants, 256, 257, 259
- Institutional review boards (IRBs), 31, 33, 43
- Institutions, 20
- Intangible cultural heritage, 244, 245
- Intellectual property rights law, 291
- Intensive agriculture, unsustainability of, 57
- Intensive strategy
- agriculture as, 54
 - defined, 54
- Interior design, 236–238
- Internally displaced persons (IDPs), 253, 256
- Internal migration, 252, 253
- International Alliance for the Protection of Isolated Indigenous Peoples, 283
- International Alliance of Forest People, 283
- International Bank for Reconstruction and Development (IBRD), 277
- International development anthropology, 8
- International Development Association (IDA), 277
- International Hula Festival (Honolulu), 247
- International migration, 252
- defined, 253
 - destination countries, 253
 - household changes and, 135
- International peacekeeping, United Nations and, 177
- Internet, 8, 29
- analysis of social meaning of websites, 38
 - global access to, 29
 - indigenous language dictionaries on, 197
 - new social movements and, 155

- Interpretive anthropology (interpretivism)
 approach in medical anthropology, 105
 cultural materialism *vs.*, 22
 defined, 11
 Geertz and, 10, 11
- Interviews
 defined, 36
 with Inuit elders, 39
- Inuit people
 of Alaska
 attitudes toward death and dying, 90
 kinship, 118
 stone carvings, 234
 of Baffin Island, 9
 overview and map, 39, 39
- Invention
 cultural change and, 273
 defined, 272
- In vitro fertilization (IVF), 80
- Iran, Kurds in, 176
- Iraq
 game of dominoes played in, 143
 internally displaced persons in, 256
 Kurds in, 176
 Marsh Arabs of, 250
 United States war in, 173
- IRBs. *See* Institutional review boards (IRBs)
- Ireland
 overview and map, 118
 St. Patrick in, 214
 Tory Islanders, 118, 119
- Iroquois nation, 175
- Iroquois of North America, 28
 division of labor in horticulture, 52
 league of five nations, 164
 matriarchy, 152
 matrilineal descent among, 121
 women's political importance, 164
- Iroquois region, precolonial, 53
- Iroquois type, kinship terms, 120
- Islam, 213, 220–222. *See also* Muslims
 abortion and, 80
 Afghanistan, 173
 Central Asian states, 172
 contested sacred sites in Jerusalem, 225
 Five Pillars of, 222
 food taboos, 12, 221
 garden pattern, 238
 in highland Sumatra *vs.* in Morocco, 222
 Hui Muslims in China, 221, 221
 humoralism in, 100
 Jerusalem and, 225
 khatam quran ritual, 208
 meaning of word, 222
 pilgrimage to Mecca, 220, 222
 population distribution, 222
 proselytizing, 214
 Shi'a, 222
 Sufism, 222, 281
 Sunni, 222
 Wahhabist, 222
- Isogamy, 127, 128
- Israel, 217
 battered women's shelter in, 131
 contested sacred sites in, 225
 Palestinian refugees and, 267
 Russian immigrants in, 264
 Soviet Jews in, 264
- Istanbul, Turkey, belly dancer in, 244
- Italy
 carnival in, 211
 Duomo, 237
 Slow Food Movement in, 66
 Venice, 290
- Ituri Forest Peoples Fund, 65
- Ituri people, of central Africa, 26
- IVF. *See* In vitro fertilization (IVF)
- Jacobs-Huey, Lanita, 29
- Jamaica
 friendship in, 141–142
 low-income household budgets in, 41, 42
 low-income neighborhood in Kingston, 18
 Rastafarianism in, 224
- Japan
 ancestor worship in, 208
 arranged marriages in, 128
 baseball in, 239
 bowing in, 188
 Buddhism in, 216
 changes in population pyramid of, 75, 75
 changing interior design in, 236–237
 death and dying in, 91
furisode kimono worn by unmarried women
 in, 188
 gardens in, 238, 243
 geisha culture in, 35, 35
 gift-giving in, 34
 induced abortion in, 80
 industrial agriculture in, 56
 interior decoration in, 236–238
 kimono in, 187
 kogals in, 191, 191
 low crime rate in, 168
 marital satisfaction in, 130
 menopause in, 90
 mineral baths in, 103
 mizuko in, 80
 policing in, 168
 population pyramid for, 75
 Retired Husband Syndrome in, 98
 sleeping disorders in, 13
 wedding style in, 134
- Japanese language, 196
 gender and politeness in, 190–191
 male-unmarked and female-unmarked
 nouns in, 191
- Japanese Sign Language, 184
- Japan International Cooperation Agency
 (JICA), 277
- Japlish, 197
- Jatis, 152
- Java, child labor in, 56
- Jeffersonian democracy, 273
- Jerusalem, 211
 pilgrimage to, 217–218, 218
 sacred sites in, 218
 contested, 225
- Jesus Christ, 219
- Jewish food taboos, 12
- Jews, pilgrimage, 211
- JICA. *See* Japan International Cooperation
 Agency (JICA)
- Job-related relocations, in North America, 252
- Johannesburg, South Africa, gestures used in,
 186, 186
- Jones, Sir William, 193
- Jordan, Brigitte, 81
- Journalism, politics of, 188, 189
- Journals, 40
- Judaism, 213, 217–219
 food taboos, 12
 Jerusalem, 217, 218
 contested sacred sites in, 225
 Kotel, 217–218, 218
 overview of, 217
 Passover in Kerala, 218–219
 sacred sites, 218, 218
 Soviet Jews, 264
 syncretism with Hinduism, 219
 Torah, 217
- Judd, Ellen, 154
- Judeo-Christian beliefs, Western law and, 167
- Judges, 168
- Ju/'hoansi people of southern Africa
 age structure and health status of, 51
 bilineal descent, 121
 foraging by, 49, 72
 healers, 99–100, 100
 Nisa's life history, 37, 37
 sharing and leveling mechanisms among,
 58–59
 of Southern Africa, overview and map, 19, 19
 traditional shelter, 49
 use rights and, 50
- Junger, Sebastian, 259
 “Just wars,” 173
- Kachina doll, 207
- Kalahari Desert
 community healing in, 99–100
 Ju/'hoansi healer in trance, 100
- Karen tribe, in Thailand, 283
- Karma
 Buddhism and, 216
 Hindu women in northern England
 and, 215
- Karzai, Hamid (Afghan president), 166
- Kata, Anna, 38
- Kata Tjuta National Park (Australia), 208
- Kathakali ritual dance-drama, 235, 236
- Kathoey, 88
- Kayopo leader, 162
- Kazakhstan, 172
 domestic violence in, 287
 Society of Muslim Women in, 287
- Kelabit (Borneo), household changes among,
 134–135, 135
- Kentucky
 overview and map, 132
 preventing wife abuse in, 132
- Kenya. *See also* specific peoples
 Amboseli National Park, 241
 Gusii, 53, 56, 83
 Maasai region of, 85, 240
 Somalis fleeing to, 270
 women searching for goat pasture in, 266
- Kerala (South India)
 Kathakali ritual dance-drama in, 235, 236
 Nayar fertility ritual in, 215
 overview and map, 276
 Passover in, 218–219
 redistribution assessment in, 276
- Khatam quran*, 208
- Khipu, 194, 195
- Kia*, community healing and, 100
- K'iche' Maya, 258

- Kidder, Tracy, 112
- Kinship diagrams
 constructing, 119
 symbols used in, 119
- Kinship system
 among Temiar of Malaysia, 235
 big-man system, 162–163
 bilineal descent, 120, 121–122
 changing patterns in, 133–135
 creation of, 118
 defined, 118
 food sharing and, 122
 naming systems, 120, 122
 ritually established, 125
 son preference, 89
 studying: from formal analysis to kinship in action, 119–120
 terminology, 120
 tribes, 162
 Trobriand Islanders and, 32
 unilineal descent, 120, 121
- Kiriwina Islands, Papua New Guinea, 32
- Kirsch, Stuart, 174
- Klamath Indians, myths of, 206–207
- Klamath region, in Oregon and California, 206
- Kochi area of Kerala, South India, Jews of, 218
- Kogals, 191, 191
- Konner, Melvin, 87
- Koro*, 98
- Kotel (Western Wall in Jerusalem), 217–218, 218
- Krishna, 140
- Kshatriyas, 152, 152
- Kuipers, Joel, 232
- Kula system (Trobriand Islands), 31, 62
- Kuna Indian woman, Panama, mola making, 146–147, 147
- Kurdish language, 176
- Kurdistan, 176, 176
- Kurds
 of Middle East, 175
 overview and map, 176
- Kurin, Richard, 33
- Kuru epidemic, in Papua New Guinea, 60, 60
- Kuwait, women's head or face covering in, 187
- Kwakwaka'wakw (Canada)
 overview and map, 67, 67
 potlatching among, 57–58, 66, 67
- Kyrgyzstan, 171, 172
- Labor demand
 in industrial agriculture, 56
 international migration and, 253
 migration and, 265
- Labor migrants, 254–255
- Labor migration, push-pull theory of, 253
- Lactose intolerance, 280
- “Lady” musical group, in South Korea, 89
- Lahu, in Thailand, 283
- LaLone, Mary, 241
- Lamas* (Nepal), Tibetan medicine and, 109
- Lancaster County, Pennsylvania, Old Order Amish in, 74
- Landowning class, 17
- Land rights, family farming and, 56
- Land tenure practices, in rural Haiti, 280
- Language. *See also* Communication; *specific languages*
- African American English, 191–192
- body language, 187
- change in, 192–199
- Chinese, 193
- circumpolar, 184
- critical discourse analysis, 190
- culture and, 189–190
- defined, 182
- dental and retroflex tongue positions, 183
- differences with medical staff, 111–112
- embodied, 184–187
- endangered languages and revitalization, 197, 199
- in England, 209
- ethnosemantics, 184
- European colonialism and contact languages, 195–196
- extinction of indigenous, 8
- features of human, 182–183
- formal properties of, 183–184
- gay language and belonging in Indonesia, 191
- global, 196–197
- Hindi, 183, 196
- historical linguistics, 193–194
- Indo-European language family, 193
- Kurdish, 176
- Mayan, 258
- nationalism and linguistic assimilation, 196
- origins and history of, 192–193
- Piraha of Brazil, 182–183
- power relations and, 8
- Proto-Bantu, 194
- Proto-Indo-European, 193
- reviving dying languages, 199
- Saami, 184, 189, 198
- Sanskrit, 193
- Sapir-Whorf hypothesis, 189
- sign language, 184
- silence, 186
- syntax, 184
- Turkic language group, 172
- Turkish, 244
- writing systems, 194–195
- Language extinction, 197
 in Australia-Pacific region, 199
- Language family, defined, 193
- Language revitalization, 197, 199
- Language shift (or language decay), 197
- Lao Buddhist chapel, in Virginia, 205
- Laos
 land allocation and indigenous communities in, 284
 relocation of highland horticulturalists in, 283
- Lapland. *See* Saami (or Sápmi) of Lapland
- Large-scale development institutions, 277–278
- Lassiter, Luke Eric, 42, 43
- Last Supper in Fiji, 220
- Las Vegas, Nevada, LL Cool J in, 233
- Latin America. *See also specific countries and peoples*
 CO-MADRES in, 154
 indigenous people's defense of territorial entitlement, 283
 new immigrants from, 260–262
 racial classification in, 149
- Latin language, 193
- Latinos. *See also specific groups*
 advertising for, in United States, 188
 cooking and domestic power, 14
 new immigrants, 260–262
- Law
 defined, 167
 social inequality and, 169–170
- Law of contagion, 204
- Law of similarity, 204
- Lawyers, 168
- Leacock, Eleanor, theoretical concepts of, 10
- Lead poisoning, reducing among Mexican American children, 112–113
- Leakey, Lewis, 7
- Lebanon
 Palestinian refugees in, 267
 sibling relationships research in, 131
- Lee, Richard, 19, 19, 43
- Legal anthropology, 160
- Leisure, 238–241, 243
- Lent, 211
- Leprosy, 105
- Lesbian, gay, bisexual, and transgender people (LGBT), contested rights of, 125
- Lese of Democratic Republic of Congo, 65, 65
- Leveling mechanisms, 59
- Lévi-Strauss, Claude, 21
 on incest taboo, 126
 interpretivist approach to medical anthropology and, 105
 on myth, 206
 theoretical concepts of, 10, 10
- Lexicon, 184
- LGBT. *See* Lesbian, gay, bisexual, and transgender people (LGBT)
- Liberation theology, 278
- Lifeboat mentality, 264, 265
- Life cycle
 kimonos and state of, 188
 violence against girls and women in, 286
- Life-cycle rituals, 209, 211
 defined, 209
 phases of, 209, 211
- Life history, 37, 37
- Life project
 defined, 289
 human rights and, 289–290
- Liminality, 211
- Liminal phase, 211
- Lindenbaum, Shirley, 60
- Linguistic anthropologists, 8
- Linguistic anthropology, 4, 7–8, 189, 197
 defined, 4, 5
 subfields, 7
- Linguistic determinism, 190
- Lisu, in Thailand, 283
- Literature review, 29
- Livelihood, 48. *See also* Modes of livelihood
- Llamas, 54
- LL Cool J, 233
- Loans
 through Grameen Bank, 287
 tied and untied, 277
- Loan words, in North American English, 196
- Localization, 17, 17t
- Logograph, defined, 194
- Longhouse
 Kelabit, Borneo, 135, 135
 Minangkabau, 124

- Lost semen complex, 77
 Louisiana, hurricanes and internal displacement in, 267
 Lourdes (France), pilgrimage to, 211
 Love-match marriages, 130
 Lubkemann, Stephen, 8
 Ly Dynasty, 245
- Maasai people of Kenya and Tanzania
 adolescent period among males, 84
 circumcision ceremony, 85
 formation of Amboseli National Park, Kenya and, 241
 fostering of children, 123
 gift to U.S. following 9/11, 165–166
 son's entry into adulthood, 85
 tourism and, 240
 Maasai region, of Kenya and Tanzania, 85
 Mabo, Eddie Koiko, 285
 Machu Picchu (Peru), 290, 290
 Madagascar
 rice cultivation in, 282
 sapphires in, 282
 Magic
 defined, 204
 principles of, 204
 religion *vs.*, 204–205
 Magna Carta, right of return and, 267
Mahabharata, 140, 236
 Mahatma Gandhi, 152
 Maize, 164, 258
 Makeup, 235, 236
 Malaria, 105, 106
 deforestation and, 108
 Malaysia. *See also specific regions and peoples*
 humoral traditions in, 100
 music and gender among Temiar of, 234–235
 orangutan regions in, 6
 sharing-based kinship in, 122
 Male bias, in development, 286
 Male dominance
 domestic violence and, 131
 in family farming, men and plowing hypothesis, 55
 in foraging, 50
 gang rape, 144, 154
 Kurdish families and social relations and, 176
 in pastoralism, 54
 patriarchy, 152
 political power, 165
 Malinowski, Bronislaw
 as father of participant observation, 28
 fieldwork on kula, 31, 31, 75
 on myth, 206
 study of sexuality, 75
 theoretical concepts of, 9, 10
 Malnutrition
 childhood, 106, 112
 pastoralists and, 266
 urbanization and, 104
Mana, 208
 Mandarin (language), 196
 Manigault, Barbara, 242
 Manioc, 53
 Manz, Beatriz, 258
 Marco Polo, 9
 Marcus, George, theoretical concepts of, 10
 Mardi Gras, 211
- Market exchange, defined, 62
 Marketplace, 62
 Market system, regional specialization and, 62
 Marley, Bob, 223
 Marriage
 age and, 134
 among Temiar of Malaysia, 235
 arranged, 128
 business marriage, 261
 caste system, 152
 change in, 133–134
 cousin, 126
 defined, 126
 descent and residence rules, 121–122
 divine, 208
 family farming systems and, 56
 forms of, 129
 gifts, 128–129
 hypergyny, 127
 hypogyny, 127
 incest taboos and, 126
 interior design and, 236–237
 isogamy, 127
 marital satisfaction, 130–131
 monogamy, 129
 naming occasion, 122
 physical features and, 127–128
 polyandry, 129
 polygamy, 129
 polygyny, 129
 role of romantic love, 128
 same-gender, 125, 125
 selecting a spouse, 126–128
 Sherpa of Nepal, 110
 status considerations in partner selection, 128
 toward a definition of, 125–126
 value of bride's virginity in Morocco, 76
 weddings, changes in, 134
 Marriage crisis, 134
 Marsh Arabs of Iraq, 250
 Martial arts, 239
 Martin, Mungo, 67
 Martinez, Samuel, 255
 Marx, Karl, 148
 Massage, 106
 Mass graves
 Bosnia and Herzegovina, 185
 forensic anthropology of Maya of Guatemala, 155, 155
 Masta Liu, Honiara, Solomon Islands, youth gang in, 145
 Maté, 103
 Material cultural heritage
 defined, 244
 growing, 245
 Matrescence, 88–89
 Matriarchy, defined, 152
 Matrifocal societies, male violence and, 131
 Matrilineal descent, 121, 133
 among Minangkabau, 121, 124
 among the Nayars, 215
 decline of, 285–286
 defined, 121
 extended household and, 130
 Matrilocality, 121
 Mauritania, Tuareg people exiled in, 284
 Mawri of Niger, marriage crisis and, 134
 Maya, weaving and embroidery of, 234
- Maya of Guatemala
 as displaced persons, 257, 258
 forensic anthropology for, 155
 overview and map, 258, 258
 Maya of Mexico, 175, 258
 birth practices, 81
 dancer in Mexico City, 2, 3
 godparenthood, 125
 menopause, 89
 social networks among, 286
 women selling chickens in Chiapas, 287
 McDonaldization, 17, 17
 McDonald's, 239
 MDRTB. *See* Multidrug-resistant tuberculosis (MDRTB)
 Mead, Margaret, 9, 10, 85
 Meaning effect (placebo effect), 105
 Measles, European colonialism and, 105
 Mecca, 211, 220, 222
 Media
 advertising for Latinos in United States, 188
 communication and, 187–189
 journalism, 188
 social media, 189
 Media anthropology, 188. *See also* Critical media anthropology
 Medical anthropology, 8, 96. *See also* Critical medical anthropology
 applied, 112–113
 ecological/epidemiological approach in, 104–105
 interpretivist approach to, 105
 Medical cultures, communication across, 111–112
 Medicalization, 105
 defined, 105
 of poverty, 106
 Medical pluralism
 defined, 109
 selective pluralism: Sherpa of Nepal, 109–111
 Medicine, Beatrice, theoretical concepts of, 10
 Mediterranean region, humoral healing in, 100
 Mega-dam projects, internally displaced persons and, 256–257
 Melanesia
 big-man/big-woman system in, 162–163
 cargo cults in, 224
 mana concept in, 208
 overview and map, 163
 Men. *See also* Gender; Male dominance
 40 syndrome in, 89
 game of dominoes, 143
 incarceration rate, 168
 speech of, 190
 Men and plowing hypothesis, 55
 Menarche, defined, 75
 Mende people (Sierra Leone), food stealing by children, 63
 Mennonites
 birth rate, 73
 informal social control among, 166
 Menopause, 75, 89
 Men who have sex with men (MSM), 76, 76
 Meritocratic individualism, 148
 Mernissi, Fatima, 84
 Merry, Sally Engle, theoretical concepts of, 10
 Mesopotamia, writing systems in, 194
 Messiah, 219

- Mestizaje, 148
- Methamphetamine, resettlers in Thailand and Laos and, 283
- Mexican American children, reducing lead poisoning among, 112–113
- Mexican immigrant girls, labeled as ESL students, 20
- Mexico. *See also specific regions and peoples*
 birth practices research, 81
 goldworkers in, 233
 immigrants from to the U.S., 260, 267
 inadequate access to clean water in, 99
 industrial agriculture in, 56
 overview and map, 78
 prison population in, 168
 as transnational country, 254
 Virgin of Guadalupe, 213
- Miami (Florida), Haitian culture in, 291
- Mian, in Thailand, 283
- Microcredit model, 140, 287
- Microculture(s), 11
 art, power and, 234
 defined, 11
 difference *vs.* hierarchy in, 17
 fieldwork and, 34–35
 migration and, 252
 some bases of, 17
- Micronesia, *mana* concept in, 208
- Middle age, 89–90
- Middle East. *See also specific countries, regions, and peoples*
 humoral healing in, 100
- Midwifery, 81, 101
- Migrants, marriages between, 134
- Migrant workers, 56–57, 57
- Migration. *See also Refugees*
 categories of, 252–257, 259
 chain, 260
 circular, 255
 defined, 252, 253
 development-induced displacement, 256
 displaced persons, 255–257
 human rights and, 267
 institutional migrants, 257, 259
 internal, 252, 253
 internally displaced persons, 256
 international, 252, 253
 labor migrants, 254–255, 265
 military migrants, 259, 259
 new immigrants from Asia, 262–264
 new immigrants from former Soviet Union, 264–265
 new immigrants from Latin America and the Caribbean, 260–262
 protecting migrants' health, 265
 Puerto Rico, 175
 transnational, 252, 253–254
 wage labor, 254
- Militarism, 172
- Militarization of civilian lives, 43
- Military migrants, 259, 259
- Milk consumption, cultural fit and development project in South Pacific islands, 280
- Milton, Katherine, 65, 66
- Minangkabau of Indonesia
 bride, 116
 decline of matrilineal kinship, 133
 matriarchy, 152
 matrilineal descent, 121
 overview and map, 124, 124
- Mineral baths, 103
- Ming, 122
- Minimalism, 58, 59
- Mining, 290
 community activism in Papua, New Guinea and, 174, 174
 internally displaced persons and, 256
- Minorities, indigenous people *vs.*, 282
- Minority ethnic groups, 18
- Miriam people, Australia, land rights and, 285
- Mississippi, hurricanes and internal displacement in, 267
- Mizuko, 80
- Modell, Judith, 123
- Models of culture, 239
- Modernization, defined, 275
- Modes of consumption, 48, 58. *See also*
 Consumerism; Consumption;
 Minimalism
 changing patterns in, 65–66
 consumerism, 58
 consumption funds, 58
 consumption microcultures, 60–61
 minimalism, 58
 personalized/depersonalized consumption, 59
- Modes of exchange, 48, 58, 61–63, 65. *See also*
 Balanced exchange; Exchange;
 Unbalanced exchange
 balanced exchange, 61, 61–62
 keeping track of exchange, 61
 unbalanced exchange, 61, 62–63, 65
- Modes of livelihood, 48, 48–57, 58, 72. *See also*
 Agriculture; Foraging; Horticulture;
 Industrialism/informatics; Pastoralism
 agriculture, 48, 54–57
 changing households, 134
 foraging, 48, 48–51
 horticulture, 48, 51–53
 household and, 130
 industrialism/informatics, 48, 57
 kinship and, 118
 kinship and household structure, 119
 pastoralism, 48, 54
 social groups and, 141
 socialization during childhood and, 83
- Modes of reproduction, 72, 72–73, 75
 agricultural mode, 72–73
 defined, 72, 73
 foraging mode, 72
 industrialism/informatic mode, 73, 75
- Modoc Indians, myths of, 206–207
- Modoc region, in Oregon and California, 206
- Moka, 162, 163
- Molas, 146–147, 147
- Monasticism, in Buddhism, 216
- Money, 58
- Mongolian ger or yurt, 236
- Monogamy, 128, 129
- Monotheism, Judaism, 217
- Montesquieu, 9
- Morbidity, poverty and, 105
- Morgan, Lewis Henry, 9, 28
 theoretical concepts of, 9, 10
- Morocco
 adolescence in, 84
 Berber carpets in, 234
 bride in traditional clothing in, 77
 French colonialism and Arabic influences in, 196
 Islam in, 222
 overview and map, 77
 value of bride's virginity in, 76
 weddings in, 134
- Mortality
 fertility and, 73
 infant mortality rate, 78
 poverty and, 105
- MOSOP. *See* Movement for Survival of the Ogoni People (MOSOP)
- Mountains Beyond Mountains* (Kidder), 112
- Mount Everest, Sherpa of Nepal and, 110, 110
- Mourning, 91
- Movement for Survival of the Ogoni People (MOSOP), 288–289
- Muhammad, 220, 222
- Mullings, Leith, 10
- Multidrug-resistant tuberculosis (MDRTB), 104
- Multigenerational household, 135
- Multilateral institutions, 277
- Multinational corporations, global-local conflict and, 173
- Multiple research methods and team projects, 38, 38–39
- Multisited research, 28, 29
- Mum, community healing and, 100
- Murder, punishment for, 168
- Murray, Gerald, 280
- Music, 234
 African, 243
 country music in Brazil, 235
 drummers at Gullah Festival in Beaufort, South Carolina, 242
 gender and, ethnographic questions related to, 234
 hip-hop, 234
- Música sertaneja, 25
- Muslims. *See also* Islam
 beliefs of, 222
 Bosnian, 185
 contested sacred sites, 225
 Dalit, 153
 Feast of Sacrifice, 222
 female genital cutting and, 86
 gardens, 238
 head covering, 187
 Hui Muslims in China, 221, 221
 incarceration rate, 168
khatam quran, 208
 organized against domestic violence, 287
 parallel-cousin marriage, 126
 photo identification cards, 280
 pilgrimage to Mecca, 211, 220
 purdah, 165
 sectarian conflict, 171
 Sunni, 176
 in Turkey, 244
 Uyghurs, 138
- Myanmar (formerly Burma), Buddhism in, 216–217
- Myths
 defined, 204, 205
 of Klamath and Modoc Indians, 206–207
 of Pueblo Indians, 206
 theater and, in South India, 235–236

- Nacirema, of North America, 8–9
- Nader, Laura, 34
theoretical concepts of, 10
- Naiyomeh, Kimeli, 165
- Namibia, Ju/hoansi region in, 19
- Naming system, 122
- Nara, Japan, Buddhism in, 216
- Narcissistic personality, 83
- Narmada River Valley, India, mega-dam project in, 256
- Narratives, 184, 234
- Narrative therapy, 185
- Nation, defined, 175
- Nationalism, linguistic assimilation and, 196
- National parks, eagle protection, Hopi culture and, 206
- Nation-state, 175
- Native title, Aboriginal activism and, 285
- Nats, 216
- Natural resources, 283–285, 288–289
- Natural selection, 9
- Nature, culture *vs.*, 12
- Navajo
adolescence among, 84
in Arizona, 207
artists among, 233
kinship, 120
matrilinearity and, 133
- Nayar fertility ritual, 215
- Ndembu of Zambia, life-cycle rituals among, 209
- Near-extinction, of language, 197
- Nebuchadnezzar, 217
- Nelson, Brendan, 160
- Neocolonial wars, 173
- Neolithic era, emergence of warfare during, 172
- Neolocality, 121–122
- Neo-Paganism
pentacle (or pentagram), 205
widespread presence of, 205
- Nepal
changes in marriage in, 133–134
child labor in, 56
polyandrous household in, 130
Sherpa of, 109, 110, 110
- Netherlands, birth practices research in, 81
- New Delhi, Holi celebration in, 215
- New English, 197
- New Guinea. *See also* Papua New Guinea; West Papua, and specific peoples
Dani of, 4
deforestation in, 290
gender segregation in, 20
number of languages in, 197
- New Haven (Connecticut), African American children's shopping patterns in, 60–61
- New immigrants
from Asia, 262–264
defined, 259
from former Soviet Union, 264–265
from Latin America and the Caribbean, 260–262
to United States and Canada, 253
- New Order Amish, 74
- New Orleans, “racial” lines of displacement in, 267
- New social movements, 154–155
- New Testament, 219
- New World archaeology, 6
- New York City (New York)
acupuncture session in, 273
Dominican Day parade in, 260
graffiti in, 230
Hindus in, 263–264
- New Zealand
as destination country for immigrants, 253
indigenous people's defense of territorial entitlement in, 284–285
- Ngambri-Ngunnawal People of Australia, Matilda House during ceremony, 160
- Ngarrindjeri women (Australia), sacred site protection, 210, 210
- NGOs. *See* Nongovernmental organizations (NGOs)
- Niger Delta
oil, environmental degradation and human rights in, 288, 288–289
overview and map, 289, 289
- Nigeria
Ogoni people of, 288, 288–289
Yorùbà wood carving in, 231, 231
- Night soil, 104
- Nirvana*, 216
- Nisa The Life and Times of a !Kung Woman*, 37, 37
- Noncash economies, 58
- Nongovernmental organizations (NGOs), 30, 174, 266
development from within and, 285
development projects and, 278
international peacekeeping and, 177
- Nonimmigrant visas, 259
- Nonperiodic rituals, 209
- Nonverbal communication, bowing in Japan, 188
- Norms, defined, 166–167, 167
- North America
alternative food movements in, 66
religious syncretism in, 223
- North American English, loan words in, 196
- North India
birth rate in, 73
lost semen complex in, 77
- North Korea, radon spas in, 103
- North Sentinel Island (India), 51
indigenous people of, 282
- Norway
Saami in, 198, 198
herder riding skidoo, 274
- Note taking, in the field, 40
- Nuclear household, 130, 134, 135
- Nuer of southern Sudan, woman-woman marriages among, 126
- Nuka* (skin-related health problems), Subanun categories of, 97
- Nunavut land claim, British Columbia, 284
- Nunavut Territory, Canada, overview and map, 284
- Nurturant-responsible personality, 83
- Nutrition, child, income and, 106. *See also* Diet; Food
- Oakland (California)
ebonics in, 192
Ella Baker Center for Human Rights, 149
- Oaxaca, Mexico
family size in, 78
godparents in, 125
- market system in, 62
susto in, 98
- Obama, Barack, 155, 166
- Obesity, globalization and, 109
- Obeyesekere, Gananath, 37
- Obstetric training, critique of, 107
- OFI. *See* Orangutan Foundation International
- Ogoni people, Niger Delta, 288, 288–289
- Oil, environmental degradation and, 288, 288–289
- Ok Tedi mine, Papua New Guinea, 174, 174
- Old Order Amish of United States and Canada, 74, 74
- Old Testament, 219
- Old World archaeology, 6
- Oliver, Jamie, 109
- Oman (Gulf state), xanith in, 87, 88
- One-Child-per-Couple Policy, in China, 79, 134
- Ontario, Canada, Walpole Island First Nation, 272, 272
- Open adoption, 123
- Open-ended interviews, 36
- Orang Asli, humoral healing and, 100–101
- Orangutan Foundation International, 7
- Orangutan preservation, 6–7
- Orchard Town, United States, dependent-dominant personality in children, 83
- Oregon, Klamath and Modoc region in, 206
- Original affluent society, 51
- Oromo communities, Ethiopia, Hundee and, 285
- Osada, Roma in Slovakia and, 151
- Ostracism/ostracizing, 168
- Ottoman Empire, 244
- Outmigration, 253
- Pacific Northwest, potlatch system in, 57–58
- PAHO. *See* Pan American Health Organization (PAHO)
- Pain, play and, 240
- Paintings, 232
- Paiute tribe, Ghost Dance movement, 224
- Paleoanthropology, 5
- Palestinians, 175
right of return for, 267
- Palm readers, 213
- Panama, cooperatives in, 146–147, 147
- Pan American Health Organization (PAHO), 273
- Pantheons, 208
- Papiamentu, 196
- Papua New Guinea (PNG)
anthropology and community activism in, 174, 174
cricket in Trobriand Islands, 243, 243
division of labor in horticulture, 52
elimination in, 15
gold in, 282
intertribal warfare in, 16
kuru epidemic in, 60
moka in, 163
number of languages in, 197
overview and map, 15
pidgin language in, 196
Tok Pisin in, 196
tribes in, 163
Trobriand Islanders of, 28, 31
overview and map, 32, 32
women's deadly diet in, 60

- Parallel cousin, defined, 126
- Parallel-cousin marriage, 127
- Paramaribo, Suriname, women's clubs, 143
- Parenthood, 88–89
- Participant observation, 36
defined, 28
fieldwork combined with, 28–29
with Inuit, 39
- Partners in Health, 112
- Passive policing, domestic violence and, 132
- Passover in Kerala (India), 218–219
- Pastoralism
African, mapping movements for risk assessment and service delivery, 266, 266
age set in, 140
defined, 54
division of labor in, 54
health status and, 104
induced abortion and, 79
kinship and, 121
Kurds, 176
modes of livelihood, kinship, and household structure, 119
modes of livelihood and social groups, 141
modes of political organization, conflict, and social control, 161
political interests of state in Africa and, 284
portable structures of, 236
property relations and, 54
as a sustainable system, 54
transnational migrants and, 253
- Patent medicines, 103
- Patrescence, 88, 89
- Patriarchy, defined, 152
- Patrilineal descent, 121, 122
defined, 121
Kurdish kinship and, 176
- Patrilineal extended household, 130
- Patrilocality, 121, 122
- Paul Farmer Effect (PFE), 112
- Pawnee Indians, Ghost Dance movement, 224
- Payakan, Chief Paul (Kayopo leader), 162
- Peacock, Nadine, 26
- Peasant farming, 54, 55. *See also* Family farming
- Peccerelli, Fredy, 155
- Pedely, Mark, 188
- Pelto, Pertti, 274
- Pentacle (or pentagram), 205, 205
- Pentateuch, 217
- “People-first” cultural heritage preservation, 247
- Pequot Indians (Connecticut), 63
- Performance arts, 234–236. *See also* Art; Dance; Expressive culture; Music; Theater
- Periodic market, 62
- Periodic rituals, 209
- Permanent market, 62
- Persian Gulf War, Kurds and, 176
- Personality
adolescence and identity, 83–88
adulthood, 88–91
birth, infancy, and childhood, 81–83
defined, 80, 81
dependent-dominant, 83
narcissistic, 83
nurturant-responsible, 83
socialization during childhood, 83
- Personalized consumption, 59
- Peru. *See also specific peoples*
Global Handwashing Day in, 15
local resistance to mining in, 175
Machu Picchu in, 290, 290
- Petroleum reserves, in Niger Delta, 288
- Peyizan Yo
deforestation by, 280
of Haiti, 279, 279
- PFE. *See* Paul Farmer Effect (PFE)
- Pharmaceutical medicines, 103
- Phi Beta Kappa, 148
- Philippines
Basic Ecclesiastical Community movement in, 278
overview and map, 97
scavenging for livelihood in urban dump in, 278
Subanun of, 96–97, 97
as transnational country, 254
- Phonemes, 183
- Phonetics, 183
- Photoethnography, 133
- Photography, 40–41
- Physical environment, fieldwork and dangers related to, 43
- Physical isolation, domestic violence and, 132
- Physician-assisted suicide, 91
- Phytotherapy, 101, 102
- Pidgin
defined, 195
Tok Pisin, 196
- PIE. *See* Proto-Indo-European (PIE) origins
- Pieto alberto*, 98
- Pigs
exchange of, in Papua New Guinea, 16, 163
kuru and scarcity of, 60
moka and, 163
taboo on eating, 12, 221
Trobriand Islanders and, 32
- Pilgrimage, 211
Buddhist, 211
defined, 211
to Kotel (Western Wall in Jerusalem), 217
to Mecca (hajj), 220, 222
to the Vatican, 220
- Pilgrimage Month, 222
- Pilot study, 37
- Piraha language, 193
- Piraha of Brazil, 214
language among, 182–183
reservation, 183
shelter, 183
verbal art of, 230
- Placebo effect, defined, 105
- Plaintains, 52
- Plantation slavery, Hispaniola, 279
- Plant domestication, 172
- Play
characteristics of, 238
pleasure and pain and, 240
- Plowing, men and plowing hypothesis, 55
- PNG. *See* Papua New Guinea (PNG)
- Policing
defined, 168
social control and, 168
- Polio vaccine, 273
administering in Ecuador, 273
- Politeness, gender and, 190–191
- Political anthropology, 8, 160
- Political leaders, legitimizing, art and, 232
- Political organization
bands, 161–162
big-man and big-woman leadership, 162–163
chiefdoms, 163–164
defined, 160, 161
modes of conflict, social control and, 161
states, 164–165
tribes, 162–163
- Political prisoners
activist groups, 154–155
liberation of, 175
- Political repression
activist groups, 154–155, 155
of Kurds, 176
- Politics, 160. *See also* Political organization; Leadership; Law
- Polyandrous households, 130
- Polyandry, 128, 129, 130
- Polygamy, 128, 129
- Polygynous households, 130
- Polygyny, 128, 129
- Polynesia, *mana* concept in, 208
- Polytheism, in Hinduism, 140
- Population displacement, causes of, 255
- Pork. *See also* Pigs
taboo on eating, 12, 221
- Port au Prince, Haiti, earthquake of 2010 in, 279
- Portugal
susto in, 97
as transnational country, 254
- Portuguese language, 196
- Postconflict reconstruction, 43
- Postmodernism, 11
- Post-traumatic stress disorder (PTSD), 185
- Potlatch, 56, 57, 66
- Pottery, Native American, 233
- Poverty. *See also* Class; Social inequality
defined, 272
Dominican immigrants and, 261
friendship and, 142143
induced abortion and, 79
infanticide and, 80–81
medicalization of, 106
of Salvadoran immigrants to the U.S., 261–262
social inequality and, 105–106
structural suffering and, 99
structuralist *vs.* individual agency study of, 22–23
tuberculosis and, 104
youth gangs and, 145
- Power
art and, 234
cooking and, 12
defined, 160
language and, 8
use of silence and, 186
- Powhatan (Algonquin chief), 164
- Practicing/practical anthropology, 5
- Pregnancy
medical control of, 89
technology involvement in, 75
- Prehistoric archaeology, 6
- Pretoria, South Africa, gestures used in, 186, 186
- Priestesses, 213
- Priests, 213

- Primary group, defined, 140
- Primates, 5
- Primatology, 5
- Primitive medicine, 96
- Primitivist images, tourism and, 241
- Prison population
in China, 168
in United States, 168
- Private property, lack of, in foraging societies, 50
- Private-sector entity, global-local conflict and, 173
- Productivity, defined, 182
- Project Camelot, 41
- Project cycle
defined, 280
development projects, 280, 280
- Project selection, 29–31
- Pronatalism, defined, 73
- Property relations
in family farming, 56
foragers and, 50–51
in horticulture, 53
pastoralism and, 54
- Prophets, 213
- Proselytizing, 214
- Prosody, 183
- Protection of human subjects, 31
- Protestants/Protestantism, 219
among white Appalachians, 219–220
conflict with Catholics, 171
in Puerto Rico, 177
- Proto-Bantu, 194
- Proto-Indo-European (PIE) origins, two sites of, 194
- Proto-language, 193
- Psychological anthropology, 8
- PTSD. *See* Post-traumatic stress disorder (PTSD)
- Puberty, 83. *See also* Adolescence rituals, 211, 211
- Public anthropologists, 9–10
- Public health communication, 113
- Pueblo Indians, myths of, 206
- Puerto Rico, 175
overview and map, 177
- Pull factor, in migration, 253
- Punishment
death, 168
prisons, 168
in small-scale societies, 167
state systems of social control, 168–169
trial by ordeal, 168
- Purdah, 165
- Pure gift, 60, 61–62
- Push factor, in migration, 253
- Push-pull theory, defined, 253
- Qualitative data, 36, 41
- Quantitative data, 36, 41
- Québec, French-speaking, 199
- Quechua, in Bolivia, 102
- Queer anthropology, 11
- Questionnaires, 36–37
- Queuing, at World Expo 2010 (Shanghai, China), 167
- Quilting, Gullah of South Carolina and, 242
- Quotas, immigration, 265
- Qur'an, 208, 221
- “Race.” *See also* Ethnicity; Indigenous people; Racism
art and, 233
ascribed position and, 148
ascribed status and, 148, 149–150
children's shopping and, 60–61
defined, 17–18
fieldwork and, 34
friendship and, 142
homeownership and, 60
hurricanes and internal displacement in Louisiana and Mississippi, 267
incarceration rate and, 168
notions of romantic love and, 128
racial classification, 149
- Racism, 11, 149
apartheid in South Africa, 149–150
Australian youth judicial system and, 169, 169
church burning, 225
in United States, 60–61
- Radon spas, 103, 103
- Rainforest, orangutan preservation in, 6
- Ramayana*, 140, 236
- Rape, 287
gang rape, 144, 154
in refugee camps, 256
of Salvadoran immigrants, 262
- Rapid-research methods, 31
- Rap music, 234
- Rapport
defined, 33
gaining, 33–34
- Rap singers, 233
- Ras Tafari (Rastafarianism), 223–224
- Recipient culture, 281
- Reciprocity
expected, 62
generalized, 61
- Recording culture, 40–41
- Redistribution, defined, 62
- Redistribution system, big-man/big-woman leadership, 162
- Reforestation
in Burkina Faso, 286
in Haiti, 280
in Nepal, 110
- Reform Judaism, 217
- Refugees. *See also* Internally displaced persons
camps, 256
defined, 255
Guatemalan, 257
mental health problems among, 91
narrative therapy for, 185
as new immigrants to North America, 259
Palestinian, 267
right of return, 267
in Sahel region, 284
Somali child and his mother, 270
Soviet Jewish, 264
survivors of violence, 185, 185
Tibetan Buddhist, 225
Vietnamese, 263
- Reggae music, 223, 224
- Regime change, 173
- Reincarnation, 216
- Reindeer herding, 54
Saami and, 198
Saami terms for “snow” relative to, 184
snowmobiles and, 274
- Reintegration phase, of life-cycle ritual, 211
- Religion. *See also* African religions; Buddhism; Christianity; Hinduism; Islam; Judaism
abortion and, 79
beliefs about supernatural forces and beings, 207–208
defined, 204
directions of change in, 224–225
expression of beliefs, 205–209
law and, 167
magic *vs.*, 204–205
Ras Tafari (Rastafarianism), 223–224
religious specialists, 212–213
sports and, 239
varieties of belief, 205
world religions overview, 213–214
- Religious freedom
in England, 209
as human right, 225
- Religious icons, 224
- Religious organizations, grassroots approaches to development and, 278
- Religious pluralism, defined, 214
- Religious specialists, 212–213
diviners, 213
priests and priestesses, 213
prophets, 213
shamans, 212, 212
witches, 213
- Religious syncretism
African religions and, 223
defined, 214
in Kochi Jewish lifestyle, 219
Last Supper in Fiji, 220
Virgin of Guadalupe and Aztec goddess Tonantzin, 213
- Remittances, 254, 255
- Replacement-level fertility, 73
- Reproduction. *See* Modes of reproduction
- Reproductive technologies, 80
- Research. *See also* Fieldwork; Research methods
age and, 35
class and, 34
collaborative, 42–43
culture shock, 35
false role assignments, 33
funding for, 31
gender and, 34–35
gift-giving and exchange, 34
informed consent, 31
microcultures and, 34–35
“race” and ethnicity and, 34
rapport, 33–34
with street children in Brazil, 35
- Research methods
armchair anthropology, 28
collaborative research, 42–43
data analysis, 41
data collection, 35–39
fieldwork, 28
life history, 37, 37
multiple research methods and team projects, 38–39
multisited, 29
participant observation, 28–29
recording culture, 40–41
texts, 38
time allocation study, 37–38
war zone anthropology, 43

- Research participants, 43
 Research site, 33
 Reservations, Western Apache, 187
 Residence visas, 259
 Resource curse, 49
 Resource extraction process, anthropology and, 174, 174, 175
Restrepo (film), 259
 Restudy, 31
 Retired Husband Syndrome (RHS), 98
 Retirement homes, 90
 Retroflex sound, 183
 Revenue Sharing Trust Fund (RSTF), 64
 Reverse culture shock, 35
 Reverse reasoning, 77
 Revitalization movements, defined, 224
 Rhetoric, 234
 RHS. *See* Retired Husband Syndrome (RHS)
 Rice
 cultivation in Madagascar, 282
 economy in Minangkabau, 124
 grown in female farming systems of Asia, 55–56
 Gullah of South Carolina and, 242
 hookworm and cultivation of, 104, 104
 Rice-sharing kinship bonds, 122
 Right of return, defined, 267
 Rio de Janeiro, Brazil, game of dominoes played in, 143
 Rio Tinto mining company, 290
 Ritually established kinship, 125
 Rituals. *See also particular religions and peoples*
 defined, 209
 of inversion, 211–212
 life-cycle, 209, 211
 nonperiodic, 209
 periodic, 209
 pilgrimage, 211
 sacred *vs.* secular, 209
 sacrifice, 212
 Roman Catholicism, 219
 abortion and, 79
 in Bolivia, 102
 in Philippines, 97
 in Puerto Rico, 177
 ritually established kinship in, 125
 Roman Catholics, conflict with Protestants, 171
 Roman myths, 205
 Roman religion (classical), 208
 Romantic love, marriage and, 128
 Roma of Eastern Europe, 18, 150, 282
 belly dancers, 244
 overview and map, 151
 Rosaldo, Michelle Zimbalist, 10, 43
 Roy, Arundhati, 257
 Royal British Columbia Museum (Victoria, BC), 67
 RSTF. *See* Revenue Sharing Trust Fund (RSTF)
 Rudd, Kevin, 160
 Rules of exclusion, 126
 Rules of inclusion, 126
 Rumspringa, 74
 Russia. *See also Soviet Union*
 conflict in Central Asia, 170–171
 incarceration rate in, 168
 industrial agriculture in, 56
 language, 196
 western, Saami in, 198
 Russian immigrants, to Israel, 264
 Russian Orthodox church, icons, 224
 Russian Sign Language, 184
 Saami of Finland, reindeer herding among, 54
 Saami people of Lapland
 kinds of “snow” recognized by, related to reindeer herding, 184, 189–190
 overview and map, 198, 198
 sacred sites, 208
 snowmobiles and social impact analysis, 274, 274
 Sacred art, flowers and, 238
 Sacred rituals, secular rituals *vs.*, 209
 Sacred sites
 contested, 225
 in Jerusalem, 218
 Sacred space
 Aboriginal women and protection of, 210, 210
 beliefs about, 208–209, 210, 210
 Sacrifice, 212, 222
 Safari tour groups, in Africa, 240
 Safety in the field, 43
 Sahel region of Africa
 female genital cutting in, 85–86
 overview and map, 265, 265
 protecting migrants’ health in, 265
 refugees in, 284
Salamanders, 13
 Salamandra, Christa, 33
 Salote Tupou II (queen of Tonga), 256
 Salvadorans, in United States, 261–262
 Same-gender marriages, 125, 125
 Samoa
 health risk and immigration, 111
 overview and map, 111
 San Cristóbal, Chiapas, Mexico, women selling chickens in, 287
 Sanday, Peggy, 144, 212
 Sand baths, 103
 Sand paintings, 94
 San Francisco (California), homeless heroin addicts in, 133
Sannyasi, 239, 240
 San of southern Africa, 18, 19, 19
 hoodia and, 19, 19, 285, 291
 overview and map, 19, 19
 protective legislation for, 284
 Sanskrit, 193
Santeri, in Brazil, 223
 Sapir, Edward, 189
 Sapir-Whorf hypothesis, defined, 189
 Sapphires, 282
 Sardinia (Italy), carnival in, 211
 Saro-Wiwa, Ken, 288, 289
 SARS. *See* Severe acute respiratory syndrome (SARS)
 Satellite communication, 273
 Saudi Arabia
 McDonald’s in, 17
 pilgrims to Mecca, 220
 Savage-Rumbaugh, Sue, 182
 Savings and loan business, in Haiti, 279
 Savishinsky, Joel, 50
 Scavenging, in Cebu Island, Philippines, 278
 Schepher-Hughes, Nancy, 81, 82, 106
 theoretical concepts of, 10
 Schistosomiasis, 281
 dam projects and, 108–109
 Schonberg, Jeff, 133
 Sea turtle, as sacred creature, 164
 Secondary group, defined, 140
 Second Temple, 217
 Sectarian conflicts, 171
 Secular rituals, sacred rituals *vs.*, 209
 Secure Fence Act of 2007, 267
 Seder, 219
 Selassie, Haile, 223, 224
 Senegal, overview and map, 281, 281
 Senegal River Valley, dam project, 281
 Senior years, 90
 Separation phase, of life-cycle ritual, 209
 September 11, 2001 attacks, gift of Maasai people following, 165
 Seselwa, 196
 Severe acute respiratory syndrome (SARS), 108
 Sex, gender *vs.*, 18, 82
 Sexism
 ascribed status and, 152
 gender and, 152
 Sexual abuse, of children, 131
 Sexual behavior
 among gay men, 76
 intercourse, 75–77
 intercourse frequency and fertility, 76–77
 in marriage, 130–131
 sexually transmitted diseases, 75
 timing of intercourse, 75–76
 Sexual dimorphism, 127
 Sexual identity, 87–88
 Sexually transmitted diseases (STDs), 75
 Seychelle Islands, seselwa spoken in, 196
 Shakira, 228
 Shaman Gray Squirrel, 94
 Shamankas, 101, 212
 Mongolian, 212
 Shamans, 101, 212–213
 Shanghai, China, World Expo 2010, 167
 Sharing, 122–123, 125. *See also* Leveling mechanisms
 adoption and fostering, 122–123, 125
 kinship through food-sharing, 122
 ritually established kinship, 125
 Sheep, 54
 Shell Oil Company, in Niger delta, 288, 289
 Sherpa of Nepal
 health modalities, 109
 overview and map, 110, 110
 Shi’a Muslims, 171, 222, 244
 Shibuyu district, Tokyo, Japan, kogal in, 191
 Shifting cultivation, 52
 Shintoism, 213
 Shoji, 236
 Shopping
 African American children’s, in New Haven (Connecticut), 60–61
 for candy in Valencia (Spain), 59
 at farmers’ markets, 59
 at fish market in Tokyo, 63, 63
 for locally produced food, 66
 marketplaces as sites for, 62
 Shostak, Marjorie, 37, 37
 Shrove Tuesday, 211

- Shudras, 152, 152
- Shunning, 166
- Sibera, use of silence in, 186
- Sibling relationships, 131
- SIDA. *See* Swedish Agency for International Development (SIDA)
- Siddhartha Gautama (Buddha), 215
- Sidur, 217
- Sign language, 184
- Sikkim, Sherpa in, 110
- Silicon Valley, South Asian Indians in, 263
- Silk Road, 172
- Sioux of the United States, Ghost Dance movement, 224
- Sister languages, 193
- Site selection, 33
- Sitting Bull (Indian chief), 224
- Six Cultures Study*, 53, 56, 83
- Slavery, 53
 - African American English and, 192
 - Creole languages and, 196
 - as form of exploitation, 65
 - pidgin and, 195
 - revolution on Hispaniola, 279
- Sleeping, culture and, 13
- Slovakia, Roma in, 151
- Slow Food Movement, 66
- Small business loans, in Haiti, 279
- Smallpox, European colonialism and, 105
- Small-scale societies, social control in, 167
- Smoking, 106
- SMW. *See* Society of Muslim Women (SMW)
- Snake handling, in Appalachian Christian churches, 219–220
- Snow, in Saami language, 184, 190
- Snowmobiles, impact on Saami culture, 274, 274
- Snowshoe hares, 51
- Social capital, 278
- Social conflict
 - ethnic conflict, 170–171
 - global-local conflict, 173, 175
 - sectarian conflict, 171
 - war, 171–172
- Social control
 - art and, 232
 - defined, 166, 167
 - modes of, 161
 - in small-scale societies, 167
 - social inequality and the law, 169–170
 - in states, 167–169
 - systems of, 167–173, 175
- Social discourse, 38
- Social equity, distributional development and, 276
- Social groups, 140–147
 - body modification groups, 146
 - clubs and fraternities/sororities, 143–144
 - countercultural groups, 144–147
 - defined, 140
 - friendship, 141–143
 - modes of livelihood and, 141
 - youth gangs, 144–145
- Social impact assessment
 - defined, 275
 - Saami, snowmobiles and, 274, 274
- Social inequality
 - gender inequalities, 152
 - law and, 169–170
 - poverty and, 105–106
 - racial stratification and, 149
 - in United States, 60–61
- Social isolation, domestic violence and, 132
- Social justice
 - activist groups, 154–155, 155
 - promoting, 170
- Social media, 189
 - new social movements and, 154–155
- Social networks, women and, 286
- Social safety net, potlatch and, 58
- Social status, of artists, 233
- Social stratification, 147–153
 - achieved position, 147
 - ascribed position, 147
 - caste and casteism, 152–153
 - civil society, 153–155
 - defined, 147
 - gender and sexism, 152
 - race, 149–150
 - status, 148
- Society of Muslim Women (SMW), 287
- Sociolinguistics, 7, 190
- Soldiers
 - child, 173
 - relocation and physical and mental effects on, 259
- Solomon Islands
 - overview and map, 145
 - youth gang in, 145
- Solomon (king), 217
- Somalia, child and mother in refugee camp, 270
- Somatization, defined, 97
- Songs, 232
 - rap singers, 233
- Son preference, 89
- Sororities, 143–144, 144
- South Africa
 - apartheid in, 149–150
 - gestures used by a man, 186
 - HIV/AIDS prevention campaign in, 108
 - overview and map, 150
 - protective legislation for San peoples in, 284
 - “race” in, 18
 - Shakira at 2010 World Cup in, 228
 - Treatment Action Campaign in, 150
 - vuvuzela tradition in, 239
 - wall painting in, 230
- South America
 - mestizaje in, 148
 - religious syncretism in, 223
- South Asia. *See also specific countries and peoples*
 - preference for sons, 89
- South Asian Indians, in New York City, 263
- South Baffin Island Place Name Project, 39
- South Carolina
 - Gullah people of, 242, 242
 - Gullah region in, 242, 242
- Southeast Asia
 - division of labor in horticulture, 52
 - mainland, overview and map, 217
 - “planned resettlement” in, 283
 - wet rice agriculture in, 55
- Southern Africa, San peoples of, 18, 19, 19
- South Korea
 - Changdoek Palace in, 245
 - transsexuals in, 89
- South Pacific region. *See also specific countries and peoples*
 - big-man/big-woman politics in, 164
 - cultural fit and development project in, 280
 - mana concept in, 208
- South Sudan, overview and map, 285
- Soviet Jews, in Israel, 264
- Soviet Union
 - Afghanistan invaded by, 173
 - new immigrants from, 264–265
- Spain
 - Andalucia, friendship in, 142, 142
 - language, 196
 - overview and map, 40
 - susto in, 97
 - Valencia, 59
- Spanglish, 197
- Spanish colonialists, in Puerto Rico, 177
- Spanish language, 196
- Specialization, state systems of social control, 168
- Specific claims, in Canada, 283
- Speechmaking, 234
- Spirit of the Larus, The* (Montesquieu), 9
- Spirituality, sports and, 239
- Sports
 - baseball, 239
 - blood sports, 240
 - as cultural microcosm, 239
 - magic in, 205
 - pain and, 240
 - spirituality and, male wrestling in India, 239–240, 240
- Spouse, selecting, 126–128. *See also* Marriage
- Spouse-partner relationships, 130–131
- Squires, Susan, 30
- Sri Lanka
 - life history research of devotees in, 37, 38
 - Tamils of, 18
- Stack, Carol, 142
- State, 164–165
 - access to abortion and, 79
 - civil society for, 153–154
 - defined, 164
 - fertility decisions made at level of, 79
 - gender and leadership in, 165
 - globalization and, 175
 - militarism and, 172
 - powers and roles, 165
 - social control in, 167–168
 - sovereignty interests, 177
 - symbols of power, 165
- Status
 - achieved: class, 148
 - ascribed: “race,” ethnicity, gender, and caste, 148–150, 152–153
 - defined, 148
 - silence and, 186
 - spouse selection and, 126–128
- STDs. *See* Sexually transmitted diseases (STDs)
- Step dancing, 144
- Sterilizations, forced, 79
- Stone carvings, by Inuit of Alaska, 234
- Storytelling, 141, 234
- Strata, 147
- Stratified reproduction, 73
- Street gangs, 145

- Structural adjustment, 275, 276
- Structural linguistics, 7
- Structural suffering, defined, 99
- Structure, 22
- Structured questions, 37
- Structurism
- braceros, 254
 - defined, 11
 - individual agency *vs.*, 22–23
 - medicalization, 105
 - push-pull theory of migration and, 253
 - ritual footwashing and, 219
 - snake-handling in Appalachia, 220
 - structural suffering and, 99
 - youth gangs and, 144–145
- Studying up, 34
- Subanun of the Philippines, 96–97
- categories of *Nuka*, skin-related health problems, 97
- Substance abuse, historical trauma and, 105.
- See also* Drugs
- Sudan
- genocide in, 284
 - internally displaced persons in, 256
 - overview and map, 285
 - team and resettlement project in, 38
- Sufism, 222, 244, 281
- Sufriendo del agua*, 98, 99
- Sugarcane, Haitian cane cutters in Dominican Republic, 254–255, 255
- Suicide
- among homosexuals, 88
 - physician-assisted, 91
- Sumatra
- bride in, 116
 - Islam in, 222
 - orangutan regions in, 6
- Sumba, Indonesia
- expressive culture in, 232
 - social control in, 167
- Sunni Islam, Five Pillars of, 220
- Sunni Muslims, 171, 176, 222, 244
- Supernatural forces and beings, beliefs about, 207–208
- Superstructure, 22
- Supply and demand, 58
- Suriname, 143
- women's clubs, 143
- Sustainability
- of agriculture, 57
 - of foraging, 51
 - of horticulture, 53
 - in modes of livelihood, 48
 - of pastoralism, 54
- Sustainable development, 276
- Susto* (“fright/shock” disease), defined, 97–98
- Svinia, Roma in, 151, 151
- Sweden
- birth practices research in, 81
 - Saami in, 198
- Swedish Agency for International Development (SIDA), 277
- Sweetgrass baskets, 242, 242
- Symbolic anthropology, 10, 11
- Symbols
- culture and, 15–16
 - defined, 16, 17
 - of state power, 165
- Syncretism, 17
- colonialism and, 243–244
 - religious, 214, 214, 219, 220, 223
- Syntax, 184
- Syphilis, 105
- Syria
- boys selling hyssop in, 103
 - Kurds in, 176
- Tabac, Lara, 76
- Taboos. *See also* Food taboos
- incest, 122, 126
- Tag question, defined, 190
- Tahitian chief, tatoos worn by, 146
- Taino, in Puerto Rico, 177
- Tajikistan, 171, 172
- Taj Mahal, 238
- Tamales, 14, 14
- Tamils, in Sri Lanka, 18, 175, 282
- Tannen, Deborah, 190
- Tanzania, Maasai region of, 85
- Taoism, 213
- Tape recordings, 40
- Tarahumara people (northern Mexico), two sisters of, 82
- Tarot card readers, 213
- Taste, 12
- Tatami, 236, 238
- Tattoos, 146, 187
- Team research projects, 38–39
- Technology
- death and dying and, 91
 - inventions, 273
 - obstetrics and, 107
 - pregnancy and use of, 75
 - Western biomedicine and, 96
- Teepees, 236
- Tejano migrant farm workers, U.S., 14
- Teknonyms, 123
- Tel Aviv, Israel, women's shelter in, 131
- Temiar of Malaysia, music and gender among, 234–235
- Temperate-region foragers
- circumpolar-region foragers *vs.*, 49, 49
 - division of labor among, 50
- Temple in Jerusalem, Babylonian destruction of, 217
- Terminally ill people, 91
- Terra nullius*, 285
- Territorial entitlements, indigenous people and, 283–285
- Tex-Mex, 197
- Textese
- defined, 196
 - English, 197
- Textile arts, Gullah of South Carolina and, 242
- Textual material, analysis of, 38
- Thailand
- development and hill tribes of, 282–283
 - gender categories in, 88
 - gender differences in, 18, 20
- Thanksgiving, 209
- Theater, 232, 234
- dance-drama in India, 235, 236
 - myth and, in South India, 235–236
- Theft, 63, 65, 168
- Theravada Buddhism, 217
- Thought world, language and, 190
- Three Gorges Dam Project (China), overview and map, 257, 257
- Tibet
- Buddhism, 110
 - Chinese takeover, 150, 225
 - Han political repression in, 18
 - medicine, 109
- Tibetan Buddhists, religious freedom and, 225
- Tibetans, in China, 175
- Tied loans and grants, 277
- Tiefing, 63
- Tierney, Patrick, 171
- Time allocation study, 37–38
- Togo, West Africa, sacred altar in, 223
- Tok Pisin, 196
- Tokyo
- marital satisfaction in, 130
 - Tsukiji fish market in, 63, 63
- Tonantzin (Aztec goddess), Virgin of Guadalupe and, 213
- Tonga (Kingdom of), overview and map, 256
- Tongue positions in speech, 183
- Tools
- of foragers, 49
 - horticultural, 52
- Toponymy, 38, 39
- Toqueville, Alexis de, 140
- Torah, 217
- Toronto, Chinese Canadians in, 252
- Torture
- alleged victim of, in Cairo, Egypt, 189
 - democratization and end of, 175
- Tory Islanders of Ireland, 118, 119
- Totem poles, 66
- Tourism, 240–241, 243. *See also* Cultural tourism
- anthropology of, 241
 - in Appalachia, 241
 - complex effects of, 244–245, 247
 - cultural heritage, 290–291
 - Gullah of South Carolina, 242, 242
 - high-end, in Costa Rica, 241
 - Nepal, 110, 110
- Trade
- defined, 62
 - Trobriand Islanders and, 32
 - writing and, 195
- Traditional Chinese medicine, acupuncture procedure in New York City, 273
- Traditional development anthropology, defined, 281
- Traditional healing, Western biomedicine and, 113
- Transition, or liminal phase, of life-cycle ritual, 211
- Transnational countries, 253–254
- Transnational migration, 252
- defined, 253
 - rising rates of, 253
- Transsexuals, 88, 89
- Transvestites, 88
- Treatment Action Campaign, 150
- Trial by ordeal, defined, 168
- Trials and courts, state systems of social control, 168
- Tribes, 162–163
- defined, 162
 - warfare, 172

- Trickle-down effect, 275
- Trobriand, Denis de, 32
- Trobriand Islanders of Papua New Guinea
 adolescence among, 84
 cricket and, 243, 243, 273
 fieldwork among, 28, 31
 kula, 31, 62
 overview and map, 32, 32
 study of sexuality of, 75
- Tropes, 41, 188
- Trotter, Robert, 112, 113
- Tsimané (Bolivian Amazon), botanical
 knowledge and child health, 102
- Tsukiji fish market (Tokyo), 63, 63
- Tuareg people, in exile in Mauritania, 284
- Tuberculosis, urbanization and, 104
- Tubmanburg, Liberia, child soldier in, 173
- Turkana men, Kenya, health status of, 104
- Turkey
 belly dancing in, 244, 245
 Kurds in, 176
 overview and map, 244
- Turkic language group, 172
- Turkish bath, 240
- Turkmenistan, 171, 172
- Turner, Victor, 209, 211, 218
- Twitter, 155
- Tylor, Sir Edward, 9, 204
 culture defined by, 11
 religion defined by, 204
 theoretical concepts of, 9, 10
- Typhus, European colonialism and, 105
- Tzu, 122
- U-casting, 153
- Uganda, cooking bananas in, 52
- Ukraine, bathing in, 240
- Umami, 12
- Umbanda*, in Brazil, 101, 223
- Unbalanced exchange, 61, 62–63, 65
 defined, 60
 exploitation, 65
 gambling, 63, 64, 64
 market exchange, 62
 marketplace, 62
 theft, 63, 65
- Underwater archaeology, 6, 8
- Undocumented immigrants, 262, 265
- UNDP. *See* United Nations Development Programme (UNDP)
- Unemployment, in industrial/informatic societies, 57
- UNESCO. *See* United Nations Educational, Scientific, and Cultural Organization (UNESCO)
- UNESCO World Heritage Sites, 245, 246
- UNHCR. *See* United Nations High Commissioner for Refugees (UNHCR)
- UNICEF. *See* United Nations Children's Fund (UNICEF)
- Unilineal descent, 120, 121
- United Arab Emirates, Dubai Tower, 237
- United Kingdom, overview and map, 209
- United Nations, 245, 277
 Commission on the Status of Women, 286
 Declaration of Human Rights, 289
 Global Handwashing Day, 15, 15
 indigenous peoples guidelines, 18
 international peacekeeping and, 177
- United Nations Children's Fund (UNICEF), 277
 vaccination programs, 113
- United Nations Declaration, religious freedom, 225
- United Nations Development Programme (UNDP), 277
- United Nations Educational, Scientific, and Cultural Organization (UNESCO), 277
- United Nations General Assembly Resolution 194, right of return and, 267
- United Nations High Commissioner for Refugees (UNHCR), 277
- United States. *See also specific cities, states, and peoples*
 adoption in, 123
 advertising for Latinos in, 188
 attitudes toward death and dying in, 90
 baseball in, 239
 below-replacement fertility in, 73
 birth practices research in, 81
 body modification in, 146
 Bosnian refugees in, 185
 closed U.S.-Mexico border policy in, 267
 color of clothing and gender differentiation in, 187
 conservatism and immigration policy in, 265
 consumerism in, 59
 designated Indian reservations in, 107
 as destination country for immigrants, 253
 documenting disappearing indigenous languages in, 197
 domestic violence in, 131
 Dominican immigrants in, 260–261
 family farms in, 55
 hip-hop culture in, 234
 hypergyny in, 127
 incarceration rate in, 168
 Indian casinos in, 63, 64, 64
 industrial agriculture in, 56
 intercourse frequency and, 76–77
 Japanese garden styles in, 243
 Kurds in, 176
 Mexican immigrants in, 260
 obstetric training study, 106–107
 Old Order Amish in, 74, 74
 precolonial distribution of Indian tribes in, 106
 “race” and children's shopping in, 60–61
 “race” in, 18
 racism in, 149, 150
 radon spas in, 103
 recording genealogy in family Bible in, 120
 romantic love in, 128
 Salvadoran immigrants in, 261–262
 shape of households in, 135
 sources of lead poisoning in, 112
 Soviet Jewish immigrants in, 264
 street gangs in, 145
 tuberculosis in, 104
 Vietnamese immigrants in, 263
 wars in Iraq and Afghanistan, 173
 wedding cost in, 129
- United States Agency for International Development (USAID), 277, 277
- Unstructured questions, 37
- Untied loans and grants, 277
- Urban gangs, 145
- Urbanization, health problems and, 104
- Urban poor, friendship among, 142–143
- Urine, ritual use of, 15
- U.S. Army, briefing troops in Afghanistan, 259
- USAID. *See* United States Agency for International Development (USAID)
- Use rights, defined, 50
- Utilitarian writing, 195
- Uyghurs, young girl in factory, 138
- Uzbekistan, 171
 map, 172
- Vaccination
 against childhood diseases, 108
 polio vaccine administered in Ecuador, 273
 programs, promoting in developing countries, 113
 study of social discourse on, 38
- Vaishyas, 152, 152
- Valencia, Spain, candy shops in, 59
- Vanatani island, gender egalitarianism in, 163
- Vancouver, Canada
 Chinatown, 252
 same-sex marriage in, 125
- Vancouver, George, 67
- Varanasi, India, 211
- Varnas, 152, 152
- Vatican (Rome), 220
- Vector, 96
- Vedas, 140
- Venezuela, Yanomami region in, 53
- Venice (Italy), 290
- Verandah anthropology, 28
- Via Dolorosa, Jerusalem, 218
- Video games, 84
- Videos, 40–41
- Vietnam
 homeless children in, 59
 immigrants from, 263
 land allocation and indigenous communities in, 284
 water puppetry in, 245
- Vietnam-American War, 41–42
- Vietnamese-Americans, adaptation patterns among, 263
- Village exogamy, 127
- Violence. *See also* Social conflict; War; specific countries, regions, and peoples
 domestic, 131, 132, 133, 287
 fieldwork and potential of, 43
 against girls and women through life cycle, 286
 in the Niger Delta, 288
 refugee survivors of, 185, 185
 between state and indigenous people, 283
 state violence against Maya of Guatemala, 155, 155
 war correspondents and, 188
- Virginia
 Lao Buddhist chapel in, 205
 Latino immigrants studying English in, 260
 tourism in Appalachia, 241
- Virginia Military Institute (VMI), initiation ritual at, 86–87, 87
- Virginia Tech, Blacksburg campus, candlelight vigil at, 91

- Virgin of Guadalupe, 213
 Visas, 31, 259
 business marriage and, 261
 VMI. *See* Virginia Military Institute (VMI)
 Vocabularies, focal, 184. *See also* Language
 Voluntary migration, 267
 Vuvuzela tradition, South Africa, 239
- Wa, defined, 239
 Waanyi Women's History Project, Northern
 Queensland, Australia, 247
 Wage labor migration, 254
 Wahhabist Islam, 222
 Waiteri complex, 170
Wakabaot, 145
 Wall paintings, 236
 Walpole Island First Nation, Canada,
 272, 272, 287
- War. *See also* Soldiers
 art and, 232
 causes of, 173
 chiefdoms and, 163–164
 child soldiers, 173
 colonial attempt to eradicate intertribal, 243,
 243–244
 cultural variation and frequency of, 172
 defined, 171–172
 intertribal, in Papua New Guinea, 16
 “just,” 173
 military migrants, 259
 neocolonial, 173
 Yanomami and, 170–171, 171, 172
- War correspondents, in El Salvador, 188
War (Junger), 259
 War zone anthropology, 43
 Water, *sufriendo del agua*, 98, 99
 Water buffaloes, 55–56, 124
 Water puppetry, Vietnamese, 245
 WBM. *See* Western biomedicine (WBM)
 Weaving
 ikat cloth, 232
 by Maya Indians, 234
- Web (World Wide Web). *See also* Internet
 indigenous language dictionaries, 197
 as source of data on social discourse, 38
- Weddings
 cost of, 129
 in Japan, 134
 Saami, 198
 same-sex, 125, 125
 style changes in, 134
 “white wedding,” 134
- Weibo, 189
 Weiner, Annette, 31
 Wel hao, 122
 West Africa, wood carving in, 231, 231
 Western Apache reservation, in Arizona,
 overview and map, 187
 Western biomedicine (WBM), 96, 99, 105
 communication across medical cultures and,
 111–112
 cultural critique of training, 106–108
 defined, 97
 health problem classification in, 97
 model of birth, 81
 Nepal, 109
 nonbiomedical systems and, 113
 technology and 107–108
- Western Wall in Jerusalem, 217–218, 218
 West Papua, number of languages in, 197
 Wet rice agriculture, female dominance in,
 55–56
- Weyéwa people, in Indonesia, overview and
 map, 12, 12
- White Americans. *See* Euro-Americans
 (or White Americans)
 “White Australia” policy, 253
- Whitehead, Tony, 34
 White immigration, 253
 White wedding, 134
- WHO. *See* World Health Organization (WHO)
- Whorf, Benjamin, 189
- Wiccan religion
 pentacle (or pentagram), 205
 widespread presence of, 205
- Widowhood, symbols of, 16, 134
- WIMSA. *See* Working Group of Indig-
 enous Minorities in Southern Africa
 (WIMSA)
- Witches, 213
- Woman-woman marriages, 126
- Women. *See also* Adolescent females;
 Gender; Girls
 Chinese women's movement, 153–154
 covering head or face, 187
 deadly diet in Papua New Guinea, 60
 development and, 285–287
 Dominican, in United States, 261
 empowerment and personal risk
 reduction, 287
 exchange patterns among Trobriand
 Islanders, 31
 Gullah, textile arts and, 242
 incarceration rate, 168
 kuru and, 60, 60
 microcredit model and, 287
 as objects of exchange in marriage, 126
 organizations for change, 286–287
 political importance among Iroquois, 164
 political roles, 165
 refugees, 256
 small business loans in Haiti, 279
 speech of, 190
 status in Hong Kong, 122–123
 violence against, 131
 throughout life cycle, 286
 Waanyi, heritage preservation, 247
- Women and child care hypothesis, 55
 Women and food processing hypothesis, 55
Women of Value, Men of Renown (Weiner), 31
 Women's clubs, 143
 Women's Federations, in China, 154
 Women's shelter, 131
 Women's World Banking, 286
- Wood carving, 31
 Yorùbà, 231
- Working class, 17
- Working Group of Indigenous Minorities in
 Southern Africa (WIMSA), 19
- World Bank, 276, 277
 description of, 277
 strategy on cultural heritage of, 246, 246
 structural adjustment and, 275
- World Cup (2010), South Africa
 advertising sponsors, 239
 Shakira at, 228
 vuvuzela tradition and, 239
- World Expo 2010 (Shanghai, China), visitor
 queues at, 167
- World Health Organization (WHO), 113, 277
 World Heritage Sites, 290, 290
 World languages, 196
- World religions
 overview and local variations, 213–214
 population distribution of, 214
- Wounded Knee, battle at, 224
- Wrestling, in India, 239–240, 240
- Writing systems, 194–195
Writing Women's Worlds (Abu-Lughod), 41
- Wupatki National Park (Arizona), Hopi
 culture, eagle protection and, 206
- Xanith, 87, 88
 Xenophanes, 208
 Xi'an (China)
 Hui Muslims of, 221, 221
 map of, 221
- Xinjiang Uyghur Autonomous Region
 (XUAR), 139
- XMDRTB. *See* Extra-multidrug-resistant
 tuberculosis (XMDRTB)
- Yaks, 54
- Yanomami (Amazon)
 division of labor in horticulture, 52–53
 learning adult role through play, 84
 naming system, 120
 warfare and, 170–171, 171, 172
- Yanomami region, in Brazil and Venezuela, 53
- Yellow fever, 33
- Yellowstone model, for national parks,
 206, 207
- Yert, Mongolian, 236
 Yoik lyrics (Saami), 198
 Yonggom people, Papua New Guinea,
 174, 174
- Yorùbà wood carving, 231
You Just Don't Understand (Tannen), 190
- Youth gangs, 144–145
- Youth offenders, Australian Aboriginal,
 169, 169
- Zapotec Indians (Mexico), division of labor in
 family farms of, 55
- Zils, belly dancing and, 244
- Zoomorphic supernaturals, 208
- Zuni Indians of the United States, potters, 233