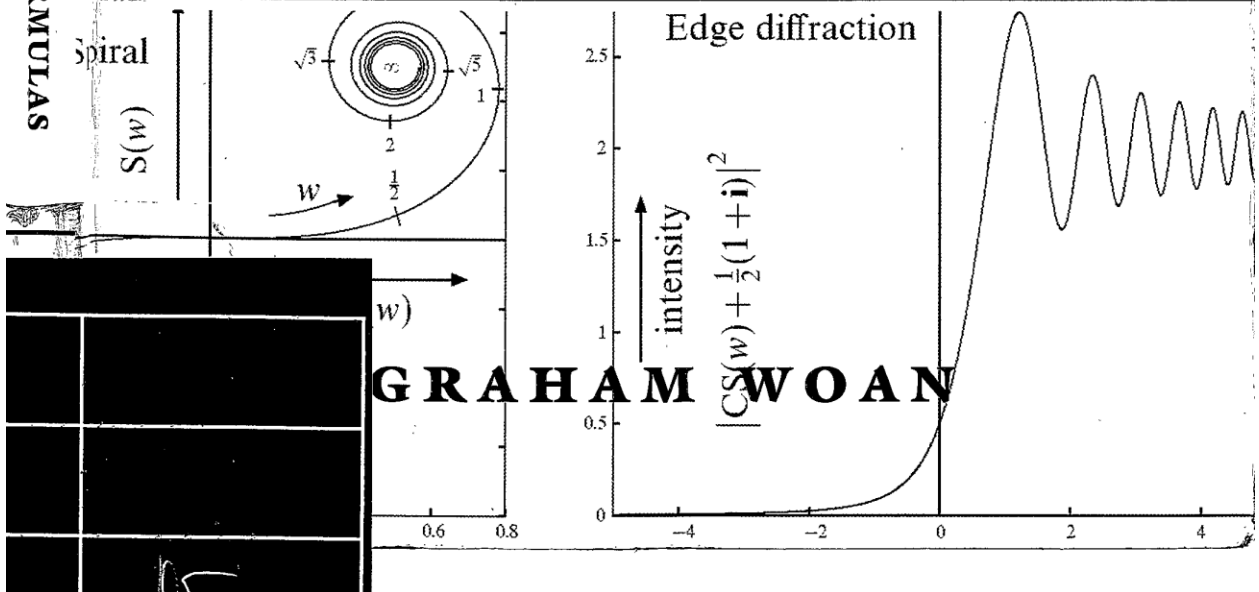
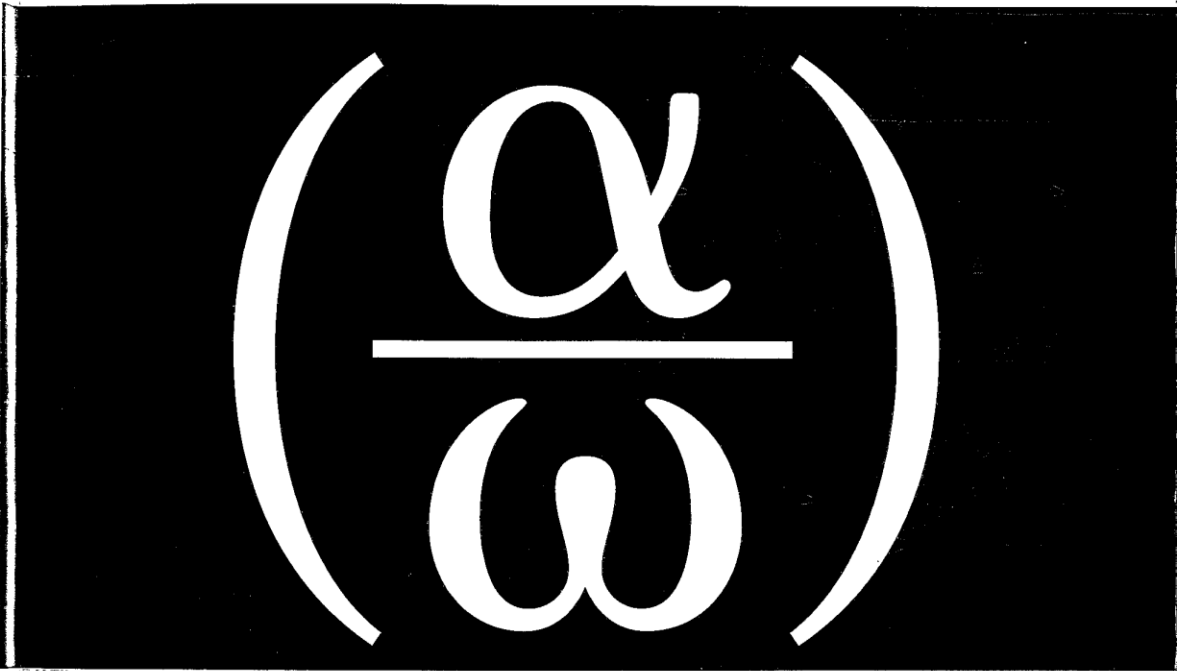


WOAN

THE CAMBRIDGE HANDBOOK OF PHYSICS FORMULAS

# THE CAMBRIDGE HANDBOOK OF PHYSICS FORMULAS



GRAHAM WOAN

Chapter 1 Units, constants, and conversions

1

Chapter 2 Mathematics

2

Chapter 3 Dynamics and mechanics

3

Chapter 4 Quantum physics

4

Chapter 5 Thermodynamics

5

Chapter 6 Solid state physics

6

Chapter 7 Electromagnetism

7

Chapter 8 Optics

8

Chapter 9 Astrophysics

9

Index

I

## The Cambridge Handbook of Physics Formulas

*The Cambridge Handbook of Physics Formulas* is a quick-reference aid for students and professionals in the physical sciences and engineering. It contains more than 2000 of the most useful formulas and equations found in undergraduate physics courses, covering mathematics, dynamics and mechanics, quantum physics, thermodynamics, solid state physics, electromagnetism, optics, and astrophysics. An exhaustive index allows the required formulas to be located swiftly and simply, and the unique tabular format crisply identifies all the variables involved.

*The Cambridge Handbook of Physics Formulas* comprehensively covers the major topics explored in undergraduate physics courses. It is designed to be a compact, portable, reference book suitable for everyday work, problem solving, or exam revision. All students and professionals in physics, applied mathematics, engineering, and other physical sciences will want to have this essential reference book within easy reach.

Graham Woan is a lecturer in the Department of Physics and Astronomy at the University of Glasgow. Prior to this he taught physics at the University of Cambridge where he also received his degree in Natural Sciences, specialising in physics, and his PhD, in radio astronomy. His research interests range widely with a special focus on low-frequency radio astronomy. His publications span journals as diverse as *Astronomy & Astrophysics*, *Geophysical Research Letters*, *Advances in Space Science*, the *Journal of Navigation* and *Emergency Prehospital Medicine*. He is co-developer of the revolutionary CURSOR radio positioning system, which uses existing broadcast transmitters to determine position, and he is the designer of the Glasgow Millennium Sundial.



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# The Cambridge Handbook of Physics Formulas

GRAHAM WOAN

*Department of Physics & Astronomy  
University of Glasgow*

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# Contents

Preface	<i>page vii</i>
How to use this book	1
<b><u>1 Units, constants, and conversions</u></b>	<b>3</b>
1.1 Introduction, 3 • 1.2 SI units, 4 • 1.3 Physical constants, 6 • 1.4 Converting between units, 10 • 1.5 Dimensions, 16 • 1.6 Miscellaneous, 18	
<b><u>2 Mathematics</u></b>	<b>19</b>
2.1 Notation, 19 • 2.2 Vectors and matrices, 20 • 2.3 Series, summations, and progressions, 27 • 2.4 Complex variables, 30 • 2.5 Trigonometric and hyperbolic formulas, 32 • 2.6 Mensuration, 35 • 2.7 Differentiation, 40 • 2.8 Integration, 44 • 2.9 Special functions and polynomials, 46 • 2.10 Roots of quadratic and cubic equations, 50 • 2.11 Fourier series and transforms, 52 • 2.12 Laplace transforms, 55 • 2.13 Probability and statistics, 57 • 2.14 Numerical methods, 60	
<b><u>3 Dynamics and mechanics</u></b>	<b>63</b>
3.1 Introduction, 63 • 3.2 Frames of reference, 64 • 3.3 Gravitation, 66 • 3.4 Particle motion, 68 • 3.5 Rigid body dynamics, 74 • 3.6 Oscillating systems, 78 • 3.7 Generalised dynamics, 79 • 3.8 Elasticity, 80 • 3.9 Fluid dynamics, 84	
<b><u>4 Quantum physics</u></b>	<b>89</b>
4.1 Introduction, 89 • 4.2 Quantum definitions, 90 • 4.3 Wave mechanics, 92 • 4.4 Hydrogenic atoms, 95 • 4.5 Angular momentum, 98 • 4.6 Perturbation theory, 102 • 4.7 High energy and nuclear physics, 103	
<b><u>5 Thermodynamics</u></b>	<b>105</b>
5.1 Introduction, 105 • 5.2 Classical thermodynamics, 106 • 5.3 Gas laws, 110 • 5.4 Kinetic theory, 112 • 5.5 Statistical thermodynamics, 114 • 5.6 Fluctuations and noise, 116 • 5.7 Radiation processes, 118	



<b>6</b>	<b><u>Solid state physics</u></b>	123
	6.1 Introduction, 123 • 6.2 Periodic table, 124 • 6.3 Crystalline structure, 126 • 6.4 Lattice dynamics, 129 • 6.5 Electrons in solids, 132	
<b>7</b>	<b><u>Electromagnetism</u></b>	135
	7.1 Introduction, 135 • 7.2 Static fields, 136 • 7.3 Electromagnetic fields (general), 139 • 7.4 Fields associated with media, 142 • 7.5 Force, torque, and energy, 145 • 7.6 LCR circuits, 147 • 7.7 Transmission lines and waveguides, 150 • 7.8 Waves in and out of media, 152 • 7.9 Plasma physics, 156	
<b>8</b>	<b><u>Optics</u></b>	161
	8.1 Introduction, 161 • 8.2 Interference, 162 • 8.3 Fraunhofer diffraction, 164 • 8.4 Fresnel diffraction, 166 • 8.5 Geometrical optics, 168 • 8.6 Polarisation, 170 • 8.7 Coherence (scalar theory), 172 • 8.8 Line radiation, 173	
<b>9</b>	<b><u>Astrophysics</u></b>	175
	9.1 Introduction, 175 • 9.2 Solar system data, 176 • 9.3 Coordinate transformations (astronomical), 177 • 9.4 Observational astrophysics, 179 • 9.5 Stellar evolution, 181 • 9.6 Cosmology, 184	
	Index	187



# Preface

In *A Brief History of Time*, Stephen Hawking relates that he was warned against including equations in the book because “each equation... would halve the sales.” Despite this dire prediction there is, for a scientific audience, some attraction in doing the exact opposite.

The reader should not be misled by this exercise. Although the equations and formulas contained here underpin a good deal of physical science, they are useless unless the reader *understands* them. Learning physics is not about remembering equations, it is about appreciating the natural structures they express. Although its format should help make some topics clearer, this book is not designed to teach new physics; there are many excellent textbooks to help with that. It is intended to be useful rather than pedagogically complete, so that students can use it for revision and for structuring their knowledge *once they understand the physics*. More advanced users will benefit from having a compact, internally consistent, source of equations that can quickly deliver the relationship they require in a format that avoids the need to sift through pages of rubric.

Some difficult decisions have had to be made to achieve this. First, to be short the book only includes ideas that can be expressed succinctly in equations, without resorting to lengthy explanation. A small number of important topics are therefore absent. For example, Liouville’s theorem can be algebraically succinct ( $\dot{q} = 0$ ) but is meaningless unless  $\dot{q}$  is thoroughly (and carefully) explained. Anyone who already understands what  $\dot{q}$  represents will probably not need reminding that it equals zero. Second, empirical equations with numerical coefficients have been largely omitted, as have topics significantly more advanced than are found at undergraduate level. There are simply too many of these to be sensibly and confidently edited into a short handbook. Third, physical data are largely absent, although a periodic table, tables of physical constants, and data on the solar system are all included. Just a sighting of the marvellous (but dimensionally misnamed) *CRC Handbook of Chemistry and Physics* should be enough to convince the reader that a good science data book is thick.

Inevitably there is personal choice in what should or should not be included, and you may feel that an equation that meets the above criteria is missing. If this is the case, I would be delighted to hear from you so it can be considered for a subsequent edition. Contact details are at the end of this preface. Likewise, if you spot an error or an inconsistency then please let me know and I will post an erratum on the web page.

**Acknowledgments** This venture is founded on the generosity of colleagues in Glasgow and Cambridge whose inputs have strongly influenced the final product. The expertise of Dave Clarke, Declan Diver, Peter Duffett-Smith, Wolf-Gerrit Früh, Martin Hendry, Rico Ignace, David Ireland, John Simmons, and Harry Ward have been central to its production, as have the linguistic skills of Katie Lowe. I would also like to thank Richard Barrett, Matthew Cartmell, Steve Gull, Martin Hendry, Jim Hough, Darren McDonald, and Ken Riley who all agreed to field-test the book and gave invaluable feedback.

My greatest thanks though are to John Shakeshaft who, with remarkable knowledge and skill, worked through the entire manuscript more than once during its production and whose legendary red pen hovered over (or descended upon) every equation in the book. What errors remain are, of course, my own, but I take comfort from the fact that without John they would be much more numerous.

**Contact information** A website containing up-to-date information on this handbook and contact details can be found through the Cambridge University Press home pages at <http://www.cup.org> (North America) or <http://www.cup.cam.ac.uk> (United Kingdom).

**Production notes** This book was typeset by the author in  $\text{\LaTeX} 2_{\epsilon}$  using the CUP Times fonts. The software packages used were *WinEdt*, *MiKTeX*, *Mayura Draw*, *Gnuplot*, *Ghostsript*, *Ghostview*, and *Maple V*.

## How to use this book

The format is largely self-explanatory, but a few comments may be helpful. Although it is very tempting to flick through the pages to find what you are looking for, the best starting point is the index. I have tried to make this as extensive as possible, and many equations are indexed more than once. Equations are listed both with their equation number (in square brackets) and the page on which they can be found. The equations themselves are grouped into self-contained and boxed “panels” on the pages. Each panel represents a separate topic, and you will find descriptions of all the variables used at the right-hand side of the panel, usually adjacent to the first equation in which they are used. You should therefore not need to stray outside the panel to understand the notation. Both the panel as a whole and its individual entries may have footnotes, shown below the panel. Be aware of these, as they contain important additional information and conditions relevant to the topic.

Although the panels are self-contained they may use concepts defined elsewhere in the handbook. Often these are cross-referenced, but again the index will help you to locate them if necessary. Notations and definitions are uniform over subject areas unless stated otherwise.



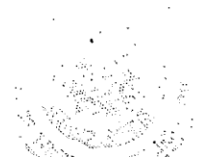
# Chapter 1 Units, constants, and conversions

## 1.1 Introduction

The determination of physical constants and the definition of the units with which they are measured is a specialised and, to many, hidden branch of science.

A quantity with dimensions is one whose value must be expressed relative to one or more standard units. In the spirit of the rest of the book, this section is based around the International System of units (SI). This system uses seven base units (the number is somewhat arbitrary), such as the kilogram and the second, and defines their magnitudes in terms of physical laws or, in the case of the kilogram, an object called the “international prototype of the kilogram” kept in Paris. For convenience there are also a number of derived standards, such as the volt, which are defined as set combinations of the basic seven. Most of the physical observables we regard as being in some sense fundamental, such as the charge on an electron, are now known to better than 1 part per million (ppm). The least well known is the Newtonian constant of gravitation (128 ppm) and the best the Rydberg constant (0.0012 ppm). The dimensionless electron  $g$ -factor, representing the magnetic moment of an electron measured in Bohr magnetons, has been determined to 1 part in  $10^{11}$ .

No matter which base units are used, physical quantities are expressed as the product of a numerical value and a unit. These two components have more-or-less equal standing and can be manipulated by following the usual rules of algebra. So, if  $1 \cdot \text{eV} = 160.218 \times 10^{-21} \cdot \text{J}$  then  $1 \cdot \text{J} = [1/(160.218 \times 10^{-21})] \cdot \text{eV}$ . A measurement of energy,  $U$ , with joule as the unit has a numerical value of  $U/\text{J}$ . The same measurement with electron volt as the unit has a numerical value of  $U/\text{eV} = (U/\text{J}) \cdot (\text{J}/\text{eV})$  and so on.



## 1.2 SI units

### SI base units

<i>physical quantity</i>	<i>name</i>	<i>symbol</i>
length	metre	m
mass	kilogram	kg
time	second	s
electric current	ampere	A
thermodynamic temperature	kelvin	K
amount of substance	mole	mol
luminous intensity	candela	cd

### SI derived units

<i>physical quantity</i>	<i>name</i>	<i>symbol</i>	<i>equivalent units</i>
electric capacitance	farad	F	$C V^{-1}$
electric charge	coulomb	C	A s
electric conductance	siemens	S	$\Omega^{-1}$
electric potential difference	volt	V	$J C^{-1}$
electric resistance	ohm	$\Omega$	$V A^{-1}$
energy, work, heat	joule	J	N m
force	newton	N	$m kg s^{-2}$
frequency	hertz	Hz	$s^{-1}$
illuminance	lux	lx	$cd sr m^{-2}$
inductance	henry	H	$V A^{-1} s$
luminous flux	lumen	lm	cd sr
magnetic flux	weber	Wb	V s
magnetic flux density	tesla	T	$V s m^{-2}$
plane angle	radian	rad	$m m^{-1}$
power, radiant flux	watt	W	$J s^{-1}$
pressure, stress	pascal	Pa	$N m^{-2}$
radiation absorbed dose	gray	Gy	$J kg^{-1}$
radiation dose equivalent <sup>a</sup>	sievert	Sv	$[J kg^{-1}]$
radioactive activity	becquerel	Bq	$s^{-1}$
solid angle	steradian	sr	$m^2 m^{-2}$
temperature <sup>b</sup>	degree Celsius	$^{\circ}C$	K

<sup>a</sup>To distinguish it from the gray, units of  $J kg^{-1}$  should not be used for the sievert in practice.

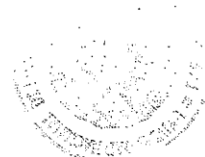
<sup>b</sup>The Celsius temperature,  $T_C$ , is defined from the temperature in kelvin,  $T_K$ , by  $T_C = T_K - 273.15$ .

**SI prefixes**

<i>factor</i>	<i>prefix</i>	<i>symbol</i>	<i>factor</i>	<i>prefix</i>	<i>symbol</i>
10 <sup>24</sup>	yotta	Y	10 <sup>-24</sup>	yocto	y
10 <sup>21</sup>	zetta	Z	10 <sup>-21</sup>	zepto	z
10 <sup>18</sup>	exa	E	10 <sup>-18</sup>	atto	a
10 <sup>15</sup>	peta	P	10 <sup>-15</sup>	femto	f
10 <sup>12</sup>	tera	T	10 <sup>-12</sup>	pico	p
10 <sup>9</sup>	giga	G	10 <sup>-9</sup>	nano	n
10 <sup>6</sup>	mega	M	10 <sup>-6</sup>	micro	μ
10 <sup>3</sup>	kilo	k	10 <sup>-3</sup>	milli	m
10 <sup>2</sup>	hecto	h	10 <sup>-2</sup>	centi	c
10 <sup>1</sup>	deca <sup>a</sup>	da	10 <sup>-1</sup>	deci	d

<sup>a</sup>Or deka.**Recognised non-SI units**

<i>physical quantity</i>	<i>name</i>	<i>symbol</i>	<i>SI value</i>
time	minute	min	60 s
	hour	h	3 600 s
	day	d	86 400 s
plane angle	degree	°	( $\pi/180$ ) rad
	minute	'	( $\pi/10\,800$ ) rad
	second	"	( $\pi/648\,000$ ) rad
length	ångström	Å	10 <sup>-10</sup> m
	fermi <sup>a</sup>	fm	10 <sup>-15</sup> m
	micron <sup>a</sup>	μm	10 <sup>-6</sup> m
area	barn	b	10 <sup>-28</sup> m <sup>2</sup>
volume	litre	l, L	10 <sup>-3</sup> m <sup>3</sup>
mass	tonne <sup>a,b</sup>	t	10 <sup>3</sup> kg
pressure	bar	bar	10 <sup>5</sup> N m <sup>-2</sup>
energy	electron volt	eV	≈ 1.602 18 × 10 <sup>-19</sup> J
mass	unified atomic		
	mass unit	u	≈ 1.660 54 × 10 <sup>-27</sup> kg

<sup>a</sup>These are non-SI names for SI quantities.<sup>b</sup>Or "metric ton."

### 1.3 Physical constants

The following values are in accordance with the 1986 CODATA Recommended Values for the fundamental physical constants (*Journal of Research of the National Bureau of Standards*, 92, 85, 1987).

The digits in parentheses represent the 1- $\sigma$  uncertainty in the previous two quoted digits. For example,  $G = (6.672\,59 \pm 0.000\,85) \times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$ . It is important to note that the uncertainties for many of the listed quantities are correlated, so that the uncertainty in any expression using them in combination cannot necessarily be computed from the data presented. Suitable covariance matrices are tabulated in the above article.

#### Summary of physical constants

speed of light in vacuum <sup>a</sup>	$c$	2.997 924 58	$\times 10^8 \text{ m s}^{-1}$
permeability of vacuum <sup>b</sup>	$\mu_0$	$4\pi$	$\times 10^{-7} \text{ H m}^{-1}$
		$=12.566\,370\,614\dots$	$\times 10^{-7} \text{ H m}^{-1}$
permittivity of vacuum	$\epsilon_0$	$1/(\mu_0 c^2)$	$\text{F m}^{-1}$
		$=8.854\,187\,817\dots$	$\times 10^{-12} \text{ F m}^{-1}$
constant of gravitation <sup>c</sup>	$G$	6.672 59(85)	$\times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$
Planck constant	$h$	6.626 075 5(40)	$\times 10^{-34} \text{ J s}$
$h/(2\pi)$	$\hbar$	1.054 572 66(63)	$\times 10^{-34} \text{ J s}$
elementary charge	$e$	1.602 177 33(49)	$\times 10^{-19} \text{ C}$
magnetic flux quantum, $h/(2e)$	$\Phi_0$	2.067 834 61(61)	$\times 10^{-15} \text{ Wb}$
electron volt	eV	1.602 177 33(49)	$\times 10^{-19} \text{ J}$
electron mass	$m_e$	9.109 389 7(54)	$\times 10^{-31} \text{ kg}$
proton mass	$m_p$	1.672 623 1(10)	$\times 10^{-27} \text{ kg}$
proton/electron mass ratio	$m_p/m_e$	1 836.152 701(37)	
unified atomic mass unit	u	1.660 540 2(10)	$\times 10^{-27} \text{ kg}$
fine-structure constant, $\mu_0 c e^2/(2h)$	$\alpha$	7.297 353 08(33)	$\times 10^{-3}$
		inverse	$1/\alpha$
Rydberg constant, $m_e c \alpha^2/(2h)$	$R_\infty$	1.097 373 153 4(13)	$\times 10^7 \text{ m}^{-1}$
Avogadro constant	$N_A$	6.022 136 7(36)	$\times 10^{23} \text{ mol}^{-1}$
Faraday constant, $N_A e$	$F$	9.648 530 9(29)	$\times 10^4 \text{ C mol}^{-1}$
molar gas constant	$R$	8.314 510(70)	$\text{J mol}^{-1} \text{ K}^{-1}$
Boltzmann constant, $R/N_A$	$k$	1.380 658(12)	$\times 10^{-23} \text{ J K}^{-1}$
Stefan–Boltzmann constant, $\pi^2 k^4/(60 \hbar^3 c^2)$	$\sigma$	5.670 51(19)	$\times 10^{-8} \text{ W m}^{-2} \text{ K}^{-4}$
Bohr magneton, $e\hbar/(2m_e)$	$\mu_B$	9.274 015 4(31)	$\times 10^{-24} \text{ J T}^{-1}$

<sup>a</sup>By definition, the speed of light is exact.

<sup>b</sup>Also exact, by definition.

<sup>c</sup>The standard acceleration due to gravity,  $g$ , is defined as exactly  $9.806\,65 \text{ m s}^{-2}$ .

**General constants**

speed of light in vacuum	$c$	2.997 924 58	$\times 10^8 \text{ m s}^{-1}$
permeability of vacuum	$\mu_0$	$4\pi$	$\times 10^{-7} \text{ H m}^{-1}$
		$=12.566 370 614 \dots$	$\times 10^{-7} \text{ H m}^{-1}$
permittivity of vacuum	$\epsilon_0$	$1/(\mu_0 c^2)$	$\text{F m}^{-1}$
		$=8.854 187 817 \dots$	$\times 10^{-12} \text{ F m}^{-1}$
impedance of free space	$Z_0$	$\mu_0 c$	$\Omega$
		$=376.730 313 462 \dots$	$\Omega$
constant of gravitation	$G$	6.672 59(85)	$\times 10^{-11} \text{ m}^3 \text{ kg}^{-1} \text{ s}^{-2}$
Planck constant	$h$	6.626 075 5(40)	$\times 10^{-34} \text{ J s}$
		4.135 669 2(12)	$\times 10^{-15} \text{ eV s}$
$h/(2\pi)$	$\hbar$	1.054 572 66(63)	$\times 10^{-34} \text{ J s}$
		6.582 122 0(20)	$\times 10^{-16} \text{ eV s}$
Planck mass, $\sqrt{\hbar c/G}$	$m_{\text{Pl}}$	2.176 71(14)	$\times 10^{-8} \text{ kg}$
Planck length, $\hbar/(m_{\text{Pl}} c) = \sqrt{\hbar G/c^3}$	$l_{\text{Pl}}$	1.616 05(10)	$\times 10^{-35} \text{ m}$
Planck time, $l_{\text{Pl}}/c = \sqrt{\hbar G/c^5}$	$t_{\text{Pl}}$	5.390 56(34)	$\times 10^{-44} \text{ s}$
elementary charge	$e$	1.602 177 33(49)	$\times 10^{-19} \text{ C}$
magnetic flux quantum, $h/(2e)$	$\Phi_0$	2.067 834 61(61)	$\times 10^{-15} \text{ Wb}$
Josephson frequency/voltage ratio	$2e/h$	4.835 976 7(14)	$\times 10^{14} \text{ Hz V}^{-1}$
Bohr magneton, $e\hbar/(2m_e)$	$\mu_{\text{B}}$	9.274 015 4(31)	$\times 10^{-24} \text{ J T}^{-1}$
		5.788 382 63(52)	$\times 10^{-5} \text{ eV T}^{-1}$
		0.671 709 9(57)	$\text{K T}^{-1}$
nuclear magneton, $e\hbar/(2m_{\text{p}})$	$\mu_{\text{N}}$	5.050 786 6(17)	$\times 10^{-27} \text{ J T}^{-1}$
		3.152 451 66(28)	$\times 10^{-8} \text{ eV T}^{-1}$
		3.658 246(31)	$\times 10^{-4} \text{ K T}^{-1}$
Zeeman splitting constant	$\mu_{\text{B}}/(hc)$	4.668 643 7(14)	$\times 10^1 \text{ m}^{-1} \text{ T}^{-1}$

**Atomic constants<sup>a</sup>**

fine-structure constant, $\mu_0 c e^2/(2h)$	$\alpha$	7.297 353 08(33)	$\times 10^{-3}$
	$1/\alpha$	137.035 989 5(61)	
Rydberg constant, $m_e c \alpha^2/(2h)$	$R_\infty$	1.097 373 153 4(13)	$\times 10^7 \text{ m}^{-1}$
		3.289 841 949 9(39)	$\times 10^{15} \text{ Hz}$
		2.179 874 1(13)	$\times 10^{-18} \text{ J}$
		1.360 569 81(40)	$\times 10^1 \text{ eV}$
Bohr radius <sup>b</sup> , $\alpha/(4\pi R_\infty)$	$a_0$	5.291 772 49(24)	$\times 10^{-11} \text{ m}$

<sup>a</sup>See also page 95.<sup>b</sup>Fixed nucleus.



**Electron constants**

electron mass	$m_e$	9.109 389 7(54)	$\times 10^{-31}$ kg
in electron volts		0.510 999 06(15)	MeV
electron/proton mass ratio	$m_e/m_p$	5.446 170 13(11)	$\times 10^{-4}$
electron charge	$-e$	-1.602 177 33(49)	$\times 10^{-19}$ C
electron specific charge	$-e/m_e$	-1.758 819 62(53)	$\times 10^{11}$ C kg $^{-1}$
electron molar mass, $N_A m_e$	$M_e$	5.485 799 03(13)	$\times 10^{-7}$ kg mol $^{-1}$
Compton wavelength, $h/(m_e c)$	$\lambda_C$	2.426 310 58(22)	$\times 10^{-12}$ m
classical electron radius, $\alpha^2 a_0$	$r_e$	2.817 940 92(38)	$\times 10^{-15}$ m
Thomson cross section, $(8\pi/3)r_e^2$	$\sigma_T$	6.652 461 6(18)	$\times 10^{-29}$ m $^2$
electron magnetic moment	$\mu_e$	9.284 770 1(31)	$\times 10^{-24}$ J T $^{-1}$
in Bohr magnetons, $\mu_e/\mu_B$		1.001 159 652 193(10)	
in nuclear magnetons, $\mu_e/\mu_N$		1838.282 000(37)	
electron g-factor, $2\mu_e/\mu_B$	$g_e$	2.002 319 304 386(20)	

**Proton constants**

proton mass	$m_p$	1.672 623 1(10)	$\times 10^{-27}$ kg
in electron volts		938.272 31(28)	MeV
proton/electron mass ratio	$m_p/m_e$	1836.152 701(37)	
proton charge	$e$	1.602 177 33(49)	$\times 10^{-19}$ C
proton specific charge	$e/m_p$	9.578 830 9(29)	$\times 10^7$ C kg $^{-1}$
proton molar mass, $N_A m_p$	$M_p$	1.007 276 470(12)	$\times 10^{-3}$ kg mol $^{-1}$
proton Compton wavelength, $h/(m_p c)$	$\lambda_{C,p}$	1.321 410 02(12)	$\times 10^{-15}$ m
proton magnetic moment	$\mu_p$	1.410 607 61(47)	$\times 10^{-26}$ J T $^{-1}$
in Bohr magnetons, $\mu_p/\mu_B$		1.521 032 202(15)	$\times 10^{-3}$
in nuclear magnetons, $\mu_p/\mu_N$		2.792 847 386(63)	
proton gyromagnetic ratio	$\gamma_p$	2.675 221 28(81)	$\times 10^8$ s $^{-1}$ T $^{-1}$

**Neutron constants**

neutron mass	$m_n$	1.674 928 6(10)	$\times 10^{-27}$ kg
in electron volts		939.565 63(28)	MeV
neutron/electron mass ratio	$m_n/m_e$	1838.683 662(40)	
neutron/proton mass ratio	$m_n/m_p$	1.001 378 404(9)	
neutron molar mass, $N_A m_n$	$M_n$	1.008 664 904(14)	$\times 10^{-3}$ kg mol $^{-1}$
neutron Compton wavelength, $h/(m_n c)$	$\lambda_{C,n}$	1.319 591 10(12)	$\times 10^{-15}$ m
neutron magnetic moment	$\mu_n$	9.662 370 7(40)	$\times 10^{-27}$ J T $^{-1}$
in Bohr magnetons	$\mu_n/\mu_B$	1.041 875 63(25)	$\times 10^{-3}$
in nuclear magnetons	$\mu_n/\mu_N$	1.913 042 75(45)	

**Muon constants**

muon mass	$m_\mu$	1.883 532 7(11)	$\times 10^{-28}$ kg
in electron volts		105.658 389(34)	MeV
muon/electron mass ratio	$m_\mu/m_e$	206.768 262(30)	
muon charge	$-e$	-1.602 177 33(49)	$\times 10^{-19}$ C
muon magnetic moment	$\mu_\mu$	4.490 451 4(15)	$\times 10^{-26}$ J T <sup>-1</sup>
in Bohr magnetons, $\mu_\mu/\mu_B$		4.841 970 97(71)	$\times 10^{-3}$
in nuclear magnetons, $\mu_\mu/\mu_N$		8.890 598 1(13)	
muon g-factor	$g_\mu$	2.002 331 846(17)	

**Bulk physical constants**

Avogadro constant	$N_A$	6.022 136 7(36)	$\times 10^{23}$ mol <sup>-1</sup>
atomic mass constant <sup>a</sup>	$m_u$	1.660 540 2(10)	$\times 10^{-27}$ kg
in electron volts		931.494 32(28)	MeV
Faraday constant	$F$	9.648 530 9(29)	$\times 10^4$ C mol <sup>-1</sup>
molar gas constant	$R$	8.314 510(70)	J mol <sup>-1</sup> K <sup>-1</sup>
Boltzmann constant, $R/N_A$	$k$	1.380 658(12)	$\times 10^{-23}$ J K <sup>-1</sup>
in electron volts		8.617 385(73)	$\times 10^{-5}$ eV K <sup>-1</sup>
molar volume (ideal gas at stp) <sup>b</sup>	$V_m$	22.414 10(19)	$\times 10^{-3}$ m <sup>3</sup> mol <sup>-1</sup>
Stefan–Boltzmann constant, $\pi^2 k^4 / (60 \hbar^3 c^2)$	$\sigma$	5.670 51(19)	$\times 10^{-8}$ W m <sup>-2</sup> K <sup>-4</sup>
Wien's displacement law constant, <sup>c</sup> $b = \lambda_m T$	$b$	2.897 756(24)	$\times 10^{-3}$ m K

<sup>a</sup>= mass of <sup>12</sup>C/12. Alternative nomenclature for the unified atomic mass unit, u.

<sup>b</sup>Standard temperature and pressure (stp) are  $T = 273.15$  K (0°C) and  $P = 101\,325$  Pa (1 standard atmosphere).

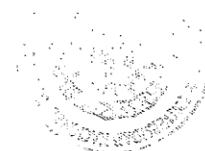
<sup>c</sup>See also page 121.

**Mathematical constants**

pi ( $\pi$ )	3.141 592 653 589 793 238 462 643 383 279 ...
exponential constant (e)	2.718 281 828 459 045 235 360 287 471 352 ...
Catalan's constant	0.915 965 594 177 219 015 054 603 514 932 ...
Euler's constant <sup>a</sup> ( $\gamma$ )	0.577 215 664 901 532 860 606 512 090 082 ...
Feigenbaum's constant ( $\alpha$ )	2.502 907 875 095 892 822 283 902 873 218 ...
Feigenbaum's constant ( $\delta$ )	4.669 201 609 102 990 671 853 203 820 466 ...
Gibbs constant	1.851 937 051 982 466 170 361 053 370 157 ...
golden mean	1.618 033 988 749 894 848 204 586 834 370 ...
Madelung constant <sup>b</sup>	1.747 564 594 633 182 190 636 212 035 544 ...

<sup>a</sup>See also Equation (2.120).

<sup>b</sup>NaCl structure.



### 1.4 Converting between units

The following table lists common (and not so common) measures of physical quantities. The numerical values given are the SI equivalent of one unit measure of the non-SI unit. Hence 1 astronomical unit equals  $149.5979 \times 10^9$  m. Those entries identified with a “\*” in the second column represent exact conversions; so 1 abampere equals exactly 10.0 A. Note that individual entries in this list are not recorded in the index.

There is a separate section on temperature conversions after this table.

<i>unit name</i>	<i>value in SI units</i>	
abampere	10.0*	A
abcoulomb	10.0*	C
abfarad	1.0*	$\times 10^9$ F
abhenry	1.0*	$\times 10^{-9}$ H
abmho	1.0*	$\times 10^9$ S
abohm	1.0*	$\times 10^{-9}$ $\Omega$
abvolt	10.0*	$\times 10^{-9}$ V
acre	4.046 856	$\times 10^3$ m <sup>2</sup>
amagat (at stp)	44.614 774	mol m <sup>-3</sup>
ampere hour	3.6*	$\times 10^3$ C
ångström	100.0*	$\times 10^{-12}$ m
apostilb	1.0*	lm m <sup>-2</sup>
arcminute	290.888 2	$\times 10^{-6}$ rad
arcsecond	4.848 137	$\times 10^{-6}$ rad
are	100.0*	m <sup>2</sup>
astronomical unit	149.597 9	$\times 10^9$ m
atmosphere (standard)	101.325 0*	$\times 10^3$ Pa
atomic mass unit	1.660 540	$\times 10^{-27}$ kg
bar	100.0*	$\times 10^3$ Pa
barn	100.0*	$\times 10^{-30}$ m <sup>2</sup>
baromil	750.1	$\times 10^{-6}$ m
barrel (UK)	163.659 2	$\times 10^{-3}$ m <sup>3</sup>
barrel (US dry)	115.627 1	$\times 10^{-3}$ m <sup>3</sup>
barrel (US oil)	158.987 3	$\times 10^{-3}$ m <sup>3</sup>
barrel (US liquid)	119.240 5	$\times 10^{-3}$ m <sup>3</sup>
baud	1.0*	s <sup>-1</sup>
bayre	100.0*	$\times 10^{-3}$ Pa
biot	10.0	A
bolt (US)	36.576*	m
brewster	1.0*	$\times 10^{-12}$ m <sup>2</sup> N <sup>-1</sup>
British thermal unit	1.055 056	$\times 10^3$ J
bushel (UK)	36.36 872	$\times 10^{-3}$ m <sup>3</sup>
bushel (US)	35.23 907	$\times 10^{-3}$ m <sup>3</sup>
butt (UK)	477.339 4	$\times 10^{-3}$ m <sup>3</sup>
cable (US)	219.456*	m
calorie	4.186 8*	J
candle power (spherical)	4 $\pi$	lm

*continued on next page ...*

<i>unit name</i>	<i>value in SI units</i>	
carat (metric)	200.0*	$\times 10^{-6}$ kg
cental	45.359 237	kg
centare	1.0*	m <sup>2</sup>
centimetre of Hg (0 °C)	1.333 222	$\times 10^3$ Pa
centimetre of H <sub>2</sub> O (4 °C)	98.060 616	Pa
chain (engineers')	30.48*	m
chain (US)	20.116 8*	m
Chu	1.899 101	$\times 10^3$ J
clusec	1.333 224	$\times 10^{-6}$ W
cord	3.624 556	m <sup>3</sup>
cubit	457.2*	$\times 10^{-3}$ m
cumec	1.0*	m <sup>3</sup> s <sup>-1</sup>
cup (US)	236.588 2	$\times 10^{-6}$ m <sup>3</sup>
curie	37.0*	$\times 10^9$ Bq
darcy	986.923 3	$\times 10^{-15}$ m <sup>2</sup>
day	86.4*	$\times 10^3$ s
day (sidereal)	86.164 09	$\times 10^3$ s
debye	3.335 641	$\times 10^{-30}$ C m
degree (angle)	17.453 29	$\times 10^{-3}$ rad
denier	111.111 1	$\times 10^{-9}$ kg m <sup>-1</sup>
digit	19.05*	$\times 10^{-3}$ m
dioptré	1.0*	m <sup>-1</sup>
Dobson unit	10.0*	$\times 10^{-6}$ m
dram (avoirdupois)	1.771 845	$\times 10^{-3}$ kg
dyne	10.0*	$\times 10^{-6}$ N
dyne centimetres	100.0*	$\times 10^{-9}$ J
electron volt	160.217 7	$\times 10^{-21}$ J
ell	1.143*	m
em	4.233 333	$\times 10^{-3}$ m
emu of capacitance	1.0*	$\times 10^9$ F
emu of current	10.0*	A
emu of electric potential	10.0*	$\times 10^{-9}$ V
emu of inductance	1.0*	$\times 10^{-9}$ H
emu of resistance	1.0*	$\times 10^{-9}$ $\Omega$
Eötvös unit	1.0*	$\times 10^{-9}$ m s <sup>-2</sup> m <sup>-1</sup>
esu of capacitance	1.112 650	$\times 10^{-12}$ F
esu of current	333.564 1	$\times 10^{-12}$ A
esu of electric potential	299.792 5	V
esu of inductance	898.755 2	$\times 10^9$ H
esu of resistance	898.755 2	$\times 10^9$ $\Omega$
erg	100.0*	$\times 10^{-9}$ J
faraday	96.485 3	$\times 10^3$ C
fathom	1.828 804	m
fermi	1.0*	$\times 10^{-15}$ m
Finsen unit	10.0*	$\times 10^{-6}$ W m <sup>-2</sup>
firkin (UK)	40.914 81	$\times 10^{-3}$ m <sup>3</sup>
firkin (US)	34.068 71	$\times 10^{-3}$ m <sup>3</sup>

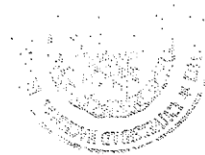
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<i>unit name</i>	<i>value in SI units</i>	
fluid ounce (UK)	28.413 08	$\times 10^{-6} \text{ m}^3$
fluid ounce (US)	29.573 53	$\times 10^{-6} \text{ m}^3$
foot	304.8*	$\times 10^{-3} \text{ m}$
foot (US survey)	304.800 6	$\times 10^{-3} \text{ m}$
foot of water (4 °C)	2.988 887	$\times 10^3 \text{ Pa}$
footcandle	10.763 91	lx
footlambert	3.426 259	$\text{cd m}^{-2}$
footpoundal	42.140 11	$\times 10^{-3} \text{ J}$
footpounds (force)	1.355 818	J
fresnel	1.0*	$\times 10^{12} \text{ Hz}$
funal	1.0*	$\times 10^3 \text{ N}$
furlong	201.168*	m
g (standard acceleration)	9.806 65*	$\text{m s}^{-2}$
gal	10.0*	$\times 10^{-3} \text{ m s}^{-2}$
gallon (UK)	4.546 09*	$\times 10^{-3} \text{ m}^3$
gallon (US liquid)	3.785 412	$\times 10^{-3} \text{ m}^3$
gamma	1.0*	$\times 10^{-9} \text{ T}$
gauss	100.0*	$\times 10^{-6} \text{ T}$
gilbert	795.774 7	$\times 10^{-3} \text{ A turn}$
gill (UK)	142.065 4	$\times 10^{-6} \text{ m}^3$
gill (US)	118.294 1	$\times 10^{-6} \text{ m}^3$
gon	$\pi/200^*$	rad
grade	15.707 96	$\times 10^{-3} \text{ rad}$
grain	64.798 91*	$\times 10^{-6} \text{ kg}$
gram	1.0*	$\times 10^{-3} \text{ kg}$
gram-rad	100.0*	$\text{J kg}^{-1}$
gray	1.0*	$\text{J kg}^{-1}$
hand	101.6*	$\times 10^{-3} \text{ m}$
hartree	4.359 748	$\times 10^{-18} \text{ J}$
hectare	10.0*	$\times 10^3 \text{ m}^2$
hefner	902	$\times 10^{-3} \text{ cd}$
hogshead	238.669 7	$\times 10^{-3} \text{ m}^3$
horsepower (boiler)	9.809 50	$\times 10^3 \text{ W}$
horsepower (electric)	746*	W
horsepower (metric)	735.498 8	W
horsepower (UK)	745.699 9	W
hour	3.6*	$\times 10^3 \text{ s}$
hour (sidereal)	3.590 170	$\times 10^3 \text{ s}$
hundredweight (UK long)	50.802 35	kg
hundredweight (US short)	45.359 24	kg
inch	25.4*	$\times 10^{-3} \text{ m}$
inch of mercury (0 °C)	3.386 389	$\times 10^3 \text{ Pa}$
inch of water (4 °C)	249.074 0	Pa
jansky	10.0*	$\times 10^{-27} \text{ W m}^{-2} \text{ Hz}^{-1}$
jar	10/9*	$\times 10^{-9} \text{ F}$
kayser	100.0*	$\text{m}^{-1}$

*continued on next page ...*

<i>unit name</i>	<i>value in SI units</i>	
kilocalorie	4.186 8*	$\times 10^3$ J
kilogram-force	9.806 65*	N
kilowatt hour	3.6*	$\times 10^6$ J
knot (international)	514.444 4	$\times 10^{-3}$ m s <sup>-1</sup>
lambert	10/ $\pi$ *	$\times 10^3$ cd m <sup>-2</sup>
langley	41.84*	$\times 10^3$ J m <sup>-2</sup>
langmuir	133.322 4	$\times 10^{-6}$ Pa s
league (nautical, int.)	5.556*	$\times 10^3$ m
league (nautical, UK)	5.559 552	$\times 10^3$ m
league (statute)	4.828 032	$\times 10^3$ m
light year	9.460 73*	$\times 10^{15}$ m
ligne	2.256*	$\times 10^{-3}$ m
line	2.116 667	$\times 10^{-3}$ m
line (magnetic flux)	10.0*	$\times 10^{-9}$ Wb
link (engineers')	304.8*	$\times 10^{-3}$ m
link (US)	201.168 0	$\times 10^{-3}$ m
litre	1.0*	$\times 10^{-3}$ m <sup>3</sup>
lumen (at 555 nm)	1.470 588	$\times 10^{-3}$ W
maxwell	10.0*	$\times 10^{-9}$ Wb
mho	1.0*	S
micron	1.0*	$\times 10^{-6}$ m
mil (length)	25.4*	$\times 10^{-6}$ m
mil (volume)	1.0*	$\times 10^{-6}$ m <sup>3</sup>
mile (international)	1.609 344*	$\times 10^3$ m
mile (nautical, int.)	1.852*	$\times 10^3$ m
mile (nautical, UK)	1.853 184*	$\times 10^3$ m
mile per hour	447.04*	$\times 10^{-3}$ m s <sup>-1</sup>
milliard	1.0*	$\times 10^9$ m <sup>3</sup>
millibar	100.0*	Pa
millimetre of Hg (0°C)	133.322 4	Pa
minim (UK)	59.193 90	$\times 10^{-9}$ m <sup>3</sup>
minim (US)	61.611 51	$\times 10^{-9}$ m <sup>3</sup>
minute (angle)	290.888 2	$\times 10^{-6}$ rad
minute	60.0*	s
minute (sidereal)	59.836 17	s
month (lunar)	2.551 444	$\times 10^6$ s
nit	1.0*	cd m <sup>-2</sup>
noggin (UK)	142.065 4	$\times 10^{-6}$ m <sup>3</sup>
oersted	1000/(4 $\pi$ )*	A m <sup>-1</sup>
ounce (avoirdupois)	28.349 52	$\times 10^{-3}$ kg
ounce (UK fluid)	28.413 07	$\times 10^{-6}$ m <sup>3</sup>
ounce (US fluid)	29.573 53	$\times 10^{-6}$ m <sup>3</sup>
pace	762.0*	$\times 10^{-3}$ m
parsec	30.856 78	$\times 10^{15}$ m
peck (UK)	9.092 18*	$\times 10^{-3}$ m <sup>3</sup>
peck (US)	8.809 768	$\times 10^{-3}$ m <sup>3</sup>

continued on next page ...



<i>unit name</i>	<i>value in SI units</i>	
pennyweight (troy)	1.555 174	$\times 10^{-3}$ kg
perch	5.029 2*	m
phot	10.0*	$\times 10^3$ lx
pica (printers')	4.217 518	$\times 10^{-3}$ m
pint (UK)	568.261 2	$\times 10^{-6}$ m <sup>3</sup>
pint (US dry)	550.610 5	$\times 10^{-6}$ m <sup>3</sup>
pint (US liquid)	473.176 5	$\times 10^{-6}$ m <sup>3</sup>
point (printers')	351.459 8*	$\times 10^{-6}$ m
poise	100.0*	$\times 10^{-3}$ Pa s
pole	5.029 2*	m
poncelot	980.665*	W
pottle	2.273 045	$\times 10^{-3}$ m <sup>3</sup>
pound (avoirdupois)	453.592 4	$\times 10^{-3}$ kg
poundal	138.255 0	$\times 10^{-3}$ N
pound-force	4.448 222	N
promaxwell	1.0*	Wb
psi	6.894 757	$\times 10^3$ Pa
puncheon (UK)	317.974 6	$\times 10^{-3}$ m <sup>3</sup>
quad	1.055 056	$\times 10^{18}$ J
quart (UK)	1.136 522	$\times 10^{-3}$ m <sup>3</sup>
quart (US dry)	1.101 221	$\times 10^{-3}$ m <sup>3</sup>
quart (US liquid)	946.352 9	$\times 10^{-6}$ m <sup>3</sup>
quintal (metric)	100.0*	kg
rad	10.0*	$\times 10^{-3}$ Gy
rayleigh	10/(4 $\pi$ )	$\times 10^9$ s <sup>-1</sup> m <sup>-2</sup> sr <sup>-1</sup>
rem	10.0*	$\times 10^{-3}$ Sv
REN	1/4 000*	S
reyn	689.5	$\times 10^3$ Pa s
rhe	10.0*	Pa <sup>-1</sup> s <sup>-1</sup>
rod	5.029 2*	m
rope (UK)	6.096*	m
roentgen	258.0	$\times 10^{-6}$ C kg <sup>-1</sup>
rood (UK)	1.011 714	$\times 10^3$ m <sup>2</sup>
rutherford	1.0*	$\times 10^6$ Bq
rydberg	2.179 874	$\times 10^{-18}$ J
scruple	1.295 978	$\times 10^{-3}$ kg
seam	290.949 8	$\times 10^{-3}$ m <sup>3</sup>
second (angle)	4.848 137	$\times 10^{-6}$ rad
second (sidereal)	997.269 6	$\times 10^{-3}$ s
shake	100.0*	$\times 10^{-10}$ s
shed	100.0*	$\times 10^{-54}$ m <sup>2</sup>
slug	14.593 90	kg
square degree	( $\pi/180$ ) <sup>2</sup> *	sr
statampere	333.564 1	$\times 10^{-12}$ A
statcoulomb	333.564 1	$\times 10^{-12}$ C
statfarad	1.112 650	$\times 10^{-12}$ F
stathenry	898.755 2	$\times 10^9$ H

continued on next page...

<i>unit name</i>	<i>value in SI units</i>	
statmho	1.112 650	$\times 10^{-12}$ S
statohm	898.755 2	$\times 10^9$ $\Omega$
statvolt	299.792 5	V
sthène	1.0*	$\times 10^3$ N
stere	1.0*	m <sup>3</sup>
stillb	10.0*	$\times 10^3$ cd m <sup>-2</sup>
stokes	100.0*	$\times 10^{-6}$ m <sup>2</sup> s <sup>-1</sup>
stone	6.350 293	kg
tablespoon (UK)	14.206 53	$\times 10^{-6}$ m <sup>3</sup>
tablespoon (US)	14.786 76	$\times 10^{-6}$ m <sup>3</sup>
teaspoon (UK)	4.735 513	$\times 10^{-6}$ m <sup>3</sup>
teaspoon (US)	4.928 922	$\times 10^{-6}$ m <sup>3</sup>
tex	1.0*	$\times 10^{-6}$ kg m <sup>-1</sup>
therm (EEC)	105.506*	$\times 10^6$ J
therm (US)	105.480 4*	$\times 10^6$ J
thermie	4.185 407	$\times 10^6$ J
thou	25.4*	$\times 10^{-6}$ m
tog	100.0*	$\times 10^{-3}$ W <sup>-1</sup> m <sup>2</sup> K
ton (UK long)	1.016 047	$\times 10^3$ kg
ton (US short)	907.184 7	kg
tonne (metric ton)	1.0*	$\times 10^3$ kg
ton (of TNT)	4.184*	$\times 10^9$ J
torr	133.322 4	Pa
townsend	1.0*	$\times 10^{-21}$ V m <sup>2</sup>
troy ounce	31.103 48	$\times 10^{-3}$ kg
troy pound	373.241 7	$\times 10^{-3}$ kg
troy dram	3.887 935	$\times 10^{-3}$ kg
tun	954.678 9	$\times 10^{-3}$ m <sup>3</sup>
XU	100.209	$\times 10^{-15}$ m
yard	914.4*	$\times 10^{-3}$ m
year (calendar)	31.536*	$\times 10^6$ s
year (sidereal)	31.558 15	$\times 10^6$ s
year (tropical)	31.556 93	$\times 10^6$ s

### Temperature conversions

From degrees Celsius	$T_K = T_C + 273.15$	(1.1)	$T_K$ temperature in kelvin $T_C$ temperature in °Celsius
From degrees Fahrenheit	$T_K = \frac{T_F - 32}{1.8} + 273.15$	(1.2)	$T_F$ temperature in °Fahrenheit
From degrees Rankine	$T_K = \frac{T_R}{1.8}$	(1.3)	$T_R$ temperature in °Rankine



## 1.5 Dimensions

The following table lists the dimensions of common physical quantities, together with their conventional symbols and the SI units in which they are usually quoted. The dimensional basis used is length (L), mass (M), time (T), electric current (I), temperature ( $\Theta$ ), and luminous intensity (J).

<i>physical quantity</i>	<i>symbol</i>	<i>dimensions</i>	<i>SI units</i>
acceleration	$a$	$L T^{-2}$	$m s^{-2}$
action	$S$	$L^2 M T^{-1}$	J s
angular momentum	$L, J$	$L^2 M T^{-1}$	$m^2 kg s^{-1}$
angular speed	$\omega$	$T^{-1}$	$rad s^{-1}$
area	$A, S$	$L^2$	$m^2$
Avogadro constant	$N_A$	1	$mol^{-1}$
bending moment	$G_b$	$L^2 M T^{-2}$	N m
Bohr magneton	$\mu_B$	$L^2 I$	$J T^{-1}$
Boltzmann constant	$k, k_B$	$L^2 M T^{-2} \Theta^{-1}$	$J K^{-1}$
bulk modulus	$K$	$L^{-1} M T^{-2}$	Pa
capacitance	$C$	$L^{-2} M^{-1} T^4 I^2$	F
charge (electric)	$q$	$T I$	C
charge density	$\rho$	$L^{-3} T I$	$C m^{-3}$
conductance	$G$	$L^{-2} M^{-1} T^3 I^2$	S
conductivity	$\sigma$	$L^{-3} M^{-1} T^3 I^2$	$S m^{-1}$
couple	$G, T$	$L^2 M T^{-2}$	N m
current	$I, i$	I	A
current density	$J, j$	$L^{-2} I$	$A m^{-2}$
density	$\rho$	$L^{-3} M$	$kg m^{-3}$
electric displacement	$D$	$L^{-2} T I$	$C m^{-2}$
electric field strength	$E$	$L M T^{-3} I^{-1}$	$V m^{-1}$
electric polarisability	$\alpha$	$M^{-1} T^4 I^2$	$C m^2 V^{-1}$
electric polarisation	$P$	$L^{-2} T I$	$C m^{-2}$
electric potential difference	$V$	$L^2 M T^{-3} I^{-1}$	V
energy	$E, U$	$L^2 M T^{-2}$	J
energy density	$u$	$L^{-1} M T^{-2}$	$J m^{-3}$
entropy	$S$	$L^2 M T^{-2} \Theta^{-1}$	$J K^{-1}$
Faraday constant	$F$	$T I$	$C mol^{-1}$
force	$F$	$L M T^{-2}$	N
frequency	$\nu, f$	$T^{-1}$	Hz
gravitational constant	$G$	$L^3 M^{-1} T^{-2}$	$m^3 kg^{-1} s^{-2}$
Hall coefficient	$R_H$	$L^3 T^{-1} I^{-1}$	$m^3 C^{-1}$
Hamiltonian	$H$	$L^2 M T^{-2}$	J
heat capacity	$C$	$L^2 M T^{-2} \Theta^{-1}$	$J K^{-1}$
Hubble constant <sup>1</sup>	$H$	$T^{-1}$	$s^{-1}$
impedance	$Z$	$L^2 M T^{-3} I^{-2}$	$\Omega$
impulse	$I$	$L M T^{-1}$	N s

*continued on next page ...*

<sup>1</sup>The Hubble constant is almost universally quoted in units of  $km s^{-1} Mpc^{-1}$ . There are about  $3.1 \times 10^{19}$  kilometres in a megaparsec.

<i>physical quantity</i>	<i>symbol</i>	<i>dimensions</i>	<i>SI units</i>
inductance	$L$	$L^2 M T^{-2} I^{-2}$	H
irradiance	$E_e$	$M T^{-3}$	$W m^{-2}$
illuminance	$E_v$	$L^{-2} J$	lx
Lagrangian	$L$	$L^2 M T^{-2}$	J
length	$L, l$	L	m
luminous intensity	$I_v$	J	cd
magnetic field strength	$H$	$L^{-1} I$	$A m^{-1}$
magnetic flux	$\Phi$	$L^2 M T^{-2} I^{-1}$	Wb
magnetic flux density	$B$	$M T^{-2} I^{-1}$	T
magnetic dipole moment	$m, \mu$	$L^2 I$	$A m^2$
magnetic vector potential	$A$	$L M T^{-2} I^{-1}$	$Wb m^{-1}$
magnetisation	$M$	$L^{-1} I$	$A m^{-1}$
mass	$m, M$	M	kg
mobility	$\mu$	$M^{-1} T^2 I$	$m^2 V^{-1} s^{-1}$
molar gas constant	$R$	$L^2 M T^{-2} \Theta^{-1}$	$J mol^{-1} K^{-1}$
moment of inertia	$I$	$L^2 M$	$kg m^2$
momentum	$p$	$L M T^{-1}$	$kg m s^{-1}$
number density	$n$	$L^{-3}$	$m^{-3}$
permeability	$\mu$	$L M T^{-2} I^{-2}$	$H m^{-1}$
permittivity	$\epsilon$	$L^{-3} M^{-1} T^4 I^2$	$F m^{-1}$
Planck constant	$h$	$L^2 M T^{-1}$	J s
power	$P$	$L^2 M T^{-3}$	W
Poynting vector	$S$	$M T^{-3}$	$W m^{-2}$
pressure	$p, P$	$L^{-1} M T^{-2}$	Pa
radiant intensity	$I_e$	$L^2 M T^{-3}$	$W sr^{-1}$
resistance	$R$	$L^2 M T^{-3} I^{-2}$	$\Omega$
Rydberg constant	$R_\infty$	$L^{-1}$	$m^{-1}$
shear modulus	$\mu, G$	$L^{-1} M T^{-2}$	Pa
specific heat capacity	$c$	$L^2 T^{-2} \Theta^{-1}$	$J kg^{-1} K^{-1}$
speed	$u, v, c$	$L T^{-1}$	$m s^{-1}$
Stefan–Boltzmann constant	$\sigma$	$M T^{-3} \Theta^{-4}$	$W m^{-2} K^{-4}$
stress	$\sigma, \tau$	$L^{-1} M T^{-2}$	Pa
surface tension	$\sigma, \gamma$	$M T^{-2}$	$N m^{-1}$
temperature	$T$	$\Theta$	K
thermal conductivity	$\lambda$	$L M T^{-3} \Theta^{-1}$	$W m^{-1} K^{-1}$
time	$t$	T	s
velocity	$v, u$	$L T^{-1}$	$m s^{-1}$
viscosity (dynamic)	$\eta, \mu$	$L^{-1} M T^{-1}$	Pa s
viscosity (kinematic)	$\nu$	$L^2 T^{-1}$	$m^2 s^{-1}$
volume	$V, v$	$L^3$	$m^3$
wavevector	$k$	$L^{-1}$	$m^{-1}$
weight	$W$	$L M T^{-2}$	N
work	$W$	$L^2 M T^{-2}$	J
Young modulus	$E$	$L^{-1} M T^{-2}$	Pa

## 1.6 Miscellaneous

### Greek alphabet

<i>A</i>	$\alpha$	alpha	<i>N</i>	$\nu$	nu
<i>B</i>	$\beta$	beta	$\Xi$	$\xi$	xi
$\Gamma$	$\gamma$	gamma	<i>O</i>	$o$	omicron
$\Delta$	$\delta$	delta	$\Pi$	$\pi$	$\varpi$ pi
<i>E</i>	$\epsilon$	$\varepsilon$ epsilon	<i>P</i>	$\rho$	$\varrho$ rho
<i>Z</i>	$\zeta$	zeta	$\Sigma$	$\sigma$	$\varsigma$ sigma
<i>H</i>	$\eta$	eta	<i>T</i>	$\tau$	tau
$\Theta$	$\theta$	$\vartheta$ theta	$\Upsilon$	$\upsilon$	upsilon
<i>I</i>	$\iota$	iota	$\Phi$	$\phi$	$\varphi$ phi
<i>K</i>	$\kappa$	kappa	<i>X</i>	$\chi$	chi
$\Lambda$	$\lambda$	lambda	$\Psi$	$\psi$	psi
<i>M</i>	$\mu$	mu	$\Omega$	$\omega$	omega

### Pi ( $\pi$ ) to 1 000 decimal places

3.1415926535 8979323846 2643383279 5028841971 6939937510 5820974944 5923078164 0628620899 8628034825 3421170679  
 8214808651 3282306647 0938446095 5058223172 5359408128 4811174502 8410270193 8521105559 6446229489 5493038196  
 4428810975 6659334461 2847564823 3786783165 2712019091 4564856692 3460348610 4543266482 1339360726 0249141273  
 7245870066 0631558817 4881520920 9628292540 9171536436 7892590360 0113305305 4882046652 1384146951 9415116094  
 3305727036 5759591953 0921861173 8193261179 3105118548 0744623799 6274956735 1885752724 8912279381 8301194912  
 9833673362 4406566430 8602139494 6395224737 1907021798 6094370277 0539217176 2931767523 8467481846 7669405132  
 0005681271 4526356082 7785771342 7577896091 7363717872 1468440901 2249534301 4654958537 1050792279 6892589235  
 4201995611 2129021960 8640344181 5981362977 4771309960 5187072113 4999999837 2978049951 0597317328 1609631859  
 5024459455 3469083026 4252230825 3344685035 2619311881 7101000313 7838752886 5875332083 8142061717 7669147303  
 5982534904 2875546873 1159562863 8823537875 9375195778 1857780532 1712268066 1300192787 6611195909 2164201989

### e to 1 000 decimal places

2.7182818284 5904523536 0287471352 6624977572 4709369995 9574966967 6277240766 3035354759 4571382178 5251664274  
 2746639193 2003059921 8174135966 2904357290 0334295260 5956307381 3232862794 3490763233 8298807531 9525101901  
 1573834187 9307021540 8914993488 4167509244 7614606680 8226480016 8477411853 7423454424 3710753907 7744992069  
 5517027618 3860626133 1384583000 7520449338 2656029760 6737113200 7093287091 2744374704 7230696977 2093101416  
 9283681902 5515108657 4637721112 5238978442 5056953696 7707854499 6996794686 4454905987 9316368892 3009879312  
 7736178215 4249992295 7635148220 8269895193 6680331825 2886939849 6465105820 9392398294 8879332036 2509443117  
 3012381970 6841614039 7019837679 3206832823 7646480429 5311802328 7825098194 5581530175 6717361332 0698112509  
 9618188159 3041690351 5988885193 4580727386 6738589422 8792284998 9208680582 5749279610 4841984443 6346324496  
 8487560233 6248270419 7862320900 2160990235 3043699418 4914631409 3431738143 6405462531 5209618369 0888707016  
 7683964243 7814059271 4563549061 3031072085 1038375051 0115747704 1718986106 8739696552 1267154688 9570350354

# Chapter 2 Mathematics

## 2.1 Notation

Mathematics is, of course, a vast subject, and so here we concentrate on those mathematical methods and relationships that are most often applied in the physical sciences and engineering.

Although there is a high degree of consistency in accepted mathematical notation, there is some variation. For example the spherical harmonics,  $Y_l^m$ , can be written  $Y_{lm}$ , and there is some freedom with their signs. In general, the conventions chosen here follow common practice as closely as possible, whilst maintaining consistency with the rest of the handbook.

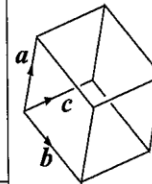
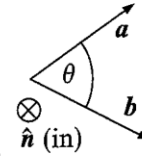
In particular:

scalars	$a$	general vectors	$\mathbf{a}$
unit vectors	$\hat{\mathbf{a}}$	scalar product	$\mathbf{a} \cdot \mathbf{b}$
vector cross-product	$\mathbf{a} \times \mathbf{b}$	gradient operator	$\nabla$
Laplacian operator	$\nabla^2$	derivative	$\frac{df}{dx}$ etc.
partial derivatives	$\frac{\partial f}{\partial x}$ etc.	derivative of $r$ with respect to $t$	$\dot{r}$
$n$ th derivative	$\frac{d^n f}{dx^n}$	closed loop integral	$\oint_L dl$
closed surface integral	$\oint_S ds$	matrix	$\mathbf{A}$ or $a_{ij}$
mean value (of $x$ )	$\langle x \rangle$	binomial coefficient	$\binom{n}{r}$
factorial	$!$	unit imaginary ( $i^2 = -1$ )	$\mathbf{i}$
exponential constant	$e$	modulus (of $x$ )	$ x $
natural logarithm	$\ln$	log to base 10	$\log_{10}$

## 2.2 Vectors and matrices

## Vector algebra

Scalar product <sup>a</sup>	$\mathbf{a} \cdot \mathbf{b} =  \mathbf{a}  \mathbf{b}  \cos \theta$	(2.1)
Vector product <sup>b</sup>	$\mathbf{a} \times \mathbf{b} =  \mathbf{a}  \mathbf{b}  \sin \theta \hat{\mathbf{n}} = \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ a_x & a_y & a_z \\ b_x & b_y & b_z \end{vmatrix}$	(2.2)
Product rules	$\mathbf{a} \cdot \mathbf{b} = \mathbf{b} \cdot \mathbf{a}$	(2.3)
	$\mathbf{a} \times \mathbf{b} = -\mathbf{b} \times \mathbf{a}$	(2.4)
	$\mathbf{a} \cdot (\mathbf{b} + \mathbf{c}) = (\mathbf{a} \cdot \mathbf{b}) + (\mathbf{a} \cdot \mathbf{c})$	(2.5)
	$\mathbf{a} \times (\mathbf{b} + \mathbf{c}) = (\mathbf{a} \times \mathbf{b}) + (\mathbf{a} \times \mathbf{c})$	(2.6)
Lagrange's identity	$(\mathbf{a} \times \mathbf{b}) \cdot (\mathbf{c} \times \mathbf{d}) = (\mathbf{a} \cdot \mathbf{c})(\mathbf{b} \cdot \mathbf{d}) - (\mathbf{a} \cdot \mathbf{d})(\mathbf{b} \cdot \mathbf{c})$	(2.7)
Scalar triple product	$(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c} = \begin{vmatrix} a_x & a_y & a_z \\ b_x & b_y & b_z \\ c_x & c_y & c_z \end{vmatrix}$	(2.8)
	$= (\mathbf{b} \times \mathbf{c}) \cdot \mathbf{a} = (\mathbf{c} \times \mathbf{a}) \cdot \mathbf{b}$	(2.9)
	$= \text{volume of parallelepiped}$	(2.10)
Vector triple product	$(\mathbf{a} \times \mathbf{b}) \times \mathbf{c} = (\mathbf{a} \cdot \mathbf{c})\mathbf{b} - (\mathbf{b} \cdot \mathbf{c})\mathbf{a}$	(2.11)
	$\mathbf{a} \times (\mathbf{b} \times \mathbf{c}) = (\mathbf{a} \cdot \mathbf{c})\mathbf{b} - (\mathbf{a} \cdot \mathbf{b})\mathbf{c}$	(2.12)
Reciprocal vectors	$\mathbf{a}' = (\mathbf{b} \times \mathbf{c}) / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$	(2.13)
	$\mathbf{b}' = (\mathbf{c} \times \mathbf{a}) / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$	(2.14)
	$\mathbf{c}' = (\mathbf{a} \times \mathbf{b}) / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$	(2.15)
	$(\mathbf{a}' \cdot \mathbf{a}) = (\mathbf{b}' \cdot \mathbf{b}) = (\mathbf{c}' \cdot \mathbf{c}) = 1$	(2.16)
Vector $\mathbf{a}$ with respect to a nonorthogonal basis $\{e_1, e_2, e_3\}$ <sup>c</sup>	$\mathbf{a} = (e'_1 \cdot \mathbf{a})e_1 + (e'_2 \cdot \mathbf{a})e_2 + (e'_3 \cdot \mathbf{a})e_3$	(2.17)



<sup>a</sup>Also known as the "dot product" or the "inner product."

<sup>b</sup>Also known as the "cross-product."  $\hat{\mathbf{n}}$  is a unit vector making a right-handed set with  $\mathbf{a}$  and  $\mathbf{b}$ .

<sup>c</sup>The prime (') denotes a reciprocal vector.

**Common three-dimensional coordinate systems**

$x = \rho \cos \phi = r \sin \theta \cos \phi$  (2.18)  
 $y = \rho \sin \phi = r \sin \theta \sin \phi$  (2.19)  
 $z = r \cos \theta$  (2.20)

$\rho = (x^2 + y^2)^{1/2}$  (2.21)  
 $r = (x^2 + y^2 + z^2)^{1/2}$  (2.22)  
 $\theta = \arccos(z/r)$  (2.23)  
 $\phi = \arctan(y/x)$  (2.24)

coordinate system:	rectangular	spherical polar	cylindrical polar
coordinates of P:	$(x, y, z)$	$(r, \theta, \phi)$	$(\rho, \phi, z)$
volume element:	$dx dy dz$	$r^2 \sin \theta dr d\theta d\phi$	$\rho d\rho dz d\phi$
metric elements <sup>a</sup> $(h_1, h_2, h_3)$ :	$(1, 1, 1)$	$(1, r, r \sin \theta)$	$(1, \rho, 1)$

<sup>a</sup>In an orthogonal coordinate system (parameterised by coordinates  $q_1, q_2, q_3$ ), the differential line element  $dl$  is obtained from  $(dl)^2 = (h_1 dq_1)^2 + (h_2 dq_2)^2 + (h_3 dq_3)^2$ .

**Gradient**

Rectangular coordinates	$\nabla f = \frac{\partial f}{\partial x} \hat{x} + \frac{\partial f}{\partial y} \hat{y} + \frac{\partial f}{\partial z} \hat{z}$ (2.25)	$f$ scalar field $\hat{}$ unit vector
Cylindrical coordinates	$\nabla f = \frac{\partial f}{\partial \rho} \hat{\rho} + \frac{1}{r} \frac{\partial f}{\partial \phi} \hat{\phi} + \frac{\partial f}{\partial z} \hat{z}$ (2.26)	
Spherical polar coordinates	$\nabla f = \frac{\partial f}{\partial r} \hat{r} + \frac{1}{r} \frac{\partial f}{\partial \theta} \hat{\theta} + \frac{1}{r \sin \theta} \frac{\partial f}{\partial \phi} \hat{\phi}$ (2.27)	
General orthogonal coordinates	$\nabla f = \frac{\hat{q}_1}{h_1} \frac{\partial f}{\partial q_1} + \frac{\hat{q}_2}{h_2} \frac{\partial f}{\partial q_2} + \frac{\hat{q}_3}{h_3} \frac{\partial f}{\partial q_3}$ (2.28)	$q_i$ basis $h_i$ metric elements

2



**Divergence**

Rectangular coordinates	$\nabla \cdot A = \frac{\partial A_x}{\partial x} + \frac{\partial A_y}{\partial y} + \frac{\partial A_z}{\partial z} \quad (2.29)$	$A$ vector field $A_i$ $i$ th component of $A$
Cylindrical coordinates	$\nabla \cdot A = \frac{1}{\rho} \frac{\partial(\rho A_\rho)}{\partial \rho} + \frac{1}{\rho} \frac{\partial A_\phi}{\partial \phi} + \frac{\partial A_z}{\partial z} \quad (2.30)$	$\rho$ distance from the $z$ axis
Spherical polar coordinates	$\nabla \cdot A = \frac{1}{r^2} \frac{\partial(r^2 A_r)}{\partial r} + \frac{1}{r \sin \theta} \frac{\partial(A_\theta \sin \theta)}{\partial \theta} + \frac{1}{r \sin \theta} \frac{\partial A_\phi}{\partial \phi} \quad (2.31)$	
General orthogonal coordinates	$\nabla \cdot A = \frac{1}{h_1 h_2 h_3} \left[ \frac{\partial}{\partial q_1} (A_1 h_2 h_3) + \frac{\partial}{\partial q_2} (A_2 h_3 h_1) + \frac{\partial}{\partial q_3} (A_3 h_1 h_2) \right] \quad (2.32)$	$q_i$ basis $h_i$ metric elements

**Curl**

Rectangular coordinates	$\nabla \times A = \begin{vmatrix} \hat{x} & \hat{y} & \hat{z} \\ \partial/\partial x & \partial/\partial y & \partial/\partial z \\ A_x & A_y & A_z \end{vmatrix} \quad (2.33)$	$\hat{\phantom{x}}$ unit vector $A$ vector field $A_i$ $i$ th component of $A$
Cylindrical coordinates	$\nabla \times A = \begin{vmatrix} \hat{\rho}/\rho & \hat{\phi} & \hat{z}/\rho \\ \partial/\partial \rho & \partial/\partial \phi & \partial/\partial z \\ A_\rho & \rho A_\phi & A_z \end{vmatrix} \quad (2.34)$	$\rho$ distance from the $z$ axis
Spherical polar coordinates	$\nabla \times A = \begin{vmatrix} \hat{r}/(r^2 \sin \theta) & \hat{\theta}/(r \sin \theta) & \hat{\phi}/r \\ \partial/\partial r & \partial/\partial \theta & \partial/\partial \phi \\ A_r & r A_\theta & r A_\phi \sin \theta \end{vmatrix} \quad (2.35)$	
General orthogonal coordinates	$\nabla \times A = \frac{1}{h_1 h_2 h_3} \begin{vmatrix} \hat{q}_1 h_1 & \hat{q}_2 h_2 & \hat{q}_3 h_3 \\ \partial/\partial q_1 & \partial/\partial q_2 & \partial/\partial q_3 \\ h_1 A_1 & h_2 A_2 & h_3 A_3 \end{vmatrix} \quad (2.36)$	$q_i$ basis $h_i$ metric elements

**Radial forms<sup>a</sup>**

$\nabla r = \frac{\mathbf{r}}{r} \quad (2.37)$	$\nabla(1/r) = \frac{-\mathbf{r}}{r^3} \quad (2.41)$
$\nabla \cdot \mathbf{r} = 3 \quad (2.38)$	$\nabla \cdot (\mathbf{r}/r^2) = \frac{1}{r^2} \quad (2.42)$
$\nabla r^2 = 2\mathbf{r} \quad (2.39)$	$\nabla(1/r^2) = \frac{-2\mathbf{r}}{r^4} \quad (2.43)$
$\nabla \cdot (r\mathbf{r}) = 4r \quad (2.40)$	$\nabla \cdot (\mathbf{r}/r^3) = 4\pi\delta(\mathbf{r}) \quad (2.44)$

<sup>a</sup>Note that the curl of any purely radial function is zero.  $\delta(\mathbf{r})$  is the Dirac delta function.

**Laplacian (scalar)**

Rectangular coordinates	$\nabla^2 f = \frac{\partial^2 f}{\partial x^2} + \frac{\partial^2 f}{\partial y^2} + \frac{\partial^2 f}{\partial z^2}$	(2.45)	$f$ scalar field
Cylindrical coordinates	$\nabla^2 f = \frac{1}{\rho} \frac{\partial}{\partial \rho} \left( \rho \frac{\partial f}{\partial \rho} \right) + \frac{1}{\rho^2} \frac{\partial^2 f}{\partial \phi^2} + \frac{\partial^2 f}{\partial z^2}$	(2.46)	$\rho$ distance from the $z$ axis
Spherical polar coordinates	$\nabla^2 f = \frac{1}{r^2} \frac{\partial}{\partial r} \left( r^2 \frac{\partial f}{\partial r} \right) + \frac{1}{r^2 \sin \theta} \frac{\partial}{\partial \theta} \left( \sin \theta \frac{\partial f}{\partial \theta} \right) + \frac{1}{r^2 \sin^2 \theta} \frac{\partial^2 f}{\partial \phi^2}$	(2.47)	
General orthogonal coordinates	$\nabla^2 f = \frac{1}{h_1 h_2 h_3} \left[ \frac{\partial}{\partial q_1} \left( \frac{h_2 h_3}{h_1} \frac{\partial f}{\partial q_1} \right) + \frac{\partial}{\partial q_2} \left( \frac{h_3 h_1}{h_2} \frac{\partial f}{\partial q_2} \right) + \frac{\partial}{\partial q_3} \left( \frac{h_1 h_2}{h_3} \frac{\partial f}{\partial q_3} \right) \right]$	(2.48)	$q_i$ basis $h_i$ metric elements

2

**Differential operator identities**

$\nabla(fg) \equiv f \nabla g + g \nabla f$	(2.49)	
$\nabla \cdot (fA) \equiv f \nabla \cdot A + A \cdot \nabla f$	(2.50)	
$\nabla \times (fA) \equiv f \nabla \times A + (\nabla f) \times A$	(2.51)	
$\nabla(A \cdot B) \equiv A \times (\nabla \times B) + (A \cdot \nabla)B + B \times (\nabla \times A) + (B \cdot \nabla)A$	(2.52)	
$\nabla \cdot (A \times B) \equiv B \cdot (\nabla \times A) - A \cdot (\nabla \times B)$	(2.53)	$f, g$ scalar fields
$\nabla \times (A \times B) \equiv A(\nabla \cdot B) - B(\nabla \cdot A) + (B \cdot \nabla)A - (A \cdot \nabla)B$	(2.54)	$A, B$ vector fields
$\nabla \cdot (\nabla f) \equiv \nabla^2 f \equiv \Delta f$	(2.55)	
$\nabla \times (\nabla f) \equiv 0$	(2.56)	
$\nabla \cdot (\nabla \times A) \equiv 0$	(2.57)	
$\nabla \times (\nabla \times A) \equiv \nabla(\nabla \cdot A) - \nabla^2 A$	(2.58)	

**Vector integral transformations**

Gauss's (Divergence) theorem	$\int_V (\nabla \cdot A) dV = \oint_{S_c} A \cdot ds$	(2.59)	$A$ vector field $dV$ volume element $S_c$ closed surface $V$ volume enclosed
Stokes's theorem	$\int_S (\nabla \times A) \cdot ds = \oint_L A \cdot dl$	(2.60)	$S$ surface $ds$ surface element $L$ loop bounding $S$
Green's first theorem	$\oint_S (f \nabla g) \cdot ds = \int_V \nabla \cdot (f \nabla g) dV$	(2.61)	$f, g$ scalar fields
	$= \int_V [f \nabla^2 g + (\nabla f) \cdot (\nabla g)] dV$	(2.62)	
Green's second theorem	$\oint_S [f(\nabla g) - g(\nabla f)] \cdot ds = \int_V (f \nabla^2 g - g \nabla^2 f) dV$	(2.63)	



Matrix algebra<sup>a</sup>

Matrix definition	$\mathbf{A} = \begin{pmatrix} a_{11} & a_{12} & \cdots & a_{1n} \\ a_{21} & a_{22} & \cdots & a_{2n} \\ \vdots & \vdots & \cdots & \vdots \\ a_{m1} & a_{m2} & \cdots & a_{mn} \end{pmatrix} \quad (2.64)$	$\mathbf{A}$ $m$ by $n$ matrix $a_{ij}$ matrix elements
Matrix addition	$\mathbf{C} = \mathbf{A} + \mathbf{B}$ if $c_{ij} = a_{ij} + b_{ij}$ (2.65)	
Matrix multiplication	$\mathbf{C} = \mathbf{A}\mathbf{B}$ if $c_{ij} = a_{ik}b_{kj}$ (2.66)	
	$(\mathbf{A}\mathbf{B})\mathbf{C} = \mathbf{A}(\mathbf{B}\mathbf{C})$ (2.67)	
	$\mathbf{A}(\mathbf{B} + \mathbf{C}) = \mathbf{A}\mathbf{B} + \mathbf{A}\mathbf{C}$ (2.68)	
Transpose matrix <sup>b</sup>	$\tilde{a}_{ij} = a_{ji}$ (2.69) $(\widetilde{\mathbf{A}\mathbf{B}\dots\mathbf{N}}) = \tilde{\mathbf{N}}\dots\tilde{\mathbf{B}}\tilde{\mathbf{A}}$ (2.70)	$\tilde{a}_{ij}$ transpose matrix (sometimes $a_{ij}^T$ , or $a'_{ij}$ )
Adjoint matrix (definition 1) <sup>c</sup>	$\mathbf{A}^\dagger = \tilde{\mathbf{A}}^*$ (2.71)	* complex conjugate (of each component)
	$(\mathbf{A}\mathbf{B}\dots\mathbf{N})^\dagger = \mathbf{N}^\dagger \dots \mathbf{B}^\dagger \mathbf{A}^\dagger$ (2.72)	† adjoint (or Hermitian conjugate)
Hermitian matrix <sup>d</sup>	$\mathbf{H}^\dagger = \mathbf{H}$ (2.73)	$\mathbf{H}$ Hermitian (or self-adjoint) matrix
examples:		
$\mathbf{A} = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix}$	$\mathbf{B} = \begin{pmatrix} b_{11} & b_{12} & b_{13} \\ b_{21} & b_{22} & b_{23} \\ b_{31} & b_{32} & b_{33} \end{pmatrix}$	
$\tilde{\mathbf{A}} = \begin{pmatrix} a_{11} & a_{21} & a_{31} \\ a_{12} & a_{22} & a_{32} \\ a_{13} & a_{23} & a_{33} \end{pmatrix}$	$\mathbf{A} + \mathbf{B} = \begin{pmatrix} a_{11} + b_{11} & a_{12} + b_{12} & a_{13} + b_{13} \\ a_{21} + b_{21} & a_{22} + b_{22} & a_{23} + b_{23} \\ a_{31} + b_{31} & a_{32} + b_{32} & a_{33} + b_{33} \end{pmatrix}$	
$\mathbf{A}\mathbf{B} = \begin{pmatrix} a_{11}b_{11} + a_{12}b_{21} + a_{13}b_{31} & a_{11}b_{12} + a_{12}b_{22} + a_{13}b_{32} & a_{11}b_{13} + a_{12}b_{23} + a_{13}b_{33} \\ a_{21}b_{11} + a_{22}b_{21} + a_{23}b_{31} & a_{21}b_{12} + a_{22}b_{22} + a_{23}b_{32} & a_{21}b_{13} + a_{22}b_{23} + a_{23}b_{33} \\ a_{31}b_{11} + a_{32}b_{21} + a_{33}b_{31} & a_{31}b_{12} + a_{32}b_{22} + a_{33}b_{32} & a_{31}b_{13} + a_{32}b_{23} + a_{33}b_{33} \end{pmatrix}$		

<sup>a</sup>Terms are implicitly summed over repeated suffices; hence  $a_{ik}b_{kj}$  equals  $\sum_k a_{ik}b_{kj}$ .<sup>b</sup>See also Equation (2.85).<sup>c</sup>Or "Hermitian conjugate matrix." The term "adjoint" is used in quantum physics for the transpose conjugate of a matrix and in linear algebra for the transpose matrix of its cofactors. These definitions are not compatible, but both are widely used [cf. Equation (2.80)].<sup>d</sup>Hermitian matrices must also be square (see next table).

Square matrices<sup>a</sup>

Trace	$\text{tr} \mathbf{A} = a_{ii}$ (2.74)	<b>A</b> square matrix $a_{ij}$ matrix elements $a_{ii}$ implicitly = $\sum_i a_{ii}$
	$\text{tr}(\mathbf{AB}) = \text{tr}(\mathbf{BA})$ (2.75)	
Determinant <sup>b</sup>	$\det \mathbf{A} = \epsilon_{ijk\dots} a_{1i} a_{2j} a_{3k} \dots$ (2.76)	tr trace
	$= (-1)^{i+j} a_{i1} M_{i1}$ (2.77)	det determinant (or $ \mathbf{A} $ )
	$= a_{i1} C_{i1}$ (2.78)	$M_{ij}$ minor of element $a_{ij}$
	$\det(\mathbf{AB} \dots \mathbf{N}) = \det \mathbf{A} \det \mathbf{B} \dots \det \mathbf{N}$ (2.79)	$C_{ij}$ cofactor of the element $a_{ij}$
Adjoint matrix (definition 2) <sup>c</sup>	$\text{adj} \mathbf{A} = \tilde{C}_{ij} = C_{ji}$ (2.80)	adj adjoint (sometimes written $\tilde{\mathbf{A}}$ )
Inverse matrix ( $\det \mathbf{A} \neq 0$ )	$a_{ij}^{-1} = \frac{C_{ji}}{\det \mathbf{A}} = \frac{\text{adj} \mathbf{A}}{\det \mathbf{A}}$ (2.81)	$\sim$ transpose
	$\mathbf{AA}^{-1} = \mathbf{1}$ (2.82)	<b>1</b> unit matrix
	$(\mathbf{AB} \dots \mathbf{N})^{-1} = \mathbf{N}^{-1} \dots \mathbf{B}^{-1} \mathbf{A}^{-1}$ (2.83)	
Orthogonality condition	$a_{ij} a_{ik} = \delta_{jk}$ (2.84)	$\delta_{jk}$ Kronecker delta (= 1 if $i=j$ , = 0 otherwise)
	i.e., $\tilde{\mathbf{A}} = \mathbf{A}^{-1}$ (2.85)	
Symmetry	If $\mathbf{A} = \tilde{\mathbf{A}}$ , $\mathbf{A}$ is symmetric (2.86)	
	If $\mathbf{A} = -\tilde{\mathbf{A}}$ , $\mathbf{A}$ is antisymmetric (2.87)	
Unitary matrix	$\mathbf{U}^\dagger = \mathbf{U}^{-1}$ (2.88)	<b>U</b> unitary matrix $\dagger$ Hermitian conjugate
examples:		
$\mathbf{A} = \begin{pmatrix} a_{11} & a_{12} & a_{13} \\ a_{21} & a_{22} & a_{23} \\ a_{31} & a_{32} & a_{33} \end{pmatrix} \qquad \mathbf{B} = \begin{pmatrix} b_{11} & b_{12} \\ b_{21} & b_{22} \end{pmatrix}$		
$\text{tr} \mathbf{A} = a_{11} + a_{22} + a_{33} \qquad \text{tr} \mathbf{B} = b_{11} + b_{22}$		
$\det \mathbf{A} = a_{11} a_{22} a_{33} - a_{11} a_{23} a_{32} - a_{21} a_{12} a_{33} + a_{21} a_{13} a_{32} + a_{31} a_{12} a_{23} - a_{31} a_{13} a_{22}$		
$\det \mathbf{B} = b_{11} b_{22} - b_{12} b_{21}$		
$\mathbf{A}^{-1} = \frac{1}{\det \mathbf{A}} \begin{pmatrix} a_{22} a_{33} - a_{23} a_{32} & -a_{12} a_{33} + a_{13} a_{32} & a_{12} a_{23} - a_{13} a_{22} \\ -a_{21} a_{33} + a_{23} a_{31} & a_{11} a_{33} - a_{13} a_{31} & -a_{11} a_{23} + a_{13} a_{21} \\ a_{21} a_{32} - a_{22} a_{31} & -a_{11} a_{32} + a_{12} a_{31} & a_{11} a_{22} - a_{12} a_{21} \end{pmatrix}$		
$\mathbf{B}^{-1} = \frac{1}{\det \mathbf{B}} \begin{pmatrix} b_{22} & -b_{12} \\ -b_{21} & b_{11} \end{pmatrix}$		

<sup>a</sup>Terms are implicitly summed over repeated suffices; hence  $a_{ik} b_{kj}$  equals  $\sum_k a_{ik} b_{kj}$ .  
<sup>b</sup> $\epsilon_{ijk\dots}$  is defined as the natural extension of Equation (2.444) to  $n$ -dimensions (see page 50).  $M_{ij}$  is the determinant of the matrix  $\mathbf{A}$  with the  $i$ th row and the  $j$ th column deleted. The cofactor  $C_{ij} = (-1)^{i+j} M_{ij}$ .  
<sup>c</sup>Or "adjugate matrix." See the footnote to Equation (2.71) for a discussion of the term "adjoint."



## Commutators

Commutator definition	$[\mathbf{A}, \mathbf{B}] = \mathbf{AB} - \mathbf{BA} = -[\mathbf{B}, \mathbf{A}]$	(2.89)	$[\cdot, \cdot]$ commutator
Adjoint	$[\mathbf{A}, \mathbf{B}]^\dagger = [\mathbf{B}^\dagger, \mathbf{A}^\dagger]$	(2.90)	$\dagger$ adjoint
Distribution	$[\mathbf{A} + \mathbf{B}, \mathbf{C}] = [\mathbf{A}, \mathbf{C}] + [\mathbf{B}, \mathbf{C}]$	(2.91)	
Association	$[\mathbf{AB}, \mathbf{C}] = \mathbf{A}[\mathbf{B}, \mathbf{C}] + [\mathbf{A}, \mathbf{C}]\mathbf{B}$	(2.92)	
Jacobi identity	$[\mathbf{A}, [\mathbf{B}, \mathbf{C}]] = [\mathbf{B}, [\mathbf{A}, \mathbf{C}]] - [\mathbf{C}, [\mathbf{A}, \mathbf{B}]]$	(2.93)	

## Pauli matrices

Pauli matrices	$\sigma_1 = \begin{pmatrix} 0 & 1 \\ 1 & 0 \end{pmatrix}$ $\sigma_2 = \begin{pmatrix} 0 & -i \\ i & 0 \end{pmatrix}$ $\sigma_3 = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ $\mathbf{1} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$	(2.94)	$\sigma_i$ Pauli spin matrices $\mathbf{1}$ $2 \times 2$ unit matrix $i$ $i^2 = -1$
Anticommutation	$\sigma_i \sigma_j + \sigma_j \sigma_i = 2\delta_{ij} \mathbf{1}$	(2.95)	$\delta_{ij}$ Kronecker delta
Cyclic permutation	$\sigma_i \sigma_j = i\sigma_k$	(2.96)	
	$(\sigma_i)^2 = \mathbf{1}$	(2.97)	

Rotation matrices<sup>a</sup>

Rotation about $x_1$	$\mathbf{R}_1(\theta) = \begin{pmatrix} 1 & 0 & 0 \\ 0 & \cos\theta & \sin\theta \\ 0 & -\sin\theta & \cos\theta \end{pmatrix}$	(2.98)	$\mathbf{R}_i(\theta)$ matrix for rotation about the $i$ th axis $\theta$ rotation angle
Rotation about $x_2$	$\mathbf{R}_2(\theta) = \begin{pmatrix} \cos\theta & 0 & -\sin\theta \\ 0 & 1 & 0 \\ \sin\theta & 0 & \cos\theta \end{pmatrix}$	(2.99)	
Rotation about $x_3$	$\mathbf{R}_3(\theta) = \begin{pmatrix} \cos\theta & \sin\theta & 0 \\ -\sin\theta & \cos\theta & 0 \\ 0 & 0 & 1 \end{pmatrix}$	(2.100)	$\alpha$ rotation about $x_3$ $\beta$ rotation about $x_2'$ $\gamma$ rotation about $x_3''$
Euler angles	$\mathbf{R}(\alpha, \beta, \gamma) = \begin{pmatrix} \cos\gamma \cos\beta \cos\alpha - \sin\gamma \sin\alpha & \cos\gamma \cos\beta \sin\alpha + \sin\gamma \cos\alpha & -\cos\gamma \sin\beta \\ -\sin\gamma \cos\beta \cos\alpha - \cos\gamma \sin\alpha & -\sin\gamma \cos\beta \sin\alpha + \cos\gamma \cos\alpha & \sin\gamma \sin\beta \\ \sin\beta \cos\alpha & \sin\beta \sin\alpha & \cos\beta \end{pmatrix}$	(2.101)	$\mathbf{R}$ rotation matrix

<sup>a</sup>Angles are in the right-handed sense for rotation of axes, or the left-handed sense for rotation of vectors. i.e., a vector  $v$  is given a right-handed rotation of  $\theta$  about the  $x_3$ -axis using  $\mathbf{R}_3(-\theta)v \mapsto v'$ . Conventionally,  $x_1 \equiv x$ ,  $x_2 \equiv y$ , and  $x_3 \equiv z$ .

2.3 Series, summations, and progressions

Progressions and summations

Arithmetic progression	$S_n = a + (a+d) + (a+2d) + \dots$ (2.102)	$n$ number of terms $S_n$ sum of $n$ successive terms $a$ first term $d$ common difference $l$ last term
	$+ [a + (n-1)d]$ (2.103)	
	$= \frac{n}{2} [2a + (n-1)d]$ (2.104)	
	$= \frac{n}{2} (a+l)$ (2.105)	
Geometric progression	$S_n = a + ar + ar^2 + \dots + ar^{n-1}$ (2.106)	$r$ common ratio
	$= a \frac{1-r^n}{1-r}$ (2.107)	
	$S_\infty = \frac{a}{1-r} \quad ( r  < 1)$ (2.108)	
Arithmetic mean	$\langle x \rangle_a = \frac{1}{n} (x_1 + x_2 + \dots + x_n)$ (2.109)	$\langle \cdot \rangle_a$ arithmetic mean
Geometric mean	$\langle x \rangle_g = (x_1 x_2 x_3 \dots x_n)^{1/n}$ (2.110)	$\langle \cdot \rangle_g$ geometric mean
Harmonic mean	$\langle x \rangle_h = n \left( \frac{1}{x_1} + \frac{1}{x_2} + \dots + \frac{1}{x_n} \right)^{-1}$ (2.111)	$\langle \cdot \rangle_h$ harmonic mean
Relative mean magnitudes	$\langle x \rangle_a \geq \langle x \rangle_g \geq \langle x \rangle_h$ if $x_i > 0$ for all $i$ (2.112)	
Summation formulas	$\sum_{i=1}^n i = \frac{n}{2} (n+1)$ (2.113)	$i$ dummy integer
	$\sum_{i=1}^n i^2 = \frac{n}{6} (n+1)(2n+1)$ (2.114)	
	$\sum_{i=1}^n i^3 = \frac{n^2}{4} (n+1)^2$ (2.115)	
	$\sum_{i=1}^n i^4 = \frac{n}{30} (n+1)(2n+1)(3n^2+3n-1)$ (2.116)	
	$\sum_{i=1}^{\infty} \frac{(-1)^{i+1}}{i} = 1 - \frac{1}{2} + \frac{1}{3} - \frac{1}{4} + \dots = \ln 2$ (2.117)	
	$\sum_{i=1}^{\infty} \frac{(-1)^{i+1}}{2i-1} = 1 - \frac{1}{3} + \frac{1}{5} - \frac{1}{7} + \dots = \frac{\pi}{4}$ (2.118)	
$\sum_{i=1}^{\infty} \frac{1}{i^2} = 1 + \frac{1}{4} + \frac{1}{9} + \frac{1}{16} + \dots = \frac{\pi^2}{6}$ (2.119)		
Euler's constant <sup>a</sup>	$\gamma = \lim_{n \rightarrow \infty} \left( 1 + \frac{1}{2} + \frac{1}{3} + \dots + \frac{1}{n} - \ln n \right)$ (2.120)	$\gamma$ Euler's constant

<sup>a</sup> $\gamma \approx 0.577215664\dots$

**Power series**

Binomial series <sup>a</sup>	$(1+x)^n = 1 + nx + \frac{n(n-1)}{2!}x^2 + \frac{n(n-1)(n-2)}{3!}x^3 + \dots$	(2.121)
Binomial coefficient <sup>b</sup>	${}^nC_r \equiv \binom{n}{r} \equiv \frac{n!}{r!(n-r)!}$	(2.122)
Binomial theorem	$(a+b)^n = \sum_{k=0}^n \binom{n}{k} a^{n-k} b^k$	(2.123)
Taylor series (about $a$ ) <sup>c</sup>	$f(a+x) = f(a) + xf^{(1)}(a) + \frac{x^2}{2!}f^{(2)}(a) + \dots + \frac{x^{n-1}}{(n-1)!}f^{(n-1)}(a) + \dots$	(2.124)
Taylor series (3-D)	$f(\mathbf{a} + \mathbf{x}) = f(\mathbf{a}) + (\mathbf{x} \cdot \nabla)f _{\mathbf{a}} + \frac{(\mathbf{x} \cdot \nabla)^2}{2!}f _{\mathbf{a}} + \frac{(\mathbf{x} \cdot \nabla)^3}{3!}f _{\mathbf{a}} + \dots$	(2.125)
Maclaurin series	$f(x) = f(0) + xf^{(1)}(0) + \frac{x^2}{2!}f^{(2)}(0) + \dots + \frac{x^{n-1}}{(n-1)!}f^{(n-1)}(0) + \dots$	(2.126)

<sup>a</sup>If  $n$  is a positive integer the series terminates and is valid for all  $x$ . Otherwise the (infinite) series is convergent for  $|x| < 1$ .

<sup>b</sup>The coefficient of  $x^r$  in the binomial series.

<sup>c</sup> $xf^{(n)}(a)$  is  $x$  times the  $n$ th derivative of the function  $f(x)$  with respect to  $x$  evaluated at  $a$ , taken as well behaved around  $a$ .  $(\mathbf{x} \cdot \nabla)^n f|_{\mathbf{a}}$  is its extension to three dimensions.

**Limits**

$n^c x^n \rightarrow 0$ as $n \rightarrow \infty$ if $ x  < 1$ (for any fixed $c$ )	(2.127)
$x^n/n! \rightarrow 0$ as $n \rightarrow \infty$ (for any fixed $x$ )	(2.128)
$(1+x/n)^n \rightarrow e^x$ as $n \rightarrow \infty$	(2.129)
$x \ln x \rightarrow 0$ as $x \rightarrow 0$	(2.130)
$\frac{\sin x}{x} \rightarrow 1$ as $x \rightarrow 0$	(2.131)
If $f(a) = g(a) = 0$ or $\infty$ then $\lim_{x \rightarrow a} \frac{f(x)}{g(x)} = \frac{f^{(1)}(a)}{g^{(1)}(a)}$ (l'Hôpital's rule)	(2.132)

## Series expansions

$\exp(x)$	$1 + x + \frac{x^2}{2!} + \frac{x^3}{3!} + \dots$	(2.133)	(for all $x$ )
$\ln(1+x)$	$x - \frac{x^2}{2} + \frac{x^3}{3} - \frac{x^4}{4} + \dots$	(2.134)	$(-1 < x \leq 1)$
$\ln\left(\frac{1+x}{1-x}\right)$	$2\left(x + \frac{x^3}{3} + \frac{x^5}{5} + \frac{x^7}{7} + \dots\right)$	(2.135)	$( x  < 1)$
$\cos(x)$	$1 - \frac{x^2}{2!} + \frac{x^4}{4!} - \frac{x^6}{6!} + \dots$	(2.136)	(for all $x$ )
$\sin(x)$	$x - \frac{x^3}{3!} + \frac{x^5}{5!} - \frac{x^7}{7!} + \dots$	(2.137)	(for all $x$ )
$\tan(x)$	$x + \frac{x^3}{3} + \frac{2x^5}{15} + \frac{17x^7}{315} + \dots$	(2.138)	$( x  < \pi/2)$
$\sec(x)$	$1 + \frac{x^2}{2} + \frac{5x^4}{24} + \frac{61x^6}{720} + \dots$	(2.139)	$( x  < \pi/2)$
$\csc(x)$	$\frac{1}{x} + \frac{x}{6} + \frac{7x^3}{360} + \frac{31x^5}{15120} + \dots$	(2.140)	$( x  < \pi)$
$\cot(x)$	$\frac{1}{x} - \frac{x}{3} + \frac{x^3}{45} - \frac{2x^5}{945} + \dots$	(2.141)	$( x  < \pi)$
$\arcsin(x)^a$	$x + \frac{1}{2} \frac{x^3}{3} + \frac{1 \cdot 3}{2 \cdot 4} \frac{x^5}{5} + \frac{1 \cdot 3 \cdot 5}{2 \cdot 4 \cdot 6} \frac{x^7}{7} + \dots$	(2.142)	$( x  < 1)$
$\arctan(x)^b$	$\begin{cases} x - \frac{x^3}{3} + \frac{x^5}{5} - \frac{x^7}{7} + \dots & ( x  \leq 1) \\ \frac{\pi}{2} - \frac{1}{x} + \frac{1}{3x^3} - \frac{1}{5x^5} + \dots & (x > 1) \\ -\frac{\pi}{2} - \frac{1}{x} + \frac{1}{3x^3} - \frac{1}{5x^5} + \dots & (x < -1) \end{cases}$	(2.143)	
$\cosh(x)$	$1 + \frac{x^2}{2!} + \frac{x^4}{4!} + \frac{x^6}{6!} + \dots$	(2.144)	(for all $x$ )
$\sinh(x)$	$x + \frac{x^3}{3!} + \frac{x^5}{5!} + \frac{x^7}{7!} + \dots$	(2.145)	(for all $x$ )
$\tanh(x)$	$x - \frac{x^3}{3} + \frac{2x^5}{15} - \frac{17x^7}{315} + \dots$	(2.146)	$( x  < \pi/2)$

<sup>a</sup> $\arccos(x) = \pi/2 - \arcsin(x)$ . Note that  $\arcsin(x) \equiv \sin^{-1}(x)$  etc.<sup>b</sup> $\operatorname{arccot}(x) = \pi/2 - \arctan(x)$ .

**Inequalities**

Triangle inequality	$ a_1  -  a_2  \leq  a_1 + a_2  \leq  a_1  +  a_2 ;$	(2.147)
	$\left  \sum_{i=1}^n a_i \right  \leq \sum_{i=1}^n  a_i $	(2.148)
Chebyshev inequality	if $a_1 \geq a_2 \geq a_3 \geq \dots \geq a_n$	(2.149)
	and $b_1 \geq b_2 \geq b_3 \geq \dots \geq b_n$	(2.150)
	then $n \sum_{i=1}^n a_i b_i \geq \left( \sum_{i=1}^n a_i \right) \left( \sum_{i=1}^n b_i \right)$	(2.151)
Cauchy inequality	$\left( \sum_{i=1}^n a_i b_i \right)^2 \leq \sum_{i=1}^n a_i^2 \sum_{i=1}^n b_i^2$	(2.152)
Schwarz inequality	$\left[ \int_a^b f(x)g(x) dx \right]^2 \leq \int_a^b [f(x)]^2 dx \int_a^b [g(x)]^2 dx$	(2.153)

**2.4 Complex variables****Complex numbers**

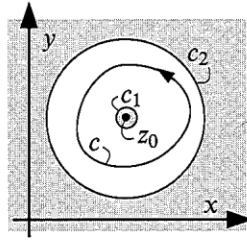
Cartesian form	$z = x + iy$	(2.154)	$z$ complex variable $i$ $i^2 = -1$ $x, y$ real variables
Polar form	$z = re^{i\theta} = r(\cos\theta + i\sin\theta)$	(2.155)	$r$ amplitude (real) $\theta$ phase (real)
Modulus <sup>a</sup>	$ z  = r = (x^2 + y^2)^{1/2}$	(2.156)	$ z $ modulus of $z$
	$ z_1 \cdot z_2  =  z_1  \cdot  z_2 $	(2.157)	
Argument	$\theta = \arg z = \arctan \frac{y}{x}$	(2.158)	$\arg z$ argument of $z$
	$\arg(z_1 z_2) = \arg z_1 + \arg z_2$	(2.159)	
Complex conjugate	$z^* = x - iy = re^{-i\theta}$	(2.160)	$z^*$ complex conjugate of $z = re^{i\theta}$
	$\arg(z^*) = -\arg z$	(2.161)	
	$z \cdot z^* =  z ^2$	(2.162)	
Logarithm <sup>b</sup>	$\ln z = \ln r + i(\theta + 2\pi n)$	(2.163)	$n$ integer

<sup>a</sup>Or "magnitude."<sup>b</sup>The principal value of  $\ln z$  is given by  $n=0$  and  $-\pi < \theta \leq \pi$ .

**Complex analysis<sup>a</sup>**

Cauchy-Riemann equations <sup>b</sup>	<p>if <math>f(z) = u(x,y) + iv(x,y)</math></p> <p>then <math>\frac{\partial u}{\partial x} = \frac{\partial v}{\partial y}</math> (2.164)</p> <p><math>\frac{\partial u}{\partial y} = -\frac{\partial v}{\partial x}</math> (2.165)</p>	<p><math>z</math> complex variable</p> <p><math>i</math> <math>i^2 = -1</math></p> <p><math>x, y</math> real variables</p> <p><math>f(z)</math> function of <math>z</math></p> <p><math>u, v</math> real functions</p>
Cauchy-Goursat theorem <sup>c</sup>	$\oint_c f(z) dz = 0$ (2.166)	
Cauchy integral formula <sup>d</sup>	$f(z_0) = \frac{1}{2\pi i} \oint_c \frac{f(z)}{z - z_0} dz$ (2.167)	$f^{(n)}(z_0) = \frac{n!}{2\pi i} \oint_c \frac{f(z)}{(z - z_0)^{n+1}} dz$ (2.168)
Laurent expansion <sup>e</sup>	$f(z) = \sum_{n=-\infty}^{\infty} a_n(z - z_0)^n$ (2.169)	where $a_n = \frac{1}{2\pi i} \oint_c \frac{f(z')}{(z' - z_0)^{n+1}} dz'$ (2.170)
Residue theorem	$\oint_c f(z) dz = 2\pi i \sum \text{enclosed residues}$ (2.171)	

$f^{(n)}$   $n$ th derivative  
 $a_n$  Laurent coefficients  
 $a_{-1}$  residue of  $f(z)$  at  $z_0$   
 $z'$  dummy variable



<sup>a</sup>Closed contour integrals are taken in the counterclockwise sense, once.

<sup>b</sup>Necessary condition for  $f(z)$  to be analytic at a given point.

<sup>c</sup>If  $f(z)$  is analytic within and on a simple closed curve  $c$ . Sometimes called "Cauchy's theorem."

<sup>d</sup>If  $f(z)$  is analytic within and on a simple closed curve  $c$ , encircling  $z_0$ .

<sup>e</sup>Of  $f(z)$ , (analytic) in the annular region between concentric circles,  $c_1$  and  $c_2$ , centred on  $z_0$ .  $c$  is any closed curve in this region encircling  $z_0$ .

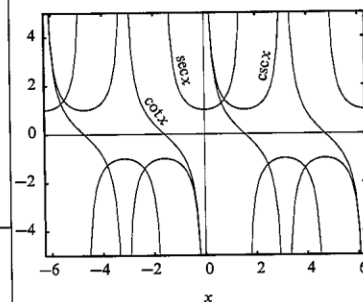
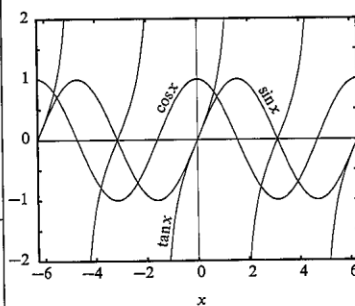
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## 2.5 Trigonometric and hyperbolic formulas

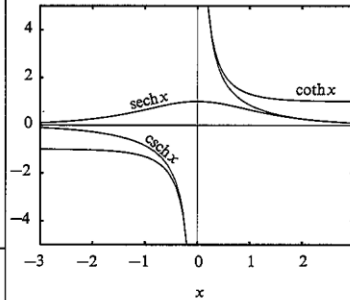
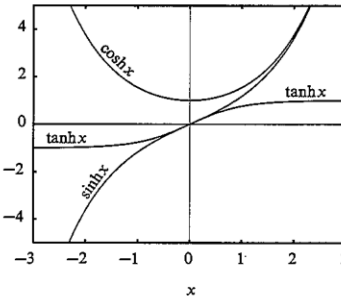
### Trigonometric relationships

$\sin(A \pm B) = \sin A \cos B \pm \cos A \sin B$	(2.172)
$\cos(A \pm B) = \cos A \cos B \mp \sin A \sin B$	(2.173)
$\tan(A \pm B) = \frac{\tan A \pm \tan B}{1 \mp \tan A \tan B}$	(2.174)
$\cos A \cos B = \frac{1}{2} [\cos(A+B) + \cos(A-B)]$	(2.175)
$\sin A \cos B = \frac{1}{2} [\sin(A+B) + \sin(A-B)]$	(2.176)
$\sin A \sin B = \frac{1}{2} [\cos(A-B) - \cos(A+B)]$	(2.177)
$\cos^2 A + \sin^2 A = 1$	(2.178)
$\sec^2 A - \tan^2 A = 1$	(2.179)
$\csc^2 A - \cot^2 A = 1$	(2.180)
$\sin 2A = 2 \sin A \cos A$	(2.181)
$\cos 2A = \cos^2 A - \sin^2 A$	(2.182)
$\tan 2A = \frac{2 \tan A}{1 - \tan^2 A}$	(2.183)
$\sin 3A = 3 \sin A - 4 \sin^3 A$	(2.184)
$\cos 3A = 4 \cos^3 A - 3 \cos A$	(2.185)
$\sin A + \sin B = 2 \sin \frac{A+B}{2} \cos \frac{A-B}{2}$	(2.186)
$\sin A - \sin B = 2 \cos \frac{A+B}{2} \sin \frac{A-B}{2}$	(2.187)
$\cos A + \cos B = 2 \cos \frac{A+B}{2} \cos \frac{A-B}{2}$	(2.188)
$\cos A - \cos B = -2 \sin \frac{A+B}{2} \sin \frac{A-B}{2}$	(2.189)
$\cos^2 A = \frac{1}{2} (1 + \cos 2A)$	(2.190)
$\sin^2 A = \frac{1}{2} (1 - \cos 2A)$	(2.191)
$\cos^3 A = \frac{1}{4} (3 \cos A + \cos 3A)$	(2.192)
$\sin^3 A = \frac{1}{4} (3 \sin A - \sin 3A)$	(2.193)



**Hyperbolic relationships<sup>a</sup>**

$\sinh(x \pm y) = \sinh x \cosh y \pm \cosh x \sinh y$	(2.194)
$\cosh(x \pm y) = \cosh x \cosh y \pm \sinh x \sinh y$	(2.195)
$\tanh(x \pm y) = \frac{\tanh x \pm \tanh y}{1 \pm \tanh x \tanh y}$	(2.196)
$\cosh x \cosh y = \frac{1}{2} [\cosh(x+y) + \cosh(x-y)]$	(2.197)
$\sinh x \cosh y = \frac{1}{2} [\sinh(x+y) + \sinh(x-y)]$	(2.198)
$\sinh x \sinh y = \frac{1}{2} [\cosh(x+y) - \cosh(x-y)]$	(2.199)
$\cosh^2 x - \sinh^2 x = 1$	(2.200)
$\operatorname{sech}^2 x + \tanh^2 x = 1$	(2.201)
$\operatorname{coth}^2 x - \operatorname{csch}^2 x = 1$	(2.202)
$\sinh 2x = 2 \sinh x \cosh x$	(2.203)
$\cosh 2x = \cosh^2 x + \sinh^2 x$	(2.204)
$\tanh 2x = \frac{2 \tanh x}{1 + \tanh^2 x}$	(2.205)
$\sinh 3x = 3 \sinh x + 4 \sinh^3 x$	(2.206)
$\cosh 3x = 4 \cosh^3 x - 3 \cosh x$	(2.207)
$\sinh x + \sinh y = 2 \sinh \frac{x+y}{2} \cosh \frac{x-y}{2}$	(2.208)
$\sinh x - \sinh y = 2 \cosh \frac{x+y}{2} \sinh \frac{x-y}{2}$	(2.209)
$\cosh x + \cosh y = 2 \cosh \frac{x+y}{2} \cosh \frac{x-y}{2}$	(2.210)
$\cosh x - \cosh y = 2 \sinh \frac{x+y}{2} \sinh \frac{x-y}{2}$	(2.211)
$\cosh^2 x = \frac{1}{2} (\cosh 2x + 1)$	(2.212)
$\sinh^2 x = \frac{1}{2} (\cosh 2x - 1)$	(2.213)
$\cosh^3 x = \frac{1}{4} (3 \cosh x + \cosh 3x)$	(2.214)
$\sinh^3 x = \frac{1}{4} (\sinh 3x - 3 \sinh x)$	(2.215)



<sup>a</sup>These can be derived from trigonometric relationships by using the substitutions  $\cos x \rightarrow \cosh x$  and  $\sin x \rightarrow i \sinh x$ .

### Trigonometric and hyperbolic definitions

de Moivre's theorem	$(\cos x + i \sin x)^n = e^{inx} = \cos nx + i \sin nx$	(2.216)	
$\cos x = \frac{1}{2}(e^{ix} + e^{-ix})$	(2.217)	$\cosh x = \frac{1}{2}(e^x + e^{-x})$	(2.218)
$\sin x = \frac{1}{2i}(e^{ix} - e^{-ix})$	(2.219)	$\sinh x = \frac{1}{2}(e^x - e^{-x})$	(2.220)
$\tan x = \frac{\sin x}{\cos x}$	(2.221)	$\tanh x = \frac{\sinh x}{\cosh x}$	(2.222)
$\cos ix = \cosh x$	(2.223)	$\cosh ix = \cos x$	(2.224)
$\sin ix = i \sinh x$	(2.225)	$\sinh ix = i \sin x$	(2.226)
$\cot x = (\tan x)^{-1}$	(2.227)	$\coth x = (\tanh x)^{-1}$	(2.228)
$\sec x = (\cos x)^{-1}$	(2.229)	$\operatorname{sech} x = (\cosh x)^{-1}$	(2.230)
$\csc x = (\sin x)^{-1}$	(2.231)	$\operatorname{csch} x = (\sinh x)^{-1}$	(2.232)

### Inverse trigonometric functions<sup>a</sup>

$$\arcsin x = \arctan \left[ \frac{x}{(1-x^2)^{1/2}} \right] \quad (2.233)$$

$$\arccos x = \arctan \left[ \frac{(1-x^2)^{1/2}}{x} \right] \quad (2.234)$$

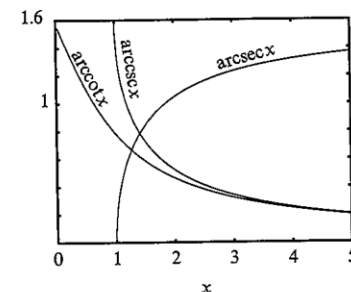
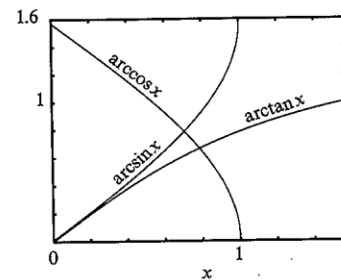
$$\operatorname{arccsc} x = \arctan \left[ \frac{1}{(x^2-1)^{1/2}} \right] \quad (2.235)$$

$$\operatorname{arcsec} x = \arctan \left[ (x^2-1)^{1/2} \right] \quad (2.236)$$

$$\operatorname{arccot} x = \arctan \left( \frac{1}{x} \right) \quad (2.237)$$

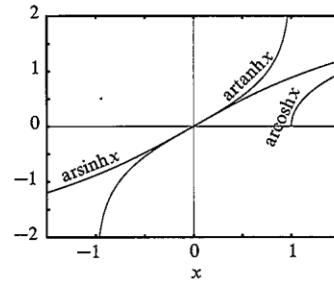
$$\arccos x = \frac{\pi}{2} - \arcsin x \quad (2.238)$$

<sup>a</sup>Valid in the angle range  $0 \leq \theta \leq \pi/2$ . Note that  $\arcsin x \equiv \sin^{-1} x$  etc.

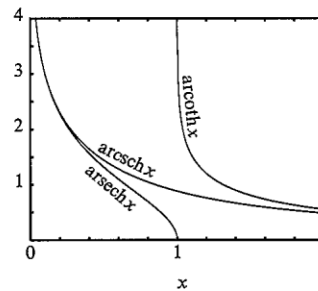


**Inverse hyperbolic functions**

$\operatorname{arsinh} x \equiv \sinh^{-1} x = \ln \left[ x + (x^2 + 1)^{1/2} \right]$ (2.239)	for all $x$
$\operatorname{arcosh} x \equiv \cosh^{-1} x = \ln \left[ x + (x^2 - 1)^{1/2} \right]$ (2.240)	$x \geq 1$
$\operatorname{artanh} x \equiv \tanh^{-1} x = \frac{1}{2} \ln \left( \frac{1+x}{1-x} \right)$ (2.241)	$ x  < 1$
$\operatorname{arcoth} x \equiv \coth^{-1} x = \frac{1}{2} \ln \left( \frac{x+1}{x-1} \right)$ (2.242)	$ x  > 1$
$\operatorname{arsech} x \equiv \operatorname{sech}^{-1} x = \ln \left[ \frac{1}{x} + \frac{(1-x^2)^{1/2}}{x} \right]$ (2.243)	$0 < x \leq 1$
$\operatorname{arsch} x \equiv \operatorname{csch}^{-1} x = \ln \left[ \frac{1}{x} + \frac{(1+x^2)^{1/2}}{x} \right]$ (2.244)	$x \neq 0$



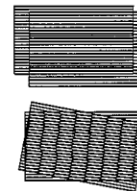
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**2.6 Mensuration**

**Moiré fringes<sup>a</sup>**

Parallel pattern	$d_M = \left  \frac{1}{d_1} - \frac{1}{d_2} \right ^{-1}$ (2.245)	$d_M$ Moiré fringe spacing $d_{1,2}$ grating spacings
Rotational pattern <sup>b</sup>	$d_M = \frac{d}{2 \sin(\theta/2) }$ (2.246)	$d$ common grating spacing $\theta$ relative rotation angle ( $ \theta  \leq \pi/2$ )

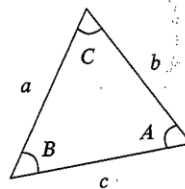


<sup>a</sup>From overlapping linear gratings.

<sup>b</sup>From identical gratings, spacing  $d$ , with a relative rotation  $\theta$ .

## Plane triangles

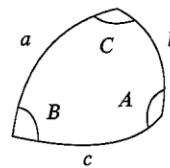
Sine formula <sup>a</sup>	$\frac{a}{\sin A} = \frac{b}{\sin B} = \frac{c}{\sin C}$	(2.247)
Cosine formulas	$a^2 = b^2 + c^2 - 2bc \cos A$	(2.248)
	$\cos A = \frac{b^2 + c^2 - a^2}{2bc}$	(2.249)
	$a = b \cos C + c \cos B$	(2.250)
Tangent formula	$\tan \frac{A-B}{2} = \frac{a-b}{a+b} \cot \frac{C}{2}$	(2.251)
Area	$\text{area} = \frac{1}{2} ab \sin C$	(2.252)
	$= \frac{a^2 \sin B \sin C}{2 \sin A}$	(2.253)
	$= [s(s-a)(s-b)(s-c)]^{1/2}$	(2.254)
	where $s = \frac{1}{2}(a+b+c)$	(2.255)



<sup>a</sup>The diameter of the circumscribed circle equals  $a/\sin A$ .

Spherical triangles<sup>a</sup>

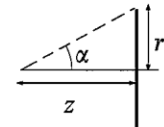
Sine formula	$\frac{\sin a}{\sin A} = \frac{\sin b}{\sin B} = \frac{\sin c}{\sin C}$	(2.256)
Cosine formulas	$\cos a = \cos b \cos c + \sin b \sin c \cos A$	(2.257)
	$\cos A = -\cos B \cos C + \sin B \sin C \cos a$	(2.258)
Analogue formula	$\sin a \cos B = \cos b \sin c - \sin b \cos c \cos A$	(2.259)
Four-parts formula	$\cos a \cos C = \sin a \cot b - \sin C \cot B$	(2.260)
Area <sup>b</sup>	$E = A + B + C - \pi$	(2.261)



<sup>a</sup>On a unit sphere.

<sup>b</sup>Also called the "spherical excess."

**Perimeter, area, and volume**

Perimeter of circle	$P = 2\pi r$	(2.262)	$P$ perimeter $r$ radius
Area of circle	$A = \pi r^2$	(2.263)	$A$ area
Surface area of sphere <sup>a</sup>	$A = 4\pi R^2$	(2.264)	$R$ sphere radius
Volume of sphere	$V = \frac{4}{3}\pi R^3$	(2.265)	$V$ volume
Perimeter of ellipse <sup>b</sup>	$P = 4aE(\pi/2, e)$	(2.266)	$a$ semi-major axis $b$ semi-minor axis $E$ elliptic integral of the second kind (p. 45) $e$ eccentricity ( $= 1 - b^2/a^2$ )
	$\simeq 2\pi \left( \frac{a^2 + b^2}{2} \right)^{1/2}$	(2.267)	
Area of ellipse	$A = \pi ab$	(2.268)	
Volume of ellipsoid <sup>c</sup>	$V = 4\pi \frac{abc}{3}$	(2.269)	$c$ third semi-axis
Surface area of cylinder	$A = 2\pi r(h+r)$	(2.270)	$h$ height
Volume of cylinder	$V = \pi r^2 h$	(2.271)	
Area of circular cone <sup>d</sup>	$A = \pi rl$	(2.272)	$l$ slant height
Volume of cone or pyramid	$V = A_b h/3$	(2.273)	$A_b$ base area
Surface area of torus	$A = \pi^2(r_1 + r_2)(r_2 - r_1)$	(2.274)	$r_1$ inner radius $r_2$ outer radius
Volume of torus	$V = \frac{\pi^2}{4}(r_2^2 - r_1^2)(r_2 - r_1)$	(2.275)	
Area <sup>d</sup> of spherical cap, depth $d$	$A = 2\pi R d$	(2.276)	$d$ cap depth
Volume of spherical cap, depth $d$	$V = \pi d^2 \left( R - \frac{d}{3} \right)$	(2.277)	$\Omega$ solid angle $z$ distance from centre $\alpha$ half-angle subtended
Solid angle of a circle from a point on its axis, $z$ from centre	$\Omega = 2\pi \left[ 1 - \frac{z}{(z^2 + r^2)^{1/2}} \right]$	(2.278)	
	$= 2\pi(1 - \cos\alpha)$	(2.279)	

<sup>a</sup>Sphere defined by  $x^2 + y^2 + z^2 = R^2$ .

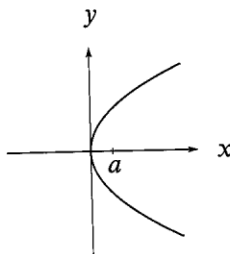
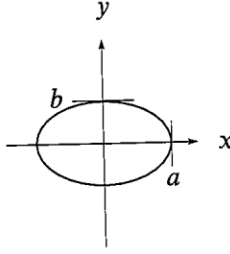
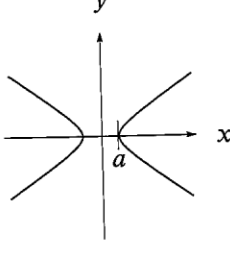
<sup>b</sup>The approximation is exact when  $e=0$  and  $e \simeq 0.91$ , giving a maximum error of 11% at  $e=1$ .

<sup>c</sup>Ellipsoid defined by  $x^2/a^2 + y^2/b^2 + z^2/c^2 = 1$ .

<sup>d</sup>Curved surface only.

2

## Conic sections

	<i>parabola</i>	<i>ellipse</i>	<i>hyperbola</i>
			
equation	$y^2 = 4ax$	$\frac{x^2}{a^2} + \frac{y^2}{b^2} = 1$	$\frac{x^2}{a^2} - \frac{y^2}{b^2} = 1$
parametric form	$x = t^2/(4a)$ $y = t$	$x = a \cos t$ $y = b \sin t$	$x = \pm a \cosh t$ $y = b \sinh t$
foci	$(a, 0)$	$(\pm \sqrt{a^2 - b^2}, 0)$	$(\pm \sqrt{a^2 + b^2}, 0)$
eccentricity	$e = 1$	$e = \frac{\sqrt{a^2 - b^2}}{a}$	$e = \frac{\sqrt{a^2 + b^2}}{a}$
directrices	$x = -a$	$x = \pm \frac{a}{e}$	$x = \pm \frac{a}{e}$

Platonic solids<sup>a</sup>

<i>solid</i> ( <i>faces, edges, vertices</i> )	<i>volume</i>	<i>surface area</i>	<i>circumradius</i>	<i>inradius</i>
tetrahedron (4, 6, 4)	$\frac{a^3 \sqrt{2}}{12}$	$a^2 \sqrt{3}$	$\frac{a \sqrt{6}}{4}$	$\frac{a \sqrt{6}}{12}$
cube (6, 12, 8)	$a^3$	$6a^2$	$\frac{a \sqrt{3}}{2}$	$\frac{a}{2}$
octahedron (8, 12, 6)	$\frac{a^3 \sqrt{2}}{3}$	$2a^2 \sqrt{3}$	$\frac{a}{\sqrt{2}}$	$\frac{a}{\sqrt{6}}$
dodecahedron (12, 30, 20)	$\frac{a^3 (15 + 7\sqrt{5})}{4}$	$3a^2 \sqrt{5(5 + 2\sqrt{5})}$	$\frac{a}{4} \sqrt{3}(1 + \sqrt{5})$	$\frac{a}{4} \sqrt{\frac{50 + 22\sqrt{5}}{5}}$
icosahedron (20, 30, 12)	$\frac{5a^3 (3 + \sqrt{5})}{12}$	$5a^2 \sqrt{3}$	$\frac{a}{4} \sqrt{2(5 + \sqrt{5})}$	$\frac{a}{4} \left( \sqrt{3} + \sqrt{\frac{5}{3}} \right)$

<sup>a</sup>Of side  $a$ . Both regular and irregular polyhedra follow the Euler relation, faces - edges + vertices = 2.

**Curve measure**

Length of plane curve	$l = \int_a^b \left[ 1 + \left( \frac{dy}{dx} \right)^2 \right]^{1/2} dx$	(2.280)	$a$ start point $b$ end point $y(x)$ plane curve $l$ length
Surface of revolution	$A = 2\pi \int_a^b y \left[ 1 + \left( \frac{dy}{dx} \right)^2 \right]^{1/2} dx$	(2.281)	$A$ surface area
Volume of revolution	$V = \pi \int_a^b y^2 dx$	(2.282)	$V$ volume
Radius of curvature	$\rho = \left[ 1 + \left( \frac{dy}{dx} \right)^2 \right]^{3/2} \left( \frac{d^2y}{dx^2} \right)^{-1}$	(2.283)	$\rho$ radius of curvature

2

**Differential geometry<sup>a</sup>**

Unit tangent	$\hat{\tau} = \frac{\dot{\mathbf{r}}}{ \dot{\mathbf{r}} } = \frac{\dot{\mathbf{r}}}{v}$	(2.284)	$\tau$ tangent $\mathbf{r}$ curve parameterised by $\mathbf{r}(t)$ $v$ $ \dot{\mathbf{r}}(t) $
Unit principal normal	$\hat{\mathbf{n}} = \frac{\ddot{\mathbf{r}} - \dot{v}\hat{\tau}}{ \ddot{\mathbf{r}} - \dot{v}\hat{\tau} }$	(2.285)	$\mathbf{n}$ principal normal
Unit binormal	$\hat{\mathbf{b}} = \hat{\tau} \times \hat{\mathbf{n}}$	(2.286)	$\mathbf{b}$ binormal
Curvature	$\kappa = \frac{ \dot{\mathbf{r}} \times \ddot{\mathbf{r}} }{ \dot{\mathbf{r}} ^3}$	(2.287)	$\kappa$ curvature
Radius of curvature	$\rho = \frac{1}{\kappa}$	(2.288)	$\rho$ radius of curvature
Torsion	$\lambda = \frac{\dot{\mathbf{r}} \cdot (\ddot{\mathbf{r}} \times \dot{\mathbf{r}})}{ \dot{\mathbf{r}} \times \ddot{\mathbf{r}} ^2}$	(2.289)	$\lambda$ torsion
Frenet's formulas	$\dot{\hat{\tau}} = \kappa v \hat{\mathbf{n}}$	(2.290)	
	$\dot{\hat{\mathbf{n}}} = -\kappa v \hat{\tau} + \lambda v \hat{\mathbf{b}}$	(2.291)	
	$\dot{\hat{\mathbf{b}}} = -\lambda v \hat{\mathbf{n}}$	(2.292)	

<sup>a</sup>For a continuous curve in three dimensions, traced by the position vector  $\mathbf{r}(t)$ .



## 2.7 Differentiation

## Derivatives (general)

Power	$\frac{d}{dx}(u^n) = nu^{n-1} \frac{du}{dx}$	(2.293)	$n$	power index
Product	$\frac{d}{dx}(uv) = u \frac{dv}{dx} + v \frac{du}{dx}$	(2.294)	$u, v$	functions of $x$
Quotient	$\frac{d}{dx}\left(\frac{u}{v}\right) = \frac{1}{v} \frac{du}{dx} - \frac{u}{v^2} \frac{dv}{dx}$	(2.295)		
Function of a function <sup>a</sup>	$\frac{d}{dx}[f(u)] = \frac{d}{du}[f(u)] \cdot \frac{du}{dx}$	(2.296)	$f(u)$	function of $u(x)$
Leibniz theorem	$\frac{d^n}{dx^n}[uv] = \binom{n}{0} v \frac{d^n u}{dx^n} + \binom{n}{1} \frac{dv}{dx} \frac{d^{n-1} u}{dx^{n-1}} + \dots$ $+ \binom{n}{k} \frac{d^k v}{dx^k} \frac{d^{n-k} u}{dx^{n-k}} + \dots + \binom{n}{n} u \frac{d^n v}{dx^n}$	(2.297)	$\binom{n}{k}$	binomial coefficient
Differentiation under the integral sign	$\frac{d}{dq} \left[ \int_p^q f(x) dx \right] = f(q) \quad (p \text{ constant})$	(2.298)		
	$\frac{d}{dp} \left[ \int_p^q f(x) dx \right] = -f(p) \quad (q \text{ constant})$	(2.299)		
General integral	$\frac{d}{dx} \left[ \int_{u(x)}^{v(x)} f(t) dt \right] = f(v) \frac{dv}{dx} - f(u) \frac{du}{dx}$	(2.300)		
Logarithm	$\frac{d}{dx}(\log_b  ax ) = (x \ln b)^{-1}$	(2.301)	$b$ $a$	log base constant
Exponential	$\frac{d}{dx}(e^{ax}) = ae^{ax}$	(2.302)		
Inverse functions	$\frac{dx}{dy} = \left(\frac{dy}{dx}\right)^{-1}$	(2.303)		
	$\frac{d^2 x}{dy^2} = -\frac{d^2 y}{dx^2} \left(\frac{dy}{dx}\right)^{-3}$	(2.304)		
	$\frac{d^3 x}{dy^3} = \left[ 3 \left(\frac{d^2 y}{dx^2}\right)^2 - \frac{dy}{dx} \frac{d^3 y}{dx^3} \right] \left(\frac{dy}{dx}\right)^{-5}$	(2.305)		

<sup>a</sup>The "chain rule."

**Trigonometric derivatives<sup>a</sup>**

$\frac{d}{dx}(\sin ax) = a \cos ax$ (2.306)	$\frac{d}{dx}(\cos ax) = -a \sin ax$ (2.307)
$\frac{d}{dx}(\tan ax) = a \sec^2 ax$ (2.308)	$\frac{d}{dx}(\csc ax) = -a \csc ax \cdot \cot ax$ (2.309)
$\frac{d}{dx}(\sec ax) = a \sec ax \cdot \tan ax$ (2.310)	$\frac{d}{dx}(\cot ax) = -a \csc^2 ax$ (2.311)
$\frac{d}{dx}(\arcsin ax) = a(1 - a^2 x^2)^{-1/2}$ (2.312)	$\frac{d}{dx}(\arccos ax) = -a(1 - a^2 x^2)^{-1/2}$ (2.313)
$\frac{d}{dx}(\arctan ax) = a(1 + a^2 x^2)^{-1}$ (2.314)	$\frac{d}{dx}(\operatorname{arccsc} ax) = -\frac{a}{ ax }(a^2 x^2 - 1)^{-1/2}$ (2.315)
$\frac{d}{dx}(\operatorname{arcsec} ax) = \frac{a}{ ax }(a^2 x^2 - 1)^{-1/2}$ (2.316)	$\frac{d}{dx}(\operatorname{arccot} ax) = -a(a^2 x^2 + 1)^{-1}$ (2.317)

<sup>a</sup>*a* is a constant.**Hyperbolic derivatives<sup>a</sup>**

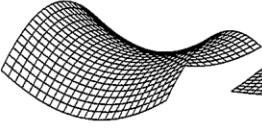
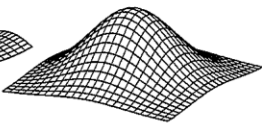
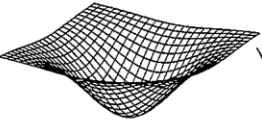
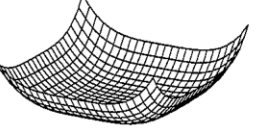
$\frac{d}{dx}(\sinh ax) = a \cosh ax$ (2.318)	$\frac{d}{dx}(\cosh ax) = a \sinh ax$ (2.319)
$\frac{d}{dx}(\tanh ax) = a \operatorname{sech}^2 ax$ (2.320)	$\frac{d}{dx}(\operatorname{csch} ax) = -a \operatorname{csch} ax \cdot \operatorname{coth} ax$ (2.321)
$\frac{d}{dx}(\operatorname{sech} ax) = -a \operatorname{sech} ax \cdot \tanh ax$ (2.322)	$\frac{d}{dx}(\operatorname{coth} ax) = -a \operatorname{csch}^2 ax$ (2.323)
$\frac{d}{dx}(\operatorname{arsinh} ax) = a(a^2 x^2 + 1)^{-1/2}$ (2.324)	$\frac{d}{dx}(\operatorname{arcosh} ax) = a(a^2 x^2 - 1)^{-1/2}$ (2.325)
$\frac{d}{dx}(\operatorname{artanh} ax) = a(1 - a^2 x^2)^{-1}$ (2.326)	$\frac{d}{dx}(\operatorname{arcsch} ax) = -\frac{a}{ ax }(1 + a^2 x^2)^{-1/2}$ (2.327)
$\frac{d}{dx}(\operatorname{arsech} ax) = -\frac{a}{ ax }(1 - a^2 x^2)^{-1/2}$ (2.328)	$\frac{d}{dx}(\operatorname{arcoth} ax) = a(1 - a^2 x^2)^{-1}$ (2.329)

<sup>a</sup>*a* is a constant.

## Partial derivatives

Total differential	$df = \frac{\partial f}{\partial x} dx + \frac{\partial f}{\partial y} dy + \frac{\partial f}{\partial z} dz$	(2.330)	$f$	$f(x,y,z)$
Reciprocity	$\left. \frac{\partial g}{\partial x} \right _y \left. \frac{\partial x}{\partial y} \right _g = -1$	(2.331)	$g$	$g(x,y)$
Chain rule	$\frac{\partial f}{\partial u} = \frac{\partial f}{\partial x} \frac{\partial x}{\partial u} + \frac{\partial f}{\partial y} \frac{\partial y}{\partial u} + \frac{\partial f}{\partial z} \frac{\partial z}{\partial u}$	(2.332)		
Jacobian	$J = \frac{\partial(x,y,z)}{\partial(u,v,w)} = \begin{vmatrix} \frac{\partial x}{\partial u} & \frac{\partial x}{\partial v} & \frac{\partial x}{\partial w} \\ \frac{\partial y}{\partial u} & \frac{\partial y}{\partial v} & \frac{\partial y}{\partial w} \\ \frac{\partial z}{\partial u} & \frac{\partial z}{\partial v} & \frac{\partial z}{\partial w} \end{vmatrix}$	(2.333)	$J$	Jacobian $u$ $u(x,y,z)$ $v$ $v(x,y,z)$ $w$ $w(x,y,z)$
Change of variable	$\int_V f(x,y,z) dx dy dz = \int_{V'} f(u,v,w) J du dv dw$	(2.334)	$V$	volume in $(x,y,z)$ $V'$ volume in $(u,v,w)$ mapped to by $V$
Euler-Lagrange equation	if $I = \int_a^b F(x,y,y') dx$ then $\delta I = 0$ when $\frac{\partial F}{\partial y} = \frac{d}{dx} \left( \frac{\partial F}{\partial y'} \right)$	(2.335)	$y'$	$dy/dx$ $a,b$ fixed end points

Stationary points<sup>a</sup>

				
saddle point	maximum	minimum	quartic minimum	
Stationary point if	$\frac{\partial f}{\partial x} = \frac{\partial f}{\partial y} = 0$	at $(x_0, y_0)$ .	(2.336)	
<b>Additionally<sup>b</sup></b>				
for maximum	$\frac{\partial^2 f}{\partial x^2} < 0,$	$\frac{\partial^2 f}{\partial y^2} < 0,$	and $\frac{\partial^2 f}{\partial x^2} \frac{\partial^2 f}{\partial y^2} > \left( \frac{\partial^2 f}{\partial x \partial y} \right)^2$	(2.337)
for minimum	$\frac{\partial^2 f}{\partial x^2} > 0,$	$\frac{\partial^2 f}{\partial y^2} > 0,$	and $\frac{\partial^2 f}{\partial x^2} \frac{\partial^2 f}{\partial y^2} > \left( \frac{\partial^2 f}{\partial x \partial y} \right)^2$	(2.338)
for quartic minimum	$\frac{\partial^2 f}{\partial x^2} = \frac{\partial^2 f}{\partial y^2} = 0$			(2.339)

<sup>a</sup>Of a function  $f(x,y)$  at the point  $(x_0, y_0)$ .<sup>b</sup>All other stationary points are saddle points.

## Differential equations

Laplace	$\nabla^2 f = 0$	(2.340)	$f$	$f(x, y, z)$
Diffusion <sup>a</sup>	$\frac{\partial f}{\partial t} = D\nabla^2 f$	(2.341)	$D$	diffusion coefficient
Helmholtz	$\nabla^2 f + \alpha^2 f = 0$	(2.342)	$\alpha$	constant
Wave	$\nabla^2 f = \frac{1}{c^2} \frac{\partial^2 f}{\partial t^2}$	(2.343)	$c$	wave speed
Legendre	$\frac{d}{dx} \left[ (1-x^2) \frac{dy}{dx} \right] + l(l+1)y = 0$	(2.344)	$l$	integer
Associated Legendre	$\frac{d}{dx} \left[ (1-x^2) \frac{dy}{dx} \right] + \left[ l(l+1) - \frac{m^2}{1-x^2} \right] y = 0$	(2.345)	$m$	integer
Bessel	$x^2 \frac{d^2 y}{dx^2} + x \frac{dy}{dx} + (x^2 - m^2)y = 0$	(2.346)		
Hermite	$\frac{d^2 y}{dx^2} - 2x \frac{dy}{dx} + 2\alpha y = 0$	(2.347)		
Laguerre	$x \frac{d^2 y}{dx^2} + (1-x) \frac{dy}{dx} + \alpha y = 0$	(2.348)		
Associated Laguerre	$x \frac{d^2 y}{dx^2} + (1+k-x) \frac{dy}{dx} + \alpha y = 0$	(2.349)	$k$	integer
Chebyshev	$(1-x^2) \frac{d^2 y}{dx^2} - x \frac{dy}{dx} + n^2 y = 0$	(2.350)	$n$	integer
Euler (or Cauchy)	$x^2 \frac{d^2 y}{dx^2} + ax \frac{dy}{dx} + by = f(x)$	(2.351)	$a, b$	constants
Bernoulli	$\frac{dy}{dx} + p(x)y = q(x)y^a$	(2.352)	$p, q$	functions of $x$
Airy	$\frac{d^2 y}{dx^2} = xy$	(2.353)		

<sup>a</sup>Also known as the "conduction equation." For thermal conduction,  $f \equiv T$  and  $D$ , the thermal diffusivity,  $\equiv \kappa \equiv \lambda / (\rho c_p)$ , where  $T$  is the temperature distribution,  $\lambda$  the thermal conductivity,  $\rho$  the density, and  $c_p$  the specific heat capacity of the material.

## 2.8 Integration

Standard forms<sup>a</sup>

$$\int u dv = [uv] - \int v du \quad (2.354) \quad \int uv dx = v \int u dx - \int \left( \int u dx \right) \frac{dv}{dx} dx \quad (2.355)$$

$$\int x^n dx = \frac{x^{n+1}}{n+1} \quad (n \neq -1) \quad (2.356) \quad \int \frac{1}{x} dx = \ln|x| \quad (2.357)$$

$$\int e^{ax} dx = \frac{1}{a} e^{ax} \quad (2.358) \quad \int x e^{ax} dx = e^{ax} \left( \frac{x}{a} - \frac{1}{a^2} \right) \quad (2.359)$$

$$\int \ln ax dx = x(\ln ax - 1) \quad (2.360) \quad \int \frac{f'(x)}{f(x)} dx = \ln f(x) \quad (2.361)$$

$$\int x \ln ax dx = \frac{x^2}{2} \left( \ln ax - \frac{1}{2} \right) \quad (2.362) \quad \int b^{ax} dx = \frac{b^{ax}}{a \ln b} \quad (b > 0) \quad (2.363)$$

$$\int \frac{1}{a+bx} dx = \frac{1}{b} \ln(a+bx) \quad (2.364) \quad \int \frac{1}{x(a+bx)} dx = -\frac{1}{a} \ln \frac{a+bx}{x} \quad (2.365)$$

$$\int \frac{1}{(a+bx)^2} dx = \frac{-1}{b(a+bx)} \quad (2.366) \quad \int \frac{1}{a^2+b^2x^2} dx = \frac{1}{ab} \arctan \left( \frac{bx}{a} \right) \quad (2.367)$$

$$\int \frac{1}{x(x^n+a)} dx = \frac{1}{an} \ln \left| \frac{x^n}{x^n+a} \right| \quad (2.368) \quad \int \frac{1}{x^2-a^2} dx = \frac{1}{2a} \ln \left| \frac{x-a}{x+a} \right| \quad (2.369)$$

$$\int \frac{x}{x^2 \pm a^2} dx = \frac{1}{2} \ln|x^2 \pm a^2| \quad (2.370) \quad \int \frac{x}{(x^2 \pm a^2)^n} dx = \frac{-1}{2(n-1)(x^2 \pm a^2)^{n-1}} \quad (2.371)$$

$$\int \frac{1}{(a^2-x^2)^{1/2}} dx = \arcsin \left( \frac{x}{a} \right) \quad (2.372) \quad \int \frac{1}{(x^2 \pm a^2)^{1/2}} dx = \ln|x + (x^2 \pm a^2)^{1/2}| \quad (2.373)$$

$$\int \frac{x}{(x^2 \pm a^2)^{1/2}} dx = (x^2 \pm a^2)^{1/2} \quad (2.374) \quad \int \frac{1}{x(x^2-a^2)^{1/2}} dx = \frac{1}{a} \operatorname{arcsec} \left( \frac{x}{a} \right) \quad (2.375)$$

<sup>a</sup>*a* and *b* are non-zero constants.

**Trigonometric and hyperbolic integrals**

$\int \sin x \, dx = -\cos x$	(2.376)	$\int \sinh x \, dx = \cosh x$	(2.377)
$\int \cos x \, dx = \sin x$	(2.378)	$\int \cosh x \, dx = \sinh x$	(2.379)
$\int \tan x \, dx = -\ln  \cos x $	(2.380)	$\int \tanh x \, dx = \ln(\cosh x)$	(2.381)
$\int \csc x \, dx = \ln \left  \tan \frac{x}{2} \right $	(2.382)	$\int \operatorname{csch} x \, dx = \ln \left  \tanh \frac{x}{2} \right $	(2.383)
$\int \sec x \, dx = \ln  \sec x + \tan x $	(2.384)	$\int \operatorname{sech} x \, dx = 2 \arctan(e^x)$	(2.385)
$\int \cot x \, dx = \ln  \sin x $	(2.386)	$\int \operatorname{coth} x \, dx = \ln  \sinh x $	(2.387)
$\int \sin mx \cdot \sin nx \, dx = \frac{\sin(m-n)x}{2(m-n)} - \frac{\sin(m+n)x}{2(m+n)} \quad (m^2 \neq n^2)$			
$\int \sin mx \cdot \cos nx \, dx = -\frac{\cos(m-n)x}{2(m-n)} - \frac{\cos(m+n)x}{2(m+n)} \quad (m^2 \neq n^2)$			
$\int \cos mx \cdot \cos nx \, dx = \frac{\sin(m-n)x}{2(m-n)} + \frac{\sin(m+n)x}{2(m+n)} \quad (m^2 \neq n^2)$			

**Named integrals**

Error function	$\operatorname{erf}(x) = \frac{2}{\pi^{1/2}} \int_0^x \exp(-t^2) \, dt$	(2.391)
Complementary error function	$\operatorname{erfc}(x) = 1 - \operatorname{erf}(x) = \frac{2}{\pi^{1/2}} \int_x^\infty \exp(-t^2) \, dt$	(2.392)
Fresnel integrals <sup>a</sup>	$C(x) = \int_0^x \cos \frac{\pi t^2}{2} \, dt; \quad S(x) = \int_0^x \sin \frac{\pi t^2}{2} \, dt$	(2.393)
	$C(x) + iS(x) = \frac{1+i}{2} \operatorname{erf} \left[ \frac{\pi^{1/2}}{2} (1-i)x \right]$	(2.394)
Exponential integral	$\operatorname{Ei}(x) = \int_{-\infty}^x \frac{e^t}{t} \, dt \quad (x > 0)$	(2.395)
Gamma function	$\Gamma(x) = \int_0^\infty t^{x-1} e^{-t} \, dt \quad (x > 0)$	(2.396)
Elliptic integrals (trigonometric form)	$F(\phi, k) = \int_0^\phi \frac{1}{(1-k^2 \sin^2 \theta)^{1/2}} \, d\theta \quad (\text{first kind})$	(2.397)
	$E(\phi, k) = \int_0^\phi (1-k^2 \sin^2 \theta)^{1/2} \, d\theta \quad (\text{second kind})$	(2.398)

<sup>a</sup>See also page 167.

### Definite integrals

$\int_0^{\infty} e^{-ax^2} dx = \frac{1}{2} \left(\frac{\pi}{a}\right)^{1/2} \quad (a > 0)$	(2.399)
$\int_0^{\infty} xe^{-ax^2} dx = \frac{1}{2a} \quad (a > 0)$	(2.400)
$\int_0^{\infty} x^n e^{-ax} dx = \frac{n!}{a^{n+1}} \quad (a > 0; n = 0, 1, 2, \dots)$	(2.401)
$\int_{-\infty}^{\infty} \exp(2bx - ax^2) dx = \left(\frac{\pi}{a}\right)^{1/2} \exp\left(\frac{b^2}{a}\right) \quad (a > 0)$	(2.402)
$\int_0^{\infty} x^n e^{-ax^2} dx = \begin{cases} 1 \cdot 3 \cdot 5 \cdots (n-1)(2a)^{-(n+1)/2} (\pi/2)^{1/2} & n > 0 \text{ and even} \\ 2 \cdot 4 \cdot 6 \cdots (n-1)(2a)^{-(n+1)/2} & n > 1 \text{ and odd} \end{cases}$	(2.403)
$\int_0^1 x^p (1-x)^q dx = \frac{p!q!}{(p+q+1)!} \quad (p, q \text{ integers } > 0)$	(2.404)
$\int_0^{\infty} \cos(ax^2) dx = \int_0^{\infty} \sin(ax^2) dx = \frac{1}{2} \left(\frac{\pi}{2a}\right)^{1/2} \quad (a > 0)$	(2.405)
$\int_0^{\infty} \frac{\sin x}{x} dx = \int_0^{\infty} \frac{\sin^2 x}{x^2} dx = \frac{\pi}{2}$	(2.406)
$\int_0^{\infty} \frac{1}{(1+x)x^a} dx = \frac{\pi}{\sin a\pi} \quad (0 < a < 1)$	(2.407)

## 2.9 Special functions and polynomials

### Gamma function

Definition	$\Gamma(z) = \int_0^{\infty} t^{z-1} e^{-t} dt \quad [\Re(z) > 0]$	(2.408)
Relations	$n! = \Gamma(n+1) = n\Gamma(n) \quad (n = 0, 1, 2, \dots)$	(2.409)
	$\Gamma(1/2) = \pi^{1/2}$	(2.410)
	$\binom{z}{w} = \frac{z!}{w!(z-w)!} = \frac{\Gamma(z+1)}{\Gamma(w+1)\Gamma(z-w+1)}$	(2.411)
Stirling's formulas (for $ z , n \gg 1$ )	$\Gamma(z) \simeq e^{-z} z^{z-(1/2)} (2\pi)^{1/2} \left(1 + \frac{1}{12z} + \frac{1}{288z^2} - \dots\right)$	(2.412)
	$n! \simeq n^{n+(1/2)} e^{-n} (2\pi)^{1/2}$	(2.413)
	$\ln(n!) \simeq n \ln n - n$	(2.414)

**Bessel functions**

Series expansion	$J_\nu(x) = \left(\frac{x}{2}\right)^\nu \sum_{k=0}^{\infty} \frac{(-x^2/4)^k}{k! \Gamma(\nu+k+1)} \quad (2.415)$ $Y_\nu(x) = \frac{J_\nu(x) \cos(\pi\nu) - J_{-\nu}(x)}{\sin(\pi\nu)} \quad (2.416)$	$J_\nu(x)$ Bessel function of the first kind $Y_\nu(x)$ Bessel function of the second kind $\Gamma(\nu)$ Gamma function order ( $\nu \geq 0$ )
Approximations	$J_\nu(x) \simeq \begin{cases} \frac{1}{\Gamma(\nu+1)} \left(\frac{x}{2}\right)^\nu & (0 \leq x \ll \nu) \\ \left(\frac{2}{\pi x}\right)^{1/2} \cos\left(x - \frac{1}{2}\nu\pi - \frac{\pi}{4}\right) & (x \gg \nu) \end{cases} \quad (2.417)$ $Y_\nu(x) \simeq \begin{cases} \frac{-\Gamma(\nu)}{\pi} \left(\frac{x}{2}\right)^{-\nu} & (0 < x \ll \nu) \\ \left(\frac{2}{\pi x}\right)^{1/2} \sin\left(x - \frac{1}{2}\nu\pi - \frac{\pi}{4}\right) & (x \gg \nu) \end{cases} \quad (2.418)$	
Modified Bessel functions	$I_\nu(x) = (-i)^\nu J_\nu(ix) \quad (2.419)$ $K_\nu(x) = \frac{\pi}{2} i^{\nu+1} [J_\nu(ix) + iY_\nu(ix)] \quad (2.420)$	$I_\nu(x)$ modified Bessel function of the first kind $K_\nu(x)$ modified Bessel function of the second kind
Spherical Bessel function	$j_\nu(x) = \left(\frac{\pi}{2x}\right)^{1/2} J_{\nu+\frac{1}{2}}(x) \quad (2.421)$	$j_\nu(x)$ spherical Bessel function of the first kind [similarly for $y_\nu(x)$ ]

2

**Legendre polynomials<sup>a</sup>**

Legendre equation	$(1-x^2) \frac{d^2 P_l(x)}{dx^2} - 2x \frac{dP_l(x)}{dx} + l(l+1)P_l(x) = 0 \quad (2.422)$	$P_l$ Legendre polynomials $l$ order ( $l \geq 0$ )
Rodrigues' formula	$P_l(x) = \frac{1}{2^l l!} \frac{d^l}{dx^l} (x^2-1)^l \quad (2.423)$	
Recurrence relation	$(l+1)P_{l+1}(x) = (2l+1)xP_l(x) - lP_{l-1}(x) \quad (2.424)$	
Orthogonality	$\int_{-1}^1 P_l(x)P_{l'}(x) dx = \frac{2}{2l+1} \delta_{ll'} \quad (2.425)$	$\delta_{ll'}$ Kronecker delta
Explicit form	$P_l(x) = 2^{-l} \sum_{m=0}^{l/2} (-1)^m \binom{l}{m} \binom{2l-2m}{l} x^{l-2m} \quad (2.426)$	$\binom{l}{m}$ binomial coefficients
Expansion of plane wave	$\exp(ikz) = \exp(ikr \cos \theta) \quad (2.427)$	$k$ wavenumber $z$ propagation axis $z = r \cos \theta$
	$= \sum_{l=0}^{\infty} (2l+1) i^l j_l(kr) P_l(\cos \theta) \quad (2.428)$	$j_l$ spherical Bessel function of the first kind (order $l$ )
$P_0(x) = 1$ $P_1(x) = x$	$P_2(x) = (3x^2 - 1)/2$ $P_3(x) = (5x^3 - 3x)/2$	$P_4(x) = (35x^4 - 30x^2 + 3)/8$ $P_5(x) = (63x^5 - 70x^3 + 15x)/8$

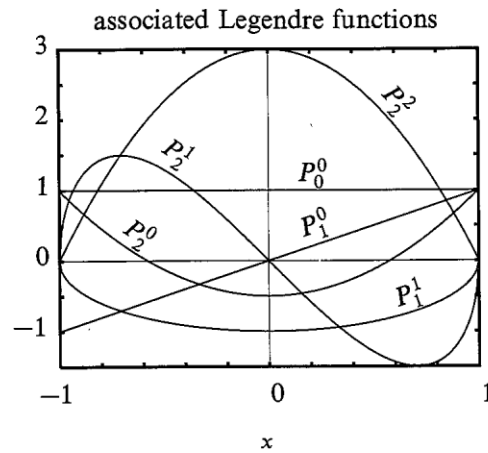
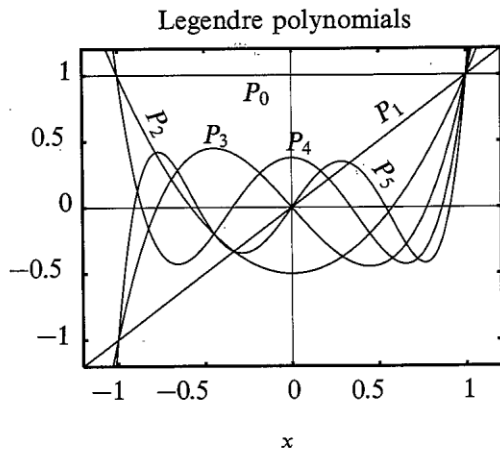
<sup>a</sup>Of the first kind.



**Associated Legendre functions<sup>a</sup>**

Associated Legendre equation	$\frac{d}{dx} \left[ (1-x^2) \frac{dP_l^m(x)}{dx} \right] + \left[ l(l+1) - \frac{m^2}{1-x^2} \right] P_l^m(x) = 0$ (2.429)	$P_l^m$ associated Legendre functions
From Legendre polynomials	$P_l^m(x) = (1-x^2)^{m/2} \frac{d^m P_l(x)}{dx^m}, \quad 0 \leq m \leq l$ (2.430)	$P_l$ Legendre polynomials
	$P_l^{-m}(x) = (-1)^m \frac{(l-m)!}{(l+m)!} P_l^m(x)$ (2.431)	
Recurrence relations	$P_{m+1}^m(x) = x(2m+1)P_m^m(x)$ (2.432)	!! $5!! = 5 \cdot 3 \cdot 1$ etc.
	$P_m^m(x) = (-1)^m (2m-1)!! (1-x^2)^{m/2}$ (2.433)	
	$(l-m+1)P_{l+1}^m(x) = (2l+1)xP_l^m(x) - (l+m)P_{l-1}^m(x)$ (2.434)	
Orthogonality	$\int_{-1}^1 P_l^m(x) P_{l'}^m(x) dx = \frac{(l+m)!}{(l-m)!} \frac{2}{2l+1} \delta_{ll'}$ (2.435)	$\delta_{ll'}$ Kronecker delta
$P_0^0(x) = 1$ $P_2^0(x) = (3x^2 - 1)/2$	$P_1^0(x) = x$ $P_2^1(x) = -3x(1-x^2)^{1/2}$	$P_1^1(x) = -(1-x^2)^{1/2}$ $P_2^2(x) = 3(1-x^2)$

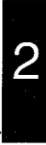
<sup>a</sup>Of the first kind.  $P_l^m(x)$  can be defined with a  $(-1)^m$  factor in Equation (2.430) as well as Equation (2.431).



**Spherical harmonics**

Differential equation	$\left[ \frac{1}{\sin\theta} \frac{\partial}{\partial\theta} \left( \sin\theta \frac{\partial}{\partial\theta} \right) + \frac{1}{\sin^2\theta} \frac{\partial^2}{\partial\phi^2} \right] Y_l^m + l(l+1)Y_l^m = 0$ (2.436)	$Y_l^m$ spherical harmonics
Definition <sup>a</sup>	$Y_l^m(\theta, \phi) = (-1)^m \left[ \frac{2l+1}{4\pi} \frac{(l-m)!}{(l+m)!} \right]^{1/2} P_l^m(\cos\theta) e^{im\phi}$ (2.437)	$P_l^m$ associated Legendre functions
Orthogonality	$\int_{\phi=0}^{2\pi} \int_{\theta=0}^{\pi} Y_l^{m*}(\theta, \phi) Y_{l'}^{m'}(\theta, \phi) \sin\theta \, d\theta \, d\phi = \delta_{mm'} \delta_{ll'}$ (2.438)	$Y^*$ complex conjugate $\delta_{ll'}$ Kronecker delta
Laplace series	$f(\theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l a_{lm} Y_l^m(\theta, \phi)$ (2.439) where $a_{lm} = \int_{\phi=0}^{2\pi} \int_{\theta=0}^{\pi} Y_l^{m*}(\theta, \phi) f(\theta, \phi) \sin\theta \, d\theta \, d\phi$ (2.440)	$f$ continuous function
Solution to Laplace equation	if $\nabla^2\psi(r, \theta, \phi) = 0$ , then $\psi(r, \theta, \phi) = \sum_{l=0}^{\infty} \sum_{m=-l}^l Y_l^m(\theta, \phi) \cdot [a_{lm} r^l + b_{lm} r^{-(l+1)}]$ (2.441)	$\psi$ continuous function $a, b$ constants
$Y_0^0(\theta, \phi) = \sqrt{\frac{1}{4\pi}} \qquad Y_1^0(\theta, \phi) = \sqrt{\frac{3}{4\pi}} \cos\theta$ $Y_1^{\pm 1}(\theta, \phi) = \mp \sqrt{\frac{3}{8\pi}} \sin\theta e^{\pm i\phi} \qquad Y_2^0(\theta, \phi) = \sqrt{\frac{5}{4\pi}} \left( \frac{3}{2} \cos^2\theta - \frac{1}{2} \right)$ $Y_2^{\pm 1}(\theta, \phi) = \mp \sqrt{\frac{15}{8\pi}} \sin\theta \cos\theta e^{\pm i\phi} \qquad Y_2^{\pm 2}(\theta, \phi) = \sqrt{\frac{15}{32\pi}} \sin^2\theta e^{\pm 2i\phi}$ $Y_3^0(\theta, \phi) = \frac{1}{2} \sqrt{\frac{7}{4\pi}} (5\cos^2\theta - 3) \cos\theta \qquad Y_3^{\pm 1}(\theta, \phi) = \mp \frac{1}{4} \sqrt{\frac{21}{4\pi}} \sin\theta (5\cos^2\theta - 1) e^{\pm i\phi}$ $Y_3^{\pm 2}(\theta, \phi) = \frac{1}{4} \sqrt{\frac{105}{2\pi}} \sin^2\theta \cos\theta e^{\pm 2i\phi} \qquad Y_3^{\pm 3}(\theta, \phi) = \mp \frac{1}{4} \sqrt{\frac{35}{4\pi}} \sin^3\theta e^{\pm 3i\phi}$		

<sup>a</sup>Defined for  $-l \leq m \leq l$ , using the sign convention of the Condon-Shortley phase. Other sign conventions are possible.



## Delta functions

Kronecker delta	$\delta_{ij} = \begin{cases} 1 & \text{if } i=j \\ 0 & \text{if } i \neq j \end{cases}$	(2.442)	$\delta_{ij}$ Kronecker delta $i, j, k, \dots$ indices (=1,2 or 3)
	$\delta_{ii} = 3$	(2.443)	
Three-dimensional Levi-Civita symbol (permutation tensor) <sup>a</sup>	$\epsilon_{123} = \epsilon_{231} = \epsilon_{312} = 1$	(2.444)	$\epsilon_{ijk}$ Levi-Civita symbol (see also page 25)
	$\epsilon_{132} = \epsilon_{213} = \epsilon_{321} = -1$		
	all other $\epsilon_{ijk} = 0$		
	$\epsilon_{ijk}\epsilon_{klm} = \delta_{il}\delta_{jm} - \delta_{im}\delta_{jl}$	(2.445)	
	$\delta_{ij}\epsilon_{ijk} = 0$	(2.446)	
	$\epsilon_{ilm}\epsilon_{jlm} = 2\delta_{ij}$	(2.447)	
	$\epsilon_{ijk}\epsilon_{ijk} = 6$	(2.448)	
Dirac delta function	$\int_a^b \delta(x) dx = \begin{cases} 1 & \text{if } a < 0 < b \\ 0 & \text{otherwise} \end{cases}$	(2.449)	$\delta(x)$ Dirac delta function $f(x)$ smooth function of $x$ $a, b$ constants
	$\int_a^b f(x)\delta(x-x_0) dx = f(x_0)$	(2.450)	
	$\delta(x-x_0)f(x) = \delta(x-x_0)f(x_0)$	(2.451)	
	$\delta(-x) = \delta(x)$	(2.452)	
	$\delta(ax) =  a ^{-1}\delta(x) \quad (a \neq 0)$	(2.453)	
	$\delta(x) \simeq n\pi^{-1/2}e^{-n^2x^2} \quad (n \gg 1)$	(2.454)	

<sup>a</sup>The general symbol  $\epsilon_{ijk\dots}$  is defined to be +1 for even permutations of the suffices, -1 for odd permutations, and 0 if a suffix is repeated. The sequence (1,2,3,...,n) is taken to be even. Swapping adjacent suffices an odd (or even) number of times gives an odd (or even) permutation.

## 2.10 Roots of quadratic and cubic equations

## Quadratic equations

Equation	$ax^2 + bx + c = 0 \quad (a \neq 0)$	(2.455)	$x$ variable $a, b, c$ real constants
Solutions	$x_{1,2} = \frac{-b \pm \sqrt{b^2 - 4ac}}{2a}$	(2.456)	$x_1, x_2$ quadratic roots
	$= \frac{-2c}{b \pm \sqrt{b^2 - 4ac}}$	(2.457)	
Solution combinations	$x_1 + x_2 = -b/a$	(2.458)	
	$x_1 x_2 = c/a$	(2.459)	

**Cubic equations**

Equation	$ax^3 + bx^2 + cx + d = 0 \quad (a \neq 0)$	(2.460)	$x$ $a, b, c, d$	variable real constants
Intermediate definitions	$p = \frac{1}{3} \left( \frac{3c}{a} - \frac{b^2}{a^2} \right)$	(2.461)	$D$	discriminant
	$q = \frac{1}{27} \left( \frac{2b^3}{a^3} - \frac{9bc}{a^2} + \frac{27d}{a} \right)$	(2.462)		
	$D = \left( \frac{p}{3} \right)^3 + \left( \frac{q}{2} \right)^2$	(2.463)		
If $D \geq 0$ , also define:		If $D < 0$ , also define:		
$u = \left( \frac{-q}{2} + D^{1/2} \right)^{1/3}$	(2.464)	$\phi = \arccos \left[ \frac{-q}{2} \left( \frac{ p }{3} \right)^{-3/2} \right]$	(2.468)	
$v = \left( \frac{-q}{2} - D^{1/2} \right)^{1/3}$	(2.465)	$y_1 = 2 \left( \frac{ p }{3} \right)^{1/2} \cos \frac{\phi}{3}$	(2.469)	
$y_1 = u + v$	(2.466)	$y_{2,3} = -2 \left( \frac{ p }{3} \right)^{1/2} \cos \frac{\phi \pm \pi}{3}$	(2.470)	
$y_{2,3} = \frac{-(u+v)}{2} \pm i \frac{u-v}{2} 3^{1/2}$	(2.467)	1 real, 2 complex roots (if $D=0$ : 3 real roots, at least 2 equal)		
		3 distinct real roots		
Solutions <sup>a</sup>	$x_n = y_n - \frac{b}{3a}$	(2.471)	$x_n$	cubic roots ( $n=1,2,3$ )
Solution combinations	$x_1 + x_2 + x_3 = -b/a$	(2.472)		
	$x_1x_2 + x_1x_3 + x_2x_3 = c/a$	(2.473)		
	$x_1x_2x_3 = -d/a$	(2.474)		

<sup>a</sup> $y_n$  are solutions to the reduced equation  $y^3 + py + q = 0$ .

2

## 2.11 Fourier series and transforms

### Fourier series

Real form	$f(x) = \frac{a_0}{2} + \sum_{n=1}^{\infty} \left( a_n \cos \frac{n\pi x}{L} + b_n \sin \frac{n\pi x}{L} \right) \quad (2.475)$	$f(x)$ periodic function, period $2L$ $a_n, b_n$ Fourier coefficients
	$a_n = \frac{1}{L} \int_{-L}^L f(x) \cos \frac{n\pi x}{L} dx \quad (2.476)$	
	$b_n = \frac{1}{L} \int_{-L}^L f(x) \sin \frac{n\pi x}{L} dx \quad (2.477)$	
Complex form	$f(x) = \sum_{n=-\infty}^{\infty} c_n \exp \left( \frac{in\pi x}{L} \right) \quad (2.478)$	$c_n$ complex Fourier coefficient
	$c_n = \frac{1}{2L} \int_{-L}^L f(x) \exp \left( \frac{-in\pi x}{L} \right) dx \quad (2.479)$	
Parseval's theorem	$\frac{1}{2L} \int_{-L}^L  f(x) ^2 dx = \frac{a_0^2}{4} + \frac{1}{2} \sum_{n=1}^{\infty} (a_n^2 + b_n^2) \quad (2.480)$	modulus
	$= \sum_{n=-\infty}^{\infty}  c_n ^2 \quad (2.481)$	

### Fourier transform<sup>a</sup>

Definition 1	$F(s) = \int_{-\infty}^{\infty} f(x) e^{-2\pi ixs} dx \quad (2.482)$	$f(x)$ function of $x$ $F(s)$ Fourier transform of $f(x)$
	$f(x) = \int_{-\infty}^{\infty} F(s) e^{2\pi ixs} ds \quad (2.483)$	
Definition 2	$F(s) = \int_{-\infty}^{\infty} f(x) e^{-ixs} dx \quad (2.484)$	
	$f(x) = \frac{1}{2\pi} \int_{-\infty}^{\infty} F(s) e^{ixs} ds \quad (2.485)$	
Definition 3	$F(s) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} f(x) e^{-ixs} dx \quad (2.486)$	
	$f(x) = \frac{1}{\sqrt{2\pi}} \int_{-\infty}^{\infty} F(s) e^{ixs} ds \quad (2.487)$	

<sup>a</sup>All three (and more) definitions are used, but definition 1 is probably the best.

**Fourier transform theorems<sup>a</sup>**

Convolution	$f(x) * g(x) = \int_{-\infty}^{\infty} f(u)g(x-u) du$	(2.488)	$f, g$ general functions * convolution
Convolution rules	$f * g = g * f$ $f * (g * h) = (f * g) * h$	(2.489) (2.490)	$f$ $f(x) \Rightarrow F(s)$ $g$ $g(x) \Rightarrow G(s)$
Convolution theorem	$f(x)g(x) \Rightarrow F(s) * G(s)$	(2.491)	$\Rightarrow$ Fourier transform relation
Autocorrelation	$f^*(x) * f(x) = \int_{-\infty}^{\infty} f^*(u-x)f(u) du$	(2.492)	* correlation $f^*$ complex conjugate of $f$
Wiener-Khintchine theorem	$f^*(x) * f(x) \Rightarrow  F(s) ^2$	(2.493)	
Cross-correlation	$f^*(x) * g(x) = \int_{-\infty}^{\infty} f^*(u-x)g(u) du$	(2.494)	
Correlation theorem	$h(x) * j(x) \Rightarrow H(s)J^*(s)$	(2.495)	$h, j$ real functions $H$ $H(s) \Rightarrow h(x)$ $J$ $J(s) \Rightarrow j(x)$
Parseval's relation <sup>b</sup>	$\int_{-\infty}^{\infty} f(x)g^*(x) dx = \int_{-\infty}^{\infty} F(s)G^*(s) ds$	(2.496)	
Parseval's theorem <sup>c</sup>	$\int_{-\infty}^{\infty}  f(x) ^2 dx = \int_{-\infty}^{\infty}  F(s) ^2 ds$	(2.497)	
Derivatives	$\frac{df(x)}{dx} \Rightarrow 2\pi isF(s)$	(2.498)	
	$\frac{d}{dx} [f(x) * g(x)] = \frac{df(x)}{dx} * g(x) = \frac{dg(x)}{dx} * f(x)$	(2.499)	

<sup>a</sup>Defining the Fourier transform as  $F(s) = \int_{-\infty}^{\infty} f(x)e^{-2\pi ixs} dx$ .

<sup>b</sup>Also called the "power theorem."

<sup>c</sup>Also called "Rayleigh's theorem."

**Fourier symmetry relationships**

$f(x)$	$\Rightarrow$	$F(s)$	definitions
even	$\Rightarrow$	even	real: $f(x) = f^*(x)$
odd	$\Rightarrow$	odd	imaginary: $f(x) = -f^*(x)$
real, even	$\Rightarrow$	real, even	even: $f(x) = f(-x)$
real, odd	$\Rightarrow$	imaginary, odd	odd: $f(x) = -f(-x)$
imaginary, even	$\Rightarrow$	imaginary, even	Hermitian: $f(x) = f^*(-x)$
complex, even	$\Rightarrow$	complex, even	anti-Hermitian: $f(x) = -f^*(-x)$
complex, odd	$\Rightarrow$	complex, odd	
real, asymmetric	$\Rightarrow$	complex, Hermitian	
imaginary, asymmetric	$\Rightarrow$	complex, anti-Hermitian	

2

Fourier transform pairs<sup>a</sup>

$$f(x) \Leftrightarrow F(s) = \int_{-\infty}^{\infty} f(x)e^{-2\pi isx} dx \quad (2.500)$$

$$f(ax) \Leftrightarrow \frac{1}{|a|} F(s/a) \quad (a \neq 0, \text{ real}) \quad (2.501)$$

$$f(x-a) \Leftrightarrow e^{-2\pi ias} F(s) \quad (a \text{ real}) \quad (2.502)$$

$$\frac{d^n}{dx^n} f(x) \Leftrightarrow (2\pi is)^n F(s) \quad (2.503)$$

$$\delta(x) \Leftrightarrow 1 \quad (2.504)$$

$$\delta(x-a) \Leftrightarrow e^{-2\pi ias} \quad (2.505)$$

$$e^{-a|x|} \Leftrightarrow \frac{-2a}{a^2 + 4\pi^2 s^2} \quad (a > 0) \quad (2.506)$$

$$xe^{-a|x|} \Leftrightarrow \frac{8i\pi as}{(a^2 + 4\pi^2 s^2)^2} \quad (a > 0) \quad (2.507)$$

$$e^{-x^2/a^2} \Leftrightarrow a\sqrt{\pi}e^{-\pi^2 a^2 s^2} \quad (2.508)$$

$$\sin ax \Leftrightarrow \frac{1}{2i} \left[ \delta\left(s - \frac{a}{2\pi}\right) - \delta\left(s + \frac{a}{2\pi}\right) \right] \quad (2.509)$$

$$\cos ax \Leftrightarrow \frac{1}{2} \left[ \delta\left(s - \frac{a}{2\pi}\right) + \delta\left(s + \frac{a}{2\pi}\right) \right] \quad (2.510)$$

$$\sum_{m=-\infty}^{\infty} \delta(x-ma) \Leftrightarrow \frac{1}{a} \sum_{n=-\infty}^{\infty} \delta\left(s - \frac{n}{a}\right) \quad (2.511)$$

$$f(x) = \begin{cases} 0 & x < 0 \\ 1 & x > 0 \end{cases} \quad (\text{"step"}) \Leftrightarrow \frac{1}{2} \delta(s) - \frac{i}{2\pi s} \quad (2.512)$$

$$f(x) = \begin{cases} 1 & |x| \leq a \\ 0 & |x| > a \end{cases} \quad (\text{"top hat"}) \Leftrightarrow \frac{\sin 2\pi as}{\pi s} = 2a \operatorname{sinc} 2as \quad (2.513)$$

$$f(x) = \begin{cases} \left(1 - \frac{|x|}{a}\right) & |x| \leq a \\ 0 & |x| > a \end{cases} \quad (\text{"triangle"}) \Leftrightarrow \frac{1}{2\pi^2 as^2} (1 - \cos 2\pi as) = a \operatorname{sinc}^2 as \quad (2.514)$$

<sup>a</sup>Equation (2.500) defines the Fourier transform used for these pairs. Note that  $\operatorname{sinc} x \equiv (\sin \pi x)/(\pi x)$ .

2.12 Laplace transforms

Laplace transform theorems

Definition <sup>a</sup>	$F(s) = \mathcal{L}\{f(t)\} = \int_0^\infty f(t)e^{-st} dt$	(2.515)	$\mathcal{L}\{\}$	Laplace transform
Convolution <sup>b</sup>	$F(s) \cdot G(s) = \mathcal{L}\left\{\int_0^\infty f(t-z)g(z) dz\right\}$	(2.516)	$F(s)$	$\mathcal{L}\{f(t)\}$
	$= \mathcal{L}\{f(t) * g(t)\}$	(2.517)	$G(s)$	$\mathcal{L}\{g(t)\}$
Inverse <sup>c</sup>	$f(t) = \frac{1}{2\pi i} \int_{\gamma-i\infty}^{\gamma+i\infty} e^{st} F(s) ds$	(2.518)	*	convolution
	$= \sum \text{residues (for } t > 0)$	(2.519)	$\gamma$	constant
Transform of derivative	$\mathcal{L}\left\{\frac{d^n f(t)}{dt^n}\right\} = s^n \mathcal{L}\{f(t)\} - \sum_{r=0}^{n-1} s^{n-r-1} \left.\frac{d^r f(t)}{dt^r}\right _{t=0}$	(2.520)	$n$	integer > 0
Derivative of transform	$\frac{d^n F(s)}{ds^n} = \mathcal{L}\{(-t)^n f(t)\}$	(2.521)		
Substitution	$F(s-a) = \mathcal{L}\{e^{at} f(t)\}$	(2.522)	$a$	constant
Translation	$e^{-as} F(s) = \mathcal{L}\{u(t-a)f(t-a)\}$	(2.523)	$u(t)$	unit step function
	where $u(t) = \begin{cases} 0 & (t < 0) \\ 1 & (t > 0) \end{cases}$	(2.524)		

<sup>a</sup>If  $|e^{-s_0 t} f(t)|$  is finite for sufficiently large  $t$ , the Laplace transform exists for  $s > s_0$ .

<sup>b</sup>Also known as the "faltung (or folding) theorem."

<sup>c</sup>Also known as the "Bromwich integral."  $\gamma$  is chosen so that the singularities in  $F(s)$  are left of the integral line.





## Laplace transform pairs

$$f(t) \Rightarrow F(s) = \mathcal{L}\{f(t)\} = \int_0^{\infty} f(t)e^{-st} dt \quad (2.525)$$

$$\delta(t) \Rightarrow 1 \quad (2.526)$$

$$1 \Rightarrow 1/s \quad (s > 0) \quad (2.527)$$

$$t^n \Rightarrow \frac{n!}{s^{n+1}} \quad (s > 0, n > -1) \quad (2.528)$$

$$t^{1/2} \Rightarrow \sqrt{\frac{\pi}{4s^3}} \quad (2.529)$$

$$t^{-1/2} \Rightarrow \sqrt{\frac{\pi}{s}} \quad (2.530)$$

$$e^{at} \Rightarrow \frac{1}{s-a} \quad (s > a) \quad (2.531)$$

$$te^{at} \Rightarrow \frac{1}{(s-a)^2} \quad (s > a) \quad (2.532)$$

$$(1-at)e^{-at} \Rightarrow \frac{s}{(s+a)^2} \quad (2.533)$$

$$t^2e^{-at} \Rightarrow \frac{2}{(s+a)^3} \quad (2.534)$$

$$\sin at \Rightarrow \frac{a}{s^2+a^2} \quad (s > 0) \quad (2.535)$$

$$\cos at \Rightarrow \frac{s}{s^2+a^2} \quad (s > 0) \quad (2.536)$$

$$\sinh at \Rightarrow \frac{a}{s^2-a^2} \quad (s > a) \quad (2.537)$$

$$\cosh at \Rightarrow \frac{s}{s^2-a^2} \quad (s > a) \quad (2.538)$$

$$e^{-bt} \sin at \Rightarrow \frac{a}{(s+b)^2+a^2} \quad (2.539)$$

$$e^{-bt} \cos at \Rightarrow \frac{s+b}{(s+b)^2+a^2} \quad (2.540)$$

$$e^{-at} f(t) \Rightarrow F(s+a) \quad (2.541)$$

2.13 Probability and statistics

2

Discrete statistics

Mean	$\langle x \rangle = \frac{1}{N} \sum_{i=1}^N x_i$	(2.542)	$x_i$	data series
			$N$	series length
			$\langle \cdot \rangle$	mean value
Variance <sup>a</sup>	$\text{var}[x] = \frac{1}{N-1} \sum_{i=1}^N (x_i - \langle x \rangle)^2$	(2.543)	$\text{var}[\cdot]$	unbiased variance
Standard deviation	$\sigma[x] = (\text{var}[x])^{1/2}$	(2.544)	$\sigma$	standard deviation
Skewness	$\text{skew}[x] = \frac{N}{(N-1)(N-2)} \sum_{i=1}^N \left( \frac{x_i - \langle x \rangle}{\sigma} \right)^3$	(2.545)		
Kurtosis	$\text{kurt}[x] \simeq \left[ \frac{1}{N} \sum_{i=1}^N \left( \frac{x_i - \langle x \rangle}{\sigma} \right)^4 \right] - 3$	(2.546)		
Correlation coefficient <sup>b</sup>	$r = \frac{\sum_{i=1}^N (x_i - \langle x \rangle)(y_i - \langle y \rangle)}{\sqrt{\sum_{i=1}^N (x_i - \langle x \rangle)^2} \sqrt{\sum_{i=1}^N (y_i - \langle y \rangle)^2}}$	(2.547)	$x, y$	data series to correlate
			$r$	correlation coefficient

<sup>a</sup>If  $\langle x \rangle$  is derived from the data,  $\{x_i\}$ , the relation is as shown. If  $\langle x \rangle$  is known independently, then an unbiased estimate is obtained by dividing the right-hand side by  $N$  rather than  $N-1$ .

<sup>b</sup>Also known as "Pearson's  $r$ ."

Discrete probability distributions

distribution	$\text{pr}(x)$	mean	variance	domain		
Binomial	$\binom{n}{x} p^x (1-p)^{n-x}$	$np$	$np(1-p)$	$(x=0, 1, \dots, n)$	(2.548)	$\binom{n}{x}$ binomial coefficient
Geometric	$(1-p)^{x-1} p$	$1/p$	$(1-p)/p^2$	$(x=1, 2, 3, \dots)$	(2.549)	
Poisson	$\lambda^x \exp(-\lambda)/x!$	$\lambda$	$\lambda$	$(x=1, 2, 3, \dots)$	(2.550)	

### Continuous probability distributions

distribution	$\text{pr}(x)$	mean	variance	domain	
Uniform	$\frac{1}{b-a}$	$\frac{a+b}{2}$	$\frac{(b-a)^2}{12}$	$(a \leq x \leq b)$	(2.551)
Exponential	$\lambda \exp(-\lambda x)$	$1/\lambda$	$1/\lambda^2$	$(x \geq 0)$	(2.552)
Normal/ Gaussian	$\frac{1}{\sigma\sqrt{2\pi}} \exp\left[-\frac{(x-\mu)^2}{2\sigma^2}\right]$	$\mu$	$\sigma^2$	$(-\infty < x < \infty)$	(2.553)
Chi-squared <sup>a</sup>	$\frac{e^{-x/2} x^{(r/2)-1}}{2^{r/2} \Gamma(r/2)}$	$r$	$2r$	$(x \geq 0)$	(2.554)
Rayleigh	$\frac{x}{\sigma^2} \exp\left(-\frac{x^2}{2\sigma^2}\right)$	$\sigma\sqrt{\pi/2}$	$2\sigma^2\left(1-\frac{\pi}{4}\right)$	$(x \geq 0)$	(2.555)
Cauchy/ Lorentzian	$\frac{a}{\pi(a^2+x^2)}$	(none)	(none)	$(-\infty < x < \infty)$	(2.556)

<sup>a</sup>With  $r$  degrees of freedom.  $\Gamma$  is the gamma function.

### Multivariate normal distribution

Density function	$\text{pr}(\mathbf{x}) = \frac{\exp\left[-\frac{1}{2}(\mathbf{x}-\boldsymbol{\mu})\mathbf{C}^{-1}(\mathbf{x}-\boldsymbol{\mu})^T\right]}{(2\pi)^{k/2} [\det(\mathbf{C})]^{1/2}}$	(2.557)	pr probability density $k$ number of dimensions $\mathbf{C}$ covariance matrix $\mathbf{x}$ variable ( $k$ dimensional) $\boldsymbol{\mu}$ vector of means $T$ transpose det determinant $\mu_i$ mean of $i$ th variable
Mean	$\boldsymbol{\mu} = (\mu_1, \mu_2, \dots, \mu_k)$	(2.558)	
Covariance	$\mathbf{C} = \sigma_{ij} = \langle x_i x_j \rangle - \langle x_i \rangle \langle x_j \rangle$	(2.559)	$\sigma_{ij}$ components of $\mathbf{C}$
Correlation coefficient	$r = \frac{\sigma_{ij}}{\sigma_i \sigma_j}$	(2.560)	$r$ correlation coefficient
Box-Muller transformation	$x_1 = (-2 \ln y_1)^{1/2} \cos 2\pi y_2$	(2.561)	$x_i$ normally distributed deviates
	$x_2 = (-2 \ln y_1)^{1/2} \sin 2\pi y_2$	(2.562)	$y_i$ deviates distributed uniformly between 0 and 1

**Random walk**

One-dimensional	$\text{pr}(x) = \frac{1}{(2\pi Nl^2)^{1/2}} \exp\left(\frac{-x^2}{2Nl^2}\right)$ (2.563)	$x$ displacement after $N$ steps (can be positive or negative) $\text{pr}(x)$ probability density of $x$ ( $\int_{-\infty}^{\infty} \text{pr}(x) dx = 1$ ) $N$ number of steps $l$ step length (all equal)
rms displacement	$x_{\text{rms}} = N^{1/2}l$ (2.564)	$x_{\text{rms}}$ root-mean-squared displacement from start point
Three-dimensional	$\text{pr}(r) = \left(\frac{a}{\pi^{1/2}}\right)^3 \exp(-a^2r^2)$ (2.565) where $a = \left(\frac{3}{2Nl^2}\right)^{1/2}$	$r$ radial distance from start point $\text{pr}(r)$ probability density of $r$ ( $\int_0^{\infty} 4\pi r^2 \text{pr}(r) dr = 1$ ) $a$ (most probable distance) <sup>-1</sup>
Mean distance	$\langle r \rangle = \left(\frac{8}{3\pi}\right)^{1/2} N^{1/2}l$ (2.566)	$\langle r \rangle$ mean distance from start point
rms distance	$r_{\text{rms}} = N^{1/2}l$ (2.567)	$r_{\text{rms}}$ root-mean-squared distance from start point

2

**Bayesian inference**

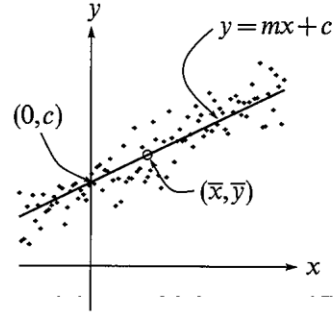
Conditional probability	$\text{pr}(x) = \int \text{pr}(x y') \text{pr}(y') dy'$ (2.568)	$\text{pr}(x)$ probability (density) of $x$ $\text{pr}(x y')$ conditional probability of $x$ given $y'$
Joint probability	$\text{pr}(x, y) = \text{pr}(x) \text{pr}(y x)$ (2.569)	$\text{pr}(x, y)$ joint probability of $x$ and $y$
Bayes' theorem <sup>a</sup>	$\text{pr}(y x) = \frac{\text{pr}(x y) \text{pr}(y)}{\text{pr}(x)}$ (2.570)	

<sup>a</sup>In this expression,  $\text{pr}(y|x)$  is known as the posterior probability,  $\text{pr}(x|y)$  the likelihood, and  $\text{pr}(y)$  the prior probability.

## 2.14 Numerical methods

### Straight-line fitting<sup>a</sup>

Data	$(\{x_i\}, \{y_i\})$ $n$ points	(2.571)
Weights <sup>b</sup>	$\{w_i\}$	(2.572)
Model	$y = mx + c$	(2.573)
Residuals	$d_i = y_i - mx_i - c$	(2.574)
Weighted centre	$(\bar{x}, \bar{y}) = \frac{1}{\sum w_i} (\sum w_i x_i, \sum w_i y_i)$	(2.575)
Weighted moment	$D = \sum w_i (x_i - \bar{x})^2$	(2.576)
Gradient	$m = \frac{1}{D} \sum w_i (x_i - \bar{x}) y_i$	(2.577)
	$\text{var}[m] \simeq \frac{1}{D} \frac{\sum w_i d_i^2}{n-2}$	(2.578)
Intercept	$c = \bar{y} - m\bar{x}$	(2.579)
	$\text{var}[c] \simeq \left( \frac{1}{\sum w_i} + \frac{\bar{x}^2}{D} \right) \frac{\sum w_i d_i^2}{n-2}$	(2.580)



<sup>a</sup>Least-squares fit of data to  $y = mx + c$ . Errors on  $y$ -values only.

<sup>b</sup>If the errors on  $y_i$  are uncorrelated, then  $w_i = 1/\text{var}[y_i]$ .

### Time series analysis<sup>a</sup>

Discrete convolution	$(r \star s)_j = \sum_{k=-(M/2)+1}^{M/2} s_{j-k} r_k$	(2.581)	$r_i$ response function $s_i$ time series $M$ response function duration
Bartlett (triangular) window	$w_j = 1 - \left  \frac{j - N/2}{N/2} \right $	(2.582)	$w_j$ windowing function $N$ length of time series 
Welch (quadratic) window	$w_j = 1 - \left[ \frac{j - N/2}{N/2} \right]^2$	(2.583)	
Hanning window	$w_j = \frac{1}{2} \left[ 1 - \cos \left( \frac{2\pi j}{N} \right) \right]$	(2.584)	
Hamming window	$w_j = 0.54 - 0.46 \cos \left( \frac{2\pi j}{N} \right)$	(2.585)	

<sup>a</sup>The time series runs from  $j=0 \dots (N-1)$ , and the windowing functions peak at  $j=N/2$ .

**Numerical integration**

		<div style="border: 1px solid black; padding: 5px; display: inline-block; font-weight: bold; font-size: 2em;">2</div>
<p>Trapezoidal rule</p> $\int_{x_0}^{x_N} f(x) dx \approx \frac{h}{2}(f_0 + 2f_1 + 2f_2 + \dots + 2f_{N-1} + f_N) \quad (2.586)$	<p><math>h = (x_N - x_0)/N</math> (subinterval width)</p> <p><math>f_i = f(x_i)</math></p> <p><math>N</math> number of subintervals</p>	
<p>Simpson's rule<sup>a</sup></p> $\int_{x_0}^{x_N} f(x) dx \approx \frac{h}{3}(f_0 + 4f_1 + 2f_2 + 4f_3 + \dots + 4f_{N-1} + f_N) \quad (2.587)$		

<sup>a</sup> $N$  must be even. Simpson's rule is exact for quadratics and cubics.

**Numerical differentiation<sup>a</sup>**

$\frac{df}{dx} \approx \frac{1}{12h} [-f(x+2h) + 8f(x+h) - 8f(x-h) + f(x-2h)] \quad (2.588)$	
$\sim \frac{1}{2h} [f(x+h) - f(x-h)] \quad (2.589)$	
$\frac{d^2f}{dx^2} \approx \frac{1}{12h^2} [-f(x+2h) + 16f(x+h) - 30f(x) + 16f(x-h) - f(x-2h)] \quad (2.590)$	
$\sim \frac{1}{h^2} [f(x+h) - 2f(x) + f(x-h)] \quad (2.591)$	
$\frac{d^3f}{dx^3} \sim \frac{1}{2h^3} [f(x+2h) - 2f(x+h) + 2f(x-h) - f(x-2h)] \quad (2.592)$	

<sup>a</sup>Derivatives of  $f(x)$  at  $x$ .  $h$  is a small interval in  $x$ . Relations containing " $\approx$ " are  $O(h^4)$ ; those containing " $\sim$ " are  $O(h^2)$ .

**Numerical solutions to  $f(x) = 0$**

<p>Secant method</p> $x_{n+1} = x_n - \frac{x_n - x_{n-1}}{f(x_n) - f(x_{n-1})} f(x_n) \quad (2.593)$	<p><math>f</math> function of <math>x</math></p> <p><math>x_n</math> <math>f(x_n) = 0</math></p>
<p>Newton-Raphson method</p> $x_{n+1} = x_n - \frac{f(x_n)}{f'(x_n)} \quad (2.594)$	<p><math>f'</math> <math>= df/dx</math></p>

### Numerical solutions to ordinary differential equations<sup>a</sup>

Euler's method	if	$\frac{dy}{dx} = f(x, y)$	(2.595)
	and	$h = x_{n+1} - x_n$	(2.596)
	then	$y_{n+1} = y_n + hf(x_n, y_n) + O(h^2)$	(2.597)
Runge-Kutta method (fourth-order)	if	$\frac{dy}{dx} = f(x, y)$	(2.598)
	and	$h = x_{n+1} - x_n$	(2.599)
		$k_1 = hf(x_n, y_n)$	(2.600)
		$k_2 = hf(x_n + h/2, y_n + k_1/2)$	(2.601)
		$k_3 = hf(x_n + h/2, y_n + k_2/2)$	(2.602)
		$k_4 = hf(x_n + h, y_n + k_3)$	(2.603)
	then	$y_{n+1} = y_n + \frac{k_1}{6} + \frac{k_2}{3} + \frac{k_3}{3} + \frac{k_4}{6} + O(h^5)$	(2.604)

<sup>a</sup>Ordinary differential equations (ODEs) of the form  $\frac{dy}{dx} = f(x, y)$ . Higher order equations should be reduced to a set of coupled first-order equations and solved in parallel.

# Chapter 3 Dynamics and mechanics

## 3.1 Introduction

Unusually in physics, there is no pithy phrase that sums up the study of *dynamics* (the way in which forces produce motion), *kinematics* (the motion of matter), *mechanics* (the study of the forces and the motion they produce), and *statics* (the way forces combine to produce equilibrium). We will take the phrase *dynamics and mechanics* to encompass all the above, although it clearly does not!

To some extent this is because the equations governing the motion of matter include some of our oldest insights into the physical world and are consequentially steeped in tradition. One of the more delightful, or for some annoying, facets of this is the occasional use of arcane vocabulary in the description of motion. The epitome must be what Goldstein<sup>1</sup> calls “the jabberwockian sounding statement” *the polhode rolls without slipping on the herpolhode lying in the invariable plane*, describing “Poinsot’s construction” – a method of visualising the free motion of a spinning rigid body. Despite this, dynamics and mechanics, including fluid mechanics, is arguably the most practically applicable of all the branches of physics.

Moreover, and in common with electromagnetism, the study of dynamics and mechanics has spawned a good deal of mathematical apparatus that has found uses in other fields. Most notably, the ideas behind the generalised dynamics of Lagrange and Hamilton lie behind much of quantum mechanics.

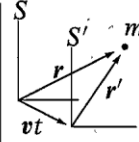
<sup>1</sup>H. Goldstein, *Classical Mechanics*, 2nd ed., 1980, Addison-Wesley.



### 3.2 Frames of reference

#### Galilean transformations

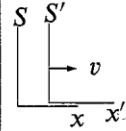
Time and position <sup>a</sup>	$r = r' + vt$ $t = t'$	(3.1) (3.2)	$r, r'$ position in frames $S$ and $S'$ $v$ velocity of $S'$ in $S$ $t, t'$ time in $S$ and $S'$
Velocity	$u = u' + v$	(3.3)	$u, u'$ velocity in frames $S$ and $S'$
Momentum	$p = p' + mv$	(3.4)	$p, p'$ particle momentum in frames $S$ and $S'$ $m$ particle mass
Angular momentum	$J = J' + mr' \times v + v \times p' t$	(3.5)	$J, J'$ angular momentum in frames $S$ and $S'$
Kinetic energy	$T = T' + mu' \cdot v + \frac{1}{2}mv^2$	(3.6)	$T, T'$ kinetic energy in frames $S$ and $S'$



<sup>a</sup>Frames coincide at  $t=0$ .

#### Lorentz (spacetime) transformations<sup>a</sup>

Lorentz factor	$\gamma = \left(1 - \frac{v^2}{c^2}\right)^{-1/2}$	(3.7)	$\gamma$ Lorentz factor $v$ velocity of $S'$ in $S$ $c$ speed of light
Time and position	$x = \gamma(x' + vt')$ ; $x' = \gamma(x - vt)$	(3.8)	$x, x'$ x-position in frames $S$ and $S'$ (similarly for $y$ and $z$ ) $t, t'$ time in frames $S$ and $S'$
	$y = y'$ ; $y' = y$	(3.9)	
	$z = z'$ ; $z' = z$	(3.10)	
	$t = \gamma\left(t' + \frac{v}{c^2}x'\right)$ ; $t' = \gamma\left(t - \frac{v}{c^2}x\right)$	(3.11)	
Differential four-vector <sup>b</sup>	$dX = (cdt, -dx, -dy, -dz)$	(3.12)	$X$ spacetime four-vector

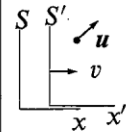


<sup>a</sup>For frames  $S$  and  $S'$  coincident at  $t=0$  in relative motion along  $x$ . See page 141 for the transformations of electromagnetic quantities.

<sup>b</sup>Covariant components, using the  $(1, -1, -1, -1)$  signature.

#### Velocity transformations<sup>a</sup>

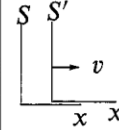
Velocity	$u_x = \frac{u'_x + v}{1 + u'_x v/c^2}$ ; $u'_x = \frac{u_x - v}{1 - u_x v/c^2}$	(3.13)	$\gamma$ Lorentz factor $= [1 - (v/c)^2]^{-1/2}$
	$u_y = \frac{u'_y}{\gamma(1 + u'_x v/c^2)}$ ; $u'_y = \frac{u_y}{\gamma(1 - u_x v/c^2)}$	(3.14)	$v$ velocity of $S'$ in $S$ $c$ speed of light
	$u_z = \frac{u'_z}{\gamma(1 + u'_x v/c^2)}$ ; $u'_z = \frac{u_z}{\gamma(1 - u_x v/c^2)}$	(3.15)	$u_i, u'_i$ particle velocity components in frames $S$ and $S'$



<sup>a</sup>For frames  $S$  and  $S'$  coincident at  $t=0$  in relative motion along  $x$ .

**Momentum and energy transformations<sup>a</sup>**

Momentum and energy		$\gamma$	Lorentz factor = $[1-(v/c)^2]^{-1/2}$
$p_x = \gamma(p'_x + vE'/c^2);$	$p'_x = \gamma(p_x - vE/c^2)$	$v$	velocity of $S'$ in $S$
$p_y = p'_y;$	$p'_y = p_y$	$c$	speed of light
$p_z = p'_z;$	$p'_z = p_z$	$p_x, p'_x$	$x$ components of momentum in $S$ and $S'$ (sim. for $y$ and $z$ )
$E = \gamma(E' + vp'_x);$	$E' = \gamma(E - vp_x)$	$E, E'$	energy in $S$ and $S'$
$E^2 - p^2c^2 = E'^2 - p'^2c^2 = m_0^2c^4$	(3.20)	$m_0$	(rest) mass
$p$			total momentum in $S$
Four-vector <sup>b</sup>	$P = (E/c, -p_x, -p_y, -p_z)$	$P$	momentum four-vector

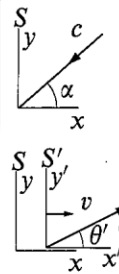


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<sup>a</sup>For frames  $S$  and  $S'$  coincident at  $t=0$  in relative motion along  $x$ .  
<sup>b</sup>Covariant components, using the  $(1, -1, -1, -1)$  signature.

**Propagation of light<sup>a</sup>**

Doppler effect	$\frac{v'}{v} = \gamma \left( 1 + \frac{v}{c} \cos \alpha \right)$	(3.22)	$v$	frequency received in $S$
			$v'$	frequency emitted in $S'$
			$\alpha$	arrival angle in $S$
Aberration <sup>b</sup>	$\cos \theta = \frac{\cos \theta' + v/c}{1 + (v/c) \cos \theta'}$	(3.23)	$\gamma$	Lorentz factor = $[1-(v/c)^2]^{-1/2}$
	$\cos \theta' = \frac{\cos \theta - v/c}{1 - (v/c) \cos \theta}$	(3.24)	$v$	velocity of $S'$ in $S$
			$c$	speed of light
			$\theta, \theta'$	emission angle of light in $S$ and $S'$
Relativistic beaming <sup>c</sup>	$P(\theta) = \frac{\sin \theta}{2\gamma^2 [1 - (v/c) \cos \theta]^2}$	(3.25)	$P(\theta)$	angular distribution of photons in $S$



<sup>a</sup>For frames  $S$  and  $S'$  coincident at  $t=0$  in relative motion along  $x$ .  
<sup>b</sup>Light travelling in the opposite sense has a propagation angle of  $\pi + \theta$  radians.  
<sup>c</sup>Angular distribution of photons from a source, isotropic and stationary in  $S'$ .  $\int_0^\pi P(\theta) d\theta = 1$ .

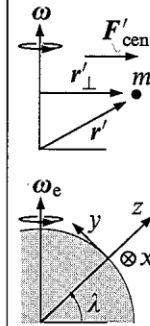
**Four-vectors<sup>a</sup>**

Covariant and contravariant components	$x_0 = x^0$ $x_1 = -x^1$ $x_2 = -x^2$ $x_3 = -x^3$	(3.26)	$x_i$	covariant vector components
			$x^i$	contravariant components
Scalar product	$x^i y_i = x^0 y_0 + x^1 y_1 + x^2 y_2 + x^3 y_3$	(3.27)		
Lorentz transformations			$x^i, x'^i$ four-vector components in frames $S$ and $S'$	
	$x^0 = \gamma[x'^0 + (v/c)x'^1];$	$x'^0 = \gamma[x^0 - (v/c)x^1]$	$\gamma$	Lorentz factor = $[1-(v/c)^2]^{-1/2}$
	$x^1 = \gamma[x'^1 + (v/c)x'^0];$	$x'^1 = \gamma[x^1 - (v/c)x^0]$	$v$	velocity of $S'$ in $S$
	$x^2 = x'^2;$	$x'^2 = x^2$	$c$	speed of light
		$x^3 = x'^3$		

<sup>a</sup>For frames  $S$  and  $S'$ , coincident at  $t=0$  in relative motion along the  $(1)$  direction. Note that the  $(1, -1, -1, -1)$  signature used here is common in special relativity, whereas  $(-1, 1, 1, 1)$  is often used in connection with general relativity (page 67).

## Rotating frames

Vector transformation	$\left[ \frac{dA}{dt} \right]_S = \left[ \frac{dA}{dt} \right]_{S'} + \omega \times A \quad (3.31)$	$A$ any vector $S$ stationary frame $S'$ rotating frame $\omega$ angular velocity of $S'$ in $S$
Acceleration	$\dot{v} = \dot{v}' + 2\omega \times v' + \omega \times (\omega \times r') \quad (3.32)$	$\dot{v}, \dot{v}'$ accelerations in $S$ and $S'$ $v'$ velocity in $S'$ $r'$ position in $S'$
Coriolis force	$F'_{\text{cor}} = -2m\omega \times v' \quad (3.33)$	$F'_{\text{cor}}$ coriolis force $m$ particle mass
Centrifugal force	$F'_{\text{cen}} = -m\omega \times (\omega \times r') \quad (3.34)$ $= +m\omega^2 r'_{\perp} \quad (3.35)$	$F'_{\text{cen}}$ centrifugal force $r'_{\perp}$ perpendicular to particle from rotation axis
Motion relative to Earth	$m\ddot{x} = F_x + 2m\omega_e(\dot{y} \sin \lambda - \dot{z} \cos \lambda) \quad (3.36)$ $m\ddot{y} = F_y - 2m\omega_e \dot{x} \sin \lambda \quad (3.37)$ $m\ddot{z} = F_z - mg + 2m\omega_e \dot{x} \cos \lambda \quad (3.38)$	$F_i$ nongravitational force $\lambda$ latitude $z$ local vertical axis $y$ northerly axis $x$ easterly axis
Foucault's pendulum <sup>a</sup>	$\Omega_f = -\omega_e \sin \lambda \quad (3.39)$	$\Omega_f$ pendulum's rate of turn $\omega_e$ Earth's spin rate



<sup>a</sup>The sign is such as to make the rotation clockwise in the northern hemisphere.

## 3.3 Gravitation

## Newtonian gravitation

Newton's law of gravitation	$F_1 = \frac{Gm_1m_2}{r_{12}^2} \hat{r}_{12} \quad (3.40)$	$m_{1,2}$ masses $F_1$ force on $m_1$ ( $= -F_2$ ) $r_{12}$ vector from $m_1$ to $m_2$ $\hat{\phantom{r}}$ unit vector
Newtonian field equations <sup>a</sup>	$\mathbf{g} = -\nabla\phi \quad (3.41)$ $\nabla^2\phi = -\nabla \cdot \mathbf{g} = 4\pi G\rho \quad (3.42)$	$G$ constant of gravitation $\mathbf{g}$ gravitational field strength $\phi$ gravitational potential $\rho$ mass density
Fields from an isolated uniform sphere, mass $M$ , $r$ from the centre	$\mathbf{g}(\mathbf{r}) = \begin{cases} -\frac{GM}{r^2} \hat{r} & (r > a) \\ -\frac{GM}{a^3} \mathbf{r} & (r < a) \end{cases} \quad (3.43)$ $\phi(\mathbf{r}) = \begin{cases} -\frac{GM}{r} & (r > a) \\ \frac{GM}{2a^3}(r^2 - 3a^2) & (r < a) \end{cases} \quad (3.44)$	$r$ vector from sphere centre $M$ mass of sphere $a$ radius of sphere



<sup>a</sup>The gravitational force on a mass  $m$  is  $m\mathbf{g}$ .

General relativity<sup>a</sup>

Line element	$ds^2 = g_{\mu\nu} dx^\mu dx^\nu = -d\tau^2$ (3.45)	$ds$ invariant interval $d\tau$ proper time interval $g_{\mu\nu}$ metric tensor $dx^\mu$ differential of $x^\mu$
Christoffel symbols and covariant differentiation	$\Gamma^\alpha_{\beta\gamma} = \frac{1}{2} g^{\alpha\delta} (g_{\delta\beta,\gamma} + g_{\delta\gamma,\beta} - g_{\beta\gamma,\delta})$ (3.46)	$\Gamma^\alpha_{\beta\gamma}$ Christoffel symbols
	$\phi_{;\gamma} = \phi_{,\gamma} \equiv \partial\phi/\partial x^\gamma$ (3.47)	$_{,\alpha}$ partial diff. w.r.t. $x^\alpha$
	$A^\alpha_{;\gamma} = A^\alpha_{,\gamma} + \Gamma^\alpha_{\beta\gamma} A^\beta$ (3.48)	$_{;\alpha}$ covariant diff. w.r.t. $x^\alpha$
	$B_{\alpha;\gamma} = B_{\alpha,\gamma} - \Gamma^\beta_{\alpha\gamma} B_\beta$ (3.49)	$\phi$ scalar $A^\alpha$ contravariant vector $B_\alpha$ covariant vector
Riemann tensor	$R^\alpha_{\beta\gamma\delta} = \Gamma^\alpha_{\mu\gamma} \Gamma^\mu_{\beta\delta} - \Gamma^\alpha_{\mu\delta} \Gamma^\mu_{\beta\gamma} + \Gamma^\alpha_{\beta\delta,\gamma} - \Gamma^\alpha_{\beta\gamma,\delta}$ (3.50)	$R^\alpha_{\beta\gamma\delta}$ Riemann tensor
	$B_{\mu;\alpha;\beta} - B_{\mu;\beta;\alpha} = R^\gamma_{\mu\alpha\beta} B_\gamma$ (3.51)	
	$R_{\alpha\beta\gamma\delta} = -R_{\alpha\beta\delta\gamma}; \quad R_{\beta\alpha\gamma\delta} = -R_{\alpha\beta\gamma\delta}$ (3.52)	
	$R_{\alpha\beta\gamma\delta} + R_{\alpha\delta\beta\gamma} + R_{\alpha\gamma\delta\beta} = 0$ (3.53)	
Geodesic equation	$\frac{Dv^\mu}{D\lambda} = 0$ (3.54)	$v^\mu$ tangent vector (= $dx^\mu/d\lambda$ )
	where $\frac{DA^\mu}{D\lambda} \equiv \frac{dA^\mu}{d\lambda} + \Gamma^\mu_{\alpha\beta} A^\alpha v^\beta$ (3.55)	$\lambda$ affine parameter (e.g., $\tau$ for material particles)
Geodesic deviation	$\frac{D^2 \xi^\mu}{D\lambda^2} = -R^\mu_{\alpha\beta\gamma} v^\alpha \xi^\beta v^\gamma$ (3.56)	$\xi^\mu$ geodesic deviation
Ricci tensor	$R_{\alpha\beta} \equiv R^\sigma_{\alpha\sigma\beta} = g^{\sigma\delta} R_{\delta\alpha\sigma\beta} = R_{\beta\alpha}$ (3.57)	$R_{\alpha\beta}$ Ricci tensor
Einstein tensor	$G^{\mu\nu} = R^{\mu\nu} - \frac{1}{2} g^{\mu\nu} R$ (3.58)	$G^{\mu\nu}$ Einstein tensor $R$ Ricci scalar (= $g^{\mu\nu} R_{\mu\nu}$ )
Einstein's field equations	$G^{\mu\nu} = 8\pi T^{\mu\nu}$ (3.59)	$T^{\mu\nu}$ stress-energy tensor $p$ pressure (in rest frame)
Perfect fluid	$T^{\mu\nu} = (p + \rho) u^\mu u^\nu + p g^{\mu\nu}$ (3.60)	$\rho$ density (in rest frame) $u^\nu$ fluid four-velocity
Schwarzschild solution (exterior)	$ds^2 = -\left(1 - \frac{2M}{r}\right) dt^2 + \left(1 - \frac{2M}{r}\right)^{-1} dr^2 + r^2(d\theta^2 + \sin^2\theta d\phi^2)$ (3.61)	$M$ spherically symmetric mass (see Section 9.5) $(r, \theta, \phi)$ spherical polar coords. $t$ time
Kerr solution (outside a spinning black hole)	$ds^2 = -\frac{\Delta - a^2 \sin^2\theta}{\rho^2} dt^2 - 2a \frac{2Mr \sin^2\theta}{\rho^2} dt d\phi + \frac{(r^2 + a^2)^2 - a^2 \Delta \sin^2\theta}{\rho^2} \sin^2\theta d\phi^2 + \frac{\rho^2}{\Delta} dr^2 + \rho^2 d\theta^2$ (3.62)	$J$ angular momentum (along $z$ ) $a \equiv J/M$ $\Delta \equiv r^2 - 2Mr + a^2$ $\rho^2 \equiv r^2 + a^2 \cos^2\theta$

<sup>a</sup>General relativity conventionally uses "geometrized units" in which  $G=1$  and  $c=1$ . Thus,  $1\text{ kg} = 7.425 \times 10^{-28}\text{ m}$  etc. Contravariant indices are written as superscripts and covariant indices as subscripts. Note also that  $ds^2$  means  $(ds)^2$  etc.

### 3.4 Particle motion

#### Dynamics definitions<sup>a</sup>

Newtonian force	$F = m\ddot{r} = \dot{p}$	(3.63)	$F$ force $m$ mass of particle $r$ particle position vector
Momentum	$p = m\dot{r}$	(3.64)	$p$ momentum
Kinetic energy	$T = \frac{1}{2}mv^2$	(3.65)	$T$ kinetic energy $v$ particle velocity
Angular momentum	$J = r \times p$	(3.66)	$J$ angular momentum
Couple (or torque)	$G = r \times F$	(3.67)	$G$ couple
Centre of mass (ensemble of $N$ particles)	$R_0 = \frac{\sum_{i=1}^N m_i r_i}{\sum_{i=1}^N m_i}$	(3.68)	$R_0$ position vector of centre of mass $m_i$ mass of $i$ th particle $r_i$ position vector of $i$ th particle

<sup>a</sup>In the Newtonian limit,  $v \ll c$ , assuming  $m$  is constant.

#### Relativistic dynamics<sup>a</sup>

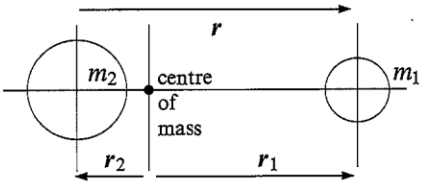
Lorentz factor	$\gamma = \left(1 - \frac{v^2}{c^2}\right)^{-1/2}$	(3.69)	$\gamma$ Lorentz factor $v$ particle velocity $c$ speed of light
Momentum	$p = \gamma m_0 v$	(3.70)	$p$ relativistic momentum $m_0$ particle (rest) mass
Force	$F = \frac{dp}{dt}$	(3.71)	$F$ force on particle $t$ time
Rest energy	$E_r = m_0 c^2$	(3.72)	$E_r$ particle rest energy
Kinetic energy	$T = m_0 c^2 (\gamma - 1)$	(3.73)	$T$ relativistic kinetic energy
Total energy	$E = \gamma m_0 c^2$	(3.74)	$E$ total energy ( $= E_r + T$ )
	$= (p^2 c^2 + m_0^2 c^4)^{1/2}$	(3.75)	

<sup>a</sup>It is now common to regard mass as a Lorentz invariant property and to drop the term "rest mass." The symbol  $m_0$  is used here to avoid confusion with the idea of "relativistic mass" ( $= \gamma m_0$ ) used by some authors.

#### Constant acceleration

$v = u + at$	(3.76)	$u$ initial velocity $v$ final velocity $t$ time $s$ distance travelled $a$ acceleration
$v^2 = u^2 + 2as$	(3.77)	
$s = ut + \frac{1}{2}at^2$	(3.78)	
$s = \frac{u+v}{2}t$	(3.79)	

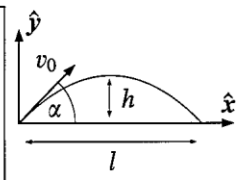
### Reduced mass (of two interacting bodies)

		
Reduced mass	$\mu = \frac{m_1 m_2}{m_1 + m_2}$ (3.80)	$\mu$ reduced mass $m_i$ interacting masses
Distances from centre of mass	$r_1 = \frac{m_2}{m_1 + m_2} r$ (3.81)	$r_i$ position vectors from centre of mass
	$r_2 = \frac{-m_1}{m_1 + m_2} r$ (3.82)	$r = r_1 - r_2$ $ r $ distance between masses
Moment of inertia	$I = \mu  r ^2$ (3.83)	$I$ moment of inertia
Total angular momentum	$J = \mu r \times \dot{r}$ (3.84)	$J$ angular momentum
Lagrangian	$L = \frac{1}{2} \mu  \dot{r} ^2 - U( r )$ (3.85)	$L$ Lagrangian $U$ potential energy of interaction

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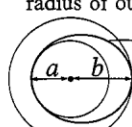
### Ballistics<sup>a</sup>

Velocity	$v = v_0 \cos \alpha \hat{x} + (v_0 \sin \alpha - gt) \hat{y}$ (3.86)	$v_0$ initial velocity $v$ velocity at $t$ $\alpha$ elevation angle $g$ gravitational acceleration
	$v^2 = v_0^2 - 2gy$ (3.87)	
Trajectory	$y = x \tan \alpha - \frac{gx^2}{2v_0^2 \cos^2 \alpha}$ (3.88)	$\hat{\phantom{x}}$ unit vector $t$ time
Maximum height	$h = \frac{v_0^2}{2g} \sin^2 \alpha$ (3.89)	$h$ maximum height
Horizontal range	$l = \frac{v_0^2}{g} \sin 2\alpha$ (3.90)	$l$ range



<sup>a</sup>Ignoring the curvature and rotation of the Earth and frictional losses.  $g$  is assumed constant.

### Rocketry

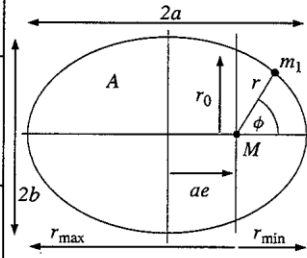
Escape velocity <sup>a</sup>	$v_{\text{esc}} = \left( \frac{2GM}{r} \right)^{1/2} \quad (3.91)$	$v_{\text{esc}}$ escape velocity $G$ constant of gravitation $M$ mass of central body $r$ central body radius
Specific impulse	$I_{\text{sp}} = \frac{u}{g} \quad (3.92)$	$I_{\text{sp}}$ specific impulse $u$ effective exhaust velocity $g$ acceleration due to gravity
Exhaust velocity (into a vacuum)	$u = \left[ \frac{2\gamma RT_c}{(\gamma - 1)\mu} \right]^{1/2} \quad (3.93)$	$R$ molar gas constant $\gamma$ ratio of heat capacities $T_c$ combustion temperature $\mu$ effective molecular mass of exhaust gas
Rocket equation ( $g = 0$ )	$\Delta v = u \ln \left( \frac{M_i}{M_f} \right) \equiv u \ln \mathcal{M} \quad (3.94)$	$\Delta v$ rocket velocity increment $M_i$ pre-burn rocket mass $M_f$ post-burn rocket mass $\mathcal{M}$ mass ratio
Multistage rocket	$\Delta v = \sum_{i=1}^N u_i \ln \mathcal{M}_i \quad (3.95)$	$N$ number of stages $\mathcal{M}_i$ mass ratio for $i$ th burn $u_i$ exhaust velocity of $i$ th burn
In a constant gravitational field	$\Delta v = u \ln \mathcal{M} - gt \cos \theta \quad (3.96)$	$t$ burn time $\theta$ rocket zenith angle
Hohmann cotangential transfer <sup>b</sup>	$\Delta v_{ah} = \left( \frac{GM}{r_a} \right)^{1/2} \left[ \left( \frac{2r_b}{r_a + r_b} \right)^{1/2} - 1 \right] \quad (3.97)$	$\Delta v_{ah}$ velocity increment, $a$ to $h$ $\Delta v_{hb}$ velocity increment, $h$ to $b$ $r_a$ radius of inner orbit $r_b$ radius of outer orbit
	$\Delta v_{hb} = \left( \frac{GM}{r_b} \right)^{1/2} \left[ 1 - \left( \frac{2r_a}{r_a + r_b} \right)^{1/2} \right] \quad (3.98)$	 transfer ellipse, $h$

<sup>a</sup>From the surface of a spherically symmetric, nonrotating body, mass  $M$ .

<sup>b</sup>Transfer between coplanar, circular orbits  $a$  and  $b$ , via ellipse  $h$  with a minimal expenditure of energy.

Gravitationally bound orbital motion<sup>a</sup>

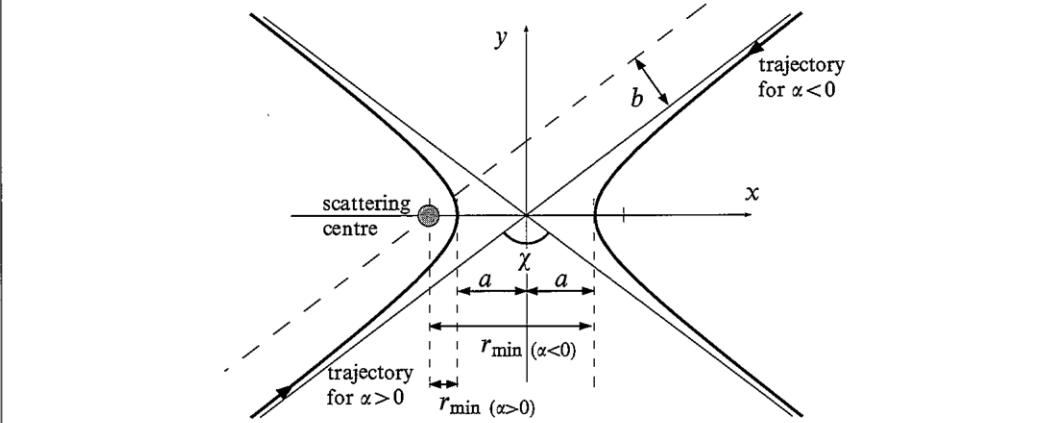
Potential energy of interaction	$U(r) = -\frac{GMm}{r} \equiv -\frac{\alpha}{r} \quad (3.99)$	$U(r)$ potential energy $G$ constant of gravitation $M$ central mass $m$ orbiting mass ( $\ll M$ ) $\alpha$ positive constant
Total energy	$E = -\frac{\alpha}{r} + \frac{J^2}{2mr^2} = -\frac{\alpha}{2a} \quad (3.100)$	$E$ total energy (constant) $J$ total angular momentum (constant)
Virial theorem (1/r potential)	$E = \langle U \rangle / 2 = -\langle T \rangle \quad (3.101)$	$T$ kinetic energy
	$\langle U \rangle = -2\langle T \rangle \quad (3.102)$	$\langle \cdot \rangle$ mean value
Orbital equation (Kepler's 1st law)	$\frac{r_0}{r} = 1 + e \cos \phi, \quad \text{or} \quad (3.103)$	$r_0$ semi-latus-rectum
	$r = \frac{a(1 - e^2)}{1 + e \cos \phi} \quad (3.104)$	$r$ distance of $m$ from $M$ $e$ eccentricity
Rate of sweeping area (Kepler's 2nd law)	$\frac{dA}{dt} = \frac{J}{2m} = \text{constant} \quad (3.105)$	$A$ area swept out by radius vector (total area = $\pi ab$ )
Semi-major axis	$a = \frac{r_0}{1 - e^2} = \frac{\alpha}{2 E } \quad (3.106)$	$a$ semi-major axis $b$ semi-minor axis
Semi-minor axis	$b = \frac{r_0}{(1 - e^2)^{1/2}} = \frac{J}{(2m E )^{1/2}} \quad (3.107)$	
Eccentricity <sup>b</sup>	$e = \left(1 + \frac{2EJ^2}{m\alpha^2}\right)^{1/2} = \left(1 - \frac{b^2}{a^2}\right)^{1/2} \quad (3.108)$	
Semi-latus-rectum	$r_0 = \frac{J^2}{m\alpha} = \frac{b^2}{a} = a(1 - e^2) \quad (3.109)$	
Pericentre	$r_{\min} = \frac{r_0}{1 + e} = a(1 - e) \quad (3.110)$	$r_{\min}$ pericentre distance
Apocentre	$r_{\max} = \frac{r_0}{1 - e} = a(1 + e) \quad (3.111)$	$r_{\max}$ apocentre distance
Phase	$\cos \phi = \frac{(J/r) - (m\alpha/J)}{(2mE + m^2\alpha^2/J^2)^{1/2}} \quad (3.112)$	$\phi$ orbital phase
Period (Kepler's 3rd law)	$P = \pi\alpha \left(\frac{m}{2 E ^3}\right)^{1/2} = 2\pi a^{3/2} \left(\frac{m}{\alpha}\right)^{1/2} \quad (3.113)$	$P$ orbital period



<sup>a</sup>For an inverse-square law of attraction between two isolated bodies in the nonrelativistic limit. If  $m$  is not  $\ll M$ , then the equations are valid with the substitutions  $m \rightarrow \mu = Mm/(M+m)$  and  $M \rightarrow (M+m)$  and with  $r$  taken as the body separation. The distance of mass  $m$  from the centre of mass is then  $r\mu/m$  (see earlier table on *Reduced mass*). Other orbital dimensions scale similarly.

<sup>b</sup>Note that if the total energy,  $E$ , is  $< 0$  then  $e < 1$  and the orbit is an ellipse (a circle if  $e = 0$ ). If  $E = 0$ , then  $e = 1$  and the orbit is a parabola. If  $E > 0$  then  $e > 1$  and the orbit becomes a hyperbola (see *Rutherford scattering* on next page).



Rutherford scattering<sup>a</sup>


Scattering potential energy	$U(r) = -\frac{\alpha}{r} \quad (3.114)$	$U(r)$ potential energy
	$\alpha \begin{cases} < 0 & \text{repulsive} \\ > 0 & \text{attractive} \end{cases} \quad (3.115)$	$r$ particle separation $\alpha$ constant
Scattering angle	$\tan \frac{\chi}{2} = \frac{ \alpha }{2Eb} \quad (3.116)$	$\chi$ scattering angle $E$ total energy ( $> 0$ ) $b$ impact parameter
Closest approach	$r_{\min} = \frac{ \alpha }{2E} \left( \csc \frac{\chi}{2} - \frac{\alpha}{ \alpha } \right) \quad (3.117)$	$r_{\min}$ closest approach
	$= a(e \pm 1) \quad (3.118)$	$a$ hyperbola semi-axis $e$ eccentricity
Semi-axis	$a = \frac{ \alpha }{2E} \quad (3.119)$	
Eccentricity	$e = \left( \frac{4E^2 b^2}{\alpha^2} + 1 \right)^{1/2} = \csc \frac{\chi}{2} \quad (3.120)$	
Motion trajectory <sup>b</sup>	$\frac{4E^2}{\alpha^2} x^2 - \frac{y^2}{b^2} = 1 \quad (3.121)$	$x, y$ position with respect to hyperbola centre
Scattering centre <sup>c</sup>	$x = \pm \left( \frac{\alpha^2}{4E^2} + b^2 \right)^{1/2} \quad (3.122)$	
Rutherford scattering formula <sup>d</sup>	$\frac{d\sigma}{d\Omega} = \frac{1}{n} \frac{dN}{d\Omega} \quad (3.123)$	$\frac{d\sigma}{d\Omega}$ differential scattering cross section
	$= \left( \frac{\alpha}{4E} \right)^2 \csc^4 \frac{\chi}{2} \quad (3.124)$	$n$ beam flux density $dN$ number of particles scattered into $d\Omega$ $\Omega$ solid angle

<sup>a</sup>Nonrelativistic treatment for an inverse-square force law and a fixed scattering centre. Similar scattering results from either an attractive or repulsive force. See also *Conic sections* on page 38.

<sup>b</sup>The correct branch can be chosen by inspection.

<sup>c</sup>Also the focal points of the hyperbola.

<sup>d</sup> $n$  is the number of particles per second passing through unit area perpendicular to the beam.

**Inelastic collisions<sup>a</sup>**

Coefficient of restitution	$v'_2 - v'_1 = \epsilon(v_1 - v_2)$ (3.125) $\epsilon = 1$ if perfectly elastic (3.126) $\epsilon = 0$ if perfectly inelastic (3.127)	$\epsilon$ coefficient of restitution $v_i$ pre-collision velocities $v'_i$ post-collision velocities
Loss of kinetic energy <sup>b</sup>	$\frac{T - T'}{T} = 1 - \epsilon^2$ (3.128)	$T, T'$ total KE in zero momentum frame before and after collision
Final velocities	$v'_1 = \frac{m_1 - \epsilon m_2}{m_1 + m_2} v_1 + \frac{(1 + \epsilon)m_2}{m_1 + m_2} v_2$ (3.129) $v'_2 = \frac{m_2 - \epsilon m_1}{m_1 + m_2} v_2 + \frac{(1 + \epsilon)m_1}{m_1 + m_2} v_1$ (3.130)	$m_i$ particle masses

<sup>a</sup>Along the line of centres,  $v_1, v_2 \ll c$ .

<sup>b</sup>In zero momentum frame.

3

**Oblique elastic collisions<sup>a</sup>**

Before collision		After collision
Directions of motion	$\tan \theta'_1 = \frac{m_2 \sin 2\theta}{m_1 - m_2 \cos 2\theta}$ (3.131) $\theta'_2 = \theta$ (3.132)	$\theta$ angle between centre line and incident velocity $\theta'_i$ final trajectories $m_i$ sphere masses
Relative separation angle	$\theta'_1 + \theta'_2 \begin{cases} > \pi/2 & \text{if } m_1 < m_2 \\ = \pi/2 & \text{if } m_1 = m_2 \\ < \pi/2 & \text{if } m_1 > m_2 \end{cases}$ (3.133)	
Final velocities	$v'_1 = \frac{(m_1^2 + m_2^2 - 2m_1 m_2 \cos 2\theta)^{1/2}}{m_1 + m_2} v$ (3.134) $v'_2 = \frac{2m_1 v}{m_1 + m_2} \cos \theta$ (3.135)	$v$ incident velocity of $m_1$ $v'_i$ final velocities

<sup>a</sup>Collision between two perfectly elastic spheres:  $m_2$  initially at rest, velocities  $\ll c$ .

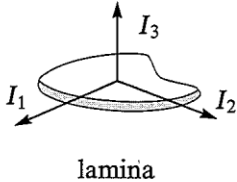
### 3.5 Rigid body dynamics

#### Moment of inertia tensor

Moment of inertia tensor <sup>a</sup>	$I_{ij} = \int (r^2 \delta_{ij} - x_i x_j) dm \quad (3.136)$	$r^2 = x^2 + y^2 + z^2$ $\delta_{ij}$ Kronecker delta
	$\mathbf{I} = \begin{pmatrix} \int (y^2 + z^2) dm & -\int xy dm & -\int xz dm \\ -\int xy dm & \int (x^2 + z^2) dm & -\int yz dm \\ -\int xz dm & -\int yz dm & \int (x^2 + y^2) dm \end{pmatrix} \quad (3.137)$	$\mathbf{I}$ moment of inertia tensor $dm$ mass element $x_i$ position vector of $dm$ $I_{ij}$ components of $\mathbf{I}$
Parallel axis theorem	$I_{12} = I_{12}^* - ma_1 a_2 \quad (3.138)$	$I_{ij}^*$ tensor with respect to centre of mass
	$I_{11} = I_{11}^* + m(a_2^2 + a_3^2) \quad (3.139)$	$a_i, \mathbf{a}$ position vector of centre of mass
	$I_{ij} = I_{ij}^* + m( \mathbf{a} ^2 \delta_{ij} - a_i a_j) \quad (3.140)$	$m$ mass of body
Angular momentum	$\mathbf{J} = \mathbf{I} \boldsymbol{\omega} \quad (3.141)$	$\mathbf{J}$ angular momentum $\boldsymbol{\omega}$ angular velocity
Rotational kinetic energy	$T = \frac{1}{2} \boldsymbol{\omega} \cdot \mathbf{J} = \frac{1}{2} I_{ij} \omega_i \omega_j \quad (3.142)$	$T$ kinetic energy

<sup>a</sup> $I_{ii}$  are the moments of inertia of the body.  $I_{ij}$  ( $i \neq j$ ) are its products of inertia. The integrals are over the body volume.

#### Principal axes

Principal moment of inertia tensor	$\mathbf{I}' = \begin{pmatrix} I_1 & 0 & 0 \\ 0 & I_2 & 0 \\ 0 & 0 & I_3 \end{pmatrix} \quad (3.143)$	$I'$ principal moment of inertia tensor $I_i$ principal moments of inertia
Angular momentum	$\mathbf{J} = (I_1 \omega_1, I_2 \omega_2, I_3 \omega_3) \quad (3.144)$	$\mathbf{J}$ angular momentum $\omega_i$ components of $\boldsymbol{\omega}$ along principal axes
Rotational kinetic energy	$T = \frac{1}{2} (I_1 \omega_1^2 + I_2 \omega_2^2 + I_3 \omega_3^2) \quad (3.145)$	$T$ kinetic energy
Moment of inertia ellipsoid <sup>a</sup>	$T = T(\omega_1, \omega_2, \omega_3) \quad (3.146)$ $J_i = \frac{\partial T}{\partial \omega_i} \quad (\mathbf{J} \text{ is } \perp \text{ ellipsoid surface}) \quad (3.147)$	
Perpendicular axis theorem	$I_1 + I_2 \begin{cases} \geq I_3 & \text{generally} \\ = I_3 & \text{flat lamina } \perp \text{ to 3-axis} \end{cases} \quad (3.148)$	
Symmetries	$\begin{aligned} I_1 \neq I_2 \neq I_3 & \text{ asymmetric top} \\ I_1 = I_2 \neq I_3 & \text{ symmetric top} \\ I_1 = I_2 = I_3 & \text{ spherical top} \end{aligned} \quad (3.149)$	

<sup>a</sup>The ellipsoid is defined by the surface of constant  $T$ .

**Moments of inertia<sup>a</sup>**

Thin rod, length $l$	$I_1 = I_2 = \frac{ml^2}{12}$ $I_3 \approx 0$	(3.150) (3.151)	
Solid sphere, radius $r$	$I_1 = I_2 = I_3 = \frac{2}{5}mr^2$	(3.152)	
Spherical shell, radius $r$	$I_1 = I_2 = I_3 = \frac{2}{3}mr^2$	(3.153)	
Solid cylinder, radius $r$ , length $l$	$I_1 = I_2 = \frac{m}{4} \left( r^2 + \frac{l^2}{3} \right)$ $I_3 = \frac{1}{2}mr^2$	(3.154) (3.155)	
Solid cuboid, sides $a, b, c$	$I_1 = m(b^2 + c^2)/12$ $I_2 = m(c^2 + a^2)/12$ $I_3 = m(a^2 + b^2)/12$	(3.156) (3.157) (3.158)	
Solid circular cone, base radius $r$ , height $h^b$	$I_1 = I_2 = \frac{3}{20}m \left( r^2 + \frac{h^2}{4} \right)$ $I_3 = \frac{3}{10}mr^2$	(3.159) (3.160)	
Solid ellipsoid, semi-axes $a, b, c$	$I_1 = m(b^2 + c^2)/5$ $I_2 = m(c^2 + a^2)/5$ $I_3 = m(a^2 + b^2)/5$	(3.161) (3.162) (3.163)	
Elliptical lamina, semi-axes $a, b$	$I_1 = mb^2/4$ $I_2 = ma^2/4$ $I_3 = m(a^2 + b^2)/4$	(3.164) (3.165) (3.166)	
Disk, radius $r$	$I_1 = I_2 = mr^2/4$ $I_3 = mr^2/2$	(3.167) (3.168)	
Triangular plate <sup>c</sup>	$I_3 = \frac{m}{36}(a^2 + b^2 + c^2)$	(3.169)	

<sup>a</sup>With respect to principal axes for bodies of mass  $m$  and uniform density. The radius of gyration is defined as  $k = (I/m)^{1/2}$ .

<sup>b</sup>Origin of axes is at the centre of mass ( $h/4$  above the base).

<sup>c</sup>Around an axis through the centre of mass and perpendicular to the plane of the plate.

3

## Centres of mass

Solid hemisphere, radius $r$	$d = 3r/8$ from sphere centre	(3.170)
Hemispherical shell, radius $r$	$d = r/2$ from sphere centre	(3.171)
Sector of disk, radius $r$ , angle $2\theta$	$d = \frac{2}{3}r \frac{\sin \theta}{\theta}$ from disk centre	(3.172)
Arc of circle, radius $r$ , angle $2\theta$	$d = r \frac{\sin \theta}{\theta}$ from circle centre	(3.173)
Arbitrary triangular lamina, height $h^a$	$d = h/3$ perpendicular from base	(3.174)
Solid cone or pyramid, height $h$	$d = h/4$ perpendicular from base	(3.175)
Spherical cap, height $h$ , sphere radius $r$	solid: $d = \frac{3}{4} \frac{(2r-h)^2}{3r-h}$ from sphere centre	(3.176)
	shell: $d = r - h/2$ from sphere centre	(3.177)
Semi-elliptical lamina, height $h$	$d = \frac{4h}{3\pi}$ from base	(3.178)

<sup>a</sup> $h$  is the perpendicular distance between the base and apex of the triangle.

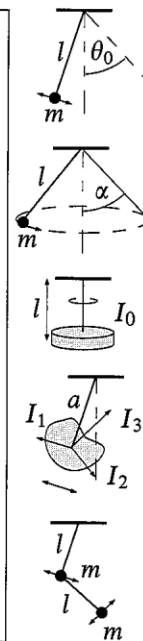
## Pendulums

Simple pendulum	$P = 2\pi \sqrt{\frac{l}{g} \left( 1 + \frac{\theta_0^2}{16} + \dots \right)}$ (3.179)	<p><math>P</math> period</p> <p><math>g</math> gravitational acceleration</p> <p><math>l</math> length</p> <p><math>\theta_0</math> maximum angular displacement</p>
Conical pendulum	$P = 2\pi \left( \frac{l \cos \alpha}{g} \right)^{1/2}$ (3.180)	$\alpha$ cone half-angle
Torsional pendulum <sup>a</sup>	$P = 2\pi \left( \frac{I I_0}{C} \right)^{1/2}$ (3.181)	<p><math>I_0</math> moment of inertia of bob</p> <p><math>C</math> torsional rigidity of wire (see page 81)</p>
Compound pendulum <sup>b</sup>	$P \simeq 2\pi \left[ \frac{1}{mga} (ma^2 + I_1 \cos^2 \gamma_1 + I_2 \cos^2 \gamma_2 + I_3 \cos^2 \gamma_3) \right]^{1/2}$ (3.182)	<p><math>a</math> distance of rotation axis from centre of mass</p> <p><math>m</math> mass of body</p> <p><math>I_i</math> principal moments of inertia</p> <p><math>\gamma_i</math> angles between rotation axis and principal axes</p>
Equal double pendulum <sup>c</sup>	$P \simeq 2\pi \left[ \frac{l}{(2 \pm \sqrt{2})g} \right]^{1/2}$ (3.183)	

<sup>a</sup>Assuming the bob is supported parallel to a principal rotation axis.

<sup>b</sup>I.e., an arbitrary triaxial rigid body.

<sup>c</sup>For very small oscillations (two eigenmodes).



**Tops and gyroscopes**

prolate symmetric top		gyroscope	
Euler's equations <sup>a</sup>	$G_1 = I_1 \dot{\omega}_1 + (I_3 - I_2) \omega_2 \omega_3$	(3.184)	$G_i$ external couple (=0 for free rotation) $I_i$ principal moments of inertia $\omega_i$ angular velocity of rotation
	$G_2 = I_2 \dot{\omega}_2 + (I_1 - I_3) \omega_3 \omega_1$	(3.185)	
	$G_3 = I_3 \dot{\omega}_3 + (I_2 - I_1) \omega_1 \omega_2$	(3.186)	
Free symmetric top <sup>b</sup> ( $I_3 < I_2 = I_1$ )	$\Omega_b = \frac{I_1 - I_3}{I_1} \omega_3$	(3.187)	$\Omega_b$ body frequency $\Omega_s$ space frequency $J$ total angular momentum
	$\Omega_s = \frac{J}{I_1}$	(3.188)	
Free asymmetric top <sup>c</sup>	$\Omega_b^2 = \frac{(I_1 - I_3)(I_2 - I_3)}{I_1 I_2} \omega_3^2$	(3.189)	
Steady gyroscopic precession	$\Omega_p^2 I_1' \cos \theta - \Omega_p J_3 + m g a = 0$	(3.190)	$\Omega_p$ precession angular velocity $\theta$ angle from vertical $J_3$ angular momentum around symmetry axis $m$ mass $g$ gravitational acceleration $a$ distance of centre of mass from support point $I_1'$ moment of inertia about support point
	$\Omega_p \simeq \begin{cases} M g a / J_3 & \text{(slow)} \\ J_3 / (I_1' \cos \theta) & \text{(fast)} \end{cases}$	(3.191)	
Gyroscopic stability	$J_3^2 \geq 4 I_1' m g a \cos \theta$	(3.192)	
Gyroscopic limit ("sleeping top")	$J_3^2 \gg I_1' m g a$	(3.193)	
Nutation rate	$\Omega_n = J_3 / I_1'$	(3.194)	$\Omega_n$ nutation angular velocity
Gyroscope released from rest	$\Omega_p = \frac{m g a}{J_3} (1 - \cos \Omega_n t)$	(3.195)	$t$ time

<sup>a</sup>Components are with respect to the principal axes, rotating with the body.

<sup>b</sup>The body frequency is the angular velocity (with respect to principal axes) of  $\omega$  around the 3-axis. The space frequency is the angular velocity of the 3-axis around  $J$ , i.e., the angular velocity at which the body cone moves around the space cone.

<sup>c</sup> $J$  close to 3-axis. If  $\Omega_b^2 < 0$ , the body tumbles.

3

### 3.6 Oscillating systems

#### Free oscillations

Differential equation	$\frac{d^2x}{dt^2} + 2\gamma \frac{dx}{dt} + \omega_0^2 x = 0$	(3.196)	$x$ oscillating variable $t$ time $\gamma$ damping factor (per unit mass) $\omega_0$ undamped angular frequency
Underdamped solution ( $\gamma < \omega_0$ )	$x = Ae^{-\gamma t} \cos(\omega t + \phi)$	(3.197)	$A$ amplitude constant $\phi$ phase constant $\omega$ angular eigenfrequency
	where $\omega = (\omega_0^2 - \gamma^2)^{1/2}$	(3.198)	
Critically damped solution ( $\gamma = \omega_0$ )	$x = e^{-\gamma t}(A_1 + A_2 t)$	(3.199)	$A_i$ amplitude constants
Overdamped solution ( $\gamma > \omega_0$ )	$x = e^{-\gamma t}(A_1 e^{qt} + A_2 e^{-qt})$	(3.200)	
	where $q = (\gamma^2 - \omega_0^2)^{1/2}$	(3.201)	
Logarithmic decrement <sup>a</sup>	$\Delta = \ln \frac{a_n}{a_{n+1}} = \frac{2\pi\gamma}{\omega}$	(3.202)	$\Delta$ logarithmic decrement $a_n$ $n$ th displacement maximum
Quality factor	$Q = \frac{\omega_0}{2\gamma} \left[ \simeq \frac{\pi}{\Delta} \text{ if } Q \gg 1 \right]$	(3.203)	$Q$ quality factor

<sup>a</sup>The *decrement* is usually the ratio of successive displacement *maxima* but is sometimes taken as the ratio of successive displacement *extrema*, reducing  $\Delta$  by a factor of 2. Logarithms are sometimes taken to base 10, introducing a further factor of  $\log_{10} e$ .

#### Forced oscillations

Differential equation	$\frac{d^2x}{dt^2} + 2\gamma \frac{dx}{dt} + \omega_0^2 x = F_0 e^{i\omega_f t}$	(3.204)	$x$ oscillating variable $t$ time $\gamma$ damping factor (per unit mass) $\omega_0$ undamped angular frequency $F_0$ force amplitude (per unit mass) $\omega_f$ forcing angular frequency $A$ amplitude $\phi$ phase lag of response behind driving force
Steady-state solution <sup>a</sup>	$x = Ae^{i(\omega_f t - \phi)}$ , where	(3.205)	
	$A = F_0 [(\omega_0^2 - \omega_f^2)^2 + (2\gamma\omega_f)^2]^{-1/2}$	(3.206)	
	$\simeq \frac{F_0/(2\omega_0)}{[(\omega_0 - \omega_f)^2 + \gamma^2]^{1/2}} \quad (\gamma \ll \omega_f)$	(3.207)	
	$\tan \phi = \frac{2\gamma\omega_f}{\omega_0^2 - \omega_f^2}$	(3.208)	
Amplitude resonance <sup>b</sup>	$\omega_{ar}^2 = \omega_0^2 - 2\gamma^2$	(3.209)	$\omega_{ar}$ amplitude resonant forcing angular frequency
Velocity resonance <sup>c</sup>	$\omega_{vr} = \omega_0$	(3.210)	$\omega_{vr}$ velocity resonant forcing angular frequency
Quality factor	$Q = \frac{\omega_0}{2\gamma}$	(3.211)	$Q$ quality factor
Impedance	$Z = 2\gamma + i \frac{\omega_f^2 - \omega_0^2}{\omega_f}$	(3.212)	$Z$ impedance (per unit mass)

<sup>a</sup>Excluding the free oscillation terms.

<sup>b</sup>Forcing frequency for maximum displacement.

<sup>c</sup>Forcing frequency for maximum velocity. Note  $\phi = \pi/2$  at this frequency.

### 3.7 Generalised dynamics

#### Lagrangian dynamics

Action	$S = \int_{t_1}^{t_2} L(\mathbf{q}, \dot{\mathbf{q}}, t) dt \quad (3.213)$	$S$ action ( $\delta S = 0$ for the motion)
Euler–Lagrange equation	$\frac{d}{dt} \left( \frac{\partial L}{\partial \dot{q}_i} \right) - \frac{\partial L}{\partial q_i} = 0 \quad (3.214)$	$q$ generalised coordinates $\dot{q}$ generalised velocities $L$ Lagrangian $t$ time $m$ mass
Lagrangian of particle in external field	$L = \frac{1}{2}mv^2 - U(\mathbf{r}, t) \quad (3.215)$	$v$ velocity $\mathbf{r}$ position vector
	$= T - U \quad (3.216)$	$U$ potential energy $T$ kinetic energy
Relativistic Lagrangian of a charged particle	$L = -\frac{m_0c^2}{\gamma} - e(\phi - \mathbf{A} \cdot \mathbf{v}) \quad (3.217)$	$m_0$ (rest) mass $\gamma$ Lorentz factor $+e$ positive charge $\phi$ electric potential $\mathbf{A}$ magnetic vector potential
Generalised momenta	$p_i = \frac{\partial L}{\partial \dot{q}_i} \quad (3.218)$	$p_i$ generalised momenta

#### Hamiltonian dynamics

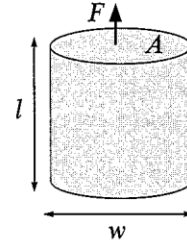
Hamiltonian	$H = \sum_i p_i \dot{q}_i - L \quad (3.219)$	$L$ Lagrangian $p_i$ generalised momenta $\dot{q}_i$ generalised velocities
Hamilton's equations	$\dot{q}_i = \frac{\partial H}{\partial p_i}; \quad \dot{p}_i = -\frac{\partial H}{\partial q_i} \quad (3.220)$	$H$ Hamiltonian $q_i$ generalised coordinates
Hamiltonian of particle in external field	$H = \frac{1}{2}mv^2 + U(\mathbf{r}, t) \quad (3.221)$	$v$ particle speed $\mathbf{r}$ position vector
	$= T + U \quad (3.222)$	$U$ potential energy $T$ kinetic energy
Relativistic Hamiltonian of a charged particle	$H = (m_0^2c^4 +  \mathbf{p} - e\mathbf{A} ^2c^2)^{1/2} + e\phi \quad (3.223)$	$m_0$ (rest) mass $c$ speed of light $+e$ positive charge $\phi$ electric potential $\mathbf{A}$ vector potential
Poisson brackets	$[f, g] = \sum_i \left( \frac{\partial f}{\partial q_i} \frac{\partial g}{\partial p_i} - \frac{\partial f}{\partial p_i} \frac{\partial g}{\partial q_i} \right) \quad (3.224)$	$\mathbf{p}$ particle momentum $t$ time
	$[q_i, g] = \frac{\partial g}{\partial p_i}, \quad [p_i, g] = -\frac{\partial g}{\partial q_i} \quad (3.225)$	$f, g$ arbitrary functions
	$[H, g] = 0 \quad \text{if} \quad \frac{\partial g}{\partial t} = 0, \quad \frac{dg}{dt} = 0 \quad (3.226)$	$[, \cdot]$ Poisson bracket (also see <i>Commutators</i> on page 26)
Hamilton–Jacobi equation	$\frac{\partial S}{\partial t} + H \left( q_i, \frac{\partial S}{\partial q_i}, t \right) = 0 \quad (3.227)$	$S$ action



### 3.8 Elasticity

#### Elasticity definitions (simple)<sup>a</sup>

Stress	$\tau = F/A$	(3.228)	$\tau$ stress $F$ applied force $A$ cross-sectional area
Strain	$e = \delta l/l$	(3.229)	$e$ strain $\delta l$ change in length $l$ length
Young modulus (Hooke's law)	$E = \tau/e = \text{constant}$	(3.230)	$E$ Young modulus
Poisson ratio <sup>b</sup>	$\sigma = -\frac{\delta w/w}{\delta l/l}$	(3.231)	$\sigma$ Poisson ratio $\delta w$ change in width $w$ width



<sup>a</sup>These apply to a thin wire under longitudinal stress.

<sup>b</sup>Solids obeying Hooke's law are restricted by thermodynamics to  $-1 \leq \sigma \leq 1/2$ , but none are known with  $\sigma < 0$ . Non-Hookean materials can show  $\sigma > 1/2$ .

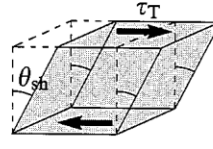
#### Elasticity definitions (general)

Stress tensor <sup>a</sup>	$\tau_{ij} = \frac{\text{force } \parallel i \text{ direction}}{\text{area } \perp j \text{ direction}}$	(3.232)	$\tau_{ij}$ stress tensor ( $\tau_{ij} = \tau_{ji}$ )
Strain tensor	$e_{kl} = \frac{1}{2} \left( \frac{\partial u_k}{\partial x_l} + \frac{\partial u_l}{\partial x_k} \right)$	(3.233)	$e_{kl}$ strain tensor ( $e_{kl} = e_{lk}$ ) $u_k$ displacement $\parallel$ to $x_k$ $x_k$ coordinate system
Elastic modulus	$\tau_{ij} = \lambda_{ijkl} e_{kl}$	(3.234)	$\lambda_{ijkl}$ elastic modulus
Elastic energy <sup>b</sup>	$U = \frac{1}{2} \lambda_{ijkl} e_{ij} e_{kl}$	(3.235)	$U$ potential energy
Volume strain (dilatation)	$e_v = \frac{\delta V}{V} = e_{11} + e_{22} + e_{33}$	(3.236)	$e_v$ volume strain $\delta V$ change in volume $V$ volume
Shear strain	$e_{kl} = \underbrace{\left( e_{kl} - \frac{1}{3} e_v \delta_{kl} \right)}_{\text{pure shear}} + \underbrace{\frac{1}{3} e_v \delta_{kl}}_{\text{dilatation}}$	(3.237)	$\delta_{kl}$ Kronecker delta
Hydrostatic compression	$\tau_{ij} = -p \delta_{ij}$	(3.238)	$p$ hydrostatic pressure

<sup>a</sup> $\tau_{ii}$  are normal stresses,  $\tau_{ij}$  ( $i \neq j$ ) are torsional stresses.

<sup>b</sup>As usual, products are implicitly summed over repeated indices.

**Isotropic elastic solids**

Lamé coefficients	$\mu = \frac{E}{2(1+\sigma)} \quad (3.239)$	$\lambda = \frac{E\sigma}{(1+\sigma)(1-2\sigma)} \quad (3.240)$	$\mu, \lambda$ Lamé coefficients $E$ Young modulus $\sigma$ Poisson ratio
Longitudinal modulus <sup>a</sup>	$M_l = \frac{E(1-\sigma)}{(1+\sigma)(1-2\sigma)} = \lambda + 2\mu \quad (3.241)$		$M_l$ longitudinal elastic modulus
Diagonalised equations <sup>b</sup>	$e_{ii} = \frac{1}{E} [\tau_{ii} - \sigma(\tau_{jj} + \tau_{kk})] \quad (3.242)$		$e_{ii}$ strain in $i$ direction $\tau_{ii}$ stress in $i$ direction
	$\tau_{ii} = M_l \left[ e_{ii} + \frac{\sigma}{1-\sigma} (e_{jj} + e_{kk}) \right] \quad (3.243)$		$\mathbf{e}$ strain tensor $\mathbf{t}$ stress tensor
	$\mathbf{t} = 2\mu\mathbf{e} + \lambda\mathbf{1}\text{tr}(\mathbf{e}) \quad (3.244)$		$\mathbf{1}$ unit matrix $\text{tr}(\cdot)$ trace
Bulk modulus (compression modulus)	$K = \frac{E}{3(1-2\sigma)} = \lambda + \frac{2}{3}\mu \quad (3.245)$		$K$ bulk modulus $K_T$ isothermal bulk modulus
	$\frac{1}{K_T} = -\frac{1}{V} \frac{\partial V}{\partial p} \Big _T \quad (3.246)$		$V$ volume
	$-p = K e_v \quad (3.247)$		$p$ pressure $T$ temperature
Shear modulus (rigidity modulus)	$\mu = \frac{E}{2(1+\sigma)} \quad (3.248)$		$e_v$ volume strain $\mu$ shear modulus
	$\tau_T = \mu\theta_{sh} \quad (3.249)$		$\tau_T$ transverse stress $\theta_{sh}$ shear strain
Young modulus	$E = \frac{9\mu K}{\mu + 3K} \quad (3.250)$		
Poisson ratio	$\sigma = \frac{3K - 2\mu}{2(3K + \mu)} \quad (3.251)$		

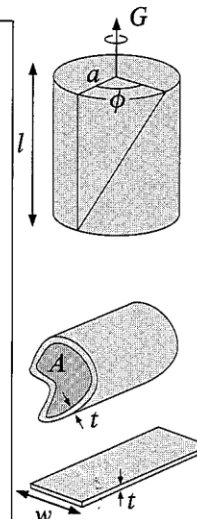
<sup>a</sup>In an extended medium.

<sup>b</sup>Axes aligned along eigenvectors of the stress and strain tensors.

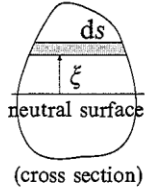
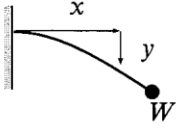
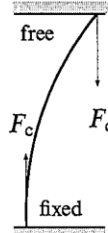
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**Torsion**

Torsional rigidity (for a homogeneous rod)	$G = C \frac{\phi}{l} \quad (3.252)$	$G$ twisting couple $C$ torsional rigidity $l$ rod length $\phi$ twist angle in length $l$
Thin circular cylinder	$C = 2\pi a^3 \mu t \quad (3.253)$	$a$ radius $t$ wall thickness $\mu$ shear modulus
Thick circular cylinder	$C = \frac{1}{2} \mu \pi (a_2^4 - a_1^4) \quad (3.254)$	$a_1$ inner radius $a_2$ outer radius
Arbitrary thin-walled tube	$C = \frac{4A^2 \mu t}{P} \quad (3.255)$	$A$ cross-sectional area $P$ perimeter
Long flat ribbon	$C = \frac{1}{3} \mu w t^3 \quad (3.256)$	$w$ cross-sectional width

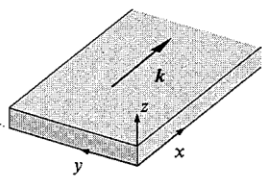


Bending beams<sup>a</sup>

Bending moment	$G_b = \frac{E}{R_c} \int \xi^2 ds \quad (3.257)$	$= \frac{EI}{R_c} \quad (3.258)$	$G_b$ bending moment $E$ Young modulus $R_c$ radius of curvature $ds$ area element $\xi$ distance to neutral surface from $ds$ $I$ moment of area	
Light beam, horizontal at $x=0$ , weight at $x=l$	$y = \frac{W}{2EI} \left( l - \frac{x}{3} \right) x^2 \quad (3.259)$		$y$ displacement from horizontal $W$ end-weight $l$ beam length $x$ distance along beam	
Heavy beam	$EI \frac{d^4 y}{dx^4} = w(x) \quad (3.260)$		$w$ beam weight per unit length	
Euler strut failure	$F_c = \begin{cases} \pi^2 EI / l^2 & \text{(free ends)} \\ 4\pi^2 EI / l^2 & \text{(fixed ends)} \\ \pi^2 EI / (4l^2) & \text{(1 free end)} \end{cases} \quad (3.261)$		$F_c$ critical compression force $l$ strut length	

<sup>a</sup>The radius of curvature is approximated by  $1/R_c \approx d^2 y / dx^2$ .

Elastic wave velocities<sup>a</sup>

In an infinite isotropic solid <sup>b</sup>	$v_t = (\mu / \rho)^{1/2} \quad (3.262)$	$v_t$ speed of transverse wave
	$v_l = (M_l / \rho)^{1/2} \quad (3.263)$	$v_l$ speed of longitudinal wave
	$\frac{v_l}{v_t} = \left( \frac{2-2\sigma}{1-2\sigma} \right)^{1/2} \quad (3.264)$	$\mu$ shear modulus $\rho$ density $M_l$ longitudinal modulus ( $= \frac{E(1-\sigma)}{(1+\sigma)(1-2\sigma)}$ )
In a fluid	$v_l = (K / \rho)^{1/2} \quad (3.265)$	$K$ bulk modulus
On a thin plate (wave travelling along $x$ , plate thin in $z$ )	 $v_l^{(x)} = \left[ \frac{E}{\rho(1-\sigma^2)} \right]^{1/2} \quad (3.266)$	$v_l^{(i)}$ speed of longitudinal wave (displacement $\parallel i$ )
	$v_t^{(y)} = (\mu / \rho)^{1/2} \quad (3.267)$	$v_t^{(i)}$ speed of transverse wave (displacement $\parallel i$ )
	$v_t^{(z)} = k \left[ \frac{Et^2}{12\rho(1-\sigma^2)} \right]^{1/2} \quad (3.268)$	$E$ Young modulus $\sigma$ Poisson ratio $k$ wavenumber ( $= 2\pi / \lambda$ ) $t$ plate thickness (in $z$ , $t \ll \lambda$ )
In a thin circular rod	$v_l = (E / \rho)^{1/2} \quad (3.269)$	
	$v_\phi = (\mu / \rho)^{1/2} \quad (3.270)$	$v_\phi$ torsional wave velocity
	$v_t = \frac{ka}{2} \left( \frac{E}{\rho} \right)^{1/2} \quad (3.271)$	$a$ rod radius ( $\ll \lambda$ )

<sup>a</sup>Waves that produce "bending" are generally dispersive. Wave (phase) speeds are quoted throughout.

<sup>b</sup>Transverse waves are also known as shear waves, or S-waves. Longitudinal waves are also known as pressure waves, or P-waves.

**Waves in strings and springs<sup>a</sup>**

In a spring	$v_l = (\kappa l / \rho_l)^{1/2}$	(3.272)	$v_l$ speed of longitudinal wave $\kappa$ spring constant <sup>b</sup> $l$ spring length $\rho_l$ mass per unit length <sup>c</sup>
On a stretched string	$v_t = (T / \rho_l)^{1/2}$	(3.273)	$v_t$ speed of transverse wave $T$ tension
On a stretched sheet	$v_t = (\tau / \rho_A)^{1/2}$	(3.274)	$\tau$ tension per unit width $\rho_A$ mass per unit area

<sup>a</sup>Wave amplitude assumed  $\ll$  wavelength.

<sup>b</sup>In the sense  $\kappa = \text{force}/\text{extension}$ .

<sup>c</sup>Measured along the axis of the spring.

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**Propagation of elastic waves**

Acoustic impedance	$Z = \frac{\text{force}}{\text{response velocity}} = \frac{F}{\dot{u}}$	(3.275)	$Z$ impedance $F$ stress force $u$ strain displacement
	$= (E' \rho)^{1/2}$	(3.276)	
Wave velocity/impedance relation	if $v = \left(\frac{E'}{\rho}\right)^{1/2}$	(3.277)	$E'$ elastic modulus $\rho$ density $v$ wave phase velocity
	then $Z = (E' \rho)^{1/2} = \rho v$	(3.278)	
Mean energy density (nondispersive waves)	$\mathcal{U} = \frac{1}{2} E' k^2 u_0^2$	(3.279)	$\mathcal{U}$ energy density $k$ wavenumber $\omega$ angular frequency $u_0$ maximum displacement $P$ mean energy flux
	$= \frac{1}{2} \rho \omega^2 u_0^2$	(3.280)	
	$P = \mathcal{U} v$	(3.281)	
Normal coefficients <sup>a</sup>	$r = \frac{u_r}{u_i} = -\frac{\tau_r}{\tau_i} = \frac{Z_1 - Z_2}{Z_1 + Z_2}$	(3.282)	$r$ reflection coefficient $t$ transmission coefficient $\tau$ stress
	$t = \frac{2Z_1}{Z_1 + Z_2}$	(3.283)	
Snell's law <sup>b</sup>	$\frac{\sin \theta_i}{v_i} = \frac{\sin \theta_r}{v_r} = \frac{\sin \theta_t}{v_t}$	(3.284)	$\theta_i$ angle of incidence $\theta_r$ angle of reflection $\theta_t$ angle of refraction

<sup>a</sup>For stress and strain amplitudes. Because these reflection and transmission coefficients are usually defined in terms of displacement,  $u$ , rather than stress, there are differences between these coefficients and their equivalents defined in electromagnetism [see Equation (7.179) and page 154].

<sup>b</sup>Angles defined from the normal to the interface. An incident plane pressure wave will generally excite both shear and pressure waves in reflection and transmission. Use the velocity appropriate for the wave type.

### 3.9 Fluid dynamics

#### Ideal fluids<sup>a</sup>

Continuity <sup>b</sup>	$\frac{\partial \rho}{\partial t} + \nabla \cdot (\rho \mathbf{v}) = 0$ (3.285)	$\rho$ density $\mathbf{v}$ fluid velocity field $t$ time
Kelvin circulation	$\Gamma = \oint \mathbf{v} \cdot d\mathbf{l} = \text{constant}$ (3.286)	$\Gamma$ circulation $d\mathbf{l}$ loop element
	$= \int_S \boldsymbol{\omega} \cdot d\mathbf{s}$ (3.287)	$d\mathbf{s}$ element of surface bounded by loop $\boldsymbol{\omega}$ vorticity ( $= \nabla \times \mathbf{v}$ )
Euler's equation <sup>c</sup>	$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = -\frac{\nabla p}{\rho} + \mathbf{g}$ (3.288)	$p$ pressure $\mathbf{g}$ gravitational field strength
	or $\frac{\partial}{\partial t} (\nabla \times \mathbf{v}) = \nabla \times [\mathbf{v} \times (\nabla \times \mathbf{v})]$ (3.289)	$(\mathbf{v} \cdot \nabla)$ advective operator
Bernoulli's equation (incompressible flow)	$\frac{1}{2} \rho v^2 + p + \rho g z = \text{constant}$ (3.290)	$z$ altitude
Bernoulli's equation (compressible adiabatic flow) <sup>d</sup>	$\frac{1}{2} v^2 + \frac{\gamma}{\gamma - 1} \frac{p}{\rho} + g z = \text{constant}$ (3.291)	$\gamma$ ratio of specific heat capacities ( $c_p/c_v$ )
	$= \frac{1}{2} v^2 + c_p T + g z$ (3.292)	$c_p$ specific heat capacity at constant pressure $T$ temperature
Hydrostatics	$\nabla p = \rho \mathbf{g}$ (3.293)	
Adiabatic lapse rate (ideal gas)	$\frac{dT}{dz} = -\frac{g}{c_p}$ (3.294)	

<sup>a</sup>No thermal conductivity or viscosity.

<sup>b</sup>True generally.

<sup>c</sup>The second form of Euler's equation applies to incompressible flow only.

<sup>d</sup>Equation (3.292) is true only for an ideal gas.

#### Potential flow<sup>a</sup>

Velocity potential	$\mathbf{v} = \nabla \phi$ (3.295)	$\mathbf{v}$ velocity
	$\nabla^2 \phi = 0$ (3.296)	$\phi$ velocity potential
Vorticity condition	$\boldsymbol{\omega} = \nabla \times \mathbf{v} = 0$ (3.297)	$\boldsymbol{\omega}$ vorticity
Drag force on a sphere <sup>b</sup>	$\mathbf{F} = -\frac{2}{3} \pi \rho a^3 \dot{\mathbf{u}} = -\frac{1}{2} M_d \dot{\mathbf{u}}$ (3.298)	$\mathbf{F}$ drag force on moving sphere $a$ sphere radius $\dot{\mathbf{u}}$ sphere acceleration $\rho$ fluid density $M_d$ displaced fluid mass

<sup>a</sup>For incompressible fluids.

<sup>b</sup>The effect of this drag force is to give the sphere an additional effective mass equal to half the mass of fluid displaced.

**Viscous flow (incompressible)<sup>a</sup>**

Fluid stress	$\tau_{ij} = -p\delta_{ij} + \eta \left( \frac{\partial v_i}{\partial x_j} + \frac{\partial v_j}{\partial x_i} \right)$ (3.299)	$\tau_{ij}$ fluid stress tensor $p$ hydrostatic pressure $\eta$ shear viscosity $v_i$ velocity along $i$ axis $\delta_{ij}$ Kronecker delta
Navier–Stokes equation <sup>b</sup>	$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla)\mathbf{v} = -\frac{\nabla p}{\rho} - \frac{\eta}{\rho} \nabla \times \boldsymbol{\omega} + \mathbf{g}$ (3.300) $= -\frac{\nabla p}{\rho} + \frac{\eta}{\rho} \nabla^2 \mathbf{v} + \mathbf{g}$ (3.301)	$\mathbf{v}$ fluid velocity field $\boldsymbol{\omega}$ vorticity $\mathbf{g}$ gravitational acceleration $\rho$ density
Kinematic viscosity	$\nu = \eta / \rho$ (3.302)	$\nu$ kinematic viscosity

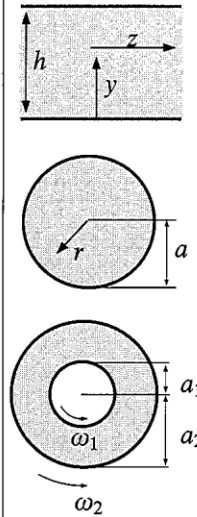
<sup>a</sup>I.e.,  $\nabla \cdot \mathbf{v} = 0$ ,  $\eta \neq 0$ .

<sup>b</sup>Neglecting bulk (second) viscosity.

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**Laminar viscous flow**

Between parallel plates	$v_z(y) = \frac{1}{2\eta} y(h-y) \frac{\partial p}{\partial z}$ (3.303)	$v_z$ flow velocity $z$ direction of flow $y$ distance from plate $\eta$ shear viscosity $p$ pressure
Along a circular pipe <sup>a</sup>	$v_z(r) = \frac{1}{4\eta} (a^2 - r^2) \frac{\partial p}{\partial z}$ (3.304) $Q = \frac{dV}{dt} = \frac{\pi a^4}{8\eta} \frac{\partial p}{\partial z}$ (3.305)	$r$ distance from pipe axis $a$ pipe radius $V$ volume
Circulating between concentric rotating cylinders <sup>b</sup>	$G_z = \frac{4\pi\eta a_1^2 a_2^2}{a_2^2 - a_1^2} (\omega_2 - \omega_1)$ (3.306)	$G_z$ axial couple between cylinders per unit length $\omega_i$ angular velocity of $i$ th cylinder
Along an annular pipe	$Q = \frac{\pi}{8\eta} \frac{\partial p}{\partial z} \left[ a_2^4 - a_1^4 - \frac{(a_2^2 - a_1^2)^2}{\ln(a_2/a_1)} \right]$ (3.307)	$a_1$ inner radius $a_2$ outer radius $Q$ volume discharge rate



<sup>a</sup>Poiseuille flow.

<sup>b</sup>Couette flow.

**Drag<sup>a</sup>**

On a sphere (Stokes's law)	$F = 6\pi a \eta v$ (3.308)	$F$ drag force $a$ radius
On a disk, broadside to flow	$F = 16a \eta v$ (3.309)	$v$ velocity $\eta$ shear viscosity
On a disk, edge on to flow	$F = 32a \eta v / 3$ (3.310)	

<sup>a</sup>For Reynolds numbers  $\ll 1$ .

## Characteristic numbers

Reynolds number	$Re = \frac{\rho UL}{\eta} = \frac{\text{inertial force}}{\text{viscous force}}$ (3.311)	Re Reynolds number $\rho$ density $U$ characteristic velocity $L$ characteristic scale-length $\eta$ shear viscosity
Froude number <sup>a</sup>	$F = \frac{U^2}{Lg} = \frac{\text{inertial force}}{\text{gravitational force}}$ (3.312)	F Froude number $g$ gravitational acceleration
Strouhal number <sup>b</sup>	$S = \frac{U\tau}{L} = \frac{\text{evolution scale}}{\text{physical scale}}$ (3.313)	S Strouhal number $\tau$ characteristic timescale
Prandtl number	$P = \frac{\eta c_p}{\lambda} = \frac{\text{momentum transport}}{\text{heat transport}}$ (3.314)	P Prandtl number $c_p$ Specific heat capacity at constant pressure $\lambda$ thermal conductivity
Mach number	$M = \frac{U}{c} = \frac{\text{speed}}{\text{sound speed}}$ (3.315)	M Mach number $c$ sound speed
Rossby number	$Ro = \frac{U}{\Omega L} = \frac{\text{inertial force}}{\text{Coriolis force}}$ (3.316)	Ro Rossby number $\Omega$ angular velocity

<sup>a</sup>Sometimes the square root of this expression.  $L$  is usually the fluid depth.

<sup>b</sup>Sometimes the reciprocal of this expression.

## Fluid waves

Sound waves	$v_p = \left(\frac{K}{\rho}\right)^{1/2} = \left(\frac{dp}{d\rho}\right)^{1/2}$ (3.317)	$v_p$ wave (phase) speed $K$ bulk modulus $p$ pressure $\rho$ density
In an ideal gas (adiabatic conditions) <sup>a</sup>	$v_p = \left(\frac{\gamma RT}{\mu}\right)^{1/2} = \left(\frac{\gamma p}{\rho}\right)^{1/2}$ (3.318)	$\gamma$ ratio of heat capacities $R$ molar gas constant $T$ (absolute) temperature $\mu$ mean molecular mass
Gravity waves on a liquid surface <sup>b</sup>	$\omega^2 = gk \tanh kh$ (3.319) $v_g \simeq \begin{cases} \frac{1}{2} \left(\frac{g}{k}\right)^{1/2} & (h \gg \lambda) \\ (gh)^{1/2} & (h \ll \lambda) \end{cases}$ (3.320)	$v_g$ group speed of wave $h$ liquid depth $\lambda$ wavelength $k$ wavenumber $g$ gravitational acceleration $\omega$ angular frequency
Capillary waves (ripples) <sup>c</sup>	$\omega^2 = \frac{\sigma k^3}{\rho}$ (3.321)	$\sigma$ surface tension
Capillary-gravity waves ( $h \gg \lambda$ )	$\omega^2 = gk + \frac{\sigma k^3}{\rho}$ (3.322)	

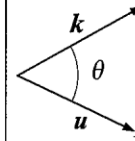
<sup>a</sup>If the waves are isothermal rather than adiabatic then  $v_p = (p/\rho)^{1/2}$ .

<sup>b</sup>Amplitude  $\ll$  wavelength.

<sup>c</sup>In the limit  $k^2 \gg g\rho/\sigma$ .

**Doppler effect<sup>a</sup>**

Source at rest, observer moving at $u$	$\frac{v'}{v} = 1 - \frac{ u }{v_p} \cos \theta$	(3.323)	$v', v''$ observed frequency $v$ emitted frequency $v_p$ wave (phase) speed in fluid
Observer at rest, source moving at $u$	$\frac{v''}{v} = \frac{1}{1 - \frac{ u }{v_p} \cos \theta}$	(3.324)	$u$ velocity $\theta$ angle between wavevector, $k$ , and $u$



<sup>a</sup>For plane waves in a stationary fluid.

**Wave speeds**

Phase speed	$v_p = \frac{\omega}{k} = v\lambda$	(3.325)	$v_p$ phase speed $v$ frequency $\omega$ angular frequency ( $= 2\pi v$ ) $\lambda$ wavelength $k$ wavenumber ( $= 2\pi/\lambda$ )
Group speed	$v_g = \frac{d\omega}{dk}$	(3.326)	$v_g$ group speed
	$= v_p - \lambda \frac{dv_p}{d\lambda}$	(3.327)	

**Shocks**

Mach wedge <sup>a</sup>	$\sin \theta_w = \frac{v_p}{v_b}$	(3.328)	$\theta_w$ wedge semi-angle $v_p$ wave (phase) speed $v_b$ body speed
Kelvin wedge <sup>b</sup>	$\lambda_K = \frac{4\pi v_b^2}{3g}$	(3.329)	$\lambda_K$ characteristic wavelength $g$ gravitational acceleration
	$\theta_w = \arcsin(1/3) = 19^\circ.5$	(3.330)	
Spherical adiabatic shock <sup>c</sup>	$r \simeq \left( \frac{Et^2}{\rho_0} \right)^{1/5}$	(3.331)	$r$ shock radius $E$ energy release $t$ time $\rho_0$ density of undisturbed medium
Rankine-Hugoniot shock relations <sup>d</sup>	$\frac{p_2}{p_1} = \frac{2\gamma M_1^2 - (\gamma - 1)}{\gamma + 1}$	(3.332)	1 upstream values 2 downstream values
	$\frac{v_1}{v_2} = \frac{\rho_2}{\rho_1} = \frac{\gamma + 1}{(\gamma - 1) + 2/M_1^2}$	(3.333)	$p$ pressure $v$ velocity
	$\frac{T_2}{T_1} = \frac{[2\gamma M_1^2 - (\gamma - 1)][2 + (\gamma - 1)M_1^2]}{(\gamma + 1)^2 M_1^2}$	(3.334)	$T$ temperature $\rho$ density $\gamma$ ratio of specific heats $M$ Mach number

<sup>a</sup>Approximating the wake generated by supersonic motion of a body in a nondispersive medium.

<sup>b</sup>For gravity waves, e.g., in the wake of a boat. Note that the wedge semi-angle is independent of  $v_b$ .

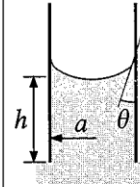
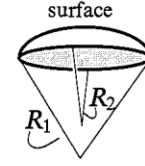
<sup>c</sup>Sedov-Taylor relation.

<sup>d</sup>Solutions for a steady, normal shock, in the frame moving with the shock front. If  $\gamma = 5/3$  then  $v_1/v_2 \leq 4$ .



## Surface tension

Definition	$\sigma_{lv} = \frac{\text{surface energy}}{\text{area}} \quad (3.335)$	$= \frac{\text{surface tension}}{\text{length}} \quad (3.336)$	$\sigma_{lv}$ surface tension (liquid/vapour interface)
Laplace's formula <sup>a</sup>	$\Delta p = \sigma_{lv} \left( \frac{1}{R_1} + \frac{1}{R_2} \right) \quad (3.337)$		$\Delta p$ pressure difference over surface $R_i$ principal radii of curvature
Capillary constant	$c_c = \left( \frac{2\sigma_{lv}}{g\rho} \right)^{1/2} \quad (3.338)$		$c_c$ capillary constant $\rho$ liquid density $g$ gravitational acceleration
Capillary rise (circular tube)	$h = \frac{2\sigma_{lv} \cos \theta}{\rho g a} \quad (3.339)$		$h$ rise height $\theta$ contact angle $a$ tube radius
Contact angle	$\cos \theta = \frac{\sigma_{wv} - \sigma_{wl}}{\sigma_{lv}} \quad (3.340)$		$\sigma_{wv}$ wall/vapour surface tension $\sigma_{wl}$ wall/liquid surface tension



<sup>a</sup>For a spherical bubble in a liquid  $\Delta p = 2\sigma_{lv}/R$ . For a soap bubble (two surfaces)  $\Delta p = 4\sigma_{lv}/R$ .

# Chapter 4 Quantum physics

## 4.1 Introduction

Quantum ideas occupy such a pivotal position in physics that different notations and algebras appropriate to each field have been developed. In the spirit of this book, only those formulas that are commonly present in undergraduate courses and that can be simply presented in tabular form are included here. For example, much of the detail of atomic spectroscopy and of specific perturbation analyses has been omitted, as have ideas from the somewhat specialised field of quantum electrodynamics. Traditionally, quantum physics is understood through standard “toy” problems, such as the potential step and the one-dimensional harmonic oscillator, and these are reproduced here. Operators are distinguished from observables using the “hat” notation, so that the momentum observable,  $p_x$ , has the operator  $\hat{p}_x = -i\hbar\partial/\partial x$ .

For clarity, many relations that can be generalised to three dimensions in an obvious way have been stated in their one-dimensional form, and wavefunctions are implicitly taken as normalised functions of space and time unless otherwise stated. With the exception of the last panel, all equations should be taken as nonrelativistic, so that “total energy” is the sum of potential and kinetic energies, excluding the rest mass energy.

## 4.2 Quantum definitions

### Quantum uncertainty relations

De Broglie relation	$p = \frac{h}{\lambda}$	(4.1)	$p, \mathbf{p}$ particle momentum
	$\mathbf{p} = \hbar \mathbf{k}$	(4.2)	$h$ Planck constant $\hbar$ $h/(2\pi)$ $\lambda$ de Broglie wavelength $\mathbf{k}$ de Broglie wavevector
Planck–Einstein relation	$E = h\nu = \hbar\omega$	(4.3)	$E$ energy $\nu$ frequency $\omega$ angular frequency ( $=2\pi\nu$ )
Dispersion <sup>a</sup>	$(\Delta a)^2 = \langle (a - \langle a \rangle)^2 \rangle$	(4.4)	$a, b$ observables <sup>b</sup>
	$= \langle a^2 \rangle - \langle a \rangle^2$	(4.5)	$\langle \cdot \rangle$ expectation value $(\Delta a)^2$ dispersion of $a$
General uncertainty relation	$(\Delta a)^2 (\Delta b)^2 \geq \frac{1}{4} \langle i[\hat{a}, \hat{b}] \rangle^2$	(4.6)	$\hat{a}$ operator for observable $a$ $[\cdot, \cdot]$ commutator (see page 26)
Momentum–position uncertainty relation <sup>c</sup>	$\Delta p \Delta x \geq \frac{\hbar}{2}$	(4.7)	$x$ particle position
Energy–time uncertainty relation	$\Delta E \Delta t \geq \frac{\hbar}{2}$	(4.8)	$t$ time
Number–phase uncertainty relation	$\Delta n \Delta \phi \geq \frac{1}{2}$	(4.9)	$n$ number of photons $\phi$ wave phase

<sup>a</sup>Dispersion in quantum physics corresponds to variance in statistics.

<sup>b</sup>An observable is a directly measurable parameter of a system.

<sup>c</sup>Also known as the “Heisenberg uncertainty relation.”

### Wavefunctions

Probability density	$\text{pr}(x, t) \, dx =  \psi(x, t) ^2 \, dx$	(4.10)	$\text{pr}$ probability density $\psi$ wavefunction
Probability density current <sup>a</sup>	$\mathbf{j}(x) = \frac{\hbar}{2im} \left( \psi^* \frac{\partial \psi}{\partial x} - \psi \frac{\partial \psi^*}{\partial x} \right)$	(4.11)	$\mathbf{j}, j$ probability density current $\hbar$ (Planck constant)/(2π)
	$\mathbf{j} = \frac{\hbar}{2im} [\psi^*(\mathbf{r}) \nabla \psi(\mathbf{r}) - \psi(\mathbf{r}) \nabla \psi^*(\mathbf{r})]$	(4.12)	$x$ position coordinate $\hat{\mathbf{p}}$ momentum operator
	$= \frac{1}{m} \Re(\psi^* \hat{\mathbf{p}} \psi)$	(4.13)	$m$ particle mass $\Re$ real part of $t$ time
Continuity equation	$\nabla \cdot \mathbf{j} = -\frac{\partial}{\partial t} (\psi \psi^*)$	(4.14)	
Schrödinger equation	$\hat{H} \psi = i\hbar \frac{\partial \psi}{\partial t}$	(4.15)	$H$ Hamiltonian
Particle stationary states <sup>b</sup>	$-\frac{\hbar^2}{2m} \frac{\partial^2 \psi(x)}{\partial x^2} + V(x)\psi(x) = E\psi(x)$	(4.16)	$V$ potential energy $E$ total energy

<sup>a</sup>For particles. In three dimensions, suitable units would be particles  $\text{m}^{-2}\text{s}^{-1}$ .

<sup>b</sup>Time-independent Schrödinger equation for a particle, in one dimension.

## Operators

Hermitian conjugate operator	$\int (\hat{a}\phi)^* \psi dx = \int \phi^* \hat{a}\psi dx$ (4.17)	$\hat{a}$ Hermitian conjugate operator $\psi, \phi$ normalisable functions
Position operator	$\hat{x}^n = x^n$ (4.18)	* complex conjugate $x, y$ position coordinates
Momentum operator	$\hat{p}_x^n = \frac{\hbar}{i} \frac{\partial^n}{\partial x^n}$ (4.19)	$n$ arbitrary integer $\geq 1$ $p_x$ momentum coordinate
Kinetic energy operator	$\hat{T} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2}$ (4.20)	$T$ kinetic energy $\hbar$ (Planck constant)/(2 $\pi$ ) $m$ particle mass
Hamiltonian operator	$\hat{H} = -\frac{\hbar^2}{2m} \frac{\partial^2}{\partial x^2} + V(x)$ (4.21)	$H$ Hamiltonian $V$ potential energy
Angular momentum operators	$\hat{L}_z = \hat{x}\hat{p}_y - \hat{y}\hat{p}_x$ (4.22) $\hat{L}^2 = \hat{L}_x^2 + \hat{L}_y^2 + \hat{L}_z^2$ (4.23)	$L_z$ angular momentum along $z$ axis (sim. $x$ and $y$ ) $L$ total angular momentum
Parity operator	$\hat{P}\psi(\mathbf{r}) = \psi(-\mathbf{r})$ (4.24)	$\hat{P}$ parity operator $\mathbf{r}$ position vector

4

## Expectation value

Expectation value <sup>a</sup>	$\langle a \rangle = \langle \hat{a} \rangle = \int \Psi^* \hat{a} \Psi dx$ (4.25) $= \langle \Psi   \hat{a}   \Psi \rangle$ (4.26)	$\langle a \rangle$ expectation value of $a$ $\hat{a}$ operator for $a$ $\Psi$ (spatial) wavefunction $x$ (spatial) coordinate
Time dependence	$\frac{d}{dt} \langle \hat{a} \rangle = \frac{i}{\hbar} \langle [\hat{H}, \hat{a}] \rangle + \left\langle \frac{\partial \hat{a}}{\partial t} \right\rangle$ (4.27)	$t$ time $\hbar$ (Planck constant)/(2 $\pi$ )
Relation to eigenfunctions	if $\hat{a}\psi_n = a_n\psi_n$ and $\Psi = \sum c_n\psi_n$ then $\langle a \rangle = \sum  c_n ^2 a_n$ (4.28)	$\psi_n$ eigenfunctions of $\hat{a}$ $a_n$ eigenvalues $n$ dummy index $c_n$ probability amplitudes
Ehrenfest's theorem	$m \frac{d}{dt} \langle \mathbf{r} \rangle = \langle \mathbf{p} \rangle$ (4.29) $\frac{d}{dt} \langle \mathbf{p} \rangle = -\langle \nabla V \rangle$ (4.30)	$m$ particle mass $\mathbf{r}$ position vector $\mathbf{p}$ momentum $V$ potential energy

<sup>a</sup>Equation (4.26) uses the Dirac "bra-ket" notation for integrals involving operators. The presence of vertical bars distinguishes this use of angled brackets from that on the left-hand side of the equations. Note that  $\langle a \rangle$  and  $\langle \hat{a} \rangle$  are taken as equivalent.

## Dirac notation

Matrix element <sup>a</sup>	$a_{nm} = \int \psi_n^* \hat{a} \psi_m dx$ $= \langle n   \hat{a}   m \rangle$	(4.31) (4.32)	$n, m$ eigenvector indices $a_{nm}$ matrix element $\psi_n$ basis states $\hat{a}$ operator $x$ spatial coordinate
Bra vector	bra state vector = $\langle n  $	(4.33)	$\langle \cdot  $ bra
Ket vector	ket state vector = $  m \rangle$	(4.34)	$  \cdot \rangle$ ket
Scalar product	$\langle n   m \rangle = \int \psi_n^* \psi_m dx$	(4.35)	
Expectation	if $\Psi = \sum_n c_n \psi_n$	(4.36)	$\Psi$ wavefunction
	then $\langle a \rangle = \sum_m \sum_n c_n^* c_m a_{nm}$	(4.37)	$c_n$ probability amplitudes

<sup>a</sup>The Dirac bracket,  $\langle n | \hat{a} | m \rangle$ , can also be written  $\langle \psi_n | \hat{a} | \psi_m \rangle$ .

## 4.3 Wave mechanics

Potential step<sup>a</sup>

Potential function	$V(x) = \begin{cases} 0 & (x < 0) \\ V_0 & (x \geq 0) \end{cases}$	(4.38)	$V$ particle potential energy $V_0$ step height $\hbar$ (Planck constant)/( $2\pi$ )
Wavenumbers	$\hbar^2 k^2 = 2mE \quad (x < 0)$	(4.39)	$k, q$ particle wavenumbers
	$\hbar^2 q^2 = 2m(E - V_0) \quad (x > 0)$	(4.40)	$m$ particle mass $E$ total particle energy
Amplitude reflection coefficient	$r = \frac{k - q}{k + q}$	(4.41)	$r$ amplitude reflection coefficient
Amplitude transmission coefficient	$t = \frac{2k}{k + q}$	(4.42)	$t$ amplitude transmission coefficient
Probability currents <sup>b</sup>	$j_I = \frac{\hbar k}{m} (1 -  r ^2)$	(4.43)	$j_I$ particle flux in zone I
	$j_{II} = \frac{\hbar q}{m}  t ^2$	(4.44)	$j_{II}$ particle flux in zone II

<sup>a</sup>One-dimensional interaction with an incident particle of total energy  $E = KE + V$ . If  $E < V_0$  then  $q$  is imaginary and  $|r|^2 = 1$ .  $1/|q|$  is then a measure of the tunnelling depth.

<sup>b</sup>Particle flux with the sign of increasing  $x$ .

**Potential well<sup>a</sup>**

Potential function	$V(x) = \begin{cases} 0 & ( x  > a) \\ -V_0 & ( x  \leq a) \end{cases} \quad (4.45)$	$V$ particle potential energy $V_0$ well depth $\hbar$ (Planck constant)/( $2\pi$ ) $2a$ well width
Wavenumbers	$\hbar^2 k^2 = 2mE \quad ( x  > a) \quad (4.46)$	$k, q$ particle wavenumbers $m$ particle mass $E$ total particle energy
	$\hbar^2 q^2 = 2m(E + V_0) \quad ( x  < a) \quad (4.47)$	
Amplitude reflection coefficient	$r = \frac{ie^{-2ika}(q^2 - k^2) \sin 2qa}{2kq \cos 2qa - i(q^2 + k^2) \sin 2qa} \quad (4.48)$	$r$ amplitude reflection coefficient
Amplitude transmission coefficient	$t = \frac{2kqe^{-2ika}}{2kq \cos 2qa - i(q^2 + k^2) \sin 2qa} \quad (4.49)$	$t$ amplitude transmission coefficient
Probability currents <sup>b</sup>	$j_I = \frac{\hbar k}{m}(1 -  r ^2) \quad (4.50)$	$j_I$ particle flux in zone I
	$j_{III} = \frac{\hbar k}{m} t ^2 \quad (4.51)$	$j_{III}$ particle flux in zone III
Ramsauer effect <sup>c</sup>	$E_n = -V_0 + \frac{n^2 \hbar^2 \pi^2}{8ma^2} \quad (4.52)$	$n$ integer $> 0$ $E_n$ Ramsauer energy
Bound states ( $V_0 < E < 0$ ) <sup>d</sup>	$\tan qa = \begin{cases}  k /q & \text{even parity} \\ -q/ k  & \text{odd parity} \end{cases} \quad (4.53)$	
	$q^2 -  k ^2 = 2mV_0/\hbar^2 \quad (4.54)$	

<sup>a</sup>One-dimensional interaction with an incident particle of total energy  $E = KE + V > 0$ .

<sup>b</sup>Particle flux in the sense of increasing  $x$ .

<sup>c</sup>Incident energy for which  $2qa = n\pi$ ,  $|r| = 0$ , and  $|t| = 1$ .

<sup>d</sup>When  $E < 0$ ,  $k$  is purely imaginary.  $|k|$  and  $q$  are obtained by solving these implicit equations.

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Barrier tunnelling<sup>a</sup>

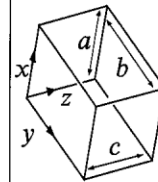
Potential function	$V(x) = \begin{cases} 0 & ( x  > a) \\ V_0 & ( x  \leq a) \end{cases} \quad (4.55)$	$V$ particle potential energy $V_0$ well depth $\hbar$ (Planck constant)/( $2\pi$ ) $2a$ barrier width
Wavenumber and tunnelling constant	$\hbar^2 k^2 = 2mE \quad ( x  > a) \quad (4.56)$	$k$ incident wavenumber
	$\hbar^2 \kappa^2 = 2m(V_0 - E) \quad ( x  < a) \quad (4.57)$	$\kappa$ tunnelling constant
Amplitude reflection coefficient	$r = \frac{-ie^{-2ika}(k^2 + \kappa^2) \sinh 2\kappa a}{2k\kappa \cosh 2\kappa a - i(k^2 - \kappa^2) \sinh 2\kappa a} \quad (4.58)$	$r$ amplitude reflection coefficient
Amplitude transmission coefficient	$t = \frac{2k\kappa e^{-2ika}}{2k\kappa \cosh 2\kappa a - i(k^2 - \kappa^2) \sinh 2\kappa a} \quad (4.59)$	$t$ amplitude transmission coefficient
Tunnelling probability	$ t ^2 = \frac{4k^2\kappa^2}{(k^2 + \kappa^2)^2 \sinh^2 2\kappa a + 4k^2\kappa^2} \quad (4.60)$	$ t ^2$ tunnelling probability
	$\simeq \frac{16k^2\kappa^2}{(k^2 + \kappa^2)^2} \exp(-4\kappa a) \quad ( t ^2 \ll 1) \quad (4.61)$	
Probability currents <sup>b</sup>	$j_I = \frac{\hbar k}{m} (1 -  r ^2) \quad (4.62)$	$j_I$ particle flux in zone I
	$j_{III} = \frac{\hbar k}{m}  t ^2 \quad (4.63)$	$j_{III}$ particle flux in zone III

<sup>a</sup>By a particle of total energy  $E = KE + V$ , through a one-dimensional rectangular potential barrier height  $V_0 > E$ .

<sup>b</sup>Particle flux in the sense of increasing  $x$ .

Particle in a rectangular box<sup>a</sup>

Eigenfunctions	$\Psi_{lmn} = \left(\frac{8}{abc}\right)^{1/2} \sin \frac{l\pi x}{a} \sin \frac{m\pi y}{b} \sin \frac{n\pi z}{c} \quad (4.64)$	$\Psi_{lmn}$ eigenfunctions $a, b, c$ box dimensions $l, m, n$ integers $\geq 1$
Energy levels	$E_{lmn} = \frac{h^2}{8M} \left( \frac{l^2}{a^2} + \frac{m^2}{b^2} + \frac{n^2}{c^2} \right) \quad (4.65)$	$E_{lmn}$ energy $h$ Planck constant $M$ particle mass
Density of states	$\rho(E) dE = \frac{4\pi}{h^3} (2M^3 E)^{1/2} dE \quad (4.66)$	$\rho(E)$ density of states (per unit volume)



<sup>a</sup>Spinless particle in a rectangular box bounded by the planes  $x=0$ ,  $y=0$ ,  $z=0$ ,  $x=a$ ,  $y=b$ , and  $z=c$ . The potential is zero inside and infinite outside the box.

## Harmonic oscillator

Schrödinger equation	$-\frac{\hbar^2}{2m} \frac{\partial^2 \psi_n}{\partial x^2} + \frac{1}{2} m \omega^2 x^2 \psi_n = E_n \psi_n \quad (4.67)$	$\hbar$ (Planck constant)/(2 $\pi$ ) $m$ mass $\psi_n$ $n$ th eigenfunction $x$ displacement $n$ integer $\geq 0$ $\omega$ angular frequency $E_n$ total energy in $n$ th state
Energy levels <sup>a</sup>	$E_n = \left(n + \frac{1}{2}\right) \hbar \omega \quad (4.68)$	
Eigenfunctions	$\psi_n = \frac{H_n(x/a) \exp[-x^2/(2a^2)]}{(n! 2^n a \pi^{1/2})^{1/2}} \quad (4.69)$ where $a = \left(\frac{\hbar}{m\omega}\right)^{1/2}$	$H_n$ Hermite polynomials
Hermite polynomials	$H_0(y) = 1, \quad H_1(y) = 2y, \quad H_2(y) = 4y^2 - 2$ $H_{n+1}(y) = 2yH_n(y) - 2nH_{n-1}(y) \quad (4.70)$	$y$ dummy variable

<sup>a</sup> $E_0$  is the zero-point energy of the oscillator.

## 4.4 Hydrogenic atoms

Bohr model<sup>a</sup>

Quantisation condition	$\mu r_n^2 \Omega = n \hbar \quad (4.71)$	$r_n$ $n$ th orbit radius $\Omega$ orbital angular speed $n$ principal quantum number ( $> 0$ )
Bohr radius	$a_0 = \frac{\epsilon_0 \hbar^2}{\pi m_e e^2} = \frac{\alpha}{4\pi R_\infty} \simeq 52.9 \text{ pm} \quad (4.72)$	$a_0$ Bohr radius $\mu$ reduced mass ( $\simeq m_e$ ) $-e$ electronic charge $Z$ atomic number $h$ Planck constant $\hbar$ $h/(2\pi)$ $E_n$ total energy of $n$ th orbit $\epsilon_0$ permittivity of free space $m_e$ electron mass
Orbit radius	$r_n = \frac{n^2}{Z} a_0 \frac{m_e}{\mu} \quad (4.73)$	$\alpha$ fine structure constant $\mu_0$ permeability of free space
Total energy	$E_n = -\frac{\mu e^4 Z^2}{8\epsilon_0^2 \hbar^2 n^2} = -R_\infty hc \frac{\mu}{m_e} \frac{Z^2}{n^2} \quad (4.74)$	$E_H$ Hartree energy
Fine structure constant	$\alpha = \frac{\mu_0 c e^2}{2h} = \frac{e^2}{4\pi \epsilon_0 \hbar c} \simeq \frac{1}{137} \quad (4.75)$	$R_\infty$ Rydberg constant $c$ speed of light
Hartree energy	$E_H = \frac{\hbar^2}{m_e a_0^2} \simeq 4.36 \times 10^{-18} \text{ J} \quad (4.76)$	
Rydberg constant	$R_\infty = \frac{m_e c \alpha^2}{2h} = \frac{m_e e^4}{8h^3 \epsilon_0^2 c} = \frac{E_H}{2hc} \quad (4.77)$	
Rydberg's formula <sup>b</sup>	$\frac{1}{\lambda_{mn}} = R_\infty \frac{\mu}{m_e} Z^2 \left( \frac{1}{n^2} - \frac{1}{m^2} \right) \quad (4.78)$	$\lambda_{mn}$ photon wavelength $m$ integer $> n$

<sup>a</sup>Because the Bohr model is strictly a two-body problem, the equations use reduced mass,  $\mu = m_e m_{\text{nuc}} / (m_e + m_{\text{nuc}}) \simeq m_e$ , where  $m_{\text{nuc}}$  is the nuclear mass, throughout. The orbit radius is therefore the electron–nucleus distance.

<sup>b</sup>Wavelength of the spectral line corresponding to electron transitions between orbits  $m$  and  $n$ .



### Hydrogenlike atoms – Schrödinger solution<sup>a</sup>

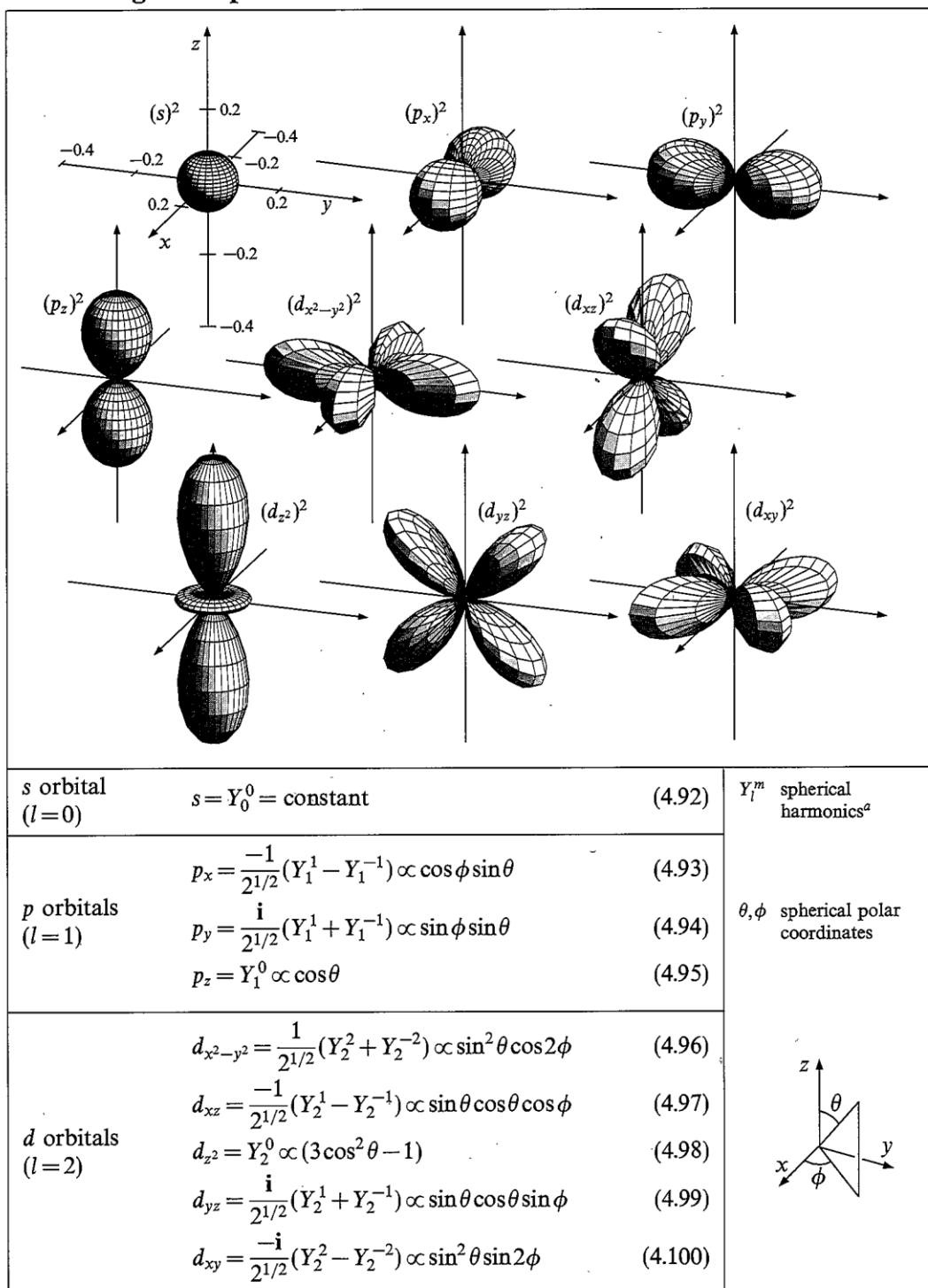
Schrödinger equation	
$-\frac{\hbar^2}{2\mu}\nabla^2\Psi_{nlm}-\frac{Ze^2}{4\pi\epsilon_0 r}\Psi_{nlm}=E_n\Psi_{nlm} \quad \text{with} \quad \mu=\frac{m_e m_{\text{nuc}}}{m_e+m_{\text{nuc}}} \quad (4.79)$	
Eigenfunctions	
$\Psi_{nlm}(r,\theta,\phi)=\left[\frac{(n-l-1)!}{2n(n+l)!}\right]^{1/2}\left(\frac{2}{an}\right)^{3/2}x^l e^{-x/2}L_{n-l-1}^{2l+1}(x)Y_l^m(\theta,\phi) \quad (4.80)$	
with $a=\frac{m_e}{\mu}\frac{a_0}{Z}$ , $x=\frac{2r}{an}$ , and $L_{n-l-1}^{2l+1}(x)=\sum_{k=0}^{n-l-1}\frac{(l+n)!(-x)^k}{(2l+1+k)!(n-l-1-k)!k!}$	
Total energy	$E_n=-\frac{\mu e^4 Z^2}{8\epsilon_0^2 \hbar^2 n^2} \quad (4.81)$
Radial expectation values	$\langle r \rangle = \frac{a}{2}[3n^2 - l(l+1)] \quad (4.82)$ $\langle r^2 \rangle = \frac{a^2 n^2}{2}[5n^2 + 1 - 3l(l+1)] \quad (4.83)$ $\langle 1/r \rangle = \frac{1}{an^2} \quad (4.84)$ $\langle 1/r^2 \rangle = \frac{2}{(2l+1)n^3 a^2} \quad (4.85)$
Allowed quantum numbers and selection rules <sup>b</sup>	$n=1,2,3,\dots \quad (4.86)$ $l=0,1,2,\dots,(n-1) \quad (4.87)$ $m=0,\pm 1,\pm 2,\dots,\pm l \quad (4.88)$ $\Delta n \neq 0 \quad (4.89)$ $\Delta l = \pm 1 \quad (4.90)$ $\Delta m = 0 \quad \text{or} \quad \pm 1 \quad (4.91)$
	$E_n$ total energy $\epsilon_0$ permittivity of free space $h$ Planck constant $m_e$ mass of electron $\hbar$ $h/2\pi$ $\mu$ reduced mass ( $\simeq m_e$ ) $m_{\text{nuc}}$ mass of nucleus $\Psi_{nlm}$ eigenfunctions $Ze$ charge of nucleus $-e$ electronic charge $L_p^q$ associated Laguerre polynomials <sup>c</sup> $a$ classical orbit radius, $n=1$ $r$ electron–nucleus separation $Y_l^m$ spherical harmonics $a_0$ Bohr radius = $\frac{\epsilon_0 \hbar^2}{\pi m_e e^2}$
$\Psi_{100} = \frac{a^{-3/2}}{\pi^{1/2}} e^{-r/a}$ $\Psi_{210} = \frac{a^{-3/2}}{4(2\pi)^{1/2}} \frac{r}{a} e^{-r/2a} \cos\theta$ $\Psi_{300} = \frac{a^{-3/2}}{81(3\pi)^{1/2}} \left(27 - 18\frac{r}{a} + 2\frac{r^2}{a^2}\right) e^{-r/3a}$ $\Psi_{31\pm 1} = \mp \frac{a^{-3/2}}{81\pi^{1/2}} \left(6 - \frac{r}{a}\right) \frac{r}{a} e^{-r/3a} \sin\theta e^{\pm i\phi}$ $\Psi_{32\pm 1} = \mp \frac{a^{-3/2}}{81\pi^{1/2}} \frac{r^2}{a^2} e^{-r/3a} \sin\theta \cos\theta e^{\pm i\phi}$	$\Psi_{200} = \frac{a^{-3/2}}{4(2\pi)^{1/2}} \left(2 - \frac{r}{a}\right) e^{-r/2a}$ $\Psi_{21\pm 1} = \mp \frac{a^{-3/2}}{8\pi^{1/2}} \frac{r}{a} e^{-r/2a} \sin\theta e^{\pm i\phi}$ $\Psi_{310} = \frac{2^{1/2} a^{-3/2}}{81\pi^{1/2}} \left(6 - \frac{r}{a}\right) \frac{r}{a} e^{-r/3a} \cos\theta$ $\Psi_{320} = \frac{a^{-3/2}}{81(6\pi)^{1/2}} \frac{r^2}{a^2} e^{-r/3a} (3\cos^2\theta - 1)$ $\Psi_{32\pm 2} = \mp \frac{a^{-3/2}}{162\pi^{1/2}} \frac{r^2}{a^2} e^{-r/3a} \sin^2\theta e^{\pm 2i\phi}$

<sup>a</sup>For a single bound electron in a perfect nuclear Coulomb potential (nonrelativistic and spin-free).

<sup>b</sup>For dipole transitions between orbitals.

<sup>c</sup>The sign and indexing definitions for this function vary. This form is appropriate to Equation (4.80).

## Orbital angular dependence

<sup>a</sup>See page 49 for the definition of spherical harmonics.

## 4.5 Angular momentum

### Orbital angular momentum

Angular momentum operators	$\hat{L} = \mathbf{r} \times \hat{\mathbf{p}}$ (4.101)	$L$ angular momentum $\mathbf{p}$ linear momentum $\mathbf{r}$ position vector $xyz$ Cartesian coordinates $r\theta\phi$ spherical polar coordinates $\hbar$ (Planck constant)/( $2\pi$ )
	$\hat{L}_z = \frac{\hbar}{i} \left( x \frac{\partial}{\partial y} - y \frac{\partial}{\partial x} \right)$ (4.102)	
	$= \frac{\hbar}{i} \frac{\partial}{\partial \phi}$ (4.103)	
	$\hat{L}^2 = \hat{L}_x^2 + \hat{L}_y^2 + \hat{L}_z^2$ (4.104)	
	$= -\hbar^2 \left[ \frac{1}{\sin\theta} \frac{\partial}{\partial \theta} \left( \sin\theta \frac{\partial}{\partial \theta} \right) + \frac{1}{\sin^2\theta} \frac{\partial^2}{\partial \phi^2} \right]$ (4.105)	
Ladder operators	$\hat{L}_{\pm} = \hat{L}_x \pm i\hat{L}_y$ (4.106)	$\hat{L}_{\pm}$ ladder operators $Y_l^{m_l}$ spherical harmonics $l, m_l$ integers
	$= \hbar e^{\pm i\phi} \left( i \cot\theta \frac{\partial}{\partial \phi} \pm \frac{\partial}{\partial \theta} \right)$ (4.107)	
	$\hat{L}_{\pm} Y_l^{m_l} = \hbar [l(l+1) - m_l(m_l \pm 1)]^{1/2} Y_l^{m_l \pm 1}$ (4.108)	
Eigenfunctions and eigenvalues	$\hat{L}^2 Y_l^{m_l} = l(l+1)\hbar^2 Y_l^{m_l} \quad (l \geq 0)$ (4.109)	
	$\hat{L}_z Y_l^{m_l} = m_l \hbar Y_l^{m_l} \quad ( m_l  \leq l)$ (4.110)	
	$\hat{L}_z [\hat{L}_{\pm} Y_l^{m_l}(\theta, \phi)] = (m_l \pm 1)\hbar \hat{L}_{\pm} Y_l^{m_l}(\theta, \phi)$ (4.111)	
	$l$ -multiplicity = $(2l+1)$ (4.112)	

### Angular momentum commutation relations<sup>a</sup>

Conservation of angular momentum <sup>b</sup>	$[\hat{H}, \hat{L}_z] = 0$ (4.113)	$L$ angular momentum $\mathbf{p}$ momentum $H$ Hamiltonian $\hat{L}_{\pm}$ ladder operators
$[\hat{L}_z, x] = i\hbar y$ (4.114)	$[\hat{L}_x, \hat{L}_y] = i\hbar \hat{L}_z$ (4.120)	
$[\hat{L}_z, y] = -i\hbar x$ (4.115)	$[\hat{L}_z, \hat{L}_x] = i\hbar \hat{L}_y$ (4.121)	
$[\hat{L}_z, z] = 0$ (4.116)	$[\hat{L}_y, \hat{L}_z] = i\hbar \hat{L}_x$ (4.122)	
$[\hat{L}_z, \hat{p}_x] = i\hbar \hat{p}_y$ (4.117)	$[\hat{L}_+, \hat{L}_z] = -\hbar \hat{L}_+$ (4.123)	
$[\hat{L}_z, \hat{p}_y] = -i\hbar \hat{p}_x$ (4.118)	$[\hat{L}_-, \hat{L}_z] = \hbar \hat{L}_-$ (4.124)	
$[\hat{L}_z, \hat{p}_z] = 0$ (4.119)	$[\hat{L}_+, \hat{L}_-] = 2\hbar \hat{L}_z$ (4.125)	
	$[\hat{L}^2, \hat{L}_{\pm}] = 0$ (4.126)	
	$[\hat{L}^2, \hat{L}_x] = [\hat{L}^2, \hat{L}_y] = [\hat{L}^2, \hat{L}_z] = 0$ (4.127)	

<sup>a</sup>The commutation of  $a$  and  $b$  is defined as  $[a, b] = ab - ba$  (see page 26). Similar expressions hold for  $S$  and  $J$ .

<sup>b</sup>For motion under a central force.

Clebsch-Gordan coefficients<sup>a</sup>

$(j, -m_j | l_1, -m_1; l_2, -m_2) = (-1)^{l_1+l_2-j} (j, m_j | l_1, m_1; l_2, m_2)$

$l_1 \times l_2$	$j$	$m_j$
$m_1$ $m_2$	$j$	$m_j$
$m_1$ $m_2$	coefficients	
$\vdots$ $\vdots$	$(j, m_j   l_1, m_1; l_2, m_2)$	
$\vdots$ $\vdots$	$\vdots$	
$1/2 \times 1/2$	$1$	$0$
$+1/2 \ +1/2$	$1$	$0$
$+1/2 \ -1/2$	$1/2$	$1/2$
$-1/2 \ +1/2$	$1/2$	$-1/2$
$3/2 \times 1/2$	$2$	$1$
$+3/2 \ +1/2$	$1$	$2$
$+3/2 \ -1/2$	$1/4$	$3/4$
$+1/2 \ +1/2$	$3/4$	$-1/4$
$+1/2 \ -1/2$	$1/2$	$1/2$
$-1/2 \ +1/2$	$1/2$	$-1/2$
$1 \times 1$	$2$	$1$
$+1 \ +1$	$1$	$2$
$+1 \ 0$	$1/2$	$1/2$
$0 \ +1$	$1/2$	$-1/2$
$+1 \ -1$	$1/6$	$1/2$
$0 \ 0$	$2/3$	$0$
$-1 \ +1$	$1/6$	$-1/2$
$0 \ 0$	$2/3$	$0$
$-1 \ -1$	$1/6$	$-1/2$
$2 \times 1$	$3$	$2$
$+2 \ +1$	$1$	$3$
$+2 \ 0$	$1/3$	$2/3$
$+1 \ +1$	$2/3$	$-1/3$
$+2 \ -1$	$1/15$	$1/3$
$+1 \ 0$	$8/15$	$1/6$
$0 \ +1$	$6/15$	$-1/2$
$+1 \ -1$	$1/5$	$1/2$
$0 \ 0$	$3/5$	$0$
$-1 \ +1$	$1/5$	$-1/2$
$3/2 \times 3/2$	$3$	$2$
$+3/2 \ +3/2$	$1$	$3$
$+3/2 \ +1/2$	$1/2$	$1/2$
$+1/2 \ +3/2$	$1/2$	$-1/2$
$+3/2 \ -1/2$	$1/5$	$1/2$
$+1/2 \ +1/2$	$3/5$	$0$
$-1/2 \ +3/2$	$1/5$	$-1/2$
$+3/2 \ -3/2$	$1/20$	$1/4$
$+1/2 \ -1/2$	$9/20$	$1/4$
$-1/2 \ +1/2$	$9/20$	$-1/4$
$-3/2 \ +3/2$	$1/20$	$-1/4$
$2 \times 3/2$	$7/2$	$5/2$
$+2 \ +3/2$	$1$	$7/2$
$+2 \ +1/2$	$3/7$	$4/7$
$+1 \ +3/2$	$4/7$	$-3/7$
$+2 \ -1/2$	$1/7$	$16/35$
$+1 \ +1/2$	$4/7$	$1/35$
$0 \ +3/2$	$2/7$	$-18/35$
$+2 \ -3/2$	$1/35$	$6/35$
$+1 \ -1/2$	$12/35$	$5/14$
$0 \ +1/2$	$18/35$	$-3/35$
$-1 \ +3/2$	$4/35$	$-27/70$
$2 \times 2$	$4$	$3$
$+2 \ +2$	$1$	$4$
$+2 \ +1$	$1/2$	$1/2$
$+1 \ +2$	$1/2$	$-1/2$
$+2 \ 0$	$3/14$	$1/2$
$+1 \ +1$	$4/7$	$0$
$0 \ +2$	$3/14$	$-1/2$
$+2 \ -1$	$1/14$	$3/10$
$+1 \ 0$	$3/7$	$1/5$
$0 \ +1$	$3/7$	$-1/5$
$-1 \ +2$	$1/14$	$-3/10$
$+2 \ -2$	$1/70$	$1/10$
$+1 \ -1$	$8/35$	$2/5$
$0 \ 0$	$18/35$	$0$
$-1 \ +1$	$8/35$	$-2/5$
$-2 \ +2$	$1/70$	$-1/10$

4

<sup>a</sup>Or "Wigner coefficients," using the Condon-Shortley sign convention. Note that a square root is assumed over all coefficient digits, so that "-3/10" corresponds to  $-\sqrt{3/10}$ . Also for clarity, only values of  $m_j \geq 0$  are listed here. The coefficients for  $m_j < 0$  can be obtained from the symmetry relation  $(j, -m_j | l_1, -m_1; l_2, -m_2) = (-1)^{l_1+l_2-j} (j, m_j | l_1, m_1; l_2, m_2)$ .

Angular momentum addition<sup>a</sup>

	$J = L + S$	(4.128)	$J, J$ total angular momentum
	$\hat{J}_z = \hat{L}_z + \hat{S}_z$	(4.129)	$L, L$ orbital angular momentum
Total angular momentum	$\hat{J}^2 = \hat{L}^2 + \hat{S}^2 + 2\hat{L} \cdot \hat{S}$	(4.130)	$S, S$ spin angular momentum
	$\hat{J}_z \psi_{j,m_j} = m_j \hbar \psi_{j,m_j}$	(4.131)	$\psi$ eigenfunctions
	$\hat{J}^2 \psi_{j,m_j} = j(j+1) \hbar^2 \psi_{j,m_j}$	(4.132)	$m_j$ magnetic quantum number $ m_j  \leq j$
	$j$ -multiplicity $= (2l+1)(2s+1)$	(4.133)	$j$ $(l+s) \geq j \geq  l-s $
Mutually commuting sets	$\{L^2, S^2, J^2, J_z, L \cdot S\}$	(4.134)	{ set of mutually commuting observables
	$\{L^2, S^2, L_z, S_z, J_z\}$	(4.135)	
Clebsch-Gordan coefficients <sup>b</sup>	$ j, m_j\rangle = \sum_{\substack{m_l, m_s \\ m_l + m_s = m_j}} \langle j, m_j   l, m_l; s, m_s \rangle  l, m_l\rangle  s, m_s\rangle$	(4.136)	$ \cdot\rangle$ eigenstates $\langle \cdot   \cdot \rangle$ Clebsch-Gordan coefficients

<sup>a</sup>Summing spin and orbital angular momenta as examples, eigenstates  $|s, m_s\rangle$  and  $|l, m_l\rangle$ .

<sup>b</sup>Or "Wigner coefficients." Assuming no  $L$ - $S$  interaction.

## Magnetic moments

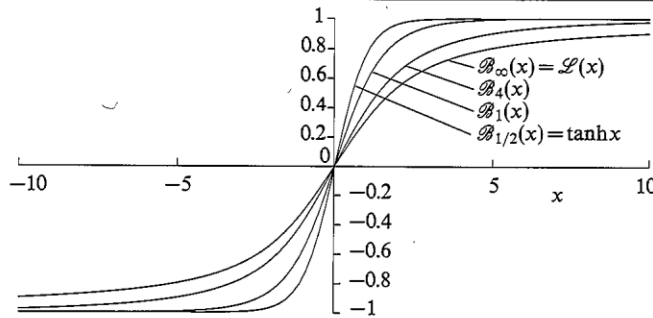
Bohr magneton	$\mu_B = \frac{e\hbar}{2m_e}$	(4.137)	$\mu_B$ Bohr magneton $-e$ electronic charge $\hbar$ (Planck constant)/( $2\pi$ ) $m_e$ electron mass
Gyromagnetic ratio <sup>a</sup>	$\gamma = \frac{\text{orbital magnetic moment}}{\text{orbital angular momentum}}$	(4.138)	$\gamma$ gyromagnetic ratio
Electron gyromagnetic ratio	$\gamma_e = \frac{-\mu_B}{\hbar}$	(4.139)	$\gamma_e$ electron gyromagnetic ratio
	$= \frac{-e}{2m_e}$	(4.140)	
Spin magnetic moment of an electron <sup>b</sup>	$\mu_{e,z} = -g_e \mu_B m_s$	(4.141)	$\mu_{e,z}$ $z$ component of spin magnetic moment $g_e$ electron $g$ -factor ( $\approx 2.002$ ) $m_s$ spin quantum number ( $\pm 1/2$ )
	$= \pm g_e \gamma_e \frac{\hbar}{2}$	(4.142)	
	$= \pm \frac{g_e e \hbar}{4m_e}$	(4.143)	
Landé $g$ -factor <sup>c</sup>	$\mu_J = g_J \sqrt{J(J+1)} \mu_B$	(4.144)	$\mu_J$ total magnetic moment $\mu_{J,z}$ $z$ component of $\mu_J$ $m_J$ magnetic quantum number $J, L, S$ total, orbital, and spin quantum numbers $g_J$ Landé $g$ -factor
	$\mu_{J,z} = -g_J \mu_B m_J$	(4.145)	
	$g_J = 1 + \frac{J(J+1) + S(S+1) - L(L+1)}{2J(J+1)}$	(4.146)	

<sup>a</sup>Or "magnetogyric ratio."

<sup>b</sup>The electron  $g$ -factor equals exactly 2 in Dirac theory. The modification  $g_e = 2 + \alpha/\pi + \dots$ , where  $\alpha$  is the fine structure constant, comes from quantum electrodynamics.

<sup>c</sup>Relating the spin + orbital angular momenta of an electron to its total magnetic moment, assuming  $g_e = 2$ .

**Quantum paramagnetism**



$$B_J(x) = \frac{2J+1}{2J} \coth \left[ \frac{(2J+1)x}{2J} \right] - \frac{1}{2J} \coth \frac{x}{2J} \quad (4.147)$$

Brillouin function

$$B_J(x) \simeq \begin{cases} \frac{J+1}{3J} x & (x \ll 1) \\ \mathcal{L}(x) & (J \gg 1) \end{cases} \quad (4.148)$$

$$B_{1/2}(x) = \tanh x \quad (4.149)$$

Mean magnetisation<sup>a</sup>

$$\langle M \rangle = n \mu_B J g_J B_J \left( J g_J \frac{\mu_B B}{kT} \right) \quad (4.150)$$

$\langle M \rangle$  for isolated spins ( $J = 1/2$ )

$$\langle M \rangle_{1/2} = n \mu_B \tanh \left( \frac{\mu_B B}{kT} \right) \quad (4.151)$$

- $B_J(x)$  Brillouin function
- $J$  total angular momentum quantum number
- $\mathcal{L}(x)$  Langevin function =  $\coth x - 1/x$  (see page 144)
- $\langle M \rangle$  mean magnetisation
- $n$  number density of atoms
- $g_J$  Landé  $g$ -factor
- $\mu_B$  Bohr magneton
- $B$  magnetic flux density
- $k$  Boltzmann constant
- $T$  temperature
- $\langle M \rangle_{1/2}$  mean magnetisation for  $J = 1/2$  (and  $g_J = 2$ )

4

<sup>a</sup>Of an ensemble of atoms in thermal equilibrium at temperature  $T$ , each with total angular momentum quantum number  $J$ .

## 4.6 Perturbation theory

### Time-independent perturbation theory

Unperturbed states	$\hat{H}_0\psi_n = E_n\psi_n$ ( $\psi_n$ nondegenerate)	(4.152)	$\hat{H}_0$ unperturbed Hamiltonian $\psi_n$ eigenfunctions of $\hat{H}_0$ $E_n$ eigenvalues of $\hat{H}_0$ $n$ integer $\geq 0$
Perturbed Hamiltonian	$\hat{H} = \hat{H}_0 + \hat{H}'$	(4.153)	$\hat{H}$ perturbed Hamiltonian $\hat{H}'$ perturbation ( $\ll \hat{H}_0$ )
Perturbed eigenvalues <sup>a</sup>	$E'_k = E_k + \langle \psi_k   \hat{H}'   \psi_k \rangle$ $+ \sum_{n \neq k} \frac{ \langle \psi_k   \hat{H}'   \psi_n \rangle ^2}{E_k - E_n} + \dots$	(4.154)	$E'_k$ perturbed eigenvalue ( $\simeq E_k$ ) $\langle    \rangle$ Dirac bracket
Perturbed eigenfunctions <sup>b</sup>	$\psi'_k = \psi_k + \sum_{n \neq k} \frac{\langle \psi_k   \hat{H}'   \psi_n \rangle}{E_k - E_n} \psi_n + \dots$	(4.155)	$\psi'_k$ perturbed eigenfunction ( $\simeq \psi_k$ )

<sup>a</sup>To second order.

<sup>b</sup>To first order.

### Time-dependent perturbation theory

Unperturbed stationary states	$\hat{H}_0\psi_n = E_n\psi_n$	(4.156)	$\hat{H}_0$ unperturbed Hamiltonian $\psi_n$ eigenfunctions of $\hat{H}_0$ $E_n$ eigenvalues of $\hat{H}_0$ $n$ integer $\geq 0$
Perturbed Hamiltonian	$\hat{H}(t) = \hat{H}_0 + \hat{H}'(t)$	(4.157)	$\hat{H}$ perturbed Hamiltonian $\hat{H}'(t)$ perturbation ( $\ll \hat{H}_0$ ) $t$ time
Schrödinger equation	$[\hat{H}_0 + \hat{H}'(t)]\Psi(t) = i\hbar \frac{\partial \Psi(t)}{\partial t}$ $\Psi(t=0) = \psi_0$	(4.158) (4.159)	$\Psi$ wavefunction $\psi_0$ initial state $\hbar$ (Planck constant)/( $2\pi$ )
Perturbed wavefunction <sup>a</sup>	$\Psi(t) = \sum_n c_n(t)\psi_n \exp(-iE_n t/\hbar)$ where	(4.160)	$c_n$ probability amplitudes
	$c_n = \frac{-i}{\hbar} \int_0^t \langle \psi_n   \hat{H}'(t')   \psi_0 \rangle \exp[i(E_n - E_0)t'/\hbar] dt'$	(4.161)	
Fermi's golden rule	$\Gamma_{i \rightarrow f} = \frac{2\pi}{\hbar}  \langle \psi_f   \hat{H}'   \psi_i \rangle ^2 \rho(E_f)$	(4.162)	$\Gamma_{i \rightarrow f}$ transition probability per unit time from state $i$ to state $f$ $\rho(E_f)$ density of final states

<sup>a</sup>To first order.





### 4.7 High energy and nuclear physics

#### Nuclear decay

Nuclear decay law	$N(t) = N(0)e^{-\lambda t}$	(4.163)	$N(t)$ number of nuclei remaining after time $t$ $t$ time
Half-life and mean life	$T_{1/2} = \frac{\ln 2}{\lambda}$	(4.164)	$\lambda$ decay constant
	$\langle T \rangle = 1/\lambda$	(4.165)	$T_{1/2}$ half-life $\langle T \rangle$ mean lifetime
Successive decays $1 \rightarrow 2 \rightarrow 3$ (species 3 stable)			
	$N_1(t) = N_1(0)e^{-\lambda_1 t}$	(4.166)	$N_1$ population of species 1
	$N_2(t) = N_2(0)e^{-\lambda_2 t} + \frac{N_1(0)\lambda_1(e^{-\lambda_1 t} - e^{-\lambda_2 t})}{\lambda_2 - \lambda_1}$	(4.167)	$N_2$ population of species 2
	$N_3(t) = N_3(0) + N_2(0)(1 - e^{-\lambda_2 t}) + N_1(0) \left( 1 + \frac{\lambda_1 e^{-\lambda_2 t} - \lambda_2 e^{-\lambda_1 t}}{\lambda_2 - \lambda_1} \right)$	(4.168)	$N_3$ population of species 3 $\lambda_1$ decay constant $1 \rightarrow 2$ $\lambda_2$ decay constant $2 \rightarrow 3$
Geiger's law <sup>a</sup>	$v^3 = a(R - x)$	(4.169)	$v$ velocity of $\alpha$ particle $x$ distance from source $a$ constant
Geiger-Nuttall rule	$\log \lambda = b + c \log R$	(4.170)	$R$ range $b, c$ constants for each series $\alpha, \beta,$ and $\gamma$

<sup>a</sup>For  $\alpha$  particles in air (empirical).

4

#### Nuclear binding energy

Liquid drop model <sup>a</sup>	$B = a_v A - a_s A^{2/3} - a_c \frac{Z^2}{A^{1/3}} - a_a \frac{(N-Z)^2}{A} + \delta(A)$	(4.171)	$N$ number of neutrons $A$ mass number ( $=N+Z$ ) $B$ semi-empirical binding energy $Z$ number of protons
	$\delta(A) \simeq \begin{cases} +a_p A^{-3/4} & Z, N \text{ both even} \\ -a_p A^{-3/4} & Z, N \text{ both odd} \\ 0 & \text{otherwise} \end{cases}$	(4.172)	$a_v$ volume term ( $\sim 15.8 \text{ MeV}$ ) $a_s$ surface term ( $\sim 18.0 \text{ MeV}$ ) $a_c$ Coulomb term ( $\sim 0.72 \text{ MeV}$ ) $a_a$ asymmetry term ( $\sim 23.5 \text{ MeV}$ ) $a_p$ pairing term ( $\sim 33.5 \text{ MeV}$ )
Semi-empirical mass formula	$M(Z, A) = Z M_H + N m_n - B$	(4.173)	$M(Z, A)$ atomic mass $M_H$ mass of hydrogen atom $m_n$ neutron mass

<sup>a</sup>Coefficient values are empirical and approximate.

## Nuclear collisions

Breit-Wigner formula <sup>a</sup>	$\sigma(E) = \frac{\pi}{k^2} g \frac{\Gamma_{ab}\Gamma_c}{(E - E_0)^2 + \Gamma^2/4} \quad (4.174)$	$\sigma(E)$ cross-section for $a+b \rightarrow c$
	$g = \frac{2J+1}{(2s_a+1)(2s_b+1)} \quad (4.175)$	$k$ incoming wavenumber
Total width	$\Gamma = \Gamma_{ab} + \Gamma_c \quad (4.176)$	$g$ spin factor
Resonance lifetime	$\tau = \frac{\hbar}{\Gamma} \quad (4.177)$	$E$ total energy (PE + KE)
		$E_0$ resonant energy
		$\Gamma$ width of resonant state $R$
		$\Gamma_{ab}$ partial width into $a+b$
		$\Gamma_c$ partial width into $c$
		$\tau$ resonance lifetime
		$J$ total angular momentum quantum number of $R$
		$s_{a,b}$ spins of $a$ and $b$
Born scattering formula <sup>b</sup>	$\frac{d\sigma}{d\Omega} = \left  \frac{2\mu}{\hbar^2} \int_0^\infty \frac{\sin Kr}{Kr} V(r)r^2 dr \right ^2 \quad (4.178)$	$\frac{d\sigma}{d\Omega}$ differential collision cross-section
Mott scattering formula <sup>c</sup>	$\frac{d\sigma}{d\Omega} = \left( \frac{\alpha}{4E} \right)^2 \left[ \csc^4 \frac{\chi}{2} + \sec^4 \frac{\chi}{2} + \frac{A \cos \left( \frac{\alpha}{\hbar v} \ln \tan^2 \frac{\chi}{2} \right)}{\sin^2 \frac{\chi}{2} \cos \frac{\chi}{2}} \right] \quad (4.179)$	$\mu$ reduced mass
	$\frac{d\sigma}{d\Omega} \simeq \left( \frac{\alpha}{2E} \right)^2 \frac{4 - 3 \sin^2 \chi}{\sin^4 \chi} \quad (A = -1, \alpha \ll v\hbar) \quad (4.180)$	$K =  k_{in} - k_{out} $ (see footnote)
		$r$ radial distance
		$V(r)$ potential energy of interaction
		$\hbar$ (Planck constant)/ $2\pi$
		$\alpha/r$ scattering potential energy
		$\chi$ scattering angle
		$v$ closing velocity
		$A = 2$ for spin-zero particles, $= -1$ for spin-half particles

<sup>a</sup>For the reaction  $a+b \leftrightarrow R \rightarrow c$  in the centre of mass frame.

<sup>b</sup>For a central field. The Born approximation holds when the potential energy of scattering,  $V$ , is much less than the total kinetic energy.  $K$  is the magnitude of the change in the particle's wavevector due to scattering.

<sup>c</sup>For identical particles undergoing Coulomb scattering in the centre of mass frame. Nonidentical particles obey the Rutherford scattering formula (page 72).

Relativistic wave equations<sup>a</sup>

Klein-Gordon equation (massive, spin zero particles)	$(\nabla^2 - m^2)\psi = \frac{\partial^2 \psi}{\partial t^2} \quad (4.181)$	$\psi$ wavefunction
		$m$ particle mass
		$t$ time
Weyl equations (massless, spin 1/2 particles)	$\frac{\partial \psi}{\partial t} = \pm \left( \sigma_x \frac{\partial \psi}{\partial x} + \sigma_y \frac{\partial \psi}{\partial y} + \sigma_z \frac{\partial \psi}{\partial z} \right) \quad (4.182)$	$\psi$ spinor wavefunction
		$\sigma_i$ Pauli spin matrices (see page 26)
Dirac equation (massive, spin 1/2 particles)	$(i\gamma^\mu \partial_\mu - m)\psi = 0 \quad (4.183)$	$i^2 = -1$
	where $\partial_\mu = \left( \frac{\partial}{\partial t}, \frac{\partial}{\partial x}, \frac{\partial}{\partial y}, \frac{\partial}{\partial z} \right) \quad (4.184)$	$\gamma^\mu$ Dirac matrices:
	$(\gamma^0)^2 = \mathbf{1}_4; \quad (\gamma^1)^2 = (\gamma^2)^2 = (\gamma^3)^2 = -\mathbf{1}_4 \quad (4.185)$	$\gamma^0 = \begin{pmatrix} \mathbf{1}_2 & 0 \\ 0 & -\mathbf{1}_2 \end{pmatrix}$
		$\gamma^i = \begin{pmatrix} 0 & \sigma_i \\ -\sigma_i & 0 \end{pmatrix}$
		$\mathbf{1}_n$ $n \times n$ unit matrix

<sup>a</sup>Written in natural units, with  $c = \hbar = 1$ .

# Chapter 5 Thermodynamics

## 5.1 Introduction

The term *thermodynamics* is used here loosely and includes classical thermodynamics, statistical thermodynamics, thermal physics, and radiation processes. Notation in these subjects can be confusing and the conventions used here are those found in the majority of modern treatments. In particular:

- The internal energy of a system is defined in terms of the heat supplied *to* the system plus the work done *on* the system, that is,  $dU = \delta Q + \delta W$ .
- The lowercase symbol  $p$  is used for pressure. Probability density functions are denoted by  $\text{pr}(x)$  and microstate probabilities by  $p_i$ .
- With the exception of *specific intensity*, quantities are taken as specific if they refer to unit mass and are distinguished from the extensive equivalent by using lowercase. Hence *specific volume*,  $v$ , equals  $V/m$ , where  $V$  is the volume of gas and  $m$  its mass. Also, the *specific heat capacity* of a gas at constant pressure is  $c_p = C_p/m$ , where  $C_p$  is the heat capacity of mass  $m$  of gas. Molar values take a subscript “m” (e.g.,  $V_m$  for molar volume) and remain in upper case.
- The component held constant during a partial differentiation is shown after a vertical bar; hence  $\left. \frac{\partial V}{\partial p} \right|_T$  is the partial differential of volume with respect to pressure, holding temperature constant.

The thermal properties of solids are dealt with more explicitly in the section on solid state physics (page 123). Note that in solid state literature *specific heat capacity* is often taken to mean heat capacity per unit volume.

## 5.2 Classical thermodynamics

## Thermodynamic laws

Thermodynamic temperature <sup>a</sup>	$T \propto \lim_{p \rightarrow 0} (pV)$	(5.1)	$T$ thermodynamic temperature $V$ volume of a fixed mass of gas $p$ gas pressure
Kelvin temperature scale	$T/\text{K} = 273.16 \frac{\lim_{p \rightarrow 0} (pV)_T}{\lim_{p \rightarrow 0} (pV)_{\text{tr}}}$	(5.2)	$\text{K}$ kelvin unit $\text{tr}$ temperature of the triple point of water
First law <sup>b</sup>	$dU = \delta Q + \delta W$	(5.3)	$dU$ change in internal energy $\delta W$ work done on system $\delta Q$ heat supplied to system
Entropy <sup>c</sup>	$dS = \frac{\delta Q_{\text{rev}}}{T} \geq \frac{\delta Q}{T}$	(5.4)	$S$ experimental entropy $T$ temperature $\text{rev}$ reversible change

<sup>a</sup>As determined with a gas thermometer. The idea of temperature is associated with the zeroth law of thermodynamics: *If two systems are in thermal equilibrium with a third, they are also in thermal equilibrium with each other.*

<sup>b</sup>The  $\delta$  notation represents a differential change in a quantity that is not a function of state of the system.

<sup>c</sup>Associated with the second law of thermodynamics: *No process is possible with the sole effect of completely converting heat into work (Kelvin statement).*

Thermodynamic work<sup>a</sup>

Hydrostatic pressure	$\delta W = -p dV$	(5.5)	$p$ (hydrostatic) pressure $dV$ volume change
Surface tension	$\delta W = \gamma dA$	(5.6)	$\delta W$ work done on the system $\gamma$ surface tension $dA$ change in area
Electric field	$\delta W = \mathbf{E} \cdot d\mathbf{p}$	(5.7)	$\mathbf{E}$ electric field $d\mathbf{p}$ induced electric dipole moment
Magnetic field	$\delta W = \mathbf{B} \cdot d\mathbf{m}$	(5.8)	$\mathbf{B}$ magnetic flux density $d\mathbf{m}$ induced magnetic dipole moment
Electric current	$\delta W = \Delta\phi dq$	(5.9)	$\Delta\phi$ potential difference $dq$ charge moved

<sup>a</sup>The sources of electric and magnetic fields are taken as being outside the thermodynamic system on which they are working.

Cycle efficiencies (thermodynamic)<sup>a</sup>

Heat engine	$\eta = \frac{\text{work extracted}}{\text{heat input}} \leq \frac{T_h - T_l}{T_h}$	(5.10)	$\eta$ efficiency $T_h$ higher temperature $T_l$ lower temperature
Refrigerator	$\eta = \frac{\text{heat extracted}}{\text{work done}} \leq \frac{T_l}{T_h - T_l}$	(5.11)	
Heat pump	$\eta = \frac{\text{heat supplied}}{\text{work done}} \leq \frac{T_h}{T_h - T_l}$	(5.12)	
Otto cycle <sup>b</sup>	$\eta = \frac{\text{work extracted}}{\text{heat input}} = 1 - \left(\frac{V_2}{V_1}\right)^{\gamma-1}$	(5.13)	$\frac{V_1}{V_2}$ compression ratio $\gamma$ ratio of heat capacities (assumed constant)

<sup>a</sup>The equalities are for reversible cycles, such as Carnot cycles, operating between temperatures  $T_h$  and  $T_l$ .

<sup>b</sup>Idealised reversible “petrol” (heat) engine.

## Heat capacities

Constant volume	$C_V = \left.\frac{dQ}{dT}\right _V = \left.\frac{\partial U}{\partial T}\right _V = T \left.\frac{\partial S}{\partial T}\right _V$	(5.14)	$C_V$ heat capacity, $V$ constant $Q$ heat $T$ temperature $V$ volume $U$ internal energy $S$ entropy
Constant pressure	$C_p = \left.\frac{dQ}{dT}\right _p = \left.\frac{\partial H}{\partial T}\right _p = T \left.\frac{\partial S}{\partial T}\right _p$	(5.15)	$C_p$ heat capacity, $p$ constant $p$ pressure $H$ enthalpy
Difference in heat capacities	$C_p - C_V = \left(\left.\frac{\partial U}{\partial V}\right _T + p\right) \left.\frac{\partial V}{\partial T}\right _p$	(5.16)	$\beta_p$ isobaric expansivity
	$= \frac{VT\beta_p^2}{\kappa_T}$	(5.17)	$\kappa_T$ isothermal compressibility
Ratio of heat capacities	$\gamma = \frac{C_p}{C_V} = \frac{\kappa_T}{\kappa_S}$	(5.18)	$\gamma$ ratio of heat capacities $\kappa_S$ adiabatic compressibility

## Thermodynamic coefficients

Isobaric expansivity <sup>a</sup>	$\beta_p = \frac{1}{V} \left.\frac{\partial V}{\partial T}\right _p$	(5.19)	$\beta_p$ isobaric expansivity $V$ volume $T$ temperature
Isothermal compressibility	$\kappa_T = -\frac{1}{V} \left.\frac{\partial V}{\partial p}\right _T$	(5.20)	$\kappa_T$ isothermal compressibility $p$ pressure
Adiabatic compressibility	$\kappa_S = -\frac{1}{V} \left.\frac{\partial V}{\partial p}\right _S$	(5.21)	$\kappa_S$ adiabatic compressibility
Isothermal bulk modulus	$K_T = \frac{1}{\kappa_T} = -V \left.\frac{\partial p}{\partial V}\right _T$	(5.22)	$K_T$ isothermal bulk modulus
Adiabatic bulk modulus	$K_S = \frac{1}{\kappa_S} = -V \left.\frac{\partial p}{\partial V}\right _S$	(5.23)	$K_S$ adiabatic bulk modulus

<sup>a</sup>Also called “cubic expansivity” or “volume expansivity.” The linear expansivity is  $\alpha_p = \beta_p/3$ .

## Expansion processes

Joule expansion <sup>a</sup>	$\eta = \left. \frac{\partial T}{\partial V} \right _U = -\frac{T^2}{C_V} \left. \frac{\partial(p/T)}{\partial T} \right _V$ (5.24)	$\eta$ Joule coefficient
	$= -\frac{1}{C_V} \left( T \left. \frac{\partial p}{\partial T} \right _V - p \right)$ (5.25)	$T$ temperature $p$ pressure $U$ internal energy $C_V$ heat capacity, $V$ constant
Joule–Kelvin expansion <sup>b</sup>	$\mu = \left. \frac{\partial T}{\partial p} \right _H = \frac{T^2}{C_p} \left. \frac{\partial(V/T)}{\partial T} \right _p$ (5.26)	$\mu$ Joule–Kelvin coefficient
	$= \frac{1}{C_p} \left( T \left. \frac{\partial V}{\partial T} \right _p - V \right)$ (5.27)	$V$ volume $H$ enthalpy $C_p$ heat capacity, $p$ constant

<sup>a</sup>Expansion with no change in internal energy.<sup>b</sup>Expansion with no change in enthalpy. Also known as a “Joule–Thomson expansion” or “throttling” process.Thermodynamic potentials<sup>a</sup>

Internal energy	$dU = T dS - p dV + \mu dN$ (5.28)	$U$ internal energy $T$ temperature $S$ entropy $\mu$ chemical potential $N$ number of particles
Enthalpy	$H = U + pV$ (5.29)	$H$ enthalpy
	$dH = T dS + V dp + \mu dN$ (5.30)	$p$ pressure $V$ volume
Helmholtz free energy <sup>b</sup>	$F = U - TS$ (5.31)	$F$ Helmholtz free energy
	$dF = -S dT - p dV + \mu dN$ (5.32)	
Gibbs free energy <sup>c</sup>	$G = U - TS + pV$ (5.33)	$G$ Gibbs free energy
	$= F + pV = H - TS$ (5.34)	
	$dG = -S dT + V dp + \mu dN$ (5.35)	
Grand potential	$\Phi = F - \mu N$ (5.36)	$\Phi$ grand potential
	$d\Phi = -S dT - p dV - N d\mu$ (5.37)	
Gibbs–Duhem relation	$-S dT + V dp - N d\mu = 0$ (5.38)	
Availability	$A = U - T_0 S + p_0 V$ (5.39)	$A$ availability
	$dA = (T - T_0) dS - (p - p_0) dV$ (5.40)	$T_0$ temperature of surroundings $p_0$ pressure of surroundings

<sup>a</sup> $dN=0$  for a closed system.<sup>b</sup>Sometimes called the “work function.”<sup>c</sup>Sometimes called the “thermodynamic potential.”

**Maxwell's relations**

Maxwell 1	$\left. \frac{\partial T}{\partial V} \right _S = - \left. \frac{\partial p}{\partial S} \right _V \quad \left( = \frac{\partial^2 U}{\partial S \partial V} \right)$	(5.41)	$U$ internal energy $T$ temperature $V$ volume
Maxwell 2	$\left. \frac{\partial T}{\partial p} \right _S = \left. \frac{\partial V}{\partial S} \right _p \quad \left( = \frac{\partial^2 H}{\partial p \partial S} \right)$	(5.42)	$H$ enthalpy $S$ entropy $p$ pressure
Maxwell 3	$\left. \frac{\partial p}{\partial T} \right _V = \left. \frac{\partial S}{\partial V} \right _T \quad \left( = \frac{\partial^2 F}{\partial T \partial V} \right)$	(5.43)	$F$ Helmholtz free energy
Maxwell 4	$\left. \frac{\partial V}{\partial T} \right _p = - \left. \frac{\partial S}{\partial p} \right _T \quad \left( = \frac{\partial^2 G}{\partial p \partial T} \right)$	(5.44)	$G$ Gibbs free energy

**Gibbs–Helmholtz equations**

$U = -T^2 \left. \frac{\partial(F/T)}{\partial T} \right _V$	(5.45)	$F$ Helmholtz free energy $U$ internal energy
$G = -V^2 \left. \frac{\partial(F/V)}{\partial V} \right _T$	(5.46)	$G$ Gibbs free energy $H$ enthalpy
$H = -T^2 \left. \frac{\partial(G/T)}{\partial T} \right _p$	(5.47)	$T$ temperature $p$ pressure $V$ volume

5

**Phase transitions**

Heat absorbed	$L = T(S_2 - S_1)$	(5.48)	$L$ (latent) heat absorbed (1 → 2) $T$ temperature of phase change $S$ entropy
Clausius–Clapeyron equation <sup>a</sup>	$\frac{dp}{dT} = \frac{S_2 - S_1}{V_2 - V_1}$	(5.49)	$p$ pressure $V$ volume
	$= \frac{L}{T(V_2 - V_1)}$	(5.50)	1,2 phase states
Coexistence curve <sup>b</sup>	$p(T) \propto \exp\left(\frac{-L}{RT}\right)$	(5.51)	$R$ molar gas constant
Ehrenfest's equation <sup>c</sup>	$\frac{dp}{dT} = \frac{\beta_{p2} - \beta_{p1}}{\kappa_{T2} - \kappa_{T1}}$	(5.52)	$\beta_p$ isobaric expansivity $\kappa_T$ isothermal compressibility
	$= \frac{1}{VT} \frac{C_{p2} - C_{p1}}{\beta_{p2} - \beta_{p1}}$	(5.53)	$C_p$ heat capacity ( $p$ constant)
Gibbs's phase rule	$P + F = C + 2$	(5.54)	$P$ number of phases in equilibrium $F$ number of degrees of freedom $C$ number of components

<sup>a</sup>Phase boundary gradient for a first-order transition. Equation (5.50) is sometimes called the "Clapeyron equation."<sup>b</sup>For  $V_2 \gg V_1$ , e.g., if phase 1 is a liquid and phase 2 a vapour.<sup>c</sup>For a second-order phase transition.

## 5.3 Gas laws

## Ideal gas

Joule's law	$U = U(T)$	(5.55)	$U$ internal energy $T$ temperature
Boyle's law	$pV _T = \text{constant}$	(5.56)	$p$ pressure $V$ volume
Equation of state (Ideal gas law)	$pV = nRT$	(5.57)	$n$ number of moles $R$ molar gas constant
Adiabatic equations	$pV^\gamma = \text{constant}$	(5.58)	$\gamma$ ratio of heat capacities ( $C_p/C_V$ ) $\Delta W$ work done on system
	$TV^{(\gamma-1)} = \text{constant}$	(5.59)	
	$T^\gamma p^{(1-\gamma)} = \text{constant}$	(5.60)	
	$\Delta W = \frac{1}{\gamma-1}(p_2V_2 - p_1V_1)$	(5.61)	
Internal energy	$U = \frac{nRT}{\gamma-1}$	(5.62)	
Reversible isothermal expansion	$\Delta Q = nRT \ln(V_2/V_1)$	(5.63)	$\Delta Q$ heat supplied to system 1,2 initial and final states
Joule expansion <sup>a</sup>	$\Delta S = nR \ln(V_2/V_1)$	(5.64)	$\Delta S$ change in entropy of the system

<sup>a</sup>Since  $\Delta Q=0$  for a Joule expansion,  $\Delta S$  is due entirely to irreversibility. Because entropy is a function of state it has the same value as for the reversible isothermal expansion, where  $\Delta S = \Delta Q/T$ .

## Virial expansion

Virial expansion	$pV = RT \left( 1 + \frac{B_2(T)}{V} + \frac{B_3(T)}{V^2} + \dots \right)$	(5.65)	$p$ pressure $V$ volume $R$ molar gas constant $T$ temperature $B_i$ virial coefficients
Boyle temperature	$B_2(T_B) = 0$	(5.66)	$T_B$ Boyle temperature

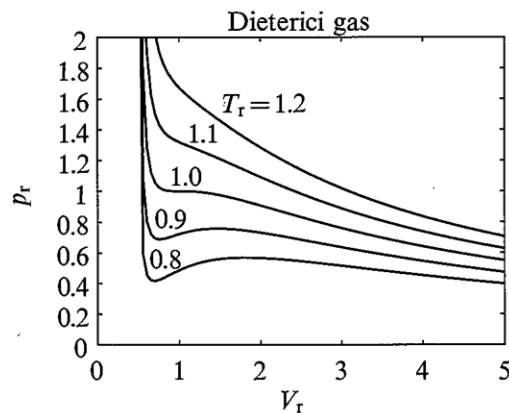
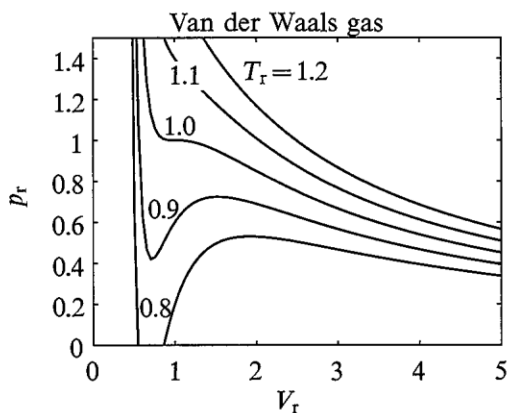


## Van der Waals gas

Equation of state	$\left(p + \frac{a}{V_m^2}\right)(V_m - b) = RT$ (5.67)	$p$ pressure $V_m$ molar volume $R$ molar gas constant $T$ temperature $a, b$ van der Waals' constants
Critical point	$T_c = 8a/(27Rb)$ (5.68)	$T_c$ critical temperature
	$p_c = a/(27b^2)$ (5.69)	$p_c$ critical pressure
	$V_{mc} = 3b$ (5.70)	$V_{mc}$ critical molar volume
Reduced equation of state	$\left(p_r + \frac{3}{V_r^2}\right)(3V_r - 1) = 8T_r$ (5.71)	$p_r = p/p_c$ $V_r = V_m/V_{mc}$ $T_r = T/T_c$

## Dieterici gas

Equation of state	$p = \frac{RT}{V_m - b'} \exp\left(\frac{-a'}{RTV_m}\right)$ (5.72)	$p$ pressure $V_m$ molar volume $R$ molar gas constant $T$ temperature $a', b'$ Dieterici's constants
Critical point	$T_c = a'/(4Rb')$ (5.73)	$T_c$ critical temperature
	$p_c = a'/(4b'^2 e^2)$ (5.74)	$p_c$ critical pressure
	$V_{mc} = 2b'$ (5.75)	$V_{mc}$ critical molar volume $e = 2.71828\dots$
Reduced equation of state	$p_r = \frac{T_r}{2V_r - 1} \exp\left(2 - \frac{2}{V_r T_r}\right)$ (5.76)	$p_r = p/p_c$ $V_r = V_m/V_{mc}$ $T_r = T/T_c$



## 5.4 Kinetic theory

## Monatomic gas

Pressure	$p = \frac{1}{3}nm\langle c^2 \rangle$	(5.77)	$p$ pressure $n$ number density = $N/V$ $m$ particle mass $\langle c^2 \rangle$ mean squared particle velocity
Equation of state of an ideal gas	$pV = NkT$	(5.78)	$V$ volume $k$ Boltzmann constant $N$ number of particles $T$ temperature
Internal energy	$U = \frac{3}{2}NkT = \frac{N}{2}m\langle c^2 \rangle$	(5.79)	$U$ internal energy
Heat capacities	$C_V = \frac{3}{2}Nk$	(5.80)	$C_V$ heat capacity, constant $V$ $C_p$ heat capacity, constant $p$ $\gamma$ ratio of heat capacities
	$C_p = C_V + Nk = \frac{5}{2}Nk$	(5.81)	
	$\gamma = \frac{C_p}{C_V} = \frac{5}{3}$	(5.82)	
Entropy (Sackur-Tetrode equation) <sup>a</sup>	$S = Nk \ln \left[ \left( \frac{mkT}{2\pi\hbar^2} \right)^{3/2} e^{5/2} \frac{V}{N} \right]$	(5.83)	$S$ entropy $\hbar$ = (Planck constant)/( $2\pi$ ) $e$ = 2.71828...

<sup>a</sup>For the uncondensed gas. The factor  $\left(\frac{mkT}{2\pi\hbar^2}\right)^{3/2}$  is the quantum concentration of the particles,  $n_Q$ . Their thermal de Broglie wavelength,  $\lambda_T$ , approximately equals  $n_Q^{-1/3}$ .

Maxwell-Boltzmann distribution<sup>a</sup>

Particle speed distribution	$\text{pr}(c) dc = \left(\frac{m}{2\pi kT}\right)^{3/2} \exp\left(\frac{-mc^2}{2kT}\right) 4\pi c^2 dc$	(5.84)	$\text{pr}$ probability density $m$ particle mass $k$ Boltzmann constant $T$ temperature $c$ particle speed
Particle energy distribution	$\text{pr}(E) dE = \frac{2E^{1/2}}{\pi^{1/2}(kT)^{3/2}} \exp\left(\frac{-E}{kT}\right) dE$	(5.85)	$E$ particle kinetic energy (= $mc^2/2$ )
Mean speed	$\langle c \rangle = \left(\frac{8kT}{\pi m}\right)^{1/2}$	(5.86)	$\langle c \rangle$ mean speed
rms speed	$c_{\text{rms}} = \left(\frac{3kT}{m}\right)^{1/2} = \left(\frac{3\pi}{8}\right)^{1/2} \langle c \rangle$	(5.87)	$c_{\text{rms}}$ root mean squared speed
Most probable speed	$\hat{c} = \left(\frac{2kT}{m}\right)^{1/2} = \left(\frac{\pi}{4}\right)^{1/2} \langle c \rangle$	(5.88)	$\hat{c}$ most probable speed

<sup>a</sup>Probability density functions normalised so that  $\int_0^\infty \text{pr}(x) dx = 1$ .

**Transport properties**

Mean free path <sup>a</sup>	$l = \frac{1}{\sqrt{2}\pi d^2 n}$	(5.89)	<i>l</i> mean free path <i>d</i> molecular diameter <i>n</i> particle number density
Survival equation <sup>b</sup>	$\text{pr}(x) = \exp(-x/l)$	(5.90)	<i>pr</i> probability <i>x</i> linear distance
Flux through a plane <sup>c</sup>	$J = \frac{1}{4}n\langle c \rangle$	(5.91)	<i>J</i> molecular flux <i>\langle c \rangle</i> mean molecular speed
Self-diffusion (Fick's law of diffusion)	$J = -D\nabla n$	(5.92)	<i>D</i> diffusion coefficient
	where $D = \frac{1}{3}l\langle c \rangle$	(5.93)	
Thermal conductivity	$H = -\lambda\nabla T$	(5.94)	<i>H</i> heat flux per unit area <i>\lambda</i> thermal conductivity
	$\nabla^2 T = \frac{1}{D} \frac{\partial T}{\partial t}$	(5.95)	<i>T</i> temperature <i>\rho</i> density
	where $\lambda = \frac{1}{3}\rho l\langle c \rangle c_V = D\rho c_V$	(5.96)	<i>c_V</i> specific heat capacity, <i>V</i> constant
Viscosity	$\eta = \frac{1}{3}\rho l\langle c \rangle = \rho D$	(5.97)	<i>\eta</i> dynamic viscosity <i>x</i> displacement of sphere in <i>x</i> direction after time <i>t</i>
Brownian motion (of a sphere)	$\langle x^2 \rangle = \frac{kTt}{3\pi\eta a}$	(5.98)	<i>k</i> Boltzmann constant <i>t</i> time interval <i>a</i> sphere radius
Free molecular flow (Knudsen flow) <sup>d</sup>	$\frac{dM}{dt} = \frac{4R_p^3}{3L} \left( \frac{2\pi m}{k} \right)^{1/2} \left( \frac{p_1}{T_1^{1/2}} - \frac{p_2}{T_2^{1/2}} \right)$	(5.99)	$\frac{dM}{dt}$ mass flow rate <i>R<sub>p</sub></i> pipe radius <i>L</i> pipe length <i>m</i> particle mass <i>p</i> pressure

<sup>a</sup>For a perfect gas of hard, spherical particles with a Maxwell-Boltzmann speed distribution.

<sup>b</sup>Probability of travelling distance *x* without a collision.

<sup>c</sup>From the side where the number density is *n*, assuming an isotropic velocity distribution. Also known as "collision number."

<sup>d</sup>Down a pipe from end 1 to end 2, assuming  $R_p \ll l$  (i.e., at very low pressure).

**Gas equipartition**

Classical equipartition <sup>a</sup>	$E_q = \frac{1}{2}kT$	(5.100)	<i>E<sub>q</sub></i> energy per quadratic degree of freedom <i>k</i> Boltzmann constant <i>T</i> temperature
Ideal gas heat capacities	$C_V = \frac{1}{2}fNk = \frac{1}{2}fnR$	(5.101)	<i>C<sub>V</sub></i> heat capacity, <i>V</i> constant <i>C<sub>p</sub></i> heat capacity, <i>p</i> constant
	$C_p = Nk \left( 1 + \frac{f}{2} \right)$	(5.102)	<i>N</i> number of molecules <i>f</i> number of degrees of freedom
	$\gamma = \frac{C_p}{C_V} = 1 + \frac{2}{f}$	(5.103)	<i>n</i> number of moles <i>R</i> molar gas constant <i>\gamma</i> ratio of heat capacities

<sup>a</sup>System in thermal equilibrium at temperature *T*.

5

**Transport properties**

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Survival equation <sup>b</sup>	$\text{pr}(x) = \exp(-x/l)$	(5.90)	<i>pr</i> probability <i>x</i> linear distance
Flux through a plane <sup>c</sup>	$J = \frac{1}{4}n\langle c \rangle$	(5.91)	<i>J</i> molecular flux <i>\langle c \rangle</i> mean molecular speed
Self-diffusion (Fick's law of diffusion)	$J = -D\nabla n$	(5.92)	<i>D</i> diffusion coefficient
	where $D = \frac{1}{3}l\langle c \rangle$	(5.93)	
Thermal conductivity	$H = -\lambda\nabla T$	(5.94)	<i>H</i> heat flux per unit area
	$\nabla^2 T = \frac{1}{D} \frac{\partial T}{\partial t}$	(5.95)	<i>\lambda</i> thermal conductivity <i>T</i> temperature
	where $\lambda = \frac{1}{3}\rho l\langle c \rangle c_V = D\rho c_V$	(5.96)	<i>\rho</i> density <i>c<sub>V</sub></i> specific heat capacity, <i>V</i> constant
Viscosity	$\eta = \frac{1}{3}\rho l\langle c \rangle = \rho D$	(5.97)	<i>\eta</i> dynamic viscosity <i>x</i> displacement of sphere in <i>x</i> direction after time <i>t</i>
Brownian motion (of a sphere)	$\langle x^2 \rangle = \frac{kTt}{3\pi\eta a}$	(5.98)	<i>k</i> Boltzmann constant <i>t</i> time interval <i>a</i> sphere radius
Free molecular flow (Knudsen flow) <sup>d</sup>	$\frac{dM}{dt} = \frac{4R_p^3}{3L} \left( \frac{2\pi m}{k} \right)^{1/2} \left( \frac{p_1}{T_1^{1/2}} - \frac{p_2}{T_2^{1/2}} \right)$	(5.99)	$\frac{dM}{dt}$ mass flow rate <i>R<sub>p</sub></i> pipe radius <i>L</i> pipe length <i>m</i> particle mass <i>p</i> pressure

<sup>a</sup>For a perfect gas of hard, spherical particles with a Maxwell-Boltzmann speed distribution.

<sup>b</sup>Probability of travelling distance *x* without a collision.

<sup>c</sup>From the side where the number density is *n*, assuming an isotropic velocity distribution. Also known as "collision number."

<sup>d</sup>Down a pipe from end 1 to end 2, assuming  $R_p \ll l$  (i.e., at very low pressure).

**Gas equipartition**

Classical equipartition <sup>a</sup>	$E_q = \frac{1}{2}kT$	(5.100)	<i>E<sub>q</sub></i> energy per quadratic degree of freedom <i>k</i> Boltzmann constant <i>T</i> temperature
Ideal gas heat capacities	$C_V = \frac{1}{2}fNk = \frac{1}{2}fnR$	(5.101)	<i>C<sub>V</sub></i> heat capacity, <i>V</i> constant <i>C<sub>p</sub></i> heat capacity, <i>p</i> constant
	$C_p = Nk \left( 1 + \frac{f}{2} \right)$	(5.102)	<i>N</i> number of molecules <i>f</i> number of degrees of freedom
	$\gamma = \frac{C_p}{C_V} = 1 + \frac{2}{f}$	(5.103)	<i>n</i> number of moles <i>R</i> molar gas constant <i>\gamma</i> ratio of heat capacities

<sup>a</sup>System in thermal equilibrium at temperature *T*.

5

**Macroscopic thermodynamic variables**

Helmholtz free energy	$F = -kT \ln Z$	(5.114)	$F$ Helmholtz free energy $k$ Boltzmann constant $T$ temperature $Z$ partition function
Grand potential	$\Phi = -kT \ln \Xi$	(5.115)	$\Phi$ grand potential $\Xi$ grand partition function
Internal energy	$U = F + TS = -\frac{\partial \ln Z}{\partial \beta} \Big _{V,N}$	(5.116)	$U$ internal energy $\beta = 1/(kT)$
Entropy	$S = -\frac{\partial F}{\partial T} \Big _{V,N} = \frac{\partial(kT \ln Z)}{\partial T} \Big _{V,N}$	(5.117)	$S$ entropy $N$ number of particles
Pressure	$p = -\frac{\partial F}{\partial V} \Big _{T,N} = \frac{\partial(kT \ln Z)}{\partial V} \Big _{T,N}$	(5.118)	$p$ pressure
Chemical potential	$\mu = \frac{\partial F}{\partial N} \Big _{V,T} = -\frac{\partial(kT \ln Z)}{\partial N} \Big _{V,T}$	(5.119)	$\mu$ chemical potential

**Identical particles**

Bose-Einstein distribution <sup>a</sup>	$f_i = \frac{1}{e^{\beta(\epsilon_i - \mu)} - 1}$	(5.120)	$f_i$ mean occupation number of $i$ th state $\beta = 1/(kT)$
Fermi-Dirac distribution <sup>b</sup>	$f_i = \frac{1}{e^{\beta(\epsilon_i - \mu)} + 1}$	(5.121)	$\epsilon_i$ energy quantum for $i$ th state $\mu$ chemical potential
Fermi energy <sup>c</sup>	$\epsilon_F = \frac{\hbar^2}{2m} \left( \frac{6\pi^2 n}{g} \right)^{2/3}$	(5.122)	$\epsilon_F$ Fermi energy $\hbar$ (Planck constant)/( $2\pi$ ) $n$ particle number density $m$ particle mass
Bose condensation temperature	$T_c = \frac{2\pi\hbar^2}{mk} \left[ \frac{n}{g\zeta(3/2)} \right]^{2/3}$	(5.123)	$g$ spin degeneracy ( $=2s+1$ ) $\zeta$ Riemann zeta function $\zeta(3/2) \approx 2.612$ $T_c$ Bose condensation temperature

<sup>a</sup>For bosons.  $f_i \geq 0$ .

<sup>b</sup>For fermions.  $0 \leq f_i \leq 1$ .

<sup>c</sup>For noninteracting particles. At low temperatures,  $\mu \approx \epsilon_F$ .

Population densities<sup>a</sup>

Boltzmann excitation equation	$\frac{n_{mj}}{n_{lj}} = \frac{g_{mj}}{g_{lj}} \exp \left[ \frac{-(\chi_{mj} - \chi_{lj})}{kT} \right]$ (5.124)	$n_{ij}$ number density of atoms in excitation level $i$ of ionisation state $j$ ( $j=0$ if not ionised) $g_{ij}$ level degeneracy $\chi_{ij}$ excitation energy relative to the ground state
	$= \frac{g_{mj}}{g_{lj}} \exp \left( \frac{-h\nu_{lm}}{kT} \right)$ (5.125)	
Partition function	$Z_j(T) = \sum_i g_{ij} \exp \left( \frac{-\chi_{ij}}{kT} \right)$ (5.126)	$\nu_{ij}$ photon transition frequency $h$ Planck constant $k$ Boltzmann constant $T$ temperature
	$\frac{n_{ij}}{N_j} = \frac{g_{ij}}{Z_j(T)} \exp \left( \frac{-\chi_{ij}}{kT} \right)$ (5.127)	
Saha equation (general)	$n_{ij} = n_{0,j+1} n_e \frac{g_{ij}}{g_{0,j+1}} \frac{h^3}{2} (2\pi m_e kT)^{-3/2} \exp \left( \frac{\chi_{Ij} - \chi_{ij}}{kT} \right)$ (5.128)	$Z_j$ partition function for ionisation state $j$ $N_j$ total number density in ionisation state $j$ $n_e$ electron number density $m_e$ electron mass $\chi_{Ij}$ ionisation energy of atom in ionisation state $j$
Saha equation (ion populations)	$\frac{N_j}{N_{j+1}} = n_e \frac{Z_j(T)}{Z_{j+1}(T)} \frac{h^3}{2} (2\pi m_e kT)^{-3/2} \exp \left( \frac{\chi_{Ij}}{kT} \right)$ (5.129)	

<sup>a</sup>All equations apply only under conditions of local thermodynamic equilibrium (LTE). In atoms with no magnetic splitting, the degeneracy of a level with total angular momentum quantum number  $J$  is  $g_{ij} = 2J + 1$ .

## 5.6 Fluctuations and noise

Thermodynamic fluctuations<sup>a</sup>

Fluctuation probability	$\text{pr}(x) \propto \exp[S(x)/k]$ (5.130)	$\text{pr}$ probability density $x$ unconstrained variable $S$ entropy $A$ availability
	$\propto \exp \left[ \frac{-A(x)}{kT} \right]$ (5.131)	
General variance	$\text{var}[x] = kT \left[ \frac{\partial^2 A(x)}{\partial x^2} \right]^{-1}$ (5.132)	$\text{var}[\cdot]$ mean square deviation $k$ Boltzmann constant $T$ temperature
Temperature fluctuations	$\text{var}[T] = kT \left. \frac{\partial T}{\partial S} \right _V = \frac{kT^2}{C_V}$ (5.133)	$V$ volume $C_V$ heat capacity, $V$ constant
Volume fluctuations	$\text{var}[V] = -kT \left. \frac{\partial V}{\partial p} \right _T = \kappa_T V kT$ (5.134)	$p$ pressure $\kappa_T$ isothermal compressibility
Entropy fluctuations	$\text{var}[S] = kT \left. \frac{\partial S}{\partial T} \right _p = kC_p$ (5.135)	$C_p$ heat capacity, $p$ constant
Pressure fluctuations	$\text{var}[p] = -kT \left. \frac{\partial p}{\partial V} \right _S = \frac{K_S kT}{V}$ (5.136)	$K_S$ adiabatic bulk modulus
Density fluctuations	$\text{var}[n] = \frac{n^2}{V^2} \text{var}[V] = \frac{n^2}{V} \kappa_T kT$ (5.137)	$n$ number density

<sup>a</sup>In part of a large system, whose mean temperature is fixed. Quantum effects are assumed negligible.

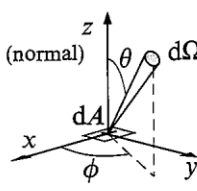
**Noise**

Nyquist's noise theorem	$dw = kT \cdot \beta \epsilon (e^{\beta \epsilon} - 1)^{-1} dv$	(5.138)	$w$	exchangeable noise power
	$= kT_N dv$	(5.139)	$k$	Boltzmann constant
	$\simeq kT dv \quad (h\nu \ll kT)$	(5.140)	$T$	temperature
Johnson (thermal) noise voltage <sup>a</sup>	$v_{\text{rms}} = (4kT_N R \Delta\nu)^{1/2}$	(5.141)	$T_N$	noise temperature
			$\beta \epsilon$	$= h\nu / (kT)$
Shot noise (electrical)	$I_{\text{rms}} = (2eI_0 \Delta\nu)^{1/2}$	(5.142)	$\nu$	frequency
			$h$	Planck constant
Noise figure <sup>b</sup>	$f_{\text{dB}} = 10 \log_{10} \left( 1 + \frac{T_N}{T_0} \right)$	(5.143)	$v_{\text{rms}}$	rms noise voltage
			$R$	resistance
Relative power	$G = 10 \log_{10} \left( \frac{P_2}{P_1} \right)$	(5.144)	$\Delta\nu$	bandwidth
			$I_{\text{rms}}$	rms noise current
			$-e$	electronic charge
			$I_0$	mean current
			$f_{\text{dB}}$	noise figure (decibels)
			$T_0$	ambient temperature (usually taken as 290 K)
			$G$	decibel gain of $P_2$ over $P_1$
			$P_1, P_2$	power levels

<sup>a</sup>Thermal voltage over an open-circuit resistance.<sup>b</sup>Noise figure can also be defined as  $f = 1 + T_N/T_0$ , when it is also called "noise factor."

## 5.7 Radiation processes

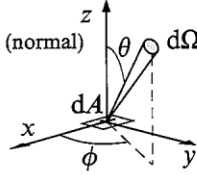
Radiometry<sup>a</sup>

Radiant energy <sup>b</sup>	$Q_e = \iiint L_e \cos \theta \, dA \, d\Omega \, dt \quad \text{J} \quad (5.145)$	$Q_e$ radiant energy $L_e$ radiance (generally a function of position and direction) $\theta$ angle between dir. of $d\Omega$ and normal to $dA$ $\Omega$ solid angle
Radiant flux ("radiant power")	$\Phi_e = \frac{\partial Q_e}{\partial t} \quad \text{W} \quad (5.146)$ $= \iint L_e \cos \theta \, dA \, d\Omega \quad (5.147)$	$A$ area $t$ time $\Phi_e$ radiant flux
Radiant energy density <sup>c</sup>	$W_e = \frac{\partial Q_e}{\partial V} \quad \text{Jm}^{-3} \quad (5.148)$	$W_e$ radiant energy density $dV$ differential volume of propagation medium
Radiant exitance <sup>d</sup>	$M_e = \frac{\partial \Phi_e}{\partial A} \quad \text{Wm}^{-2} \quad (5.149)$ $= \int L_e \cos \theta \, d\Omega \quad (5.150)$	$M_e$ radiant exitance
Irradiance <sup>e</sup>	$E_e = \frac{\partial \Phi_e}{\partial A} \quad \text{Wm}^{-2} \quad (5.151)$	
	$= \int L_e \cos \theta \, d\Omega \quad (5.152)$	
Radiant intensity	$I_e = \frac{\partial \Phi_e}{\partial \Omega} \quad \text{Wsr}^{-1} \quad (5.153)$	$E_e$ irradiance $I_e$ radiant intensity
	$= \int L_e \cos \theta \, dA \quad (5.154)$	
Radiance	$L_e = \frac{1}{\cos \theta} \frac{\partial^2 \Phi_e}{\partial A \, d\Omega} \quad \text{Wm}^{-2} \text{sr}^{-1} \quad (5.155)$	
	$= \frac{1}{\cos \theta} \frac{\partial I_e}{\partial A} \quad (5.156)$	

<sup>a</sup>Radiometry is concerned with the treatment of light as energy.<sup>b</sup>Sometimes called "total energy." Note that we assume opaque radiant surfaces, so that  $0 \leq \theta \leq \pi/2$ .<sup>c</sup>The instantaneous amount of radiant energy contained in a unit volume of propagation medium.<sup>d</sup>Power per unit area leaving a surface. For a perfectly diffusing surface,  $M_e = \pi L_e$ .<sup>e</sup>Power per unit area incident on a surface.

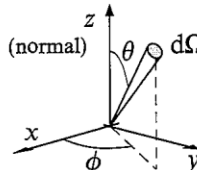


Photometry<sup>a</sup>

Luminous energy ("total light")	$Q_v = \iiint L_v \cos \theta \, dA \, d\Omega \, dt \quad \text{lms} \quad (5.157)$	$Q_v$ luminous energy $L_v$ luminance (generally a function of position and direction) $\theta$ angle between dir. of $d\Omega$ and normal to $dA$ $\Omega$ solid angle
Luminous flux	$\Phi_v = \frac{\partial Q_v}{\partial t} \quad \text{lumen (lm)} \quad (5.158)$ $= \iint L_v \cos \theta \, dA \, d\Omega \quad (5.159)$	$A$ area $t$ time $\Phi_v$ luminous flux
Luminous density <sup>b</sup>	$W_v = \frac{\partial Q_v}{\partial V} \quad \text{lmsm}^{-3} \quad (5.160)$	$W_v$ luminous density $V$ volume
Luminous exitance <sup>c</sup>	$M_v = \frac{\partial \Phi_v}{\partial A} \quad \text{lx} \quad (\text{lmm}^{-2}) \quad (5.161)$ $= \int L_v \cos \theta \, d\Omega \quad (5.162)$	$M_v$ luminous exitance
Illuminance ("illumination") <sup>d</sup>	$E_v = \frac{\partial \Phi_v}{\partial A} \quad \text{lmm}^{-2} \quad (5.163)$ $= \int L_v \cos \theta \, d\Omega \quad (5.164)$	
Luminous intensity <sup>e</sup>	$I_v = \frac{\partial \Phi_v}{\partial \Omega} \quad \text{cd} \quad (5.165)$ $= \int L_v \cos \theta \, dA \quad (5.166)$	$E_v$ illuminance $I_v$ luminous intensity
Luminance ("photometric brightness")	$L_v = \frac{1}{\cos \theta} \frac{\partial^2 \Phi_v}{\partial A \, d\Omega} \quad \text{cdm}^{-2} \quad (5.167)$ $= \frac{1}{\cos \theta} \frac{\partial I_v}{\partial A} \quad (5.168)$	
Luminous efficacy	$K = \frac{\Phi_v}{\Phi_e} = \frac{L_v}{L_e} = \frac{I_v}{I_e} \quad \text{lmW}^{-1} \quad (5.169)$	$K$ luminous efficacy $L_e$ radiance $\Phi_e$ radiant flux $I_e$ radiant intensity
Luminous efficiency	$V(\lambda) = \frac{K(\lambda)}{K_{\max}} \quad (5.170)$	$V$ luminous efficiency $\lambda$ wavelength $K_{\max}$ spectral maximum of $K(\lambda)$

<sup>a</sup>Photometry is concerned with the treatment of light as seen by the human eye.<sup>b</sup>The instantaneous amount of luminous energy contained in a unit volume of propagating medium.<sup>c</sup>Luminous emitted flux per unit area.<sup>d</sup>Luminous incident flux per unit area. The derived SI unit is the lux (lx).  $1 \text{ lx} = 1 \text{ lmm}^{-2}$ .<sup>e</sup>The SI unit of luminous intensity is the candela (cd).  $1 \text{ cd} = 1 \text{ lmsr}^{-1}$ .

Radiative transfer<sup>a</sup>

Flux density (through a plane)	$F_v = \int I_v(\theta, \phi) \cos \theta \, d\Omega \quad \text{W m}^{-2} \text{Hz}^{-1}$	(5.171)	 <p><math>F_v</math> flux density  <math>I_v</math> specific intensity            (<math>\text{W m}^{-2} \text{Hz}^{-1} \text{sr}^{-1}</math>)  <math>J_v</math> mean intensity  <math>u_v</math> spectral energy density  <math>\Omega</math> solid angle  <math>\theta</math> angle between normal            and direction of <math>\Omega</math>  <math>j_v</math> specific emission            coefficient  <math>\epsilon_v</math> emission coefficient            (<math>\text{W m}^{-3} \text{Hz}^{-1} \text{sr}^{-1}</math>)  <math>\rho</math> density  <math>\alpha_v</math> linear absorption            coefficient  <math>n</math> particle number density  <math>\sigma_v</math> particle cross section  <math>l_v</math> mean free path  <math>\kappa_v</math> opacity  <math>\tau_v</math> optical depth, or            optical thickness  <math>ds</math> line element  <math>S_v</math> source function</p>
Mean intensity <sup>b</sup>	$J_v = \frac{1}{4\pi} \int I_v(\theta, \phi) \, d\Omega \quad \text{W m}^{-2} \text{Hz}^{-1}$	(5.172)	
Spectral energy density <sup>c</sup>	$u_v = \frac{1}{c} \int I_v(\theta, \phi) \, d\Omega \quad \text{J m}^{-3} \text{Hz}^{-1}$	(5.173)	
Specific emission coefficient	$j_v = \frac{\epsilon_v}{\rho} \quad \text{W kg}^{-1} \text{Hz}^{-1} \text{sr}^{-1}$	(5.174)	
Gas linear absorption coefficient ( $\alpha_v \ll 1$ )	$\alpha_v = n\sigma_v = \frac{1}{l_v} \quad \text{m}^{-1}$	(5.175)	
Opacity <sup>d</sup>	$\kappa_v = \frac{\alpha_v}{\rho} \quad \text{kg}^{-1} \text{m}^2$	(5.176)	
Optical depth	$\tau_v = \int \kappa_v \rho \, ds$	(5.177)	
Transfer equation <sup>e</sup>	$\frac{1}{\rho} \frac{dI_v}{ds} = -\kappa_v I_v + j_v$	(5.178)	
	or $\frac{dI_v}{ds} = -\alpha_v I_v + \epsilon_v$	(5.179)	
Kirchhoff's law <sup>f</sup>	$S_v \equiv \frac{j_v}{\kappa_v} = \frac{\epsilon_v}{\alpha_v}$	(5.180)	
Emission from a homogeneous medium	$I_v = S_v(1 - e^{-\tau_v})$	(5.181)	

<sup>a</sup>The definitions of these quantities vary in the literature. Those presented here are common in meteorology and astrophysics. Note particularly that the ambiguous term *specific* is taken to mean "per unit frequency interval" in the case of specific intensity and "per unit mass per unit frequency interval" in the case of specific emission coefficient.

<sup>b</sup>In radio astronomy, flux density is usually taken as  $S = 4\pi J_v$ .

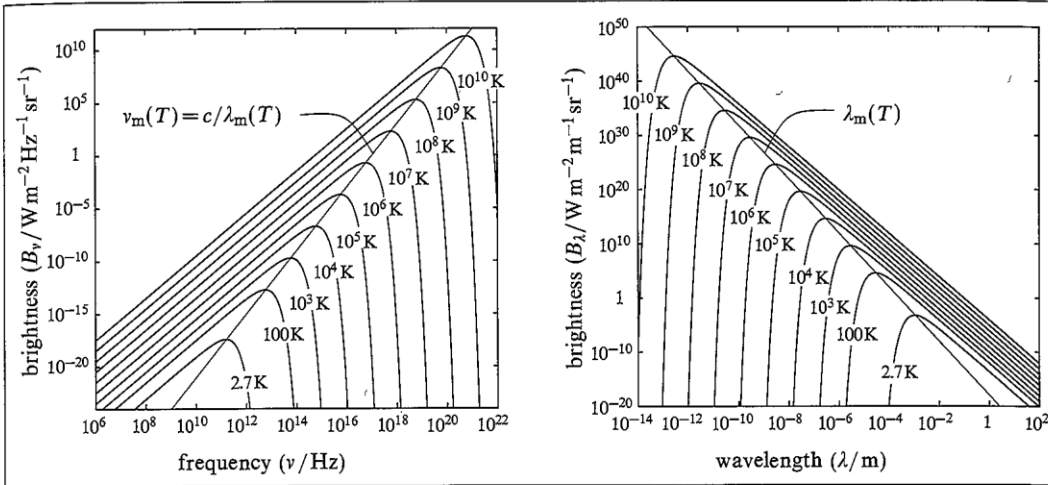
<sup>c</sup>Assuming a refractive index of 1.

<sup>d</sup>Or "mass absorption coefficient."

<sup>e</sup>Or "Schwarzschild's equation."

<sup>f</sup>Under conditions of local thermal equilibrium (LTE), the source function,  $S_v$ , equals the Planck function,  $B_v(T)$  [see Equation (5.182)].

**Blackbody radiation**



Planck function <sup>a</sup>	$B_\nu(T) = \frac{2h\nu^3}{c^2} \left[ \exp\left(\frac{h\nu}{kT}\right) - 1 \right]^{-1}$ (5.182)	$B_\nu$ surface brightness per unit frequency ( $\text{W m}^{-2} \text{Hz}^{-1} \text{sr}^{-1}$ )
	$B_\lambda(T) = B_\nu(T) \frac{d\nu}{d\lambda}$ (5.183)	$B_\lambda$ surface brightness per unit wavelength ( $\text{W m}^{-2} \text{m}^{-1} \text{sr}^{-1}$ )
	$= \frac{2hc^2}{\lambda^5} \left[ \exp\left(\frac{hc}{\lambda kT}\right) - 1 \right]^{-1}$ (5.184)	$h$ Planck constant
Spectral energy density	$u_\nu(T) = \frac{4\pi}{c} B_\nu(T) \quad \text{J m}^{-3} \text{Hz}^{-1}$ (5.185)	$c$ speed of light
	$u_\lambda(T) = \frac{4\pi}{c} B_\lambda(T) \quad \text{J m}^{-3} \text{m}^{-1}$ (5.186)	$k$ Boltzmann constant
		$T$ temperature
Rayleigh–Jeans law ( $h\nu \ll kT$ )	$B_\nu(T) = \frac{2kT}{c^2} \nu^2 = \frac{2kT}{\lambda^2}$ (5.187)	$u_{\nu,\lambda}$ spectral energy density
Wien's law ( $h\nu \gg kT$ )	$B_\nu(T) = \frac{2h\nu^3}{c^2} \exp\left(\frac{-h\nu}{kT}\right)$ (5.188)	
Wien's displacement law	$\lambda_m T = \begin{cases} 5.1 \times 10^{-3} \text{ mK} & \text{for } B_\nu \\ 2.9 \times 10^{-3} \text{ mK} & \text{for } B_\lambda \end{cases}$ (5.189)	$\lambda_m$ wavelength of maximum brightness
Stefan–Boltzmann law <sup>b</sup>	$M = \pi \int_0^\infty B_\nu(T) d\nu$ (5.190)	$M$ exitance
	$= \frac{2\pi^5 k^4}{15c^2 h^3} T^4 = \sigma T^4 \quad \text{W m}^{-2}$ (5.191)	$\sigma$ Stefan–Boltzmann constant ( $\simeq 5.67 \times 10^{-8} \text{ W m}^{-2} \text{K}^{-4}$ )
Energy density	$u(T) = \frac{4}{c} \sigma T^4 \quad \text{J m}^{-3}$ (5.192)	$u$ energy density
Greybody	$M = \epsilon \sigma T^4 = (1 - A) \sigma T^4$ (5.193)	$\epsilon$ mean emissivity $A$ albedo

<sup>a</sup>With respect to the projected area of the surface. Surface brightness is also known simply as “brightness.” “Specific intensity” is used for reception.

<sup>b</sup>Sometimes “Stefan’s law.” Exitance is the total radiated energy from unit area of the body per unit time.

5

# Chapter 6 Solid state physics

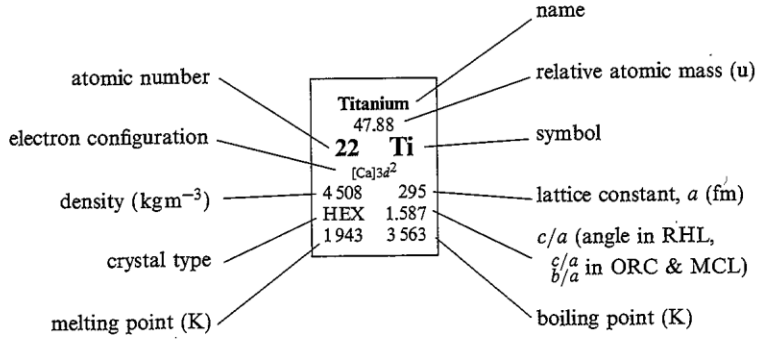
## 6.1 Introduction

This section covers a few selected topics in solid state physics. There is no attempt to do more than scratch the surface of this vast field, although the basics of many undergraduate texts on the subject are covered. In addition a period table of elements, together with some of their physical properties, is displayed on the next two pages.

**Periodic table (overleaf)** Data for the periodic table of elements are taken from the 16th edition of Kaye and Laby *Tables of Physical and Chemical Constants* (Longman, 1995) and from the 74th edition of the *CRC Handbook of Chemistry and Physics* (CRC Press, 1993). Note that melting and boiling points have been converted to kelvin by adding 273.15 to the Celsius values listed in Kaye and Laby. The standard atomic masses reflect the relative isotopic abundances in samples found naturally on Earth, and the number of significant figures reflect the variations between samples. Crystallographic data are based on the most common forms of the elements (the  $\alpha$ -form, unless stated otherwise) stable under standard conditions. Densities are for the solid state. For full details and footnotes for each element, the reader is advised to consult the original texts.

6.2 Periodic table

1A		2A		3B	4B	5B	6B	7B	8	8	
1	Hydrogen 1.007 94 <b>1 H</b> $1s^1$ 89 ( $\beta$ ) 378 HEX 1.632 13.80 20.28										
2	Lithium 6.941 <b>3 Li</b> $[He]2s^1$ 533 ( $\beta$ ) 351 BCC 453.65 1 613	Beryllium 9.012 182 <b>4 Be</b> $[He]2s^2$ 1 846 229 HEX 1.568 1 560 2 745									
3	Sodium 22.989 768 <b>11 Na</b> $[Ne]3s^1$ 966 429 BCC 370.8 1 153	Magnesium 24.305 0 <b>12 Mg</b> $[Ne]3s^2$ 1 738 321 HEX 1.624 923 1 363									
4	Potassium 39.098 3 <b>19 K</b> $[Ar]4s^1$ 862 532 BCC 336.5 1 033	Calcium 40.078 <b>20 Ca</b> $[Ar]4s^2$ 1 530 559 FCC 1 113 1 757	Scandium 44.955 910 <b>21 Sc</b> $[Ca]3d^1$ 2 992 331 HEX 1.592 1 813 3 103	Titanium 47.88 <b>22 Ti</b> $[Ca]3d^2$ 4 508 295 HEX 1.587 1 943 3 563	Vanadium 50.941 5 <b>23 V</b> $[Ca]3d^3$ 6 090 302 BCC 2 193 3 673	Chromium 51.996 1 <b>24 Cr</b> $[Ar]3d^5 4s^1$ 7 194 388 BCC 2 180 2 943	Manganese 54.938 05 <b>25 Mn</b> $[Ca]3d^5$ 7 473 891 FCC 1 523 2 333	Iron 55.847 <b>26 Fe</b> $[Ca]3d^6$ 7 873 287 BCC 1 813 3 133	Cobalt 58.933 20 <b>27 Co</b> $[Ca]3d^7$ 8 800 ( $\epsilon$ ) 251 HEX 1.623 1 768 3 203		
5	Rubidium 85.467 8 <b>37 Rb</b> $[Kr]5s^1$ 1 533 571 BCC 312.4 963.1	Strontium 87.62 <b>38 Sr</b> $[Kr]5s^2$ 2 583 608 FCC 1 050 1 653	Yttrium 88.905 85 <b>39 Y</b> $[Sr]4d^1$ 4 475 365 HEX 1.571 1 798 3 613	Zirconium 91.224 <b>40 Zr</b> $[Sr]4d^2$ 6 507 323 HEX 1.593 2 123 4 673	Niobium 92.906 38 <b>41 Nb</b> $[Kr]4d^4 5s^1$ 8 578 330 BCC 2 750 4 973	Molybdenum 95.94 <b>42 Mo</b> $[Kr]4d^5 5s^1$ 10 222 315 BCC 2 896 4 913	Technetium 97.907 2 <b>43 Tc</b> $[Sr]4d^5$ 11 496 274 HEX 1.604	Ruthenium 101.07 <b>44 Ru</b> $[Kr]4d^7 5s^1$ 12 360 270 HEX 1.582	Rhodium 102.905 50 <b>45 Rh</b> $[Kr]4d^8 5s^1$ 12 420 380 FCC 2 236 3 973		
6	Caesium 132.905 43 <b>55 Cs</b> $[Xe]6s^1$ 1 900 614 BCC 301.6 943.2	Barium 137.327 <b>56 Ba</b> $[Xe]6s^2$ 3 594 502 BCC 1 001 2 173	Lanthanum 138.905 5 <b>57 La</b> $[Ba]5d^1$ 6 174 377 HEX 3.23 1 193 3 733	Hafnium 178.49 <b>72 Hf</b> $[Yb]5d^2$ 13 276 319 HEX 1.581 2 503 4 873	Tantalum 180.947 9 <b>73 Ta</b> $[Yb]5d^3$ 16 670 330 BCC 3 293 5 833	Tungsten 183.84 <b>74 W</b> $[Yb]5d^4$ 19 254 316 BCC 3 695 5 823	Rhenium 186.207 <b>75 Re</b> $[Yb]5d^5$ 21 023 276 HEX 1.615	Osmium 190.23 <b>76 Os</b> $[Yb]5d^6$ 22 580 273 HEX 1.606	Iridium 192.22 <b>77 Ir</b> $[Yb]5d^7$ 22 550 384 FCC 2 720 4 703		
7	Francium 223.018 5 <b>87 Fr</b> $[Rn]7s^1$ 300 923	Radium 226.025 4 <b>88 Ra</b> $[Rn]7s^2$ 5 000 515 BCC 973 1 773	Actinium 227.027 8 <b>89 Ac</b> $[Ra]6d^1$ 10 060 531 FCC 1 323 3 473								
			Lanthanides								
						Actinides					



							8A	
							Helium 4.002 602 2 He 1s <sup>2</sup>	
							120 356 HEX 1.631 3-5 4.22	
		3A	4A	5A	6A	7A		
		<b>Boron</b> 10.811 <b>5 B</b> [Be]2p <sup>1</sup>	<b>Carbon</b> 12.011 <b>6 C</b> [Be]2p <sup>2</sup>	<b>Nitrogen</b> 14.006 74 <b>7 N</b> [Be]2p <sup>3</sup>	<b>Oxygen</b> 15.999 4 <b>8 O</b> [Be]2p <sup>4</sup>	<b>Fluorine</b> 18.998 403 2 <b>9 F</b> [Be]2p <sup>5</sup>	<b>Neon</b> 20.179 7 <b>10 Ne</b> [Be]2p <sup>6</sup>	
		2 466 1017 RHL 65°7'	2 266 357 DIA	1 035 (β) 405 HEX 1.631 63 77.35	1 460 (γ) 683 CUB	1 140 550 MCL 1.37 0.81	1 442 446 FCC 24.56 27.07	
		<b>Aluminium</b> 26.981 539 <b>13 Al</b> [Mg]3p <sup>1</sup>	<b>Silicon</b> 28.085 5 <b>14 Si</b> [Mg]3p <sup>2</sup>	<b>Phosphorus</b> 30.973 762 <b>15 P</b> [Mg]3p <sup>3</sup>	<b>Sulphur</b> 32.066 <b>16 S</b> [Mg]3p <sup>4</sup>	<b>Chlorine</b> 35.452 7 <b>17 Cl</b> [Mg]3p <sup>5</sup>	<b>Argon</b> 39.948 <b>18 Ar</b> [Mg]3p <sup>6</sup>	
		2 698 405 FCC	2 329 543 DIA	1 820 331 ORC 1.320 3.182	2 086 1046 ORC 2.340 1.229	2 030 624 ORC 1.324 0.718	1 656 532 FCC 83.81 87.30	
8	1B	2B						
<b>Nickel</b> 58.693 4 <b>28 Ni</b> [Ca]3d <sup>8</sup>	<b>Copper</b> 63.546 <b>29 Cu</b> [Ar]3d <sup>10</sup> 4s <sup>1</sup>	<b>Zinc</b> 65.39 <b>30 Zn</b> [Ca]3d <sup>10</sup>	<b>Gallium</b> 69.723 <b>31 Ga</b> [Zn]4p <sup>1</sup>	<b>Germanium</b> 72.61 <b>32 Ge</b> [Zn]4p <sup>2</sup>	<b>Arsenic</b> 74.921 59 <b>33 As</b> [Zn]4p <sup>3</sup>	<b>Selenium</b> 78.96 <b>34 Se</b> [Zn]4p <sup>4</sup>	<b>Bromine</b> 79.904 <b>35 Br</b> [Zn]4p <sup>5</sup>	<b>Krypton</b> 83.80 <b>36 Kr</b> [Zn]4p <sup>6</sup>
8 907 352 FCC 1 728 3 263	8 933 361 FCC 1 357.8 2 833	7 135 266 HEX 1.856 692.68 1 183	5 905 452 ORC 1.091 1.091 302.9 2 473	5 323 566 DIA 1 211 3 103	5 776 413 RHL 54°7' 883 (t-pt)	4 808 (γ) 436 HEX 1.135 493 958	3 120 668 ORC 1.308 0.872	3 000 581 FCC 115.8 119.9
<b>Palladium</b> 106.42 <b>46 Pd</b> [Kr]4d <sup>10</sup>	<b>Silver</b> 107.868 2 <b>47 Ag</b> [Pd]5s <sup>1</sup>	<b>Cadmium</b> 112.411 <b>48 Cd</b> [Pd]5s <sup>2</sup>	<b>Indium</b> 114.818 <b>49 In</b> [Cd]5p <sup>1</sup>	<b>Tin</b> 118.710 <b>50 Sn</b> [Cd]5p <sup>2</sup>	<b>Antimony</b> 121.757 <b>51 Sb</b> [Cd]5p <sup>3</sup>	<b>Tellurium</b> 127.60 <b>52 Te</b> [Cd]5p <sup>4</sup>	<b>Iodine</b> 126.904 47 <b>53 I</b> [Cd]5p <sup>5</sup>	<b>Xenon</b> 131.29 <b>54 Xe</b> [Cd]5p <sup>6</sup>
11 995 389 FCC 1 828 3 233	10 500 409 FCC 1 235 2 433	8 647 298 HEX 1.886 594.2 1 043	7 290 325 TET 1.521 429.75 2 343	7 285 (β) 583 TET 0.546 505.08 2 893	6 692 451 RHL 57°7' 903.8 1 860	6 247 446 HEX 1.33 723 1 263	4 953 727 ORC 1.347 0.859	3 560 635 FCC 161.3 165.0
<b>Platinum</b> 195.08 <b>78 Pt</b> [Xe]4f <sup>14</sup> 5d <sup>9</sup> 6s <sup>1</sup>	<b>Gold</b> 196.966 54 <b>79 Au</b> [Xe]4f <sup>14</sup> 5d <sup>10</sup> 6s <sup>1</sup>	<b>Mercury</b> 200.59 <b>80 Hg</b> [Yb]5d <sup>10</sup>	<b>Thallium</b> 204.383 3 <b>81 Tl</b> [Hg]6p <sup>1</sup>	<b>Lead</b> 207.2 <b>82 Pb</b> [Hg]6p <sup>2</sup>	<b>Bismuth</b> 208.980 37 <b>83 Bi</b> [Hg]6p <sup>3</sup>	<b>Polonium</b> 208.982 4 <b>84 Po</b> [Hg]6p <sup>4</sup>	<b>Astatine</b> 209.987 1 <b>85 At</b> [Hg]6p <sup>5</sup>	<b>Radon</b> 222.017 6 <b>86 Rn</b> [Hg]6p <sup>6</sup>
21 450 392 FCC 2 041 4 093	19 281 408 FCC 1 337.3 3 123	13 546 300 RHL 70°32' 234.32 629.9	11 871 346 HEX 1.598 577 1 743	11 343 495 FCC 600.7 2 023	9 803 475 RHL 57°14' 544.59 1 833	9 400 337 CUB	573 623	440 202 211

6

<b>Europium</b> 151.965 <b>63 Eu</b> [Ba]4f <sup>7</sup>	<b>Gadolinium</b> 157.25 <b>64 Gd</b> [Ba]4f <sup>7</sup> 5d <sup>1</sup>	<b>Terbium</b> 158.925 34 <b>65 Tb</b> [Ba]4f <sup>9</sup>	<b>Dysprosium</b> 162.50 <b>66 Dy</b> [Ba]4f <sup>10</sup>	<b>Holmium</b> 164.930 32 <b>67 Ho</b> [Ba]4f <sup>11</sup>	<b>Erbium</b> 167.26 <b>68 Er</b> [Ba]4f <sup>12</sup>	<b>Thulium</b> 168.934 21 <b>69 Tm</b> [Ba]4f <sup>13</sup>	<b>Ytterbium</b> 173.04 <b>70 Yb</b> [Ba]4f <sup>14</sup>	<b>Lutetium</b> 174.967 <b>71 Lu</b> [Yb]5d <sup>1</sup>
5 248 458 BCC 1 095 1 873	7 870 363 HEX 1.591 1 587 3 493	8 267 361 HEX 1.580 1 633 3 493	8 531 359 HEX 1.573 1 683 2 833	8 797 358 HEX 1.570 1 743 2 973	9 044 356 HEX 1.570 1 803 3 133	9 325 354 HEX 1.570 1 823 2 223	6 966 (β) 549 HEX 1.570 1 097 1 473	9 842 351 HEX 1.583 1 933 3 663
<b>Americium</b> 243.061 4 <b>95 Am</b> [Ra]5f <sup>7</sup>	<b>Curium</b> 247.070 3 <b>96 Cm</b> [Rn]5f <sup>7</sup> 6d <sup>1</sup> 7s <sup>2</sup>	<b>Berkelium</b> 247.070 3 <b>97 Bk</b> [Ra]5f <sup>9</sup>	<b>Californium</b> 251.079 6 <b>98 Cf</b> [Ra]5f <sup>10</sup>	<b>Einsteinium</b> 252.081 6 <b>99 Es</b> [Ra]5f <sup>11</sup>	<b>Fermium</b> 257.095 1 <b>100 Fm</b> [Ra]5f <sup>12</sup>	<b>Mendelevium</b> 258.098 6 <b>101 Md</b> [Ra]5f <sup>13</sup>	<b>Nobelium</b> 259.100 9 <b>102 No</b> [Ra]5f <sup>14</sup>	<b>Lawrencium</b> 260.105 4 <b>103 Lr</b> [Ra]5f <sup>14</sup> 6d <sup>1</sup>
13 670 347 HEX 3.24 1 449 2 873	13 300 350 HEX 3.24 1 618	14 790 342 HEX 3.24 1 323	339 HEX 3.24 1 173	HEX 1 133	1 803	1 103	1 103	1 903

### 6.3 Crystalline structure

#### Bravais lattices

Volume of primitive cell	$V = (\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}$ (6.1)	$\mathbf{a}, \mathbf{b}, \mathbf{c}$ $V$	primitive base vectors volume of primitive cell
Reciprocal primitive base vectors <sup>a</sup>	$\mathbf{a}^* = 2\pi \mathbf{b} \times \mathbf{c} / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$ (6.2)	$\mathbf{a}^*, \mathbf{b}^*, \mathbf{c}^*$	reciprocal primitive base vectors
	$\mathbf{b}^* = 2\pi \mathbf{c} \times \mathbf{a} / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$ (6.3)		
	$\mathbf{c}^* = 2\pi \mathbf{a} \times \mathbf{b} / [(\mathbf{a} \times \mathbf{b}) \cdot \mathbf{c}]$ (6.4)		
	$\mathbf{a} \cdot \mathbf{a}^* = \mathbf{b} \cdot \mathbf{b}^* = \mathbf{c} \cdot \mathbf{c}^* = 2\pi$ (6.5)		
	$\mathbf{a} \cdot \mathbf{b}^* = \mathbf{a} \cdot \mathbf{c}^* = 0$ (etc.) (6.6)		
Lattice vector	$\mathbf{R}_{uvw} = u\mathbf{a} + v\mathbf{b} + w\mathbf{c}$ (6.7)	$\mathbf{R}_{uvw}$ $u, v, w$	lattice vector $[uvw]$ integers
Reciprocal lattice vector	$\mathbf{G}_{hkl} = h\mathbf{a}^* + k\mathbf{b}^* + l\mathbf{c}^*$ (6.8)	$\mathbf{G}_{hkl}$ $\mathbf{i}$	reciprocal lattice vector $[hkl]$ $\mathbf{i}^2 = -1$
	$\exp(i\mathbf{G}_{hkl} \cdot \mathbf{R}_{uvw}) = 1$ (6.9)		
Weiss zone equation <sup>b</sup>	$hu + kv + lw = 0$ (6.10)	$(hkl)$	Miller indices of plane <sup>c</sup>
Interplanar spacing (general)	$d_{hkl} = \frac{2\pi}{G_{hkl}}$ (6.11)	$d_{hkl}$	distance between $(hkl)$ planes
Interplanar spacing (orthogonal basis)	$\frac{1}{d_{hkl}^2} = \frac{h^2}{a^2} + \frac{k^2}{b^2} + \frac{l^2}{c^2}$ (6.12)		

<sup>a</sup>Note that this is  $2\pi$  times the usual definition of a "reciprocal vector" (see page 20).

<sup>b</sup>Condition for lattice vector  $[uvw]$  to be parallel to lattice plane  $(hkl)$  in an arbitrary Bravais lattice.

<sup>c</sup>Miller indices are defined so that  $\mathbf{G}_{hkl}$  is the shortest reciprocal lattice vector normal to the  $(hkl)$  planes.

#### Weber symbols

Converting $[uvw]$ to $[UVTW]$	$U = \frac{1}{3}(2u - v)$ (6.13)	$U, V, T, W$ $u, v, w$ $[UVTW]$ $[uvw]$	Weber indices zone axis indices Weber symbol zone axis symbol
	$V = \frac{1}{3}(2v - u)$ (6.14)		
	$T = -\frac{1}{3}(u + v)$ (6.15)		
	$W = w$ (6.16)		
Converting $[UVTW]$ to $[uvw]$	$u = (U - T)$ (6.17)		
	$v = (V - T)$ (6.18)		
	$w = W$ (6.19)		
Zone law <sup>a</sup>	$hU + kV + iT + lW = 0$ (6.20)	$(hkil)$	Miller-Bravais indices

<sup>a</sup>For trigonal and hexagonal systems.

## Cubic lattices

lattice	primitive (P)	body-centred (I)	face-centred (F)
lattice parameter	$a$	$a$	$a$
volume of conventional cell	$a^3$	$a^3$	$a^3$
lattice points per cell	1	2	4
1st nearest neighbours <sup>a</sup>	6	8	12
1st n.n. distance	$a$	$a\sqrt{3}/2$	$a/\sqrt{2}$
2nd nearest neighbours	12	6	6
2nd n.n. distance	$a\sqrt{2}$	$a$	$a$
packing fraction <sup>b</sup>	$\pi/6$	$\sqrt{3}\pi/8$	$\sqrt{2}\pi/6$
reciprocal lattice <sup>c</sup>	P	F	I
primitive base vectors <sup>d</sup>	$\mathbf{a}_1 = a\hat{x}$	$\mathbf{a}_1 = \frac{a}{2}(\hat{y} + \hat{z} - \hat{x})$	$\mathbf{a}_1 = \frac{a}{2}(\hat{y} + \hat{z})$
	$\mathbf{a}_2 = a\hat{y}$	$\mathbf{a}_2 = \frac{a}{2}(\hat{z} + \hat{x} - \hat{y})$	$\mathbf{a}_2 = \frac{a}{2}(\hat{z} + \hat{x})$
	$\mathbf{a}_3 = a\hat{z}$	$\mathbf{a}_3 = \frac{a}{2}(\hat{x} + \hat{y} - \hat{z})$	$\mathbf{a}_3 = \frac{a}{2}(\hat{x} + \hat{y})$

<sup>a</sup>Or "coordination number."

<sup>b</sup>For close-packed spheres. The maximum possible packing fraction for spheres is  $\sqrt{2}\pi/6$ .

<sup>c</sup>The lattice parameters for the reciprocal lattices of P, I, and F are  $2\pi/a$ ,  $4\pi/a$ , and  $4\pi/a$  respectively.

<sup>d</sup> $\hat{x}$ ,  $\hat{y}$ , and  $\hat{z}$  are unit vectors.

Crystal systems<sup>a</sup>

system	symmetry	unit cell <sup>b</sup>	lattices <sup>c</sup>
triclinic	none	$a \neq b \neq c$ ; $\alpha \neq \beta \neq \gamma \neq 90^\circ$	P
monoclinic	one diad $\parallel [010]$	$a \neq b \neq c$ ; $\alpha = \gamma = 90^\circ, \beta \neq 90^\circ$	P, C
orthorhombic	three orthogonal diads	$a \neq b \neq c$ ; $\alpha = \beta = \gamma = 90^\circ$	P, C, I, F
tetragonal	one tetrad $\parallel [001]$	$a = b \neq c$ ; $\alpha = \beta = \gamma = 90^\circ$	P, I
trigonal <sup>d</sup>	one triad $\parallel [111]$	$a = b = c$ ; $\alpha = \beta = \gamma < 120^\circ \neq 90^\circ$	P, R
hexagonal	one hexad $\parallel [001]$	$a = b \neq c$ ; $\alpha = \beta = 90^\circ, \gamma = 120^\circ$	P
cubic	four triads $\parallel \langle 111 \rangle$	$a = b = c$ ; $\alpha = \beta = \gamma = 90^\circ$	P, F, I

<sup>a</sup>The symbol " $\neq$ " implies that equality is not required by the symmetry, but neither is it forbidden.

<sup>b</sup>The cell axes are  $a$ ,  $b$ , and  $c$  with  $\alpha$ ,  $\beta$ , and  $\gamma$  the angles between  $b:c$ ,  $c:a$ , and  $a:b$  respectively.

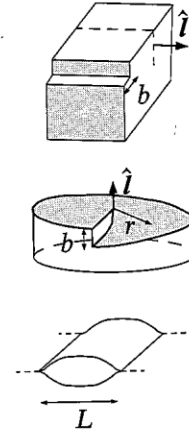
<sup>c</sup>The lattice types are primitive (P), body-centred (I), all face-centred (F), side-centred (C), and rhombohedral primitive (R).

<sup>d</sup>A primitive hexagonal unit cell, with a triad  $\parallel [001]$ , is generally preferred over this rhombohedral unit cell.



**Dislocations and cracks**

Edge dislocation	$\hat{l} \cdot b = 0$	(6.21)	$\hat{l}$ unit vector    line of dislocation
Screw dislocation	$\hat{l} \cdot b = b$	(6.22)	$b, b$ Burgers vector <sup>a</sup>
Screw dislocation energy per unit length <sup>b</sup>	$U = \frac{\mu b^2}{4\pi} \ln \frac{R}{r_0}$	(6.23)	$U$ dislocation energy per unit length
Critical crack length <sup>c</sup>	$L = \frac{4\alpha E}{\pi(1-\sigma^2)p_0^2}$	(6.25)	$\mu$ shear modulus
			$R$ outer cutoff for $r$
			$r_0$ inner cutoff for $r$
			$L$ critical crack length
			$\alpha$ surface energy per unit area
			$E$ Young modulus
			$\sigma$ Poisson ratio
			$p_0$ applied widening stress



<sup>a</sup>The Burgers vector is a Bravais lattice vector characterising the total relative slip were the dislocation to travel throughout the crystal.

<sup>b</sup>Or "tension." The energy per unit length of an edge dislocation is also  $\sim \mu b^2$ .

<sup>c</sup>For a crack cavity (long  $\perp L$ ) within an isotropic medium. Under uniform stress  $p_0$ , cracks  $\geq L$  will grow and smaller cracks will shrink.

**Crystal diffraction**

Laue equations	$a(\cos \alpha_1 - \cos \alpha_2) = h\lambda$	(6.26)	$a, b, c$ lattice parameters
	$b(\cos \beta_1 - \cos \beta_2) = k\lambda$	(6.27)	$\alpha_1, \beta_1, \gamma_1$ angles between lattice base vectors and input wavevector
	$c(\cos \gamma_1 - \cos \gamma_2) = l\lambda$	(6.28)	$\alpha_2, \beta_2, \gamma_2$ angles between lattice base vectors and output wavevector
Bragg's law <sup>a</sup>	$2k_{in} \cdot G +  G ^2 = 0$	(6.29)	$h, k, l$ integers (Laue indices)
Atomic form factor	$f(G) = \int_{vol} e^{-iG \cdot r} \rho(r) d^3r$	(6.30)	$\lambda$ wavelength
Structure factor <sup>b</sup>	$S(G) = \sum_{j=1}^n f_j(G) e^{-iG \cdot d_j}$	(6.31)	$k_{in}$ input wavevector
Scattered intensity <sup>c</sup>	$I(K) \propto N^2  S(K) ^2$	(6.32)	$G$ reciprocal lattice vector
Debye-Waller factor <sup>d</sup>	$I_T = I_0 \exp \left[ -\frac{1}{3} \langle u^2 \rangle  G ^2 \right]$	(6.33)	$f(G)$ atomic form factor
			$r$ position vector
			$\rho(r)$ atomic electron density
			$S(G)$ structure factor
			$n$ number of atoms in basis
			$d_j$ position of $j$ th atom within basis
			$K$ change in wavevector ( $= k_{out} - k_{in}$ )
			$I(K)$ scattered intensity
			$N$ number of lattice points illuminated
			$I_T$ intensity at temperature $T$
			$I_0$ intensity from a lattice with no motion
			$\langle u^2 \rangle$ mean-squared thermal displacement of atoms

<sup>a</sup>Alternatively, see Equation (8.32).

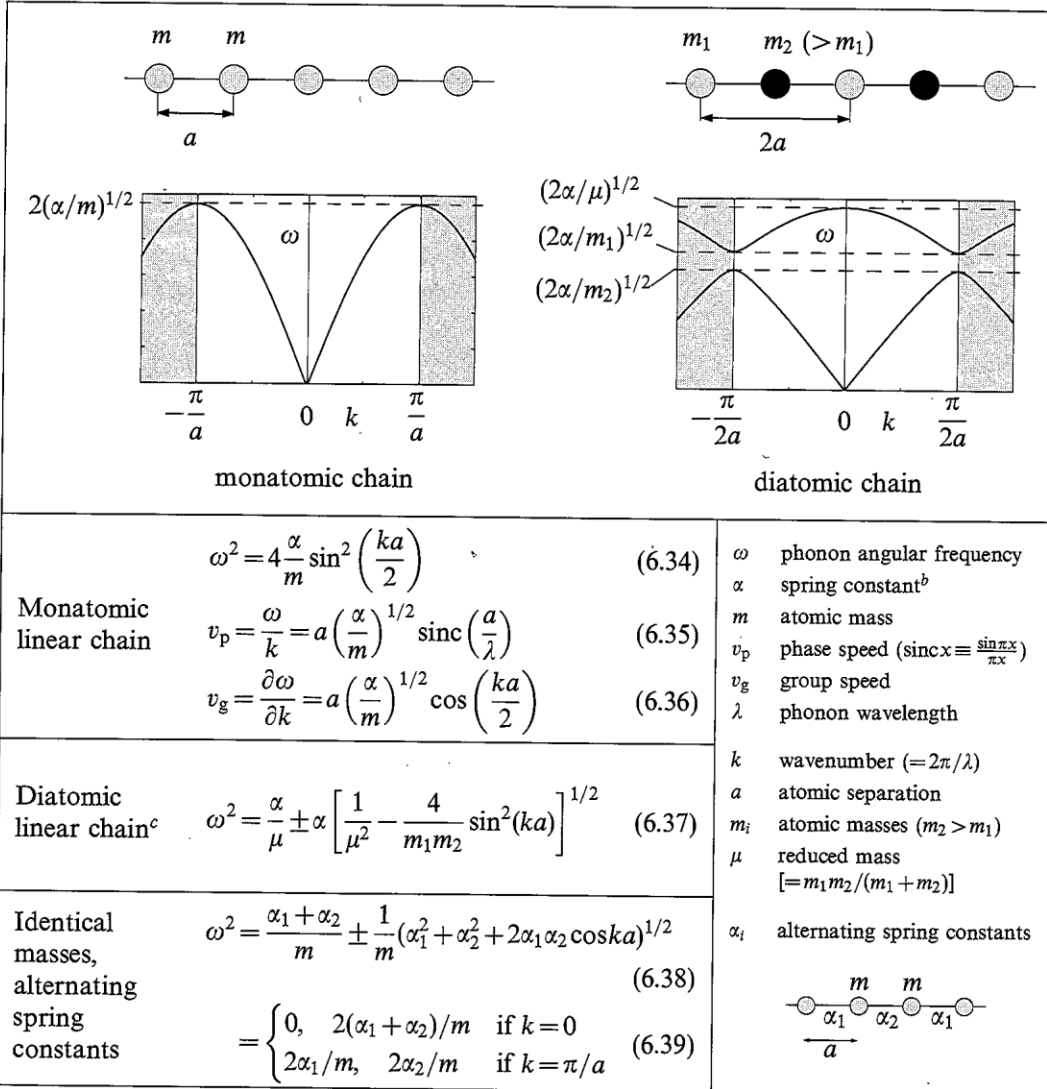
<sup>b</sup>The summation is over the atoms in the basis, i.e., the atomic motif repeating with the Bravais lattice.

<sup>c</sup>The Bragg condition makes  $K$  a reciprocal lattice vector, with  $|k_{in}| = |k_{out}|$ .

<sup>d</sup>Effect of thermal vibrations.

6.4 Lattice dynamics

Phonon dispersion relations<sup>a</sup>



<sup>a</sup>Along infinite linear atomic chains, considering simple harmonic nearest-neighbour interactions only. The shaded region of the dispersion relation is outside the first Brillouin zone of the reciprocal lattice.

<sup>b</sup>In the sense  $\alpha$  = restoring force/relative displacement.

<sup>c</sup>Note that the repeat distance for this chain is  $2a$ , so that the first Brillouin zone extends to  $|k| < \pi/(2a)$ . The optic and acoustic branches are the + and - solutions respectively.

## Debye theory

Mean energy per phonon mode <sup>a</sup>	$\langle E \rangle = \frac{1}{2} \hbar \omega + \frac{\hbar \omega}{\exp[\hbar \omega / (k_B T)] - 1}$ (6.40)	$\langle E \rangle$ mean energy in a mode at $\omega$ $\hbar$ (Planck constant)/(2 $\pi$ ) $\omega$ phonon angular frequency $k_B$ Boltzmann constant $T$ temperature
Debye frequency	$\omega_D = v_s (6\pi^2 N/V)^{1/3}$ (6.41) where $\frac{3}{v_s^3} = \frac{1}{v_l^3} + \frac{2}{v_t^3}$ (6.42)	$\omega_D$ Debye (angular) frequency $v_s$ effective sound speed $v_l$ longitudinal phase speed $v_t$ transverse phase speed
Debye temperature	$\theta_D = \hbar \omega_D / k_B$ (6.43)	$N$ number of atoms in crystal $V$ crystal volume $\theta_D$ Debye temperature
Phonon density of states	$g(\omega) d\omega = \frac{3V\omega^2}{2\pi^2 v_s^3} d\omega$ (6.44) (for $0 < \omega < \omega_D$ , $g = 0$ otherwise)	$g(\omega)$ density of states at $\omega$ $C_V$ heat capacity, $V$ constant $U$ thermal phonon energy within crystal $D(x)$ Debye function
Debye heat capacity	$C_V = 9Nk_B \frac{T^3}{\theta_D^3} \int_0^{\theta_D/T} \frac{x^4 e^x}{(e^x - 1)^2} dx$ (6.45)	
Dulong and Petit's law	$\simeq 3Nk_B \quad (T \gg \theta_D)$ (6.46)	
Debye $T^3$ law	$\simeq \frac{12\pi^4}{5} Nk_B \frac{T^3}{\theta_D^3} \quad (T \ll \theta_D)$ (6.47)	
Internal thermal energy <sup>b</sup>	$U(T) = \frac{9N}{\omega_D^3} \int_0^{\omega_D} \frac{\hbar \omega^3}{\exp[\hbar \omega / (k_B T)] - 1} d\omega \equiv 3Nk_B T D(\theta_D/T)$ (6.48) where $D(x) = \frac{3}{x^3} \int_0^x \frac{y^3}{e^y - 1} dy$ (6.49)	

<sup>a</sup>Or any simple harmonic oscillator in thermal equilibrium at temperature  $T$ .<sup>b</sup>Neglecting zero-point energy.

## Lattice forces (simple)

Van der Waals interaction <sup>a</sup>	$\phi(r) = -\frac{3}{4} \frac{\alpha_p^2 \hbar \omega}{(4\pi\epsilon_0)^2 r^6} \quad (6.50)$	$\phi(r)$ two-particle potential energy $r$ particle separation $\alpha_p$ particle polarisability
Lennard–Jones 6-12 potential (molecular crystals)	$\phi(r) = -\frac{A}{r^6} + \frac{B}{r^{12}} \quad (6.51)$	$\hbar$ (Planck constant)/(2 $\pi$ )
	$= 4\epsilon \left[ \left(\frac{\sigma}{r}\right)^{12} - \left(\frac{\sigma}{r}\right)^6 \right] \quad (6.52)$	$\epsilon_0$ permittivity of free space $\omega$ angular frequency of polarised orbital
	$\sigma = (B/A)^{1/6}; \quad \epsilon = A^2/(4B) \quad (6.53)$ $\phi_{\min} \text{ at } r = \frac{2^{1/6}}{\sigma}$	$A, B$ constants $\epsilon, \sigma$ Lennard–Jones parameters
De Boer parameter	$\Lambda = \frac{h}{\sigma(m\epsilon)^{1/2}} \quad (6.54)$	$\Lambda$ de Boer parameter $h$ Planck constant $m$ particle mass
Coulomb interaction (ionic crystals)	$U_C = -\alpha_M \frac{e^2}{4\pi\epsilon_0 r_0} \quad (6.55)$	$U_C$ lattice Coulomb energy per ion pair $\alpha_M$ Madelung constant $-e$ electronic charge $r_0$ nearest neighbour separation

<sup>a</sup>London's formula for fluctuating dipole interactions, neglecting the propagation time between particles.

6

## Lattice thermal expansion and conduction

Grüneisen parameter <sup>a</sup>	$\gamma = -\frac{\partial \ln \omega}{\partial \ln V} \quad (6.56)$	$\gamma$ Grüneisen parameter $\omega$ normal mode frequency $V$ volume
Linear expansivity <sup>b</sup>	$\alpha = \frac{1}{3K_T} \left. \frac{\partial p}{\partial T} \right _V = \frac{\gamma C_V}{3K_T V} \quad (6.57)$	$\alpha$ linear expansivity $K_T$ isothermal bulk modulus $p$ pressure $T$ temperature $C_V$ lattice heat capacity, constant $V$
Thermal conductivity of a phonon gas	$\lambda = \frac{1}{3} \frac{C_V}{V} v_s l \quad (6.58)$	$\lambda$ thermal conductivity $v_s$ effective sound speed $l$ phonon mean free path
Umklapp mean free path <sup>c</sup>	$l_u \propto \exp(\theta_u/T) \quad (6.59)$	$l_u$ umklapp mean free path $\theta_u$ umklapp temperature ( $\sim \theta_D/2$ )

<sup>a</sup>Strictly, the Grüneisen parameter is the mean of  $\gamma$  over all normal modes, weighted by the mode's contribution to  $C_V$ .

<sup>b</sup>Or "coefficient of thermal expansion," for an isotropically expanding crystal.

<sup>c</sup>Mean free path determined solely by "umklapp processes" – the scattering of phonons outside the first Brillouin zone.

## 6.5 Electrons in solids

## Free electron transport properties

Current density	$J = -nev_d$	(6.60)	$J$ current density
Mean electron drift velocity	$v_d = -\frac{e\tau}{m_e}E$	(6.61)	$n$ free electron number density
d.c. electrical conductivity	$\sigma_0 = \frac{ne^2\tau}{m_e}$	(6.62)	$-e$ electronic charge
a.c. electrical conductivity <sup>a</sup>	$\sigma(\omega) = \frac{\sigma_0}{1 - i\omega\tau}$	(6.63)	$v_d$ mean electron drift velocity
Thermal conductivity	$\lambda = \frac{1}{3} \frac{C_V}{V} \langle c^2 \rangle \tau$ $= \frac{\pi^2 nk_B^2 \tau T}{3m_e} \quad (T \ll T_F)$	(6.64) (6.65)	$\tau$ mean time between collisions (relaxation time)
Wiedemann-Franz law <sup>b</sup>	$\frac{\lambda}{\sigma T} = L = \frac{\pi^2 k_B^2}{3e^2}$	(6.66)	$m_e$ electronic mass
Hall coefficient <sup>c</sup>	$R_H = -\frac{1}{ne} = \frac{E_y}{J_x B_z}$	(6.67)	$E$ applied electric field
Hall voltage (rectangular strip)	$V_H = R_H \frac{B_z I_x}{w}$	(6.68)	$\sigma_0$ d.c. conductivity ( $J = \sigma E$ )

$\omega$  a.c. angular frequency

$\sigma(\omega)$  a.c. conductivity

$C_V$  total electron heat capacity,  $V$  constant volume

$\langle c^2 \rangle$  mean square electron speed

$k_B$  Boltzmann constant

$T$  temperature

$T_F$  Fermi temperature

$L$  Lorenz constant ( $\approx 2.45 \times 10^{-8} \text{ W}\Omega\text{K}^{-2}$ )

$\lambda$  thermal conductivity

$R_H$  Hall coefficient

$E_y$  Hall electric field

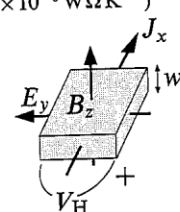
$J_x$  applied current density

$B_z$  magnetic flux density

$V_H$  Hall voltage

$I_x$  applied current ( $= J_x \times$  cross-sectional area)

$w$  strip thickness in  $z$


<sup>a</sup>For an electric field varying as  $e^{-i\omega t}$ .<sup>b</sup>Holds for an arbitrary band structure.<sup>c</sup>The charge on an electron is  $-e$ , where  $e$  is the elementary charge (approximately  $+1.6 \times 10^{-19} \text{ C}$ ). The Hall coefficient is therefore a negative number when the dominant charge carriers are electrons.

## Fermi gas

Electron density of states <sup>a</sup>	$g(E) = \frac{V}{2\pi^2} \left( \frac{2m_e}{\hbar^2} \right)^{3/2} E^{1/2}$ (6.69)	$g(E)$ density of states
	$g(E_F) = \frac{3nV}{2E_F}$ (6.70)	$V$ "gas" volume
Fermi wavenumber	$k_F = (3\pi^2 n)^{1/3}$ (6.71)	$m_e$ electronic mass
Fermi velocity	$v_F = \hbar k_F / m_e$ (6.72)	$\hbar$ (Planck constant)/(2 $\pi$ )
Fermi energy ( $T=0$ )	$E_F = \frac{\hbar^2 k_F^2}{2m_e} = \frac{\hbar^2}{2m_e} (3\pi^2 n)^{2/3}$ (6.73)	$k_F$ Fermi wavenumber
Fermi temperature	$T_F = \frac{E_F}{k_B}$ (6.74)	$n$ number of electrons per unit volume
Electron heat capacity <sup>b</sup> ( $T \ll T_F$ )	$C_{Ve} = \frac{\pi^2}{3} g(E_F) k_B^2 T$ (6.75)	$v_F$ Fermi velocity
	$= \frac{\pi^2 k_B^2}{2E_F} T$ (6.76)	$E_F$ Fermi energy
Total kinetic energy ( $T=0$ )	$U_0 = \frac{3}{5} nV E_F$ (6.77)	$T_F$ Fermi temperature
Pauli paramagnetism	$M = \chi_{HP} H$ (6.78)	$k_B$ Boltzmann constant
	$= \frac{3n}{2E_F} \mu_0 \mu_B^2 H$ (6.79)	$C_{Ve}$ heat capacity per electron
Landau diamagnetism	$\chi_{HL} = -\frac{1}{3} \chi_{HP}$ (6.80)	$T$ temperature

<sup>a</sup>The density of states is often quoted per unit volume in real space (i.e.,  $g(E)/V$  here).

<sup>b</sup>Equation (6.75) holds for any density of states.

## Thermoelectricity

Thermopower <sup>a</sup>	$\mathcal{E} = \frac{J}{\sigma} + S_T \nabla T$ (6.81)	$\mathcal{E}$ electrochemical field <sup>b</sup>
Peltier effect	$H = \Pi J - \lambda \nabla T$ (6.82)	$J$ current density
Kelvin relation	$\Pi = T S_T$ (6.83)	$\sigma$ electrical conductivity

<sup>a</sup>Or "absolute thermoelectric power."

<sup>b</sup>The electrochemical field is the gradient of  $(\mu/e) - \phi$ , where  $\mu$  is the chemical potential,  $-e$  the electronic charge, and  $\phi$  the electrical potential.

## Band theory and semiconductors

Bloch's theorem	$\Psi(\mathbf{r} + \mathbf{R}) = \exp(i\mathbf{k} \cdot \mathbf{R})\Psi(\mathbf{r})$	(6.84)	$\Psi$ electron eigenstate $\mathbf{k}$ Bloch wavevector $\mathbf{R}$ lattice vector $\mathbf{r}$ position vector
Electron velocity	$\mathbf{v}_b(\mathbf{k}) = \frac{1}{\hbar} \nabla_{\mathbf{k}} E_b(\mathbf{k})$	(6.85)	$v_b$ electron velocity (for wavevector $\mathbf{k}$ ) $\hbar$ (Planck constant)/ $2\pi$ $b$ band index $E_b(\mathbf{k})$ energy band
Effective mass tensor	$m_{ij} = \hbar^2 \left[ \frac{\partial^2 E_b(\mathbf{k})}{\partial k_i \partial k_j} \right]^{-1}$	(6.86)	$m_{ij}$ effective mass tensor $k_i$ components of $\mathbf{k}$
Scalar effective mass <sup>a</sup>	$m^* = \hbar^2 \left[ \frac{\partial^2 E_b(\mathbf{k})}{\partial k^2} \right]^{-1}$	(6.87)	$m^*$ scalar effective mass $k =  \mathbf{k} $
Mobility	$\mu = \frac{ v_d }{ E } = \frac{eD}{k_B T}$	(6.88)	$\mu$ particle mobility $v_d$ mean drift velocity $E$ applied electric field $-e$ electronic charge $D$ diffusion coefficient $T$ temperature $J$ current density
Net current density	$\mathbf{J} = (n_e \mu_e + n_h \mu_h) e \mathbf{E}$	(6.89)	$n_{e,h}$ electron, hole, number densities $\mu_{e,h}$ electron, hole, mobilities
Semiconductor equation	$n_e n_h = \frac{(k_B T)^3}{2(\pi \hbar^2)^3} (m_e^* m_h^*)^{3/2} e^{-E_g/(k_B T)}$	(6.90)	$k_B$ Boltzmann constant $E_g$ band gap $m_{e,h}^*$ electron, hole, effective masses
p-n junction	$I = I_0 \left[ \exp\left(\frac{eV}{k_B T}\right) - 1 \right]$	(6.91)	$I$ current $I_0$ saturation current
	$I_0 = e n_i^2 A \left( \frac{D_e}{L_e N_a} + \frac{D_h}{L_h N_d} \right)$	(6.92)	$V$ bias voltage (+ for forward) $n_i$ intrinsic carrier concentration $A$ area of junction
	$L_e = (D_e \tau_e)^{1/2}$	(6.93)	$D_{e,h}$ electron, hole, diffusion coefficients
	$L_h = (D_h \tau_h)^{1/2}$	(6.94)	$L_{e,h}$ electron, hole, diffusion lengths $\tau_{e,h}$ electron, hole, recombination times
			$N_{a,d}$ acceptor, donor, concentrations

<sup>a</sup>Valid for regions of  $k$ -space in which  $E_b(\mathbf{k})$  can be taken as independent of the direction of  $\mathbf{k}$ .

# Chapter 7 Electromagnetism

## 7.1 Introduction

The electromagnetic force is central to nearly every physical process around us and is a major component of classical physics. In fact, the development of electromagnetic theory in the nineteenth century gave us much mathematical machinery that we now apply quite generally in other fields, including potential theory, vector calculus, and the ideas of divergence and curl.

It is therefore not surprising that this section deals with a large array of physical quantities and their relationships. As usual, SI units are assumed throughout. In the past electromagnetism has suffered from the use of a variety of systems of units, including the cgs system in both its electrostatic (esu) and electromagnetic (emu) forms. The fog has now all but cleared, but some specialised areas of research still cling to these historical measures. Readers are advised to consult the section on unit conversion if they come across such exotica in the literature.

Equations cast in the rationalised units of SI can be readily converted to the once common Gaussian (unrationalised) units by using the following symbol transformations:

### Equation conversion: SI to Gaussian units

$\epsilon_0 \mapsto 1/(4\pi)$	$\mu_0 \mapsto 4\pi/c^2$	$\mathbf{B} \mapsto \mathbf{B}/c$
$\chi_E \mapsto 4\pi\chi_E$	$\chi_H \mapsto 4\pi\chi_H$	$\mathbf{H} \mapsto c\mathbf{H}/(4\pi)$
$\mathbf{A} \mapsto \mathbf{A}/c$	$\mathbf{M} \mapsto c\mathbf{M}$	$\mathbf{D} \mapsto \mathbf{D}/(4\pi)$

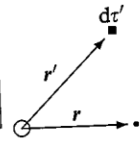
The quantities  $\rho$ ,  $\mathbf{J}$ ,  $\mathbf{E}$ ,  $\phi$ ,  $\sigma$ ,  $\mathbf{P}$ ,  $\epsilon_r$ , and  $\mu_r$  are all unchanged.



7.2 Static fields

Electrostatics

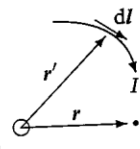
Electrostatic potential	$E = -\nabla\phi$ (7.1)	$E$ electric field $\phi$ electrostatic potential
Potential difference <sup>a</sup>	$\phi_a - \phi_b = \int_a^b E \cdot dl = - \int_b^a E \cdot dl$ (7.2)	$\phi_a$ potential at $a$ $\phi_b$ potential at $b$ $dl$ line element
Poisson's Equation (free space)	$\nabla^2\phi = -\frac{\rho}{\epsilon_0}$ (7.3)	$\rho$ charge density $\epsilon_0$ permittivity of free space
Point charge at $r'$	$\phi(r) = \frac{q}{4\pi\epsilon_0 r-r' }$ (7.4)	$q$ point charge
	$E(r) = \frac{q(r-r')}{4\pi\epsilon_0 r-r' ^3}$ (7.5)	
Field from a charge distribution (free space)	$E(r) = \frac{1}{4\pi\epsilon_0} \int_{\text{volume}} \frac{\rho(r')(r-r')}{ r-r' ^3} d\tau'$ (7.6)	$d\tau'$ volume element $r'$ position vector of $d\tau'$



<sup>a</sup>Between points  $a$  and  $b$  along a path  $l$ .

Magnetostatics<sup>a</sup>

Magnetic scalar potential	$B = -\mu_0\nabla\phi_m$ (7.7)	$\phi_m$ magnetic scalar potential $B$ magnetic flux density
$\phi_m$ in terms of the solid angle of a generating current loop	$\phi_m = \frac{I\Omega}{4\pi}$ (7.8)	$\Omega$ loop solid angle $I$ current
Biot-Savart law (the field from a line current)	$B(r) = \frac{\mu_0 I}{4\pi} \int_{\text{line}} \frac{dl \times (r-r')}{ r-r' ^3}$ (7.9)	$dl$ line element in the direction of the current $r'$ position vector of $dl$
Ampère's law (differential form)	$\nabla \times B = \mu_0 J$ (7.10)	$J$ current density $\mu_0$ permeability of free space
Ampère's law (integral form)	$\oint B \cdot dl = \mu_0 I_{\text{tot}}$ (7.11)	$I_{\text{tot}}$ total current through loop



<sup>a</sup>In free space.

**Capacitance<sup>a</sup>**

Of sphere, radius $a$	$C = 4\pi\epsilon_0\epsilon_r a$	(7.12)
Of circular disk, radius $a$	$C = 8\epsilon_0\epsilon_r a$	(7.13)
Of two spheres, radius $a$ , in contact	$C = 8\pi\epsilon_0\epsilon_r a \ln 2$	(7.14)
Of circular solid cylinder, radius $a$ , length $l$	$C \simeq [8 + 4.1(l/a)^{0.76}] \epsilon_0\epsilon_r a$	(7.15)
Of nearly spherical surface, area $S$	$C \simeq 3.139 \times 10^{-11} \epsilon_r S^{1/2}$	(7.16)
Of cube, side $a$	$C \simeq 7.283 \times 10^{-11} \epsilon_r a$	(7.17)
Between concentric spheres, radii $a < b$	$C = 4\pi\epsilon_0\epsilon_r ab(b-a)^{-1}$	(7.18)
Between coaxial cylinders, radii $a < b$	$C = \frac{2\pi\epsilon_0\epsilon_r}{\ln(b/a)}$ per unit length	(7.19)
Between parallel cylinders, separation $2d$ , radii $a$	$C = \frac{\pi\epsilon_0\epsilon_r}{\operatorname{arcosh}(d/a)}$ per unit length	(7.20)
	$\simeq \frac{\pi\epsilon_0\epsilon_r}{\ln(2d/a)}$ ( $d \gg a$ )	(7.21)
Between parallel, coaxial circular disks, separation $d$ , radii $a$	$C \simeq \frac{\epsilon_0\epsilon_r\pi a^2}{d} + \epsilon_0\epsilon_r a [\ln(16\pi a/d) - 1]$	(7.22)

<sup>a</sup>For conductors, in an embedding medium of relative permittivity  $\epsilon_r$ .

7

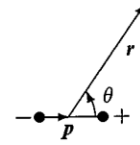
**Inductance<sup>a</sup>**

Of $N$ -turn solenoid (straight or toroidal), length $l$ , area $A$ ( $\ll l^2$ )	$L = \mu_0 N^2 A / l$	(7.23)
Of coaxial cylindrical tubes, radii $a, b$ ( $a < b$ )	$L = \frac{\mu_0}{2\pi} \ln \frac{b}{a}$ per unit length	(7.24)
Of parallel wires, radii $a$ , separation $2d$	$L \simeq \frac{\mu_0}{\pi} \ln \frac{2d}{a}$ per unit length, ( $2d \gg a$ )	(7.25)
Of wire of radius $a$ bent in a loop of radius $b \gg a$	$L \simeq \mu_0 b \left( \ln \frac{8b}{a} - 2 \right)$	(7.26)

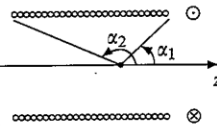
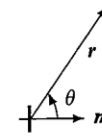
<sup>a</sup>For currents confined to the surfaces of perfect conductors in free space.

**Electric fields<sup>a</sup>**

Uniformly charged sphere, radius $a$ , charge $q$	$E(\mathbf{r}) = \begin{cases} \frac{q}{4\pi\epsilon_0 a^3} \mathbf{r} & (r < a) \\ \frac{q}{4\pi\epsilon_0 r^3} \mathbf{r} & (r \geq a) \end{cases} \quad (7.27)$
Uniformly charged disk, radius $a$ , charge $q$ (on axis, $z$ )	$E(z) = \frac{q}{2\pi\epsilon_0 a^2 z} \left( \frac{1}{ z } - \frac{1}{\sqrt{z^2 + a^2}} \right) \quad (7.28)$
Line charge, charge density $\lambda$ per unit length	$E(\mathbf{r}) = \frac{\lambda}{2\pi\epsilon_0 r^2} \mathbf{r} \quad (7.29)$
Electric dipole, moment $\mathbf{p}$ (spherical polar coordinates, $\theta$ angle between $\mathbf{p}$ and $\mathbf{r}$ )	$E_r = \frac{p \cos \theta}{2\pi\epsilon_0 r^3} \quad (7.30)$
	$E_\theta = \frac{p \sin \theta}{4\pi\epsilon_0 r^3} \quad (7.31)$
Charge sheet, surface density $\sigma$	$E = \frac{\sigma}{2\epsilon_0} \quad (7.32)$

<sup>a</sup>For  $\epsilon_r = 1$  in the surrounding medium.**Magnetic fields<sup>a</sup>**

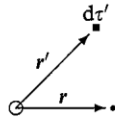
Uniform infinite solenoid, current $I$ , $n$ turns per unit length	$B = \begin{cases} \mu_0 n I & \text{inside (axial)} \\ 0 & \text{outside} \end{cases} \quad (7.33)$
Uniform cylinder of current $I$ , radius $a$	$B(r) = \begin{cases} \mu_0 I r / (2\pi a^2) & r < a \\ \mu_0 I / (2\pi r) & r \geq a \end{cases} \quad (7.34)$
Magnetic dipole, moment $\mathbf{m}$ ( $\theta$ angle between $\mathbf{m}$ and $\mathbf{r}$ )	$B_r = \mu_0 \frac{m \cos \theta}{2\pi r^3} \quad (7.35)$
	$B_\theta = \frac{\mu_0 m \sin \theta}{4\pi r^3} \quad (7.36)$
Circular current loop of $N$ turns, radius $a$ , along axis, $z$	$B(z) = \frac{\mu_0 N I}{2} \frac{a^2}{(a^2 + z^2)^{3/2}} \quad (7.37)$
The axis, $z$ , of a straight solenoid, $n$ turns per unit length, current $I$	$B_{\text{axis}} = \frac{\mu_0 n I}{2} (\cos \alpha_1 - \cos \alpha_2) \quad (7.38)$

<sup>a</sup>For  $\mu_r = 1$  in the surrounding medium.**Image charges**

Real charge, $+q$ , at a distance:	image point	image charge
$b$ from a conducting plane	$-b$	$-q$
$b$ from a conducting sphere, radius $a$	$a^2/b$	$-qa/b$
$b$ from a plane dielectric boundary:		
seen from free space	$-b$	$-q(\epsilon_r - 1)/(\epsilon_r + 1)$
seen from the dielectric	$b$	$+2q/(\epsilon_r + 1)$

## 7.3 Electromagnetic fields (general)

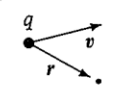
## Field relationships

Conservation of charge	$\nabla \cdot \mathbf{J} = -\frac{\partial \rho}{\partial t}$	(7.39)	$\mathbf{J}$ current density $\rho$ charge density $t$ time
Magnetic vector potential	$\mathbf{B} = \nabla \times \mathbf{A}$	(7.40)	$\mathbf{A}$ vector potential
Electric field from potentials	$\mathbf{E} = -\frac{\partial \mathbf{A}}{\partial t} - \nabla \phi$	(7.41)	$\phi$ electrical potential
Coulomb gauge condition	$\nabla \cdot \mathbf{A} = 0$	(7.42)	
Lorenz gauge condition	$\nabla \cdot \mathbf{A} + \frac{1}{c^2} \frac{\partial \phi}{\partial t} = 0$	(7.43)	$c$ speed of light
Potential field equations <sup>a</sup>	$\frac{1}{c^2} \frac{\partial^2 \phi}{\partial t^2} - \nabla^2 \phi = \frac{\rho}{\epsilon_0}$	(7.44)	
	$\frac{1}{c^2} \frac{\partial^2 \mathbf{A}}{\partial t^2} - \nabla^2 \mathbf{A} = \mu_0 \mathbf{J}$	(7.45)	
Expression for $\phi$ in terms of $\rho^a$	$\phi(\mathbf{r}, t) = \frac{1}{4\pi\epsilon_0} \int_{\text{volume}} \frac{\rho(\mathbf{r}', t -  \mathbf{r} - \mathbf{r}' /c)}{ \mathbf{r} - \mathbf{r}' } d\tau'$	(7.46)	$d\tau'$ volume element $\mathbf{r}'$ position vector of $d\tau'$
Expression for $\mathbf{A}$ in terms of $\mathbf{J}^a$	$\mathbf{A}(\mathbf{r}, t) = \frac{\mu_0}{4\pi} \int_{\text{volume}} \frac{\mathbf{J}(\mathbf{r}', t -  \mathbf{r} - \mathbf{r}' /c)}{ \mathbf{r} - \mathbf{r}' } d\tau'$	(7.47)	$\mu_0$ permeability of free space

<sup>a</sup>Assumes the Lorenz gauge.

7

Liénard–Wiechert potentials<sup>a</sup>

Electrical potential of a moving point charge	$\phi = \frac{q}{4\pi\epsilon_0( \mathbf{r}  - \mathbf{v} \cdot \mathbf{r}/c)}$	(7.48)	$q$ charge $\mathbf{r}$ vector from charge to point of observation $\mathbf{v}$ particle velocity
Magnetic vector potential of a moving point charge	$\mathbf{A} = \frac{\mu_0 q \mathbf{v}}{4\pi( \mathbf{r}  - \mathbf{v} \cdot \mathbf{r}/c)}$	(7.49)	

<sup>a</sup>In free space. The right-hand sides of these equations are evaluated at retarded times, i.e., at  $t' = t - |\mathbf{r}'|/c$ , where  $\mathbf{r}'$  is the vector from the charge to the observation point at time  $t'$ .

## Maxwell's equations

Differential form:	Integral form:
$\nabla \cdot \mathbf{E} = \frac{\rho}{\epsilon_0}$ (7.50)	$\oint_{\text{closed surface}} \mathbf{E} \cdot d\mathbf{s} = \frac{1}{\epsilon_0} \int_{\text{volume}} \rho \, d\tau$ (7.51)
$\nabla \cdot \mathbf{B} = 0$ (7.52)	$\oint_{\text{closed surface}} \mathbf{B} \cdot d\mathbf{s} = 0$ (7.53)
$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$ (7.54)	$\oint_{\text{loop}} \mathbf{E} \cdot d\mathbf{l} = -\frac{d\Phi}{dt}$ (7.55)
$\nabla \times \mathbf{B} = \mu_0 \mathbf{J} + \mu_0 \epsilon_0 \frac{\partial \mathbf{E}}{\partial t}$ (7.56)	$\oint_{\text{loop}} \mathbf{B} \cdot d\mathbf{l} = \mu_0 I + \mu_0 \epsilon_0 \int_{\text{surface}} \frac{\partial \mathbf{E}}{\partial t} \cdot d\mathbf{s}$ (7.57)
Equation (7.51) is "Gauss's law" Equation (7.55) is "Faraday's law"	$d\mathbf{s}$ surface element $d\tau$ volume element $d\mathbf{l}$ line element $\Phi$ linked magnetic flux ( $= \int \mathbf{B} \cdot d\mathbf{s}$ ) $I$ linked current ( $= \int \mathbf{J} \cdot d\mathbf{s}$ ) $t$ time
$\mathbf{E}$ electric field $\mathbf{B}$ magnetic flux density $\mathbf{J}$ current density $\rho$ charge density	

Maxwell's equations (using  $\mathbf{D}$  and  $\mathbf{H}$ )

Differential form:	Integral form:
$\nabla \cdot \mathbf{D} = \rho_{\text{free}}$ (7.58)	$\oint_{\text{closed surface}} \mathbf{D} \cdot d\mathbf{s} = \int_{\text{volume}} \rho_{\text{free}} \, d\tau$ (7.59)
$\nabla \cdot \mathbf{B} = 0$ (7.60)	$\oint_{\text{closed surface}} \mathbf{B} \cdot d\mathbf{s} = 0$ (7.61)
$\nabla \times \mathbf{E} = -\frac{\partial \mathbf{B}}{\partial t}$ (7.62)	$\oint_{\text{loop}} \mathbf{E} \cdot d\mathbf{l} = -\frac{d\Phi}{dt}$ (7.63)
$\nabla \times \mathbf{H} = \mathbf{J}_{\text{free}} + \frac{\partial \mathbf{D}}{\partial t}$ (7.64)	$\oint_{\text{loop}} \mathbf{H} \cdot d\mathbf{l} = I_{\text{free}} + \int_{\text{surface}} \frac{\partial \mathbf{D}}{\partial t} \cdot d\mathbf{s}$ (7.65)
$\mathbf{D}$ displacement field $\rho_{\text{free}}$ free charge density (in the sense of $\rho = \rho_{\text{induced}} + \rho_{\text{free}}$ ) $\mathbf{B}$ magnetic flux density $\mathbf{H}$ magnetic field strength $\mathbf{J}_{\text{free}}$ free current density (in the sense of $\mathbf{J} = \mathbf{J}_{\text{induced}} + \mathbf{J}_{\text{free}}$ )	$\mathbf{E}$ electric field $d\mathbf{s}$ surface element $d\tau$ volume element $d\mathbf{l}$ line element $\Phi$ linked magnetic flux ( $= \int \mathbf{B} \cdot d\mathbf{s}$ ) $I_{\text{free}}$ linked free current ( $= \int \mathbf{J}_{\text{free}} \cdot d\mathbf{s}$ ) $t$ time


## Relativistic electrodynamics

Lorentz transformation of electric and magnetic fields	$E'_{\parallel} = E_{\parallel}$	(7.66)	$E$ electric field
	$E'_{\perp} = \gamma(E + v \times B)_{\perp}$	(7.67)	$B$ magnetic flux density
	$B'_{\parallel} = B_{\parallel}$	(7.68)	' measured in frame moving at relative velocity $v$
	$B'_{\perp} = \gamma(B - v \times E/c^2)_{\perp}$	(7.69)	$\gamma$ Lorentz factor = $[1 - (v/c)^2]^{-1/2}$
Lorentz transformation of current and charge densities	$\rho' = \gamma(\rho - vJ_{\parallel}/c^2)$	(7.70)	$\parallel$ parallel to $v$
	$J'_{\perp} = J_{\perp}$	(7.71)	$\perp$ perpendicular to $v$
	$J'_{\parallel} = \gamma(J_{\parallel} - v\rho)$	(7.72)	$J$ current density
Lorentz transformation of potential fields	$\phi' = \gamma(\phi - vA_{\parallel})$	(7.73)	$\rho$ charge density
	$A'_{\perp} = A_{\perp}$	(7.74)	$\phi$ electric potential
	$A'_{\parallel} = \gamma(A_{\parallel} - v\phi/c^2)$	(7.75)	$A$ magnetic vector potential
Four-vector fields <sup>a</sup>	$\tilde{J} = (\rho c, \mathbf{J})$	(7.76)	
	$\tilde{A} = \left( \frac{\phi}{c}, \mathbf{A} \right)$	(7.77)	$\tilde{J}$ current density four-vector
	$\square^2 = \left( \frac{1}{c^2} \frac{\partial^2}{\partial t^2}, -\nabla^2 \right)$	(7.78)	$\tilde{A}$ potential four-vector
	$\square^2 \tilde{A} = \mu_0 \tilde{J}$	(7.79)	$\square^2$ D'Alembertian operator

<sup>a</sup>Other sign conventions are common here. See page 65 for a general definition of four-vectors.

## 7.4 Fields associated with media

## Polarisation

Definition of electric dipole moment	$\mathbf{p} = q\mathbf{a}$	(7.80)	$\pm q$ end charges $\mathbf{a}$ charge separation vector (from $-$ to $+$ )	
Generalised electric dipole moment	$\mathbf{p} = \int_{\text{volume}} \mathbf{r}' \rho \, d\tau'$	(7.81)	$\mathbf{p}$ dipole moment $\rho$ charge density $d\tau'$ volume element $\mathbf{r}'$ vector to $d\tau'$	
Electric dipole potential	$\phi(\mathbf{r}) = \frac{\mathbf{p} \cdot \mathbf{r}}{4\pi\epsilon_0 r^3}$	(7.82)	$\phi$ dipole potential $\mathbf{r}$ vector from dipole $\epsilon_0$ permittivity of free space	
Dipole moment per unit volume (polarisation) <sup>a</sup>	$\mathbf{P} = n\mathbf{p}$	(7.83)	$\mathbf{P}$ polarisation $n$ number of dipoles per unit volume	
Induced volume charge density	$\nabla \cdot \mathbf{P} = -\rho_{\text{ind}}$	(7.84)	$\rho_{\text{ind}}$ volume charge density	
Induced surface charge density	$\sigma_{\text{ind}} = \mathbf{P} \cdot \hat{\mathbf{s}}$	(7.85)	$\sigma_{\text{ind}}$ surface charge density $\hat{\mathbf{s}}$ unit normal to surface	
Definition of electric displacement	$\mathbf{D} = \epsilon_0 \mathbf{E} + \mathbf{P}$	(7.86)	$\mathbf{D}$ electric displacement $\mathbf{E}$ electric field	
Definition of electric susceptibility	$\mathbf{P} = \epsilon_0 \chi_E \mathbf{E}$	(7.87)	$\chi_E$ electrical susceptibility (may be a tensor)	
Definition of relative permittivity <sup>b</sup>	$\epsilon_r = 1 + \chi_E$	(7.88)	$\epsilon_r$ relative permittivity $\epsilon$ permittivity	
	$\mathbf{D} = \epsilon_0 \epsilon_r \mathbf{E}$ $= \epsilon \mathbf{E}$	(7.89) (7.90)		
Atomic polarisability <sup>c</sup>	$\mathbf{p} = \alpha \mathbf{E}_{\text{loc}}$	(7.91)	$\alpha$ polarisability $\mathbf{E}_{\text{loc}}$ local electric field	
Depolarising fields	$\mathbf{E}_d = -\frac{N_d \mathbf{P}}{\epsilon_0}$	(7.92)	$\mathbf{E}_d$ depolarising field $N_d$ depolarising factor =1/3 (sphere) =1 (thin slab $\perp$ to $\mathbf{P}$ ) =0 (thin slab $\parallel$ to $\mathbf{P}$ ) =1/2 (long circular cylinder, axis $\perp$ to $\mathbf{P}$ )	
Clausius–Mossotti equation <sup>d</sup>	$\frac{n\alpha}{3\epsilon_0} = \frac{\epsilon_r - 1}{\epsilon_r + 2}$	(7.93)		

<sup>a</sup>Assuming dipoles are parallel. The equivalent of Equation (7.112) holds for a hot gas of electric dipoles.

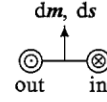
<sup>b</sup>Relative permittivity as defined here is for a linear isotropic medium.

<sup>c</sup>The polarisability of a conducting sphere radius  $a$  is  $\alpha = 4\pi\epsilon_0 a^3$ . The definition  $\mathbf{p} = \alpha\epsilon_0 \mathbf{E}_{\text{loc}}$  is also used.

<sup>d</sup>With the substitution  $\eta^2 = \epsilon_r$  [cf. Equation (7.195) with  $\mu_r = 1$ ] this is also known as the ‘‘Lorentz–Lorenz formula.’’

## Magnetisation

Definition of magnetic dipole moment	$dm = I ds$	(7.94)	$dm$ dipole moment $I$ loop current $ds$ loop area (right-hand sense with respect to loop current)
Generalised magnetic dipole moment	$m = \frac{1}{2} \int_{\text{volume}} \mathbf{r}' \times \mathbf{J} d\tau'$	(7.95)	$m$ dipole moment $\mathbf{J}$ current density $d\tau'$ volume element $\mathbf{r}'$ vector to $d\tau'$
Magnetic dipole (scalar) potential	$\phi_m(\mathbf{r}) = \frac{\mu_0 \mathbf{m} \cdot \mathbf{r}}{4\pi r^3}$	(7.96)	$\phi_m$ magnetic scalar potential $\mathbf{r}$ vector from dipole $\mu_0$ permeability of free space
Dipole moment per unit volume (magnetisation) <sup>a</sup>	$\mathbf{M} = n\mathbf{m}$	(7.97)	$\mathbf{M}$ magnetisation $n$ number of dipoles per unit volume
Induced volume current density	$\mathbf{J}_{\text{ind}} = \nabla \times \mathbf{M}$	(7.98)	$\mathbf{J}_{\text{ind}}$ volume current density (i.e., A m <sup>-2</sup> )
Induced surface current density	$\mathbf{j}_{\text{ind}} = \mathbf{M} \times \hat{\mathbf{s}}$	(7.99)	$\mathbf{j}_{\text{ind}}$ surface current density (i.e., A m <sup>-1</sup> ) $\hat{\mathbf{s}}$ unit normal to surface
Definition of magnetic field strength, $\mathbf{H}$	$\mathbf{B} = \mu_0(\mathbf{H} + \mathbf{M})$	(7.100)	$\mathbf{B}$ magnetic flux density $\mathbf{H}$ magnetic field strength
Definition of magnetic susceptibility	$\mathbf{M} = \chi_H \mathbf{H}$	(7.101)	$\chi_H$ magnetic susceptibility. $\chi_B$ is also used (both may be tensors)
	$= \frac{\chi_B \mathbf{B}}{\mu_0}$	(7.102)	
	$\chi_B = \frac{\chi_H}{1 + \chi_H}$	(7.103)	
Definition of relative permeability <sup>b</sup>	$\mathbf{B} = \mu_0 \mu_r \mathbf{H}$	(7.104)	$\mu_r$ relative permeability $\mu$ permeability
	$= \mu \mathbf{H}$	(7.105)	
	$\mu_r = 1 + \chi_H$	(7.106)	
	$= \frac{1}{1 - \chi_B}$	(7.107)	



<sup>a</sup>Assuming all the dipoles are parallel. See Equation (7.112) for a classical paramagnetic gas and page 101 for the quantum generalisation.

<sup>b</sup>Relative permeability as defined here is for a linear isotropic medium.



## Paramagnetism and diamagnetism

Diamagnetic moment of an atom	$m = -\frac{e^2}{6m_e} Z \langle r^2 \rangle B$ (7.108)	$m$ magnetic moment $\langle r^2 \rangle$ mean squared orbital radius (of all electrons) $Z$ atomic number $B$ magnetic flux density $m_e$ electron mass $-e$ electronic charge
Intrinsic electron magnetic moment <sup>a</sup>	$m \simeq -\frac{e}{2m_e} g \mathbf{J}$ (7.109)	$\mathbf{J}$ total angular momentum $g$ Landé $g$ -factor (=2 for spin, =1 for orbital momentum)
Langevin function	$\mathcal{L}(x) = \coth x - \frac{1}{x}$ (7.110) $\simeq x/3$ ( $x \lesssim 1$ ) (7.111)	$\mathcal{L}(x)$ Langevin function
Classical gas paramagnetism ( $ J  \gg \hbar$ )	$\langle M \rangle = nm_0 \mathcal{L} \left( \frac{m_0 B}{kT} \right)$ (7.112)	$\langle M \rangle$ apparent magnetisation $m_0$ magnitude of magnetic dipole moment $n$ dipole number density
Curie's law	$\chi_H = \frac{\mu_0 n m_0^2}{3kT}$ (7.113)	$T$ temperature $k$ Boltzmann constant $\chi_H$ magnetic susceptibility
Curie-Weiss law	$\chi_H = \frac{\mu_0 n m_0^2}{3k(T - T_c)}$ (7.114)	$\mu_0$ permeability of free space $T_c$ Curie temperature

<sup>a</sup>See also page 100.Boundary conditions for  $E$ ,  $D$ ,  $B$ , and  $H$ <sup>a</sup>

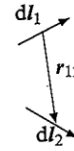
Parallel component of the electric field	$E_{\parallel}$ continuous (7.115)	$\parallel$ component parallel to interface
Perpendicular component of the magnetic flux density	$B_{\perp}$ continuous (7.116)	$\perp$ component perpendicular to interface
Electric displacement <sup>b</sup>	$\hat{s} \cdot (D_2 - D_1) = \sigma$ (7.117)	$D_{1,2}$ electrical displacements in media 1 & 2 $\hat{s}$ unit normal to surface, directed 1 $\rightarrow$ 2 $\sigma$ surface density of free charge
Magnetic field strength <sup>c</sup>	$\hat{s} \times (H_2 - H_1) = \mathbf{j}_s$ (7.118)	$H_{1,2}$ magnetic field strengths in media 1 & 2 $\mathbf{j}_s$ surface current per unit width

<sup>a</sup>At the plane surface between two uniform media.<sup>b</sup>If  $\sigma = 0$ , then  $D_{\perp}$  is continuous.<sup>c</sup>If  $\mathbf{j}_s = 0$  then  $H_{\parallel}$  is continuous.

## 7.5 Force, torque, and energy

## Electromagnetic force and torque

Force between two static charges: Coulomb's law	$\mathbf{F}_2 = \frac{q_1 q_2}{4\pi\epsilon_0 r_{12}^2} \hat{\mathbf{r}}_{12} \quad (7.119)$	$F_2$ force on $q_2$ $q_{1,2}$ charges $r_{12}$ vector from 1 to 2 $\hat{\mathbf{r}}$ unit vector $\epsilon_0$ permittivity of free space
Force between two current-carrying elements	$d\mathbf{F}_2 = \frac{\mu_0 I_1 I_2}{4\pi r_{12}^2} [d\mathbf{l}_2 \times (d\mathbf{l}_1 \times \hat{\mathbf{r}}_{12})] \quad (7.120)$	$d\mathbf{l}_{1,2}$ line elements $I_{1,2}$ currents flowing along $d\mathbf{l}_1$ and $d\mathbf{l}_2$ $d\mathbf{F}_2$ force on $d\mathbf{l}_2$ $\mu_0$ permeability of free space
Force on a current-carrying element in a magnetic field	$d\mathbf{F} = I d\mathbf{l} \times \mathbf{B} \quad (7.121)$	$d\mathbf{l}$ line element $F$ force $I$ current flowing along $d\mathbf{l}$ $\mathbf{B}$ magnetic flux density
Force on a charge (Lorentz force)	$\mathbf{F} = q(\mathbf{E} + \mathbf{v} \times \mathbf{B}) \quad (7.122)$	$E$ electric field $v$ charge velocity
Force on an electric dipole <sup>a</sup>	$\mathbf{F} = (\mathbf{p} \cdot \nabla) \mathbf{E} \quad (7.123)$	$p$ electric dipole moment
Force on a magnetic dipole <sup>b</sup>	$\mathbf{F} = (\mathbf{m} \cdot \nabla) \mathbf{B} \quad (7.124)$	$m$ magnetic dipole moment
Torque on an electric dipole	$\mathbf{G} = \mathbf{p} \times \mathbf{E} \quad (7.125)$	$G$ torque
Torque on a magnetic dipole	$\mathbf{G} = \mathbf{m} \times \mathbf{B} \quad (7.126)$	
Torque on a current loop	$\mathbf{G} = I_L \oint_{\text{loop}} \mathbf{r} \times (d\mathbf{l}_L \times \mathbf{B}) \quad (7.127)$	$d\mathbf{l}_L$ line-element (of loop) $r$ position vector of $d\mathbf{l}_L$ $I_L$ current around loop



<sup>a</sup> $\mathbf{F}$  simplifies to  $\nabla(\mathbf{p} \cdot \mathbf{E})$  if  $p$  is intrinsic,  $\nabla(pE/2)$  if  $p$  is induced by  $E$  and the medium is isotropic.

<sup>b</sup> $\mathbf{F}$  simplifies to  $\nabla(\mathbf{m} \cdot \mathbf{B})$  if  $m$  is intrinsic,  $\nabla(mB/2)$  if  $m$  is induced by  $B$  and the medium is isotropic.

## Electromagnetic energy

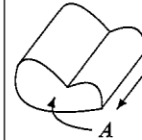
Electromagnetic field energy density (in free space)	$u = \frac{1}{2}\epsilon_0 E^2 + \frac{1}{2}\frac{B^2}{\mu_0}$	(7.128)	$u$ energy density $E$ electric field $B$ magnetic flux density
Energy density in media	$u = \frac{1}{2}(\mathbf{D} \cdot \mathbf{E} + \mathbf{B} \cdot \mathbf{H})$	(7.129)	$\epsilon_0$ permittivity of free space $\mu_0$ permeability of free space $\mathbf{D}$ electric displacement $\mathbf{H}$ magnetic field strength
Energy flow (Poynting) vector	$\mathbf{N} = \mathbf{E} \times \mathbf{H}$	(7.130)	$c$ speed of light $\mathbf{N}$ energy flow rate per unit area $\perp$ to the flow direction
Mean flux density at a distance $r$ from a short oscillating dipole	$\langle N \rangle = \frac{\omega^4 p_0^2 \sin^2 \theta}{32\pi^2 \epsilon_0 c^3 r^3} \mathbf{r}$	(7.131)	$p_0$ amplitude of dipole moment $\mathbf{r}$ vector from dipole ( $\gg$ wavelength) $\theta$ angle between $\mathbf{p}$ and $\mathbf{r}$ $\omega$ oscillation frequency
Total mean power from oscillating dipole <sup>a</sup>	$W = \frac{\omega^4 p_0^2}{6\pi\epsilon_0 c^3}$	(7.132)	$W$ total mean radiated power
Self-energy of a charge distribution	$U_{\text{tot}} = \frac{1}{2} \int_{\text{volume}} \phi(\mathbf{r}) \rho(\mathbf{r}) d\tau$	(7.133)	$U_{\text{tot}}$ total energy $d\tau$ volume element $\mathbf{r}$ position vector of $d\tau$ $\phi$ electrical potential $\rho$ charge density
Energy of an assembly of capacitors <sup>b</sup>	$U_{\text{tot}} = \frac{1}{2} \sum_i \sum_j C_{ij} V_i V_j$	(7.134)	$V_i$ potential of $i$ th capacitor $C_{ij}$ mutual capacitance between capacitors $i$ and $j$
Energy of an assembly of inductors <sup>c</sup>	$U_{\text{tot}} = \frac{1}{2} \sum_i \sum_j L_{ij} I_i I_j$	(7.135)	$L_{ij}$ mutual inductance between inductors $i$ and $j$
Intrinsic dipole in an electric field	$U_{\text{dip}} = -\mathbf{p} \cdot \mathbf{E}$	(7.136)	$U_{\text{dip}}$ energy of dipole $\mathbf{p}$ electric dipole moment
Intrinsic dipole in a magnetic field	$U_{\text{dip}} = -\mathbf{m} \cdot \mathbf{B}$	(7.137)	$\mathbf{m}$ magnetic dipole moment
Hamiltonian of a charged particle in an EM field <sup>d</sup>	$H = \frac{ \mathbf{p}_m - q\mathbf{A} ^2}{2m} + q\phi$	(7.138)	$H$ Hamiltonian $\mathbf{p}_m$ particle momentum $q$ particle charge $m$ particle mass $\mathbf{A}$ magnetic vector potential

<sup>a</sup>Sometimes called "Larmor's formula."<sup>b</sup> $C_{ii}$  is the self-capacitance of the  $i$ th body. Note that  $C_{ij} = C_{ji}$ .<sup>c</sup> $L_{ii}$  is the self-inductance of the  $i$ th body. Note that  $L_{ij} = L_{ji}$ .<sup>d</sup>Newtonian limit, i.e., velocity  $\ll c$ .

## 7.6 LCR circuits

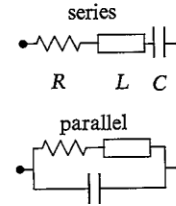
## LCR definitions

Current	$I = \frac{dQ}{dt}$	(7.139)	$I$ current $Q$ charge
Ohm's law	$V = IR$	(7.140)	$R$ resistance $V$ potential difference over $R$ $I$ current through $R$
Ohm's law (field form)	$J = \sigma E$	(7.141)	$J$ current density $E$ electric field $\sigma$ conductivity
Resistivity	$\rho = \frac{1}{\sigma} = \frac{RA}{l}$	(7.142)	$\rho$ resistivity $A$ area of face ( $I$ is normal to face) $l$ length
Capacitance	$C = \frac{Q}{V}$	(7.143)	$C$ capacitance $V$ potential difference across $C$
Current through capacitor	$I = C \frac{dV}{dt}$	(7.144)	$I$ current through $C$ $t$ time
Self-inductance	$L = \frac{\Phi}{I}$	(7.145)	$\Phi$ total linked flux $I$ current through inductor
Voltage across inductor	$V = -L \frac{dI}{dt}$	(7.146)	$V$ potential difference over $L$
Mutual inductance	$L_{12} = \frac{\Phi_1}{I_2} = L_{21}$	(7.147)	$\Phi_1$ total flux from loop 2 linked by loop 1 $L_{12}$ mutual inductance $I_2$ current through loop 2
Coefficient of coupling	$ L_{12}  = k\sqrt{L_1 L_2}$	(7.148)	$k$ coupling coefficient between $L_1$ and $L_2$ ( $\leq 1$ )
Linked magnetic flux through a coil	$\Phi = N\phi$	(7.149)	$\Phi$ linked flux $N$ number of turns around $\phi$ $\phi$ flux through area of turns



**Resonant LCR circuits**

Phase resonant frequency <sup>a</sup>	$\omega_0^2 = \begin{cases} 1/LC & \text{(series)} \\ 1/LC - R^2/L^2 & \text{(parallel)} \end{cases}$ (7.150)	$\omega_0$ resonant angular frequency $L$ inductance $C$ capacitance $R$ resistance
Tuning <sup>b</sup>	$\frac{\delta\omega}{\omega_0} = \frac{1}{Q} = \frac{R}{\omega_0 L}$ (7.151)	$\delta\omega$ half-power bandwidth $Q$ quality factor
Quality factor	$Q = 2\pi \frac{\text{stored energy}}{\text{energy lost per cycle}}$ (7.152)	



<sup>a</sup>At which the impedance is purely real.

<sup>b</sup>Assuming the capacitor is purely reactive. If  $L$  and  $R$  are parallel, then  $1/Q = \omega_0 L/R$ .

**Energy in capacitors, inductors, and resistors**

Energy stored in a capacitor	$U = \frac{1}{2}CV^2 = \frac{1}{2}QV = \frac{1}{2}\frac{Q^2}{C}$ (7.153)	$U$ stored energy $C$ capacitance $Q$ charge $V$ potential difference
Energy stored in an inductor	$U = \frac{1}{2}LI^2 = \frac{1}{2}\Phi I = \frac{1}{2}\frac{\Phi^2}{L}$ (7.154)	$L$ inductance $\Phi$ linked magnetic flux $I$ current
Power dissipated in a resistor <sup>a</sup> (Joule's law)	$W = IV = I^2R = \frac{V^2}{R}$ (7.155)	$W$ power dissipated $R$ resistance
Relaxation time	$\tau = \frac{\epsilon_0\epsilon_r}{\sigma}$ (7.156)	$\tau$ relaxation time $\epsilon_r$ relative permittivity $\sigma$ conductivity

<sup>a</sup>This is d.c., or instantaneous a.c., power.

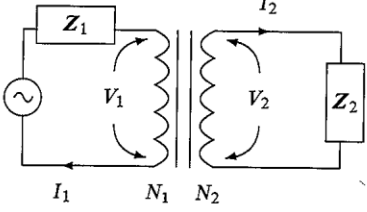
**Electrical impedance**

Impedances in series	$Z_{\text{tot}} = \sum_n Z_n$ (7.157)
Impedances in parallel	$Z_{\text{tot}} = \left( \sum_n Z_n^{-1} \right)^{-1}$ (7.158)
Impedance of capacitance	$Z_C = -\frac{i}{\omega C}$ (7.159)
Impedance of inductance	$Z_L = i\omega L$ (7.160)
Impedance: $Z$ Inductance: $L$ Conductance: $G = 1/R$ Admittance: $Y = 1/Z$	Capacitance: $C$ Resistance: $R = \text{Re}[Z]$ Reactance: $X = \text{Im}[Z]$ Susceptance: $S = 1/X$

**Kirchhoff's laws**

Current law	$\sum_{\text{node}} I_i = 0$	(7.161)	$I_i$ currents impinging on node
Voltage law	$\sum_{\text{loop}} V_i = 0$	(7.162)	$V_i$ potential differences around loop

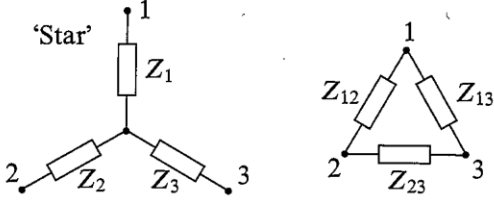
**Transformers<sup>a</sup>**

	<ul style="list-style-type: none"> <li><math>n</math> turns ratio</li> <li><math>N_1</math> number of primary turns</li> <li><math>N_2</math> number of secondary turns</li> <li><math>V_1</math> primary voltage</li> <li><math>V_2</math> secondary voltage</li> <li><math>I_1</math> primary current</li> <li><math>I_2</math> secondary current</li> <li><math>Z_{\text{out}}</math> output impedance</li> <li><math>Z_{\text{in}}</math> input impedance</li> <li><math>Z_1</math> source impedance</li> <li><math>Z_2</math> load impedance</li> </ul>		
Turns ratio	$n = N_2/N_1$	(7.163)	
Transformation of voltage and current	$V_2 = nV_1$	(7.164)	
	$I_2 = I_1/n$	(7.165)	
Output impedance (seen by $Z_2$ )	$Z_{\text{out}} = n^2 Z_1$	(7.166)	
Input impedance (seen by $Z_1$ )	$Z_{\text{in}} = Z_2/n^2$	(7.167)	

<sup>a</sup>Ideal, with a coupling constant of 1 between loss-free windings.

7

**Star-delta transformation**

	<ul style="list-style-type: none"> <li><math>i, j, k</math> node indices (1, 2, or 3)</li> <li><math>Z_i</math> impedance on node <math>i</math></li> <li><math>Z_{ij}</math> impedance connecting nodes <math>i</math> and <math>j</math></li> </ul>	
Star impedances	$Z_i = \frac{Z_{ij}Z_{ik}}{Z_{ij} + Z_{ik} + Z_{jk}}$	(7.168)
Delta impedances	$Z_{ij} = Z_i Z_j \left( \frac{1}{Z_i} + \frac{1}{Z_j} + \frac{1}{Z_k} \right)$	(7.169)

## 7.7 Transmission lines and waveguides

### Transmission line relations

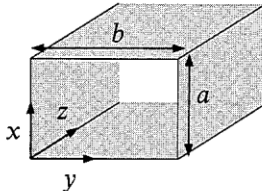
Loss-free transmission line equations	$\frac{\partial V}{\partial x} = -L \frac{\partial I}{\partial t} \quad (7.170)$	$(7.171)$	$V$ potential difference across line
	$\frac{\partial I}{\partial x} = -C \frac{\partial V}{\partial t}$		$I$ current in line $L$ inductance per unit length $C$ capacitance per unit length
Wave equation for a lossless transmission line	$\frac{1}{LC} \frac{\partial^2 V}{\partial x^2} = \frac{\partial^2 V}{\partial t^2} \quad (7.172)$	$(7.173)$	$x$ distance along line
	$\frac{1}{LC} \frac{\partial^2 I}{\partial x^2} = \frac{\partial^2 I}{\partial t^2}$		$t$ time
Characteristic impedance of lossless line	$Z_c = \sqrt{\frac{L}{C}} \quad (7.174)$	$Z_c$ characteristic impedance	
Characteristic impedance of lossy line	$Z_c = \sqrt{\frac{R + i\omega L}{G + i\omega C}} \quad (7.175)$	$R$ resistance per unit length of conductor $G$ conductance per unit length of insulator $\omega$ angular frequency	
Wave speed along a lossless line	$v_p = v_g = \frac{1}{\sqrt{LC}} \quad (7.176)$	$v_p$ phase speed $v_g$ group speed	
Input impedance of a terminated lossless line	$Z_{in} = Z_c \frac{Z_t \cos kl - iZ_c \sin kl}{Z_c \cos kl - iZ_t \sin kl} \quad (7.177)$	$(7.178)$	$Z_{in}$ (complex) input impedance $Z_t$ (complex) terminating impedance
	$= Z_c^2 / Z_t \quad \text{if } l = \lambda/4$		$k$ wavenumber ( $= 2\pi/\lambda$ )
Reflection coefficient from a terminated line	$r = \frac{Z_t - Z_c}{Z_t + Z_c} \quad (7.179)$	$l$ distance from termination $r$ (complex) voltage reflection coefficient	
Line voltage standing wave ratio	$\text{VSWR} = \frac{1 +  r }{1 -  r } \quad (7.180)$		

### Transmission line impedances<sup>a</sup>

Coaxial line	$Z_c = \sqrt{\frac{\mu}{4\pi^2 \epsilon}} \ln \frac{b}{a} \simeq \frac{60}{\sqrt{\epsilon_r}} \ln \frac{b}{a} \quad (7.181)$	$Z_c$ characteristic impedance ( $\Omega$ ) $a$ radius of inner conductor $b$ radius of outer conductor $\epsilon$ permittivity ( $= \epsilon_0 \epsilon_r$ ) $\mu$ permeability ( $= \mu_0 \mu_r$ )
Open wire feeder	$Z_c = \sqrt{\frac{\mu}{\pi^2 \epsilon}} \ln \frac{l}{r} \simeq \frac{120}{\sqrt{\epsilon_r}} \ln \frac{l}{r} \quad (7.182)$	$r$ radius of wires $l$ distance between wires ( $\gg r$ )
Paired strip	$Z_c = \sqrt{\frac{\mu}{\epsilon}} \frac{d}{w} \simeq \frac{377}{\sqrt{\epsilon_r}} \frac{d}{w} \quad (7.183)$	$d$ strip separation $w$ strip width ( $\gg d$ )
Microstrip line	$Z_c \simeq \frac{377}{\sqrt{\epsilon_r} [(w/h) + 2]} \quad (7.184)$	$h$ height above earth plane ( $\ll w$ )

<sup>a</sup>For lossless lines.

Waveguides<sup>a</sup>

Waveguide equation	$k_g^2 = \frac{\omega^2}{c^2} - \frac{m^2\pi^2}{a^2} - \frac{n^2\pi^2}{b^2}$ (7.185)	$k_g$ wavenumber in guide $\omega$ angular frequency $a$ guide height $b$ guide width $m, n$ mode indices with respect to $a$ and $b$ (integers) $c$ speed of light
Guide cutoff frequency	$v_c = c\sqrt{\left(\frac{m}{2a}\right)^2 + \left(\frac{n}{2b}\right)^2}$ (7.186)	$v_c$ cutoff frequency $\omega_c = 2\pi v_c$
Phase velocity above cutoff	$v_p = \frac{c}{\sqrt{1 - (v_c/v)^2}}$ (7.187)	$v_p$ phase velocity $v$ frequency
Group velocity above cutoff	$v_g = c^2/v_p = c\sqrt{1 - (v_c/v)^2}$ (7.188)	$v_g$ group velocity
Wave impedances <sup>b</sup>	$Z_{TM} = Z_0\sqrt{1 - (v_c/v)^2}$ (7.189) $Z_{TE} = Z_0/\sqrt{1 - (v_c/v)^2}$ (7.190)	$Z_{TM}$ wave impedance for transverse magnetic modes $Z_{TE}$ wave impedance for transverse electric modes $Z_0$ impedance of free space ( $= \sqrt{\mu_0/\epsilon_0}$ )
<p>Field solutions for TE<sub>mn</sub> modes<sup>c</sup></p> $B_x = \frac{ik_g c^2}{\omega_c^2} \frac{\partial B_z}{\partial x} \quad E_x = \frac{i\omega c^2}{\omega_c^2} \frac{\partial B_z}{\partial y}$ $B_y = \frac{ik_g c^2}{\omega_c^2} \frac{\partial B_z}{\partial y} \quad E_y = \frac{-i\omega c^2}{\omega_c^2} \frac{\partial B_z}{\partial x}$ $B_z = B_0 \cos \frac{m\pi x}{a} \cos \frac{n\pi y}{b} \quad E_z = 0$ <p>(7.191)</p>  <p>Field solutions for TM<sub>mn</sub> modes<sup>c</sup></p> $E_x = \frac{ik_g c^2}{\omega_c^2} \frac{\partial E_z}{\partial x} \quad B_x = \frac{-i\omega}{\omega_c^2} \frac{\partial E_z}{\partial y}$ $E_y = \frac{ik_g c^2}{\omega_c^2} \frac{\partial E_z}{\partial y} \quad B_y = \frac{i\omega}{\omega_c^2} \frac{\partial E_z}{\partial x}$ $E_z = E_0 \sin \frac{m\pi x}{a} \sin \frac{n\pi y}{b} \quad B_z = 0$ <p>(7.192)</p>		

<sup>a</sup>Equations are for lossless waveguides with rectangular cross sections and no dielectric.

<sup>b</sup>The ratio of the electric field to the magnetic field strength in the xy plane.

<sup>c</sup>Both TE and TM modes propagate in the z direction with a further factor of  $\exp[i(k_g z - \omega t)]$  on all components.  $B_0$  and  $E_0$  are the amplitudes of the z components of magnetic flux density and electric field respectively.

7



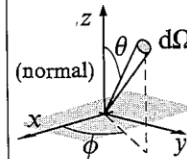
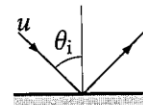
## 7.8 Waves in and out of media

## Waves in lossless media

Electric field	$\nabla^2 \mathbf{E} = \mu\epsilon \frac{\partial^2 \mathbf{E}}{\partial t^2}$	(7.193)	$E$ electric field $\mu$ permeability ( $=\mu_0\mu_r$ ) $\epsilon$ permittivity ( $=\epsilon_0\epsilon_r$ )
Magnetic field	$\nabla^2 \mathbf{B} = \mu\epsilon \frac{\partial^2 \mathbf{B}}{\partial t^2}$	(7.194)	$B$ magnetic flux density $t$ time
Refractive index	$\eta = \sqrt{\epsilon_r\mu_r}$	(7.195)	
Wave speed	$v = \frac{1}{\sqrt{\mu\epsilon}} = \frac{c}{\eta}$	(7.196)	$v$ speed of light $\eta$ refractive index $c$ speed of light
Impedance of free space	$Z_0 = \sqrt{\frac{\mu_0}{\epsilon_0}} \approx 376.7 \Omega$	(7.197)	$Z_0$ impedance of free space
Wave impedance	$Z = \frac{E}{H} = Z_0 \sqrt{\frac{\mu_r}{\epsilon_r}}$	(7.198)	$Z$ wave impedance $H$ magnetic field strength

Radiation pressure<sup>a</sup>

Radiation momentum density	$\mathbf{G} = \frac{\mathbf{N}}{c^2}$	(7.199)	$G$ momentum density $N$ Poynting vector $c$ speed of light
Isotropic radiation	$p_n = \frac{1}{3}u(1+R)$	(7.200)	$p_n$ normal pressure $u$ incident radiation energy density $R$ (power) reflectance coefficient
Specular reflection	$p_n = u(1+R)\cos^2\theta_i$	(7.201)	$p_t$ tangential pressure
	$p_t = u(1-R)\sin\theta_i\cos\theta_i$	(7.202)	$\theta_i$ angle of incidence
From an extended source <sup>b</sup>	$p_n = \frac{1+R}{c} \iint I_\nu(\theta, \phi) \cos^2\theta \, d\Omega \, d\nu$	(7.203)	$I_\nu$ specific intensity $\nu$ frequency $\Omega$ solid angle $\theta$ angle between $d\Omega$ and normal to plane
From a point source, <sup>c</sup> luminosity $L$	$p_n = \frac{L(1+R)}{4\pi r^2 c}$	(7.204)	$L$ source luminosity (i.e., radiant power) $r$ distance from source

<sup>a</sup>On an opaque surface.<sup>b</sup>In spherical polar coordinates. See page 120 for the meaning of specific intensity.<sup>c</sup>Normal to the plane.

**Antennas**

Spherical polar geometry:		
Field from a short ( $l \ll \lambda$ ) electric dipole in free space <sup>a</sup>	$E_r = \frac{1}{2\pi\epsilon_0} \left( \frac{[\dot{p}]}{r^2 c} + \frac{[p]}{r^3} \right) \cos\theta \quad (7.205)$ $E_\theta = \frac{1}{4\pi\epsilon_0} \left( \frac{[\dot{p}]}{rc^2} + \frac{[\ddot{p}]}{r^2 c} + \frac{[p]}{r^3} \right) \sin\theta \quad (7.206)$ $B_\phi = \frac{\mu_0}{4\pi} \left( \frac{[\dot{p}]}{rc} + \frac{[\ddot{p}]}{r^2} \right) \sin\theta \quad (7.207)$	$r$ distance from dipole $\theta$ angle between $r$ and $p$ $[p]$ retarded dipole moment $[p] = p(t-r/c)$ $c$ speed of light
Radiation resistance of a short electric dipole in free space	$R = \frac{\omega^2 l^2}{6\pi\epsilon_0 c^3} = \frac{2\pi Z_0}{3} \left( \frac{l}{\lambda} \right)^2 \quad (7.208)$ $\simeq 789 \left( \frac{l}{\lambda} \right)^2 \text{ ohm} \quad (7.209)$	$l$ dipole length ( $\ll \lambda$ ) $\omega$ angular frequency $\lambda$ wavelength $Z_0$ impedance of free space
Beam solid angle	$\Omega_A = \int_{4\pi} P_n(\theta, \phi) d\Omega \quad (7.210)$	$\Omega_A$ beam solid angle $P_n$ normalised antenna power pattern $P_n(0,0) = 1$ $d\Omega$ differential solid angle
Forward power gain	$G(0) = \frac{4\pi}{\Omega_A} \quad (7.211)$	$G$ antenna gain
Antenna effective area	$A_e = \frac{\lambda^2}{\Omega_A} \quad (7.212)$	$A_e$ effective area
Power gain of a short dipole	$G(\theta) = \frac{3}{2} \sin^2\theta \quad (7.213)$	
Beam efficiency	$\text{efficiency} = \frac{\Omega_M}{\Omega_A} \quad (7.214)$	$\Omega_M$ main lobe solid angle
Antenna temperature <sup>b</sup>	$T_A = \frac{1}{\Omega_A} \int_{4\pi} T_b(\theta, \phi) P_n(\theta, \phi) d\Omega \quad (7.215)$	$T_A$ antenna temperature $T_b$ sky brightness temperature

<sup>a</sup>All field components propagate with a further phase factor equal to  $\exp i(kr - \omega t)$ , where  $k = 2\pi/\lambda$ .

<sup>b</sup>The brightness temperature of a source of specific intensity  $I_\nu$  is  $T_b = \lambda^2 I_\nu / (2k_B)$ .

7

Reflection, refraction, and transmission<sup>a</sup>

		<p><math>E</math> electric field</p> <p><math>B</math> magnetic flux density</p> <p><math>\eta_i</math> refractive index on incident side</p> <p><math>\eta_t</math> refractive index on transmitted side</p> <p><math>\theta_i</math> angle of incidence</p> <p><math>\theta_r</math> angle of reflection</p> <p><math>\theta_t</math> angle of refraction</p>
Law of reflection	$\theta_i = \theta_r$	(7.216)
Snell's law <sup>b</sup>	$\eta_i \sin \theta_i = \eta_t \sin \theta_t$	(7.217)
Brewster's law	$\tan \theta_B = \eta_t / \eta_i$	(7.218)
		$\theta_B$ Brewster's angle of incidence for plane-polarised reflection ( $r_{\parallel} = 0$ )
Fresnel equations of reflection and refraction		
$r_{\parallel} = \frac{\sin 2\theta_i - \sin 2\theta_t}{\sin 2\theta_i + \sin 2\theta_t}$	(7.219)	$r_{\perp} = -\frac{\sin(\theta_i - \theta_t)}{\sin(\theta_i + \theta_t)}$ (7.223)
$t_{\parallel} = \frac{4 \cos \theta_i \sin \theta_t}{\sin 2\theta_i + \sin 2\theta_t}$	(7.220)	$t_{\perp} = \frac{2 \cos \theta_i \sin \theta_t}{\sin(\theta_i + \theta_t)}$ (7.224)
$R_{\parallel} = r_{\parallel}^2$	(7.221)	$R_{\perp} = r_{\perp}^2$ (7.225)
$T_{\parallel} = \frac{\eta_t \cos \theta_t}{\eta_i \cos \theta_i} t_{\parallel}^2$	(7.222)	$T_{\perp} = \frac{\eta_t \cos \theta_t}{\eta_i \cos \theta_i} t_{\perp}^2$ (7.226)
Coefficients for normal incidence <sup>c</sup>		
$R = \frac{(\eta_i - \eta_t)^2}{(\eta_i + \eta_t)^2}$	(7.227)	$r = \frac{\eta_i - \eta_t}{\eta_i + \eta_t}$ (7.230)
$T = \frac{4\eta_i \eta_t}{(\eta_i + \eta_t)^2}$	(7.228)	$t = \frac{2\eta_i}{\eta_i + \eta_t}$ (7.231)
$R + T = 1$	(7.229)	$t - r = 1$ (7.232)
$\parallel$ electric field parallel to the plane of incidence		$\perp$ electric field perpendicular to the plane of incidence
$R$ (power) reflectance coefficient		$r$ amplitude reflection coefficient
$T$ (power) transmittance coefficient		$t$ amplitude transmission coefficient

<sup>a</sup>For the plane boundary between lossless dielectric media. All coefficients refer to the electric field component and whether it is parallel or perpendicular to the plane of incidence. Perpendicular components are out of the paper.

<sup>b</sup>The incident wave suffers total internal reflection if  $\frac{\eta_i}{\eta_t} \sin \theta_i > 1$ .

<sup>c</sup>I.e.,  $\theta_i = 0$ . Use the diagram labelled "perpendicular incidence" for correct phases.

**Propagation in conducting media<sup>a</sup>**

Electrical conductivity ( $B=0$ )	$\sigma = n_e e \mu = \frac{n_e e^2}{m_e} \tau_c \quad (7.233)$	$\sigma$ electrical conductivity $n_e$ electron number density $\tau_c$ electron relaxation time $\mu$ electron mobility $B$ magnetic flux density
Refractive index of an ohmic conductor <sup>b</sup>	$\eta = (1 + i) \left( \frac{\sigma}{4\pi\nu\epsilon_0} \right)^{1/2} \quad (7.234)$	$m_e$ electron mass $-e$ electronic charge $\eta$ refractive index $\epsilon_0$ permittivity of free space
Skin depth in an ohmic conductor	$\delta = (\mu_0 \sigma \pi \nu)^{-1/2} \quad (7.235)$	$\nu$ frequency $\delta$ skin depth $\mu_0$ permeability of free space

<sup>a</sup>Assuming a relative permeability,  $\mu_r$ , of 1.  
<sup>b</sup>Taking the wave to have an  $e^{-i\omega t}$  time dependence.

**Electron scattering processes<sup>a</sup>**

Rayleigh scattering cross section <sup>b</sup>	$\sigma_R = \frac{\omega^4 \alpha^2}{6\pi\epsilon_0 c^4} \quad (7.236)$	$\sigma_R$ Rayleigh cross section $\omega$ radiation angular frequency $\alpha$ particle polarisability $\epsilon_0$ permittivity of free space
Thomson scattering cross section <sup>c</sup>	$\sigma_T = \frac{8\pi}{3} \left( \frac{e^2}{4\pi\epsilon_0 m_e c^2} \right)^2 \quad (7.237)$ $= \frac{8\pi}{3} r_e^2 = 6.652 \times 10^{-29} \text{ m}^2 \quad (7.238)$	$\sigma_T$ Thomson cross section $m_e$ electron (rest) mass $r_e$ classical electron radius $c$ speed of light
Inverse Compton scattering <sup>d</sup>	$P_{\text{tot}} = \frac{4}{3} \sigma_T c u_{\text{rad}} \gamma^2 \left( \frac{v^2}{c^2} \right) \quad (7.239)$	$P_{\text{tot}}$ electron energy loss rate $u_{\text{rad}}$ radiation energy density $\gamma$ Lorentz factor = $[1 - (v/c)^2]^{-1/2}$ $v$ electron speed
Compton scattering <sup>e</sup>	$\lambda' - \lambda = \frac{h}{m_e c} (1 - \cos\theta) \quad (7.240)$ $h\nu' = \frac{m_e c^2}{1 - \cos\theta + (1/\epsilon)} \quad (7.241)$ $\cot\phi = (1 + \epsilon) \tan \frac{\theta}{2} \quad (7.242)$	$\lambda, \lambda'$ incident & scattered wavelengths $\nu, \nu'$ incident & scattered frequencies $\theta$ photon scattering angle $\frac{h}{m_e c}$ electron Compton wavelength $\epsilon = h\nu / (m_e c^2)$
Klein-Nishina cross section (for a free electron)	$\sigma_{\text{KN}} = \frac{\pi r_e^2}{\epsilon} \left\{ \left[ 1 - \frac{2(\epsilon+1)}{\epsilon^2} \right] \ln(2\epsilon+1) + \frac{1}{2} + \frac{4}{\epsilon} - \frac{1}{2(2\epsilon+1)^2} \right\} \quad (7.243)$ $\simeq \sigma_T \quad (\epsilon \ll 1) \quad (7.244)$ $\simeq \frac{\pi r_e^2}{\epsilon} \left( \ln 2\epsilon + \frac{1}{2} \right) \quad (\epsilon \gg 1) \quad (7.245)$	$\sigma_{\text{KN}}$ Klein-Nishina cross section

<sup>a</sup>For Rutherford scattering see page 72.  
<sup>b</sup>Scattering by bound electrons.  
<sup>c</sup>Scattering from free electrons,  $\epsilon \ll 1$ .  
<sup>d</sup>Electron energy loss rate due to photon scattering in the Thomson limit ( $\gamma h\nu \ll m_e c^2$ ).  
<sup>e</sup>From an electron at rest.

7

## Cherenkov radiation

Cherenkov cone angle	$\sin\theta = \frac{c}{\eta v}$	(7.246)	$\theta$ cone semi-angle $c$ (vacuum) speed of light $\eta(\omega)$ refractive index $v$ particle velocity
Radiated power <sup>a</sup>	$P_{\text{tot}} = \frac{e^2 \mu_0 v}{4\pi} \int_0^{\omega_c} \left[ 1 - \frac{c^2}{v^2 \eta^2(\omega)} \right] \omega d\omega$	(7.247)	$P_{\text{tot}}$ total radiated power $-e$ electronic charge $\mu_0$ free space permeability $\omega$ angular frequency $\omega_c$ cutoff frequency
	where $\eta(\omega) \geq \frac{c}{v}$ for $0 < \omega < \omega_c$		

<sup>a</sup>From a point charge,  $e$ , travelling at speed  $v$  through a medium of refractive index  $\eta(\omega)$ .

## 7.9 Plasma physics

## Warm plasmas

Landau length	$l_L = \frac{e^2}{4\pi\epsilon_0 k_B T_e}$	(7.248)	$l_L$ Landau length $-e$ electronic charge $\epsilon_0$ permittivity of free space $k_B$ Boltzmann constant $T_e$ electron temperature (K)
	$\simeq 1.67 \times 10^{-5} T_e^{-1} \text{ m}$	(7.249)	
Electron Debye length	$\lambda_{De} = \left( \frac{\epsilon_0 k_B T_e}{n_e e^2} \right)^{1/2}$	(7.250)	$\lambda_{De}$ electron Debye length $n_e$ electron number density ( $\text{m}^{-3}$ )
	$\simeq 69 (T_e/n_e)^{1/2} \text{ m}$	(7.251)	
Debye screening <sup>a</sup>	$\phi(r) = \frac{q \exp(-2^{1/2} r/\lambda_{De})}{4\pi\epsilon_0 r}$	(7.252)	$\phi$ effective potential $q$ point charge $r$ distance from $q$
Debye number	$N_{De} = \frac{4}{3} \pi n_e \lambda_{De}^3$	(7.253)	$N_{De}$ electron Debye number
Relaxation times ( $B=0$ ) <sup>b</sup>	$\tau_e = 3.44 \times 10^5 \frac{T_e^{3/2}}{n_e \ln \Lambda} \text{ s}$	(7.254)	$\tau_e$ electron relaxation time $\tau_i$ ion relaxation time $T_i$ ion temperature (K)
	$\tau_i = 2.09 \times 10^7 \frac{T_i^{3/2}}{n_e \ln \Lambda} \left( \frac{m_i}{m_p} \right)^{1/2} \text{ s}$	(7.255)	$\ln \Lambda$ Coulomb logarithm (typically 10 to 20) $B$ magnetic flux density
Characteristic electron thermal speed <sup>c</sup>	$v_{te} = \left( \frac{2k_B T_e}{m_e} \right)^{1/2}$	(7.256)	$v_{te}$ electron thermal speed $m_e$ electron mass
	$\simeq 5.51 \times 10^3 T_e^{1/2} \text{ ms}^{-1}$	(7.257)	

<sup>a</sup>Effective (Yukawa) potential from a point charge  $q$  immersed in a plasma.

<sup>b</sup>Collision times for electrons and singly ionised ions with Maxwellian speed distributions,  $T_i \lesssim T_e$ . The Spitzer conductivity can be calculated from Equation (7.233).

<sup>c</sup>Defined so that the Maxwellian velocity distribution  $\propto \exp(-v^2/v_{te}^2)$ . There are other definitions (see Maxwell-Boltzmann distribution on page 112).



Electromagnetic propagation in cold plasmas<sup>a</sup>

Plasma frequency	$(2\pi\nu_p)^2 = \frac{n_e e^2}{\epsilon_0 m_e} = \omega_p^2$ (7.258)	$\nu_p$ plasma frequency
	$\nu_p \simeq 8.98 n_e^{1/2}$ Hz (7.259)	$\omega_p$ plasma angular frequency
Plasma refractive index ( $B=0$ )	$\eta = [1 - (\nu_p/\nu)^2]^{1/2}$ (7.260)	$n_e$ electron number density ( $\text{m}^{-3}$ )
Plasma dispersion relation ( $B=0$ )	$c^2 k^2 = \omega^2 - \omega_p^2$ (7.261)	$m_e$ electron mass
Plasma phase velocity ( $B=0$ )	$v_\phi = c/\eta$ (7.262)	$-e$ electronic charge
Plasma group velocity ( $B=0$ )	$v_g = c\eta$ (7.263)	$\epsilon_0$ permittivity of free space
	$v_\phi v_g = c^2$ (7.264)	$\eta$ refractive index
Cyclotron (Larmor, or gyro-) frequency	$2\pi\nu_C = \frac{qB}{m} = \omega_C$ (7.265)	$\nu$ frequency
	$\nu_{Ce} \simeq 28 \times 10^9 B$ Hz (7.266)	$k$ wavenumber ( $=2\pi/\lambda$ )
	$\nu_{Cp} \simeq 15.2 \times 10^6 B$ Hz (7.267)	$\omega$ angular frequency ( $=2\pi/\nu$ )
Larmor (cyclotron, or gyro-) radius	$r_L = \frac{v_\perp}{\omega_C} = v_\perp \frac{m}{qB}$ (7.268)	$c$ speed of light
	$r_{Le} = 5.69 \times 10^{-12} \left(\frac{v_\perp}{B}\right)$ m (7.269)	$v_\phi$ phase velocity
	$r_{Lp} = 10.4 \times 10^{-9} \left(\frac{v_\perp}{B}\right)$ m (7.270)	$v_g$ group velocity
Mixed propagation modes <sup>b</sup>	$\eta^2 = 1 - \frac{X(1-X)}{(1-X) - \frac{1}{2}Y^2 \sin^2 \theta_B \pm S}$ , (7.271)	$\nu_C$ cyclotron frequency
	where $X = (\omega_p/\omega)^2$ , $Y = \omega_{Ce}/\omega$ ,	$\omega_C$ cyclotron angular frequency
	and $S^2 = \frac{1}{4}Y^4 \sin^4 \theta_B + Y^2(1-X)^2 \cos^2 \theta_B$	$\nu_{Ce}$ electron $\nu_C$
Faraday rotation <sup>c</sup>	$\Delta\psi = \frac{\mu_0 e^3}{8\pi^2 m_e^2 c} \lambda^2 \int_{\text{line}} n_e \mathbf{B} \cdot d\mathbf{l}$ (7.272)	$\nu_{Cp}$ proton $\nu_C$
	$= R\lambda^2$ (7.273)	$q$ particle charge
		$B$ magnetic flux density (T)
		$m$ particle mass ( $\gamma m$ if relativistic)
		$r_L$ Larmor radius
		$r_{Le}$ electron $r_L$
		$r_{Lp}$ proton $r_L$
		$v_\perp$ speed $\perp$ to $\mathbf{B}$ ( $\text{m s}^{-1}$ )
		$\theta_B$ angle between wavefront normal ( $\hat{k}$ ) and $\mathbf{B}$
		$\Delta\psi$ rotation angle
		$\lambda$ wavelength ( $=2\pi/k$ )
		$d\mathbf{l}$ line element in direction of wave propagation
		$R$ rotation measure

<sup>a</sup>I.e., plasmas in which electromagnetic force terms dominate over thermal pressure terms. Also taking  $\mu_r = 1$ .<sup>b</sup>In a collisionless electron plasma. The ordinary and extraordinary modes are the + and - roots of  $S^2$  when  $\theta_B = \pi/2$ . When  $\theta_B = 0$ , these roots are the right and left circularly polarised modes respectively, using the optical convention for handedness.<sup>c</sup>In a tenuous plasma, SI units throughout.  $\Delta\psi$  is taken positive if  $\mathbf{B}$  is directed towards the observer.

Magnetohydrodynamics<sup>a</sup>

Sound speed	$v_s = \left(\frac{\gamma p}{\rho}\right)^{1/2} = \left(\frac{2\gamma k_B T}{m_p}\right)^{1/2}$ (7.274)	$v_s$ sound (wave) speed
	$\simeq 166 T^{1/2} \text{ms}^{-1}$ (7.275)	$\gamma$ ratio of heat capacities $p$ hydrostatic pressure $\rho$ plasma mass density $k_B$ Boltzmann constant $T$ temperature (K)
Alfvén speed	$v_A = \frac{B}{(\mu_0 \rho)^{1/2}}$ (7.276)	$m_p$ proton mass $v_A$ Alfvén speed $B$ magnetic flux density (T)
	$\simeq 2.18 \times 10^{16} B n_e^{-1/2} \text{ms}^{-1}$ (7.277)	$\mu_0$ permeability of free space $n_e$ electron number density ( $\text{m}^{-3}$ )
Plasma beta	$\beta = \frac{2\mu_0 p}{B^2} = \frac{4\mu_0 n_e k_B T}{B^2} = \frac{2v_s^2}{\gamma v_A^2}$ (7.278)	$\beta$ plasma beta (ratio of hydrostatic to magnetic pressure)
Direct electrical conductivity	$\sigma_d = \frac{n_e^2 e^2 \sigma}{n_e^2 e^2 + \sigma^2 B^2}$ (7.279)	$-e$ electronic charge $\sigma_d$ direct conductivity $\sigma$ conductivity ( $B=0$ )
Hall electrical conductivity	$\sigma_H = \frac{\sigma B}{n_e e} \sigma_d$ (7.280)	$\sigma_H$ Hall conductivity
Generalised Ohm's law	$\mathbf{J} = \sigma_d(\mathbf{E} + \mathbf{v} \times \mathbf{B}) + \sigma_H \hat{\mathbf{B}} \times (\mathbf{E} + \mathbf{v} \times \mathbf{B})$ (7.281)	$\mathbf{J}$ current density $\mathbf{E}$ electric field $\mathbf{v}$ plasma velocity field $\hat{\mathbf{B}} = \mathbf{B}/ \mathbf{B} $
Resistive MHD equations (single-fluid model) <sup>b</sup>		
	$\frac{\partial \mathbf{B}}{\partial t} = \nabla \times (\mathbf{v} \times \mathbf{B}) + \eta \nabla^2 \mathbf{B}$ (7.282)	$\mu_0$ permeability of free space $\eta$ magnetic diffusivity [ $= 1/(\mu_0 \sigma)$ ]
	$\frac{\partial \mathbf{v}}{\partial t} + (\mathbf{v} \cdot \nabla) \mathbf{v} = -\frac{\nabla p}{\rho} + \frac{1}{\mu_0 \rho} (\nabla \times \mathbf{B}) \times \mathbf{B} + \nu \nabla^2 \mathbf{v} + \frac{1}{3} \nu \nabla (\nabla \cdot \mathbf{v}) + \mathbf{g}$ (7.283)	$\nu$ kinematic viscosity $\mathbf{g}$ gravitational field strength
Shear Alfvénic dispersion relation <sup>c</sup>	$\omega = k v_A \cos \theta_B$ (7.284)	$\omega$ angular frequency ( $= 2\pi\nu$ ) $\mathbf{k}$ wavevector ( $k = 2\pi/\lambda$ ) $\theta_B$ angle between $\mathbf{k}$ and $\mathbf{B}$
Magnetosonic dispersion relation <sup>d</sup>	$\omega^2 k^2 (v_s^2 + v_A^2) - \omega^4 = v_s^2 v_A^2 k^4 \cos^2 \theta_B$ (7.285)	

<sup>a</sup>For a warm, fully ionised, electrically neutral  $p^+/e^-$  plasma,  $\mu_r = 1$ . Relativistic and displacement current effects are assumed to be negligible and all oscillations are taken as being well below all resonance frequencies.

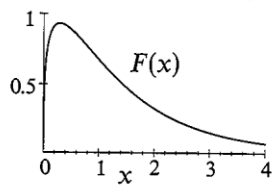
<sup>b</sup>Neglecting bulk (second) viscosity.

<sup>c</sup>Nonresistive, inviscid flow.

<sup>d</sup>Nonresistive, inviscid flow. The greater and lesser solutions for  $\omega^2$  are the fast and slow magnetosonic waves respectively.



**Synchrotron radiation**

Power radiated by a single electron <sup>a</sup>	$P_{\text{tot}} = 2\sigma_{\text{T}}cu_{\text{mag}}\gamma^2\left(\frac{v}{c}\right)^2\sin^2\theta \quad (7.286)$ $\simeq 1.59 \times 10^{-14}B^2\gamma^2\left(\frac{v}{c}\right)^2\sin^2\theta \quad \text{W} \quad (7.287)$	<p><math>P_{\text{tot}}</math> total radiated power  <math>\sigma_{\text{T}}</math> Thomson cross section  <math>u_{\text{mag}}</math> magnetic energy density = <math>B^2/(2\mu_0)</math>  <math>v</math> electron velocity (<math>\sim c</math>)  <math>\gamma</math> Lorentz factor = <math>[1-(v/c)^2]^{-1/2}</math>  <math>\theta</math> pitch angle (angle between <math>v</math> and <math>B</math>)  <math>B</math> magnetic flux density  <math>c</math> speed of light  <math>P(v)</math> emission spectrum  <math>\nu</math> frequency  <math>\nu_{\text{ch}}</math> characteristic frequency  <math>-e</math> electronic charge  <math>\epsilon_0</math> free space permittivity  <math>m_e</math> electronic (rest) mass  <math>F</math> spectral function  <math>K_{5/3}</math> modified Bessel fn. of the 2nd kind, order 5/3</p>
... averaged over pitch angles	$P_{\text{tot}} = \frac{4}{3}\sigma_{\text{T}}cu_{\text{mag}}\gamma^2\left(\frac{v}{c}\right)^2 \quad (7.288)$ $\simeq 1.06 \times 10^{-14}B^2\gamma^2\left(\frac{v}{c}\right)^2 \quad \text{W} \quad (7.289)$	
Single electron emission spectrum <sup>b</sup>	$P(\nu) = \frac{3^{1/2}e^3B\sin\theta}{4\pi\epsilon_0cm_e}F(\nu/\nu_{\text{ch}}) \quad (7.290)$ $\simeq 2.34 \times 10^{-25}B\sin\theta F(\nu/\nu_{\text{ch}}) \quad \text{WHz}^{-1} \quad (7.291)$	
Characteristic frequency	$\nu_{\text{ch}} = \frac{3}{2}\gamma^2\frac{eB}{2\pi m_e}\sin\theta \quad (7.292)$ $\simeq 4.2 \times 10^{10}\gamma^2B\sin\theta \quad \text{Hz} \quad (7.293)$	
Spectral function	$F(x) = x \int_x^\infty K_{5/3}(y) dy \quad (7.294)$	
	$\simeq \begin{cases} 2.15x^{1/3} & (x \ll 1) \\ 1.25x^{1/2}e^{-x} & (x \gg 1) \end{cases} \quad (7.295)$	

<sup>a</sup>This expression also holds for cyclotron radiation ( $v \ll c$ ).

<sup>b</sup>I.e., total radiated power per unit frequency interval.

**Bremsstrahlung<sup>a</sup>**Single electron and ion<sup>b</sup>

$$\frac{dW}{d\omega} = \frac{Z^2 e^6}{24\pi^4 \epsilon_0^3 c^3 m_e^2 \gamma^2 v^4} \left[ \frac{1}{\gamma^2} K_0^2 \left( \frac{\omega b}{\gamma v} \right) + K_1^2 \left( \frac{\omega b}{\gamma v} \right) \right] \quad (7.296)$$

$$\simeq \frac{Z^2 e^6}{24\pi^4 \epsilon_0^3 c^3 m_e^2 b^2 v^2} \quad (\omega b \ll \gamma v) \quad (7.297)$$

Thermal bremsstrahlung radiation ( $v \ll c$ ; Maxwellian distribution)

$$\frac{dP}{dV dv} = 6.8 \times 10^{-51} Z^2 T^{-1/2} n_i n_e g(v, T) \exp\left(\frac{-hv}{kT}\right) \quad \text{W m}^{-3} \text{ Hz}^{-1} \quad (7.298)$$

$$\text{where } g(v, T) \simeq \begin{cases} 0.28 [\ln(4.4 \times 10^{16} T^3 v^{-2} Z^{-2}) - 0.76] & (hv \ll kT \lesssim 10^5 kZ^2) \\ 0.55 \ln(2.1 \times 10^{10} T v^{-1}) & (hv \ll 10^5 kZ^2 \lesssim kT) \\ (2.1 \times 10^{10} T v^{-1})^{-1/2} & (hv \gg kT) \end{cases} \quad (7.299)$$

$$\frac{dP}{dV} \simeq 1.7 \times 10^{-40} Z^2 T^{1/2} n_i n_e \quad \text{W m}^{-3} \quad (7.300)$$

$\omega$	angular frequency ( $=2\pi\nu$ )	$v$	electron velocity	$W$	energy radiated
$Ze$	ionic charge	$K_i$	modified Bessel functions of order $i$ (see page 47)	$T$	electron temperature (K)
$-e$	electronic charge	$\gamma$	Lorentz factor $= [1 - (v/c)^2]^{-1/2}$	$n_i$	ion number density ( $\text{m}^{-3}$ )
$\epsilon_0$	permittivity of free space	$P$	power radiated	$n_e$	electron number density ( $\text{m}^{-3}$ )
$c$	speed of light	$V$	volume	$k$	Boltzmann constant
$m_e$	electronic mass	$\nu$	frequency (Hz)	$h$	Planck constant
$b$	collision parameter <sup>c</sup>			$g$	Gaunt factor

<sup>a</sup>Classical treatment. The ions are at rest, and all frequencies are above the plasma frequency.<sup>b</sup>The spectrum is approximately flat at low frequencies and drops exponentially at frequencies  $\gtrsim \gamma v/b$ .<sup>c</sup>Distance of closest approach.

# Chapter 8 Optics

## 8.1 Introduction

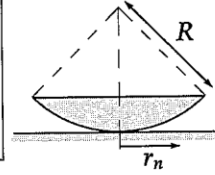
Any attempt to unify the notations and terminology of optics is doomed to failure. This is partly due to the long and illustrious history of the subject (a pedigree shared only with mechanics), which has allowed a variety of approaches to develop, and partly due to the disparate fields of physics to which its basic principles have been applied. Optical ideas find their way into most wave-based branches of physics, from quantum mechanics to radio propagation.

Nowhere is the lack of convention more apparent than in the study of polarisation, and so a cautionary note follows. The conventions used here can be taken largely from context, but the reader should be aware that alternative sign and handedness conventions do exist and are widely used. In particular we will take a circularly polarised wave as being right-handed if, for an observer looking *towards* the source, the electric field vector in a plane perpendicular to the line of sight rotates clockwise. This convention is often used in optics textbooks and has the conceptual advantage that the electric field orientation describes a right-hand corkscrew in space, with the direction of energy flow defining the screw direction. It is however opposite to the system widely used in radio engineering, where the handedness of a helical antenna generating or receiving the wave defines the handedness and is also in the opposite sense to the wave's own angular momentum vector.

### 8.2 Interference

#### Newton's rings<sup>a</sup>

$n$ th dark ring	$r_n^2 = nR\lambda_0$	(8.1)	$r_n$ radius of $n$ th ring
			$n$ integer ( $\geq 0$ )
			$R$ lens radius of curvature
$n$ th bright ring	$r_n^2 = \left(n + \frac{1}{2}\right) R\lambda_0$	(8.2)	$\lambda_0$ wavelength in external medium



<sup>a</sup>Viewed in reflection.

#### Dielectric layers<sup>a</sup>

Quarter-wave condition	$a = \frac{m \lambda_0}{\eta_2 4}$	(8.3)	$a$ film thickness
Single-layer reflectance <sup>b</sup>	$R = \begin{cases} \left(\frac{\eta_1 \eta_3 - \eta_2^2}{\eta_1 \eta_3 + \eta_2^2}\right)^2 & (m \text{ odd}) \\ \left(\frac{\eta_1 - \eta_3}{\eta_1 + \eta_3}\right)^2 & (m \text{ even}) \end{cases}$	(8.4)	$m$ thickness integer ( $m \geq 0$ )
Dependence of $R$ on layer thickness, $m$	$\max$ if $(-1)^m(\eta_1 - \eta_2)(\eta_2 - \eta_3) > 0$ (8.5) $\min$ if $(-1)^m(\eta_1 - \eta_2)(\eta_2 - \eta_3) < 0$ (8.6) $R = 0$ if $\eta_2 = (\eta_1 \eta_3)^{1/2}$ and $m$ odd (8.7)		$\eta_2$ film refractive index
Multilayer reflectance <sup>c</sup>	$R_N = \left[ \frac{\eta_1 - \eta_3 (\eta_a / \eta_b)^{2N}}{\eta_1 + \eta_3 (\eta_a / \eta_b)^{2N}} \right]^2$	(8.8)	$\lambda_0$ free-space wavelength
			$R$ power reflectance coefficient
			$\eta_1$ entry-side refractive index
			$\eta_3$ exit-side refractive index
			$R_N$ multilayer reflectance
			$N$ number of layer pairs
			$\eta_a$ refractive index of top layer
			$\eta_b$ refractive index of bottom layer

<sup>a</sup>For normal incidence, assuming the quarter-wave condition. The media are also assumed lossless, with  $\mu_r = 1$ .

<sup>b</sup>See page 154 for the definition of  $R$ .

<sup>c</sup>For a stack of  $N$  layer pairs, giving an overall refractive index sequence  $\eta_1 \eta_a \eta_b \eta_a \dots \eta_a \eta_b \eta_3$  (see right-hand diagram). Each layer in the stack meets the quarter-wave condition with  $m=1$ .

Fabry-Perot etalon<sup>a</sup>

Incremental phase difference <sup>b</sup>	$\phi = 2k_0 h \eta' \cos \theta'$ (8.9)	$\phi$ incremental phase difference
	$= 2k_0 h \eta' \left[ 1 - \left( \frac{\eta \sin \theta}{\eta'} \right)^2 \right]^{1/2}$ (8.10)	$k_0$ free-space wavenumber ( $= 2\pi/\lambda_0$ )
	$= 2\pi n$ for a maximum (8.11)	$h$ cavity width
Coefficient of finesse	$F = \frac{4R}{(1-R)^2}$ (8.12)	$\theta$ fringe inclination (usually $\ll 1$ )
		$\theta'$ internal angle of refraction
Finesse	$\mathcal{F} = \frac{\pi}{2} F^{1/2}$ (8.13)	$\eta'$ cavity refractive index
	$= \frac{\lambda_0}{\eta' h} Q$ (8.14)	$\eta$ external refractive index
Transmitted intensity	$I(\theta) = \frac{I_0(1-R)^2}{1+R^2-2R\cos\phi}$ (8.15)	$n$ fringe order (integer)
	$= \frac{I_0}{1+F\sin^2(\phi/2)}$ (8.16)	$F$ coefficient of finesse
	$= I_0 A(\theta)$ (8.17)	$R$ interface power reflectance
Fringe intensity profile	$\Delta\phi = 2\arcsin(F^{-1/2})$ (8.18)	$\mathcal{F}$ finesse
	$\simeq 2F^{-1/2}$ (8.19)	$\lambda_0$ free-space wavelength
Chromatic resolving power	$\frac{\lambda_0}{\delta\lambda} \simeq \frac{R^{1/2}\pi n}{1-R} = n\mathcal{F}$ (8.20)	$Q$ cavity quality factor
	$\simeq \frac{2\mathcal{F} h \eta'}{\lambda_0} \quad (\theta \ll 1)$ (8.21)	$I$ transmitted intensity
Free spectral range <sup>c</sup>	$\delta\lambda_f = \mathcal{F} \delta\lambda$ (8.22)	$I_0$ incident intensity
	$\delta\nu_f = \frac{c}{2\eta' h}$ (8.23)	$A$ Airy function

<sup>a</sup>Neglecting any effects due to surface coatings on the etalon. See also *Lasers* on page 174.<sup>b</sup>Between adjacent rays. Highest order fringes are near the centre of the pattern.<sup>c</sup>At near-normal incidence ( $\theta \simeq 0$ ), the orders of two spectral components separated by  $< \delta\lambda_f$  will not overlap.

### 8.3 Fraunhofer diffraction

#### Gratings<sup>a</sup>

Young's double slits <sup>b</sup>	$I(s) = I_0 \cos^2 \frac{kDs}{2} \quad (8.24)$	$I(s)$ diffracted intensity $I_0$ peak intensity $\theta$ diffraction angle $s = \sin \theta$ $D$ slit separation $\lambda$ wavelength	
N equally spaced narrow slits	$I(s) = I_0 \left[ \frac{\sin(Nkds/2)}{N \sin(kds/2)} \right]^2 \quad (8.25)$	$N$ number of slits $k$ wavenumber ( $= 2\pi/\lambda$ ) $d$ slit spacing	
Infinite grating	$I(s) = I_0 \sum_{n=-\infty}^{\infty} \delta \left( s - \frac{n\lambda}{d} \right) \quad (8.26)$	$n$ diffraction order $\delta$ Dirac delta function	
Normal incidence	$\sin \theta_n = \frac{n\lambda}{d} \quad (8.27)$	$\theta_n$ angle of diffracted maximum	
Oblique incidence	$\sin \theta_n + \sin \theta_i = \frac{n\lambda}{d} \quad (8.28)$	$\theta_i$ angle of incident illumination	
Reflection grating	$\sin \theta_n - \sin \theta_i = \frac{n\lambda}{d} \quad (8.29)$		
Chromatic resolving power	$\frac{\lambda}{\delta\lambda} = Nn \quad (8.30)$	$\delta\lambda$ diffraction peak width	
Grating dispersion	$\frac{\partial \theta}{\partial \lambda} = \frac{n}{d \cos \theta} \quad (8.31)$		
Bragg's law <sup>c</sup>	$2a \sin \theta_n = n\lambda \quad (8.32)$	$a$ atomic plane spacing	

<sup>a</sup>Unless stated otherwise, the illumination is normal to the grating.

<sup>b</sup>Two narrow slits separated by  $D$ .

<sup>c</sup>The condition is for Bragg reflection, with  $\theta_n = \theta_i$ .

**Aperture diffraction**

<p>coherent plane-wave illumination, normal to the xy plane</p>		
<p>General 1-D aperture<sup>a</sup></p>	$\psi(s) \propto \int_{-\infty}^{\infty} f(x)e^{-iksx} dx \quad (8.33)$ $I(s) \propto \psi\psi^*(s) \quad (8.34)$	<p><math>\psi</math> diffracted wavefunction  <math>I</math> diffracted intensity  <math>\theta</math> diffraction angle  <math>s = \sin \theta</math></p>
<p>General 2-D aperture in (x,y) plane (small angles)</p>	$\psi(s_x, s_y) \propto \iint_{\infty} f(x,y)e^{-ik(s_x x + s_y y)} dx dy \quad (8.35)$	<p><math>f</math> aperture amplitude transmission function  <math>x, y</math> distance across aperture  <math>k</math> wavenumber (<math>= 2\pi/\lambda</math>)  <math>s_x</math> deflection <math>\parallel</math> xz plane  <math>s_y</math> deflection <math>\perp</math> xz plane</p>
<p>Broad 1-D slit<sup>b</sup></p>	$I(s) = I_0 \frac{\sin^2(kas/2)}{(kas/2)^2} \quad (8.36)$ $\equiv I_0 \text{sinc}^2(as/\lambda) \quad (8.37)$	<p><math>I_0</math> peak intensity  <math>a</math> slit width (in x)  <math>\lambda</math> wavelength</p>
<p>Sidelobe intensity</p>	$\frac{I_n}{I_0} = \left(\frac{2}{\pi}\right)^2 \frac{1}{(2n+1)^2} \quad (n > 0) \quad (8.38)$	<p><math>I_n</math> nth sidelobe intensity</p>
<p>Rectangular aperture (small angles)</p>	$I(s_x, s_y) = I_0 \text{sinc}^2 \frac{as_x}{\lambda} \text{sinc}^2 \frac{bs_y}{\lambda} \quad (8.39)$	<p><math>a</math> aperture width in x  <math>b</math> aperture width in y</p>
<p>Circular aperture<sup>c</sup></p>	$I(s) = I_0 \left[ \frac{2J_1(kDs/2)}{kDs/2} \right]^2 \quad (8.40)$	<p><math>J_1</math> first-order Bessel function  <math>D</math> aperture diameter</p>
<p>First minimum<sup>d</sup></p>	$s = 1.22 \frac{\lambda}{D} \quad (8.41)$	<p><math>\lambda</math> wavelength</p>
<p>First subsid. maximum</p>	$s = 1.64 \frac{\lambda}{D} \quad (8.42)$	
<p>Weak 1-D phase object</p>	$f(x) = \exp[i\phi(x)] \simeq 1 + i\phi(x) \quad (8.43)$	<p><math>\phi(x)</math> phase distribution  <math>i</math> <math>i^2 = -1</math></p>
<p>Fraunhofer limit<sup>e</sup></p>	$L \gg \frac{(\Delta x)^2}{\lambda} \quad (8.44)$	<p><math>L</math> distance of aperture from observation point  <math>\Delta x</math> aperture size</p>

<sup>a</sup>The Fraunhofer integral.

<sup>b</sup>Note that  $\text{sinc} x = (\sin \pi x)/(\pi x)$ .

<sup>c</sup>The central maximum is known as the "Airy disk."

<sup>d</sup>The "Rayleigh resolution criterion" states that two point sources of equal intensity can just be resolved with diffraction-limited optics if separated in angle by  $1.22\lambda/D$ .

<sup>e</sup>Plane-wave illumination.

### 8.4 Fresnel diffraction

#### Kirchhoff's diffraction formula<sup>a</sup>

<p>(source at infinity)</p>			
Source at infinity	$\psi_P = -\frac{i}{\lambda} \psi_0 \int_{\text{plane}} K(\theta) \frac{e^{ikr}}{r} dA \quad (8.45)$	$\psi_P$	complex amplitude at $P$
where:		$\lambda$	wavelength
Obliquity factor (cardioid)	$K(\theta) = \frac{1}{2}(1 + \cos\theta) \quad (8.46)$	$k$	wavenumber ( $=2\pi/\lambda$ )
		$\psi_0$	incident amplitude
		$\theta$	obliquity angle
		$r$	distance of $dA$ from $P$ ( $\gg \lambda$ )
		$dA$	area element on incident wavefront
		$K$	obliquity factor
		$dS$	element of closed surface
		$\hat{s}$	unit vector
		$\hat{s}$	vector normal to $dS$
		$r$	vector from $P$ to $dS$
		$\rho$	vector from source to $dS$
		$E_0$	amplitude (see footnote)
Source at finite distance <sup>b</sup>	$\psi_P = -\frac{iE_0}{\lambda} \oint_{\text{closed surface}} \frac{e^{ik(\rho+r)}}{2\rho r} [\cos(\hat{s} \cdot \hat{r}) - \cos(\hat{s} \cdot \hat{\rho})] dS \quad (8.47)$		

<sup>a</sup>Also known as the "Fresnel-Kirchhoff formula." Diffraction by an obstacle coincident with the integration surface can be approximated by omitting that part of the surface from the integral.

<sup>b</sup>The source amplitude at  $\rho$  is  $\psi(\rho) = E_0 e^{ik\rho} / \rho$ . The integral is taken over a surface enclosing the point  $P$ .

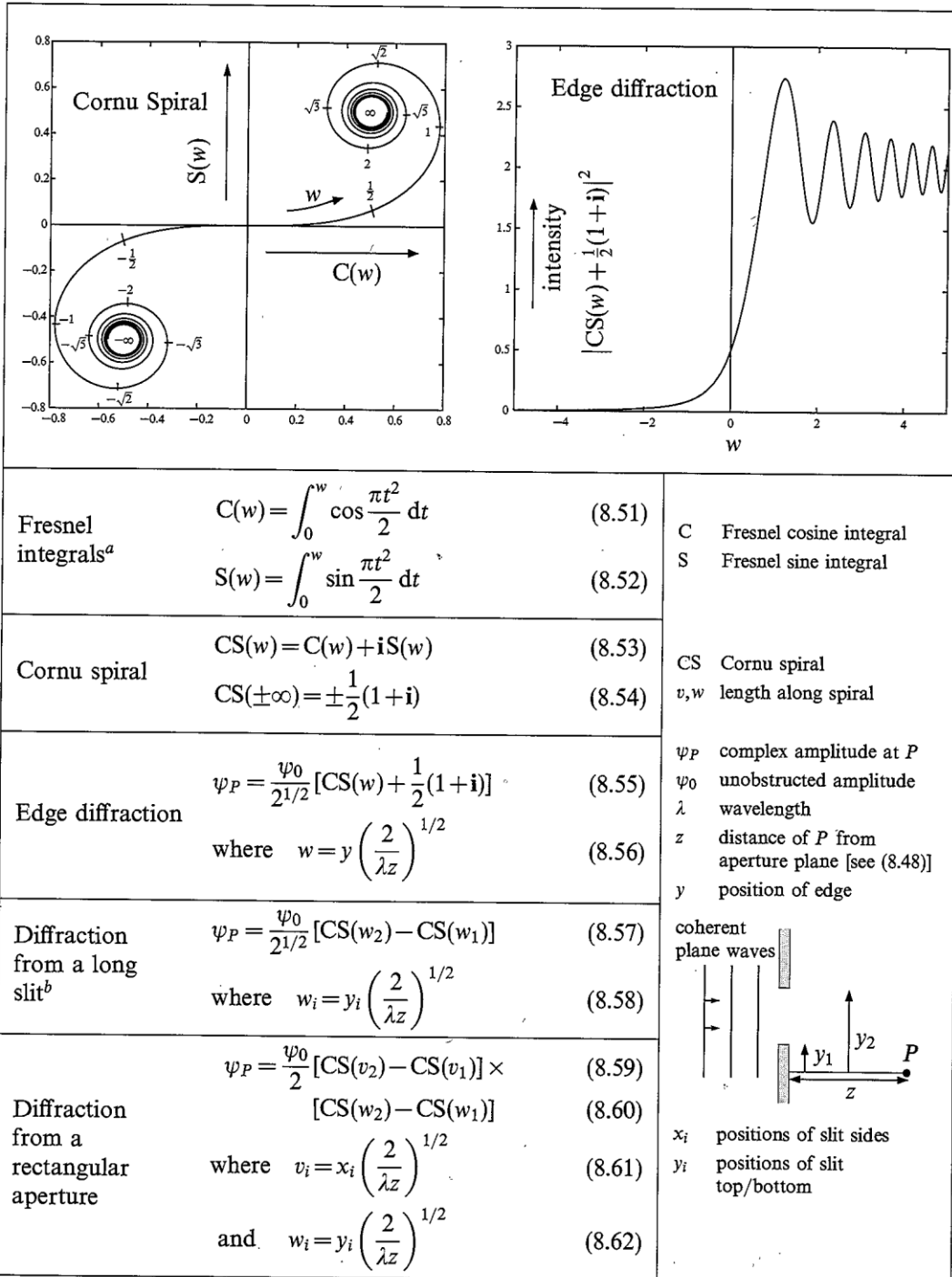
#### Fresnel zones

Effective aperture distance <sup>a</sup>	$\frac{1}{z} = \frac{1}{z_1} + \frac{1}{z_2} \quad (8.48)$	$z$	effective distance
		$z_1$	source-aperture distance
		$z_2$	aperture-observer distance
Half-period zone radius	$y_n = (n\lambda z)^{1/2} \quad (8.49)$	$n$	half-period zone number
		$\lambda$	wavelength
		$y_n$	$n$ th half-period zone radius
Axial zeros (circular aperture)	$z_m = \frac{R^2}{2m\lambda} \quad (8.50)$	$z_m$	distance of $m$ th zero from aperture
		$R$	aperture radius

<sup>a</sup>I.e., the aperture-observer distance to be employed when the source is not at infinity.



**Cornu spiral**

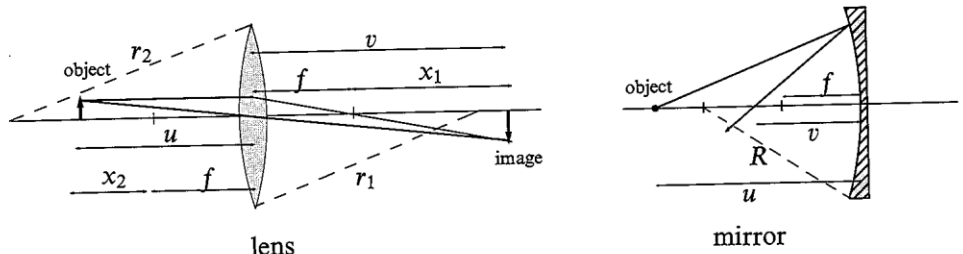


<sup>a</sup>See also page 45.

<sup>b</sup>Slit long in  $x$ .

### 8.5 Geometrical optics

#### Lenses and mirrors<sup>a</sup>



sign convention		
	+	-
$r$	centred to right	centred to left
$u$	real object	virtual object
$v$	real image	virtual image
$f$	converging lens/ concave mirror	diverging lens/ convex mirror
$M_T$	erect image	inverted image

Fermat's principle <sup>b</sup>	$L = \int \eta dl$ is stationary	(8.63)	$L$ optical path length $\eta$ refractive index $dl$ ray path element
Gauss's lens formula	$\frac{1}{u} + \frac{1}{v} = \frac{1}{f}$	(8.64)	$u$ object distance $v$ image distance $f$ focal length
Newton's lens formula	$x_1 x_2 = f^2$	(8.65)	$x_1 = v - f$ $x_2 = u - f$
Lensmaker's formula	$\frac{1}{u} + \frac{1}{v} = (\eta - 1) \left( \frac{1}{r_1} - \frac{1}{r_2} \right)$	(8.66)	$r_i$ radii of curvature of lens surfaces
Mirror formula <sup>c</sup>	$\frac{1}{u} + \frac{1}{v} = -\frac{2}{R} = \frac{1}{f}$	(8.67)	$R$ mirror radius of curvature
Dioptr number	$D = \frac{1}{f}$ m <sup>-1</sup>	(8.68)	$D$ dioptr number ( $f$ in metres)
Focal ratio <sup>d</sup>	$n = \frac{f}{d}$	(8.69)	$n$ focal ratio $d$ lens or mirror diameter
Transverse linear magnification	$M_T = -\frac{v}{u}$	(8.70)	$M_T$ transverse magnification
Longitudinal linear magnification	$M_L = -M_T^2$	(8.71)	$M_L$ longitudinal magnification

<sup>a</sup>Formulas assume "Gaussian optics," i.e., all lenses are thin and all angles small. Light enters from the left.

<sup>b</sup>A stationary optical path length has, to first order, a length identical to that of adjacent paths.

<sup>c</sup>The mirror is concave if  $R < 0$ , convex if  $R > 0$ .

<sup>d</sup>Or "f-number," written  $f/2$  if  $n=2$  etc.

**Prisms (dispersing)**

Transmission angle	$\sin \theta_t = (\eta^2 - \sin^2 \theta_i)^{1/2} \sin \alpha - \sin \theta_i \cos \alpha \quad (8.72)$	$\theta_i$ angle of incidence $\theta_t$ angle of transmission $\alpha$ apex angle $\eta$ refractive index
Deviation	$\delta = \theta_i + \theta_t - \alpha \quad (8.73)$	$\delta$ angle of deviation
Minimum deviation condition	$\sin \theta_i = \sin \theta_t = \eta \sin \frac{\alpha}{2} \quad (8.74)$	
Refractive index	$\eta = \frac{\sin[(\delta_m + \alpha)/2]}{\sin(\alpha/2)} \quad (8.75)$	$\delta_m$ minimum deviation
Angular dispersion <sup>a</sup>	$D = \frac{d\delta}{d\lambda} = \frac{2 \sin(\alpha/2)}{\cos[(\delta_m + \alpha)/2]} \frac{d\eta}{d\lambda} \quad (8.76)$	$D$ dispersion $\lambda$ wavelength

<sup>a</sup>At minimum deviation.

**Optical fibres**

Acceptance angle	$\sin \theta_m = \frac{1}{\eta_0} (\eta_f^2 - \eta_c^2)^{1/2} \quad (8.77)$	$\theta_m$ maximum angle of incidence $\eta_0$ exterior refractive index $\eta_f$ fibre refractive index $\eta_c$ cladding refractive index
Numerical aperture	$N = \eta_0 \sin \theta_m \quad (8.78)$	$N$ numerical aperture
Multimode dispersion <sup>a</sup>	$\frac{\Delta t}{L} = \frac{\eta_f}{c} \left( \frac{\eta_f}{\eta_c} - 1 \right) \quad (8.79)$	$\Delta t$ temporal dispersion $L$ fibre length $c$ speed of light

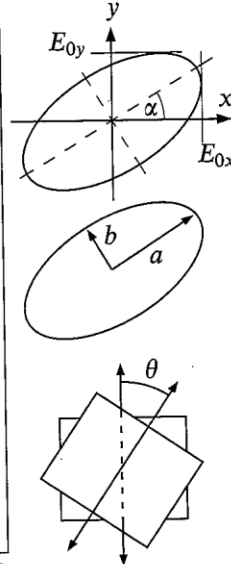
<sup>a</sup>Of a pulse with a given wavelength, caused by the range of incident angles up to  $\theta_m$ . Sometimes called "intermodal dispersion" or "modal dispersion."



### 8.6 Polarisation

#### Elliptical polarisation<sup>a</sup>

Elliptical polarisation	$E = (E_{0x}, E_{0y}e^{i\delta})e^{i(kz - \omega t)}$ (8.80)	$E$ electric field $k$ wavevector $z$ propagation axis $\omega t$ angular frequency $\times$ time
Polarisation angle <sup>b</sup>	$\tan 2\alpha = \frac{2E_{0x}E_{0y}}{E_{0x}^2 - E_{0y}^2} \cos \delta$ (8.81)	$E_{0x}$ x amplitude of $E$ $E_{0y}$ y amplitude of $E$ $\delta$ relative phase of $E_y$ with respect to $E_x$ $\alpha$ polarisation angle
Ellipticity <sup>c</sup>	$e = \frac{a-b}{a}$ (8.82)	$e$ ellipticity $a$ semi-major axis $b$ semi-minor axis
Malus's law <sup>d</sup>	$I(\theta) = I_0 \cos^2 \theta$ (8.83)	$I(\theta)$ transmitted intensity $I_0$ incident intensity $\theta$ polariser-analyser angle



<sup>a</sup>See the introduction (page 161) for a discussion of sign and handedness conventions.

<sup>b</sup>Angle between ellipse major axis and x axis. Sometimes the polarisation angle is defined as  $\pi/2 - \alpha$ .

<sup>c</sup>This is one of several definitions for ellipticity.

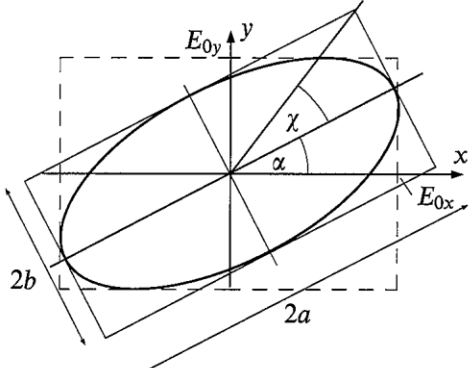
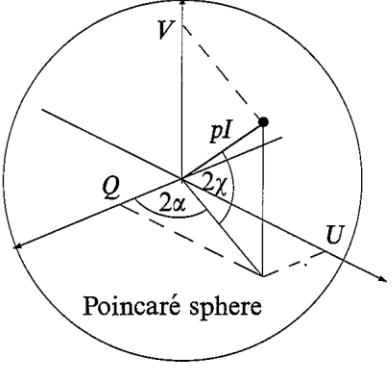
<sup>d</sup>Transmission through skewed polarisers for unpolarised incident light.

#### Jones vectors and matrices

Normalised electric field <sup>a</sup>	$E = \begin{pmatrix} E_x \\ E_y \end{pmatrix};  E =1$ (8.84)	$E$ electric field $E_x$ x component of $E$ $E_y$ y component of $E$	
Example vectors:	$E_x = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ $E_{45} = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ 1 \end{pmatrix}$ $E_r = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ -i \end{pmatrix}$ $E_l = \frac{1}{\sqrt{2}} \begin{pmatrix} 1 \\ i \end{pmatrix}$	$E_{45}$ 45° to x axis $E_r$ right-hand circular $E_l$ left-hand circular	
Jones matrix	$E_t = \mathbf{A}E_i$ (8.85)	$E_t$ transmitted vector $E_i$ incident vector $\mathbf{A}$ Jones matrix	
Example matrices:			
Linear polariser $\parallel x$	$\begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}$	Linear polariser $\parallel y$	$\begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$
Linear polariser at 45°	$\frac{1}{2} \begin{pmatrix} 1 & 1 \\ 1 & 1 \end{pmatrix}$	Linear polariser at -45°	$\frac{1}{2} \begin{pmatrix} 1 & -1 \\ -1 & 1 \end{pmatrix}$
Right circular polariser	$\frac{1}{2} \begin{pmatrix} 1 & i \\ -i & 1 \end{pmatrix}$	Left circular polariser	$\frac{1}{2} \begin{pmatrix} 1 & -i \\ i & 1 \end{pmatrix}$
$\lambda/4$ plate (fast $\parallel x$ )	$e^{i\pi/4} \begin{pmatrix} 1 & 0 \\ 0 & i \end{pmatrix}$	$\lambda/4$ plate (fast $\perp x$ )	$e^{i\pi/4} \begin{pmatrix} 1 & 0 \\ 0 & -i \end{pmatrix}$

<sup>a</sup>Known as the "normalised Jones vector."

Stokes parameters<sup>a</sup>

 																																										
Electric fields	$E_x = E_{0x} e^{i(kz - \omega t)} \quad (8.86)$ $E_y = E_{0y} e^{i(kz - \omega t + \delta)} \quad (8.87)$	$k$ wavevector $\omega t$ angular frequency $\times$ time $\delta$ relative phase of $E_y$ with respect to $E_x$																																								
Axial ratio <sup>b</sup>	$\tan \chi = \pm r = \pm \frac{b}{a} \quad (8.88)$	$\chi$ (see diagram) $r$ axial ratio																																								
Stokes parameters	$I = \langle E_x^2 \rangle + \langle E_y^2 \rangle \quad (8.89)$ $Q = \langle E_x^2 \rangle - \langle E_y^2 \rangle \quad (8.90)$ $= pI \cos 2\chi \cos 2\alpha \quad (8.91)$ $U = 2 \langle E_x E_y \rangle \cos \delta \quad (8.92)$ $= pI \cos 2\chi \sin 2\alpha \quad (8.93)$ $V = 2 \langle E_x E_y \rangle \sin \delta \quad (8.94)$ $= pI \sin 2\chi \quad (8.95)$	$E_x$ electric field component $\parallel$ x $E_y$ electric field component $\parallel$ y $E_{0x}$ field amplitude in x direction $E_{0y}$ field amplitude in y direction $\alpha$ polarisation angle $p$ degree of polarisation $\langle \cdot \rangle$ mean over time																																								
Degree of polarisation	$p = \frac{(Q^2 + U^2 + V^2)^{1/2}}{I} \leq 1 \quad (8.96)$																																									
	<table border="1"> <thead> <tr> <th></th> <th><math>Q/I</math></th> <th><math>U/I</math></th> <th><math>V/I</math></th> <th></th> <th><math>Q/I</math></th> <th><math>U/I</math></th> <th><math>V/I</math></th> </tr> </thead> <tbody> <tr> <td>left circular</td> <td>0</td> <td>0</td> <td>-1</td> <td>right circular</td> <td>0</td> <td>0</td> <td>1</td> </tr> <tr> <td>linear <math>\parallel</math> x</td> <td>1</td> <td>0</td> <td>0</td> <td>linear <math>\parallel</math> y</td> <td>-1</td> <td>0</td> <td>0</td> </tr> <tr> <td>linear <math>45^\circ</math> to x</td> <td>0</td> <td>1</td> <td>0</td> <td>linear <math>-45^\circ</math> to x</td> <td>0</td> <td>-1</td> <td>0</td> </tr> <tr> <td>unpolarised</td> <td>0</td> <td>0</td> <td>0</td> <td></td> <td></td> <td></td> <td></td> </tr> </tbody> </table>		$Q/I$	$U/I$	$V/I$		$Q/I$	$U/I$	$V/I$	left circular	0	0	-1	right circular	0	0	1	linear $\parallel$ x	1	0	0	linear $\parallel$ y	-1	0	0	linear $45^\circ$ to x	0	1	0	linear $-45^\circ$ to x	0	-1	0	unpolarised	0	0	0					
	$Q/I$	$U/I$	$V/I$		$Q/I$	$U/I$	$V/I$																																			
left circular	0	0	-1	right circular	0	0	1																																			
linear $\parallel$ x	1	0	0	linear $\parallel$ y	-1	0	0																																			
linear $45^\circ$ to x	0	1	0	linear $-45^\circ$ to x	0	-1	0																																			
unpolarised	0	0	0																																							

<sup>a</sup>Using the convention that right-handed circular polarisation corresponds to a clockwise rotation of the electric field in a given plane when looking towards the source. The propagation direction in the diagram is out of the plane. The parameters  $I$ ,  $Q$ ,  $U$ , and  $V$  are sometimes denoted  $s_0$ ,  $s_1$ ,  $s_2$ , and  $s_3$ , and other nomenclatures exist. There is no generally accepted definition – often the parameters are scaled to be dimensionless, with  $s_0 = 1$ , or to represent power flux through a plane  $\perp$  the beam, i.e.,  $I = (\langle E_x^2 \rangle + \langle E_y^2 \rangle) / Z_0$  etc., where  $Z_0$  is the impedance of free space.

<sup>b</sup>The axial ratio is positive for right-handed polarisation and negative for left-handed polarisation using our definitions.

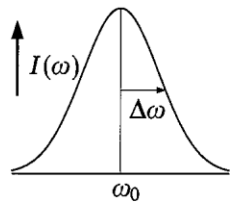
## 8.7 Coherence (scalar theory)

Mutual coherence function	$\Gamma_{12}(\tau) = \langle \psi_1(t)\psi_2^*(t+\tau) \rangle$ (8.97)	$\Gamma_{ij}$ mutual coherence function $\tau$ temporal interval $\psi_i$ (complex) wave disturbance at spatial point $i$
Complex degree of coherence	$\gamma_{12}(\tau) = \frac{\langle \psi_1(t)\psi_2^*(t+\tau) \rangle}{[\langle  \psi_1 ^2 \rangle \langle  \psi_2 ^2 \rangle]^{1/2}}$ (8.98)	$t$ time $\langle \cdot \rangle$ mean over time
	$= \frac{\Gamma_{12}(\tau)}{[\Gamma_{11}(0)\Gamma_{22}(0)]^{1/2}}$ (8.99)	$\gamma_{ij}$ complex degree of coherence $*$ complex conjugate
Combined intensity <sup>a</sup>	$I_{\text{tot}} = I_1 + I_2 + 2(I_1 I_2)^{1/2} \Re[\gamma_{12}(\tau)]$ (8.100)	$I_{\text{tot}}$ combined intensity $I_i$ intensity of disturbance at point $i$ $\Re$ real part of
Fringe visibility	$V(\tau) = \frac{2(I_1 I_2)^{1/2}}{I_1 + I_2}  \gamma_{12}(\tau) $ (8.101)	
if $ \gamma_{12}(\tau) $ is a constant:	$V = \frac{I_{\text{max}} - I_{\text{min}}}{I_{\text{max}} + I_{\text{min}}}$ (8.102)	$I_{\text{max}}$ max. combined intensity $I_{\text{min}}$ min. combined intensity
if $I_1 = I_2$ :	$V(\tau) =  \gamma_{12}(\tau) $ (8.103)	
Complex degree of temporal coherence <sup>b</sup>	$\gamma(\tau) = \frac{\langle \psi_1(t)\psi_1^*(t+\tau) \rangle}{\langle  \psi_1(t) ^2 \rangle}$ (8.104)	$\gamma(\tau)$ degree of temporal coherence $I(\omega)$ specific intensity $\omega$ radiation angular frequency $c$ speed of light
	$= \frac{\int I(\omega) e^{-i\omega\tau} d\omega}{\int I(\omega) d\omega}$ (8.105)	
Coherence time and length	$\Delta\tau_c = \frac{\Delta l_c}{c} \sim \frac{1}{\Delta\nu}$ (8.106)	$\Delta\tau_c$ coherence time $\Delta l_c$ coherence length $\Delta\nu$ spectral bandwidth
Complex degree of spatial coherence <sup>c</sup>	$\gamma(\mathbf{D}) = \frac{\langle \psi_1 \psi_2^* \rangle}{[\langle  \psi_1 ^2 \rangle \langle  \psi_2 ^2 \rangle]^{1/2}}$ (8.107)	$\gamma(\mathbf{D})$ degree of spatial coherence $\mathbf{D}$ spatial separation of points 1 and 2
	$= \frac{\int I(\hat{s}) e^{ik\mathbf{D}\cdot\hat{s}} d\Omega}{\int I(\hat{s}) d\Omega}$ (8.108)	$I(\hat{s})$ specific intensity of distant extended source in direction $\hat{s}$ $d\Omega$ differential solid angle
Intensity correlation <sup>d</sup>	$\frac{\langle I_1 I_2 \rangle}{[\langle I_1 \rangle \langle I_2 \rangle]^{1/2}} = 1 + \gamma^2(\mathbf{D})$ (8.109)	$\hat{s}$ unit vector in the direction of $d\Omega$ $k$ wavenumber
Speckle intensity distribution <sup>e</sup>	$\text{pr}(I) = \frac{1}{\langle I \rangle} e^{-I/\langle I \rangle}$ (8.110)	$\text{pr}$ probability density
Speckle size (coherence width)	$\Delta w_c \simeq \frac{\lambda}{\alpha}$ (8.111)	$\Delta w_c$ characteristic speckle size $\lambda$ wavelength $\alpha$ source angular size as seen from the screen

<sup>a</sup>From interfering the disturbances at points 1 and 2 with a relative delay  $\tau$ .<sup>b</sup>Or "autocorrelation function."<sup>c</sup>Between two points on a wavefront, separated by  $\mathbf{D}$ . The integral is over the entire extended source.<sup>d</sup>For wave disturbances that have a Gaussian probability distribution in amplitude. This is "Gaussian light" such as from a thermal source.<sup>e</sup>Also for Gaussian light.

## 8.8 Line radiation

## Spectral line broadening

Natural broadening <sup>a</sup>	$I(\omega) = \frac{(2\pi\tau)^{-1}}{(2\tau)^{-2} + (\omega - \omega_0)^2} \quad (8.112)$	$I(\omega)$ normalised intensity <sup>b</sup> $\tau$ lifetime of excited state $\omega$ angular frequency ( $= 2\pi\nu$ )
Natural half-width	$\Delta\omega = \frac{1}{2\tau} \quad (8.113)$	
Collision broadening	$I(\omega) = \frac{(\pi\tau_c)^{-1}}{(\tau_c)^{-2} + (\omega - \omega_0)^2} \quad (8.114)$	$\Delta\omega$ half-width at half-power $\omega_0$ centre frequency $\tau_c$ mean time between collisions $p$ pressure $d$ effective atomic diameter $m$ gas particle mass $k$ Boltzmann constant $T$ temperature $c$ speed of light
Collision and pressure half-width <sup>c</sup>	$\Delta\omega = \frac{1}{\tau_c} = \frac{1}{\pi n d^2} \left( \frac{\pi m k T}{16} \right)^{1/2} \quad (8.115)$	
Doppler broadening	$I(\omega) = \left( \frac{mc^2}{2kT\omega_0^2\pi} \right)^{1/2} \exp \left[ -\frac{mc^2}{2kT} \frac{(\omega - \omega_0)^2}{\omega_0^2} \right] \quad (8.116)$	
Doppler half-width	$\frac{\Delta\omega}{\omega_0} = \left( \frac{2kT \ln 2}{mc^2} \right)^{1/2} \quad (8.117)$	

<sup>a</sup>The transition probability per unit time for the state is  $= 1/\tau$ . In the classical limit of a damped oscillator, the e-folding time of the electric field is  $2\tau$ . Both the natural and collision profiles described here are Lorentzian.

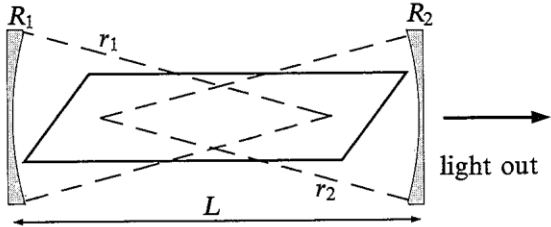
<sup>b</sup>The intensity spectra are normalised so that  $\int I(\omega) d\omega = 1$ , assuming  $\Delta\omega/\omega_0 \ll 1$ .

<sup>c</sup>The pressure-broadening relation assumes an otherwise perfect gas of finite-sized atoms. More accurate expressions are considerably more complicated.

Einstein coefficients<sup>a</sup>

Absorption	$R_{12} = B_{12} I_\nu n_1 \quad (8.118)$	$R_{ij}$ transition rate, level $i \rightarrow j$ ( $\text{m}^{-3} \text{s}^{-1}$ ) $B_{ij}$ Einstein $B$ coefficients $I_\nu$ specific intensity of radiation field $A_{21}$ Einstein $A$ coefficient $n_i$ number density of atoms in quantum level $i$ ( $\text{m}^{-3}$ )
Spontaneous emission	$R_{21} = A_{21} n_2 \quad (8.119)$	
Stimulated emission	$R'_{21} = B_{21} I_\nu n_2 \quad (8.120)$	
Coefficient ratios	$\frac{A_{21}}{B_{12}} = \frac{2h\nu^3}{c^2} \frac{g_1}{g_2} \quad (8.121)$	$h$ Planck constant $\nu$ frequency $c$ speed of light $g_i$ degeneracy of $i$ th level

<sup>a</sup>Note that the coefficients can also be defined in terms of spectral energy density,  $u_\nu = 4\pi I_\nu/c$  rather than  $I_\nu$ . In this case  $\frac{A_{21}}{B_{12}} = \frac{8\pi h\nu^3}{c^3} \frac{g_1}{g_2}$ . See also *Population densities* on page 116.

Lasers<sup>a</sup>


Cavity stability condition	$0 \leq \left(1 - \frac{L}{r_1}\right) \left(1 - \frac{L}{r_2}\right) \leq 1$ (8.123)	$r_{1,2}$ radii of curvature of end-mirrors $L$ distance between mirror centres
Longitudinal cavity modes <sup>b</sup>	$\nu_n = \frac{c}{2L}n$ (8.124)	$\nu_n$ mode frequency $n$ integer $c$ speed of light
Cavity $Q$	$Q = \frac{2\pi L(R_1 R_2)^{1/4}}{\lambda[1 - (R_1 R_2)^{1/2}]}$ (8.125)	$Q$ quality factor $R_{1,2}$ mirror (power) reflectances $\lambda$ wavelength
	$\simeq \frac{4\pi L}{\lambda(1 - R_1 R_2)}$ (8.126)	
Cavity line width	$\Delta\nu_c = \frac{\nu_n}{Q} = 1/(2\pi\tau_c)$ (8.127)	$\Delta\nu_c$ cavity line width (FWHP) $\tau_c$ cavity photon lifetime
Schawlow-Townes line width	$\frac{\Delta\nu}{\nu_n} = \frac{2\pi h(\Delta\nu_c)^2}{P} \left( \frac{g_u N_u}{g_l N_u - g_u N_l} \right)$ (8.128)	$\Delta\nu$ line width (FWHP) $P$ laser power $g_{u,l}$ degeneracy of upper/lower levels $N_{u,l}$ number density of upper/lower levels
Threshold lasing condition	$R_1 R_2 \exp[2(\alpha - \beta)L] > 1$ (8.129)	$\alpha$ gain per unit length of medium $\beta$ loss per unit length of medium

<sup>a</sup>Also see the *Fabry-Perot etalon* on page 163. Note that “cavity” refers to the empty cavity, with no lasing medium present.

<sup>b</sup>The mode spacing equals the cavity free spectral range.



# Chapter 9 Astrophysics

## 9.1 Introduction

Many of the formulas associated with astronomy and astrophysics are either too specialised for a general work such as this or are common to other fields and can therefore be found elsewhere in this book. The following section includes many of the relationships that fall into neither of these categories, including equations to convert between various astronomical coordinate systems and some basic formulas associated with cosmology.

Exceptionally, this section also includes data on the Sun, Earth, Moon, and planets. Observational astrophysics remains a largely inexact science, and parameters of these (and other) bodies are often used as approximate base units in measurements. For example, the masses of stars and galaxies are frequently quoted as multiples of the mass of the Sun ( $1M_{\odot} = 1.989 \times 10^{30}$  kg), extra-solar system planets in terms of the mass of Jupiter, and so on. Astronomers seem to find it particularly difficult to drop arcane units and conventions, resulting in a profusion of measures and nomenclatures throughout the subject. However, the convention of using suitable astronomical objects in this way is both useful and widely accepted.

## 9.2 Solar system data

### Solar data

equatorial radius	$R_{\odot}$	=	$6.960 \times 10^8 \text{ m}$	=	$109.1 R_{\oplus}$
mass	$M_{\odot}$	=	$1.9891 \times 10^{30} \text{ kg}$	=	$3.32946 \times 10^5 M_{\oplus}$
polar moment of inertia	$I_{\odot}$	=	$5.7 \times 10^{46} \text{ kgm}^2$	=	$7.09 \times 10^8 I_{\oplus}$
bolometric luminosity	$L_{\odot}$	=	$3.826 \times 10^{26} \text{ W}$		
effective surface temperature	$T_{\odot}$	=	5770 K		
solar constant <sup>a</sup>			$1.368 \times 10^3 \text{ Wm}^{-2}$		
absolute magnitude	$M_V$	=	+4.83;	$M_{\text{bol}}$	= +4.75
apparent magnitude	$m_V$	=	-26.74;	$m_{\text{bol}}$	= -26.82

<sup>a</sup>Bolometric flux at a distance of 1 astronomical unit (AU).

### Earth data

equatorial radius	$R_{\oplus}$	=	$6.37814 \times 10^6 \text{ m}$	=	$9.166 \times 10^{-3} R_{\odot}$
flattening <sup>a</sup>	$f$	=	0.00335364	=	1/298.183
mass	$M_{\oplus}$	=	$5.9742 \times 10^{24} \text{ kg}$	=	$3.0035 \times 10^{-6} M_{\odot}$
polar moment of inertia	$I_{\oplus}$	=	$8.037 \times 10^{37} \text{ kgm}^2$	=	$1.41 \times 10^{-9} I_{\odot}$
orbital semi-major axis <sup>b</sup>	1 AU	=	$1.495979 \times 10^{11} \text{ m}$	=	$214.9 R_{\odot}$
mean orbital velocity			$2.979 \times 10^4 \text{ ms}^{-1}$		
equatorial surface gravity	$g_e$	=	$9.780327 \text{ ms}^{-2}$	(includes rotation)	
polar surface gravity	$g_p$	=	$9.832186 \text{ ms}^{-2}$		
rotational angular velocity	$\omega_e$	=	$7.292115 \times 10^{-5} \text{ rads}^{-1}$		

<sup>a</sup> $f$  equals  $(R_{\oplus} - R_{\text{polar}})/R_{\oplus}$ . The mean radius of the Earth is  $6.3710 \times 10^6 \text{ m}$ .

<sup>b</sup>About the Sun.

### Moon data

equatorial radius	$R_m$	=	$1.7374 \times 10^6 \text{ m}$	=	$0.27240 R_{\oplus}$
mass	$M_m$	=	$7.3483 \times 10^{22} \text{ kg}$	=	$1.230 \times 10^{-2} M_{\oplus}$
mean orbital radius <sup>a</sup>	$a_m$	=	$3.84400 \times 10^8 \text{ m}$	=	$60.27 R_{\oplus}$
mean orbital velocity			$1.03 \times 10^3 \text{ ms}^{-1}$		
orbital period (sidereal)			27.32166 d		
equatorial surface gravity			$1.62 \text{ ms}^{-2}$	=	$0.166 g_e$

<sup>a</sup>About the Earth.

### Planetary data<sup>a</sup>

	$M/M_{\oplus}$	$R/R_{\oplus}$	$T(\text{d})$	$P(\text{yr})$	$a(\text{AU})$	$M$	mass
Mercury	0.055 274	0.382 51	58.646	0.240 85	0.387 10	$R$	equatorial radius
Venus <sup>b</sup>	0.815 00	0.948 83	243.018	0.615 228	0.723 35	$T$	rotational period
Earth	1	1	0.997 27	1.000 04	1.000 00	$P$	orbital period
Mars	0.107 45	0.532 60	1.025 96	1.880 93	1.523 71	$a$	mean distance
Jupiter	317.85	11.209	0.413 54	11.861 3	5.202 53	$M_{\oplus}$	$5.9742 \times 10^{24} \text{ kg}$
Saturn	95.159	9.449 1	0.444 01	29.628 2	9.575 60	$R_{\oplus}$	$6.37814 \times 10^6 \text{ m}$
Uranus <sup>b</sup>	14.500	4.007 3	0.718 33	84.746 6	19.293 4	1 d	86400 s
Neptune	17.204	3.882 6	0.671 25	166.344	30.245 9	1 yr	$3.15569 \times 10^7 \text{ s}$
Pluto <sup>b</sup>	0.00251	0.187 36	6.387 2	248.348	39.509 0	1 AU	$1.495979 \times 10^{11} \text{ m}$

<sup>a</sup>Using the osculating orbital elements for 1998. Note that  $P$  is the instantaneous orbital period, calculated from the planet's daily motion. The radii of gas giants are taken at 1 atmosphere pressure.

<sup>b</sup>Retrograde rotation.

### 9.3 Coordinate transformations (astronomical)

#### Time in astronomy

Julian day number <sup>a</sup> $JD = D - 32075 + 1461 * (Y + 4800 + (M - 14) / 12) / 4 + 367 * (M - 2 - (M - 14) / 12 * 12) / 12 - 3 * ((Y + 4900 + (M - 14) / 12) / 100) / 4$ (9.1)	<i>JD</i> Julian day number <i>D</i> day of month number <i>Y</i> calendar year, e.g., 1963 <i>M</i> calendar month number * integer multiply / integer divide
Modified Julian day number $MJD = JD - 2400000.5$ (9.2)	<i>MJD</i> modified Julian day number
Day of week $W = (JD + 1) \bmod 7$ (9.3)	<i>W</i> day of week (0=Sunday, 1=Monday, ... )
Local civil time $LCT = UTC + TZC + DSC$ (9.4)	LCT local civil time UTC coordinated universal time TZC time zone correction DSC daylight saving correction
Julian centuries $T = \frac{JD - 2451545.0}{36525}$ (9.5)	<i>T</i> number of Julian centuries since 1 Jan 2000
Greenwich sidereal time $GMST = 6^h 41^m 50^s.54841 + 8640184^s.812866T + 0^s.093104T^2 - 0^s.0000062T^3$ (9.6)	GMST Greenwich mean sidereal time
Local sidereal time $LST = GMST + \frac{\lambda^\circ}{15^\circ}$ (9.7)	LST local sidereal time $\lambda^\circ$ geographic longitude, degrees east of Greenwich

<sup>a</sup>For the Julian day starting at noon on the calendar day in question. The routine is designed around FORTRAN or C integer arithmetic and is valid for dates from the onset of the Gregorian calendar, 15 October 1582. For Pascal, use 'div' in place of '/'. *JD* represents the number of days since Greenwich mean noon 1 Jan 4713 BC. For reference, noon, 1 Jan 2000 = *JD*2451545 and was a Saturday (*W* = 6).

#### Horizon coordinates<sup>a</sup>

Hour angle $H = LST - \alpha$ (9.8)	LST local sidereal time <i>H</i> (local) hour angle
Equatorial to horizon $\sin a = \sin \delta \sin \phi + \cos \delta \cos \phi \cos H$ (9.9)	$\alpha$ right ascension $\delta$ declination
$\tan A \equiv \frac{-\cos \delta \sin H}{\sin \delta \cos \phi - \sin \phi \cos \delta \cos H}$ (9.10)	<i>a</i> altitude <i>A</i> azimuth (E from N)
Horizon to equatorial $\sin \delta = \sin a \sin \phi + \cos a \cos \phi \cos A$ (9.11)	$\phi$ observer's latitude
$\tan H \equiv \frac{-\cos a \sin A}{\sin a \cos \phi - \sin \phi \cos a \cos A}$ (9.12)	

<sup>a</sup>Conversions between horizon or alt-azimuth coordinates, (*a*, *A*), and celestial equatorial coordinates, ( $\delta$ ,  $\alpha$ ). There are a number of conventions for defining azimuth. For example, it is sometimes taken as the angle west from south rather than east from north. The quadrants for *A* and *H* can be obtained from the signs of the numerators and denominators in Equations (9.10) and (9.12) (see diagram).

9

**Ecliptic coordinates<sup>a</sup>**

Obliquity of the ecliptic	$\varepsilon = 23^{\circ}26'21''.45 - 46''.815 T$ $-0''.0006 T^2$ $+0''.00181 T^3$	(9.13)	$\varepsilon$ mean ecliptic obliquity $T$ Julian centuries since J2000.0 <sup>b</sup>
Equatorial to ecliptic	$\sin \beta = \sin \delta \cos \varepsilon - \cos \delta \sin \varepsilon \sin \alpha$	(9.14)	$\alpha$ right ascension $\delta$ declination
	$\tan \lambda \equiv \frac{\sin \alpha \cos \varepsilon + \tan \delta \sin \varepsilon}{\cos \alpha}$	(9.15)	$\lambda$ ecliptic longitude $\beta$ ecliptic latitude
Ecliptic to equatorial	$\sin \delta = \sin \beta \cos \varepsilon + \cos \beta \sin \varepsilon \sin \lambda$	(9.16)	
	$\tan \alpha \equiv \frac{\sin \lambda \cos \varepsilon - \tan \beta \sin \varepsilon}{\cos \lambda}$	(9.17)	

<sup>a</sup>Conversions between ecliptic,  $(\beta, \lambda)$ , and celestial equatorial,  $(\delta, \alpha)$ , coordinates.  $\beta$  is positive above the ecliptic and  $\lambda$  increases eastwards. The quadrants for  $\lambda$  and  $\alpha$  can be obtained from the signs of the numerators and denominators in Equations (9.15) and (9.17) (see diagram).

<sup>b</sup>I.e.,  $T = (JD - 2451545)/36525$ . See Equation (9.5).

**Galactic coordinates<sup>a</sup>**

Galactic frame	$\alpha_g = 192^{\circ}15'$	(9.18)	$\alpha_g$ right ascension of north galactic pole
	$\delta_g = 27^{\circ}24'$	(9.19)	$\delta_g$ declination of north galactic pole
	$l_g = 33^{\circ}$	(9.20)	
Equatorial to galactic	$\sin b = \cos \delta \cos \delta_g \cos(\alpha - \alpha_g) + \sin \delta \sin \delta_g$	(9.21)	$l_g$ ascending node of galactic plane on equator
	$\tan(l - l_g) \equiv \frac{\tan \delta \cos \delta_g - \cos(\alpha - \alpha_g) \sin \delta_g}{\sin(\alpha - \alpha_g)}$	(9.22)	
Galactic to equatorial	$\sin \delta = \cos b \cos \delta_g \sin(l - l_g) + \sin b \sin \delta_g$	(9.23)	$\delta$ declination $\alpha$ right ascension $b$ galactic latitude $l$ galactic longitude
	$\tan(\alpha - \alpha_g) \equiv \frac{\cos(l - l_g)}{\tan b \cos \delta_g - \sin \delta_g \sin(l - l_g)}$	(9.24)	

<sup>a</sup>Conversions between galactic,  $(b, l)$ , and celestial equatorial,  $(\delta, \alpha)$ , coordinates. The galactic frame is defined at epoch B1950.0. The quadrants of  $l$  and  $\alpha$  can be obtained from the signs of the numerators and denominators in Equations (9.22) and (9.24).

**Precession of equinoxes<sup>a</sup>**

In right ascension	$\alpha \simeq \alpha_0 + (3^s.075 + 1^s.336 \sin \alpha_0 \tan \delta_0)N$	(9.25)	$\alpha$ right ascension of date $\alpha_0$ right ascension at J2000.0 $N$ number of years since J2000.0
In declination	$\delta \simeq \delta_0 + (20''.043 \cos \alpha_0)N$	(9.26)	$\delta$ declination of date $\delta_0$ declination at J2000.0

<sup>a</sup>Right ascension in hours, minutes, and seconds; declination in degrees, arcminutes, and arcseconds. These equations are valid for several hundred years each side of J2000.0.

## 9.4 Observational astrophysics

## Astronomical magnitudes

Apparent magnitude	$m_1 - m_2 = -2.5 \log_{10} \frac{F_1}{F_2}$ (9.27)	$m_i$ apparent magnitude of object $i$ $F_i$ energy flux from object $i$
Distance modulus <sup>a</sup>	$m - M = 5 \log_{10} D - 5$ (9.28)	$M$ absolute magnitude
	$= -5 \log_{10} p - 5$ (9.29)	$m - M$ distance modulus $D$ distance to object (parsec) $p$ annual parallax (arcsec)
Luminosity–magnitude relation	$M_{\text{bol}} = 4.75 - 2.5 \log_{10} \frac{L}{L_{\odot}}$ (9.30)	$M_{\text{bol}}$ bolometric absolute magnitude
	$L \simeq 3.04 \times 10^{(28 - 0.4M_{\text{bol}})}$ (9.31)	$L$ luminosity (W) $L_{\odot}$ solar luminosity ( $3.826 \times 10^{26}$ W)
Flux–magnitude relation	$F_{\text{bol}} \simeq 2.559 \times 10^{-(8 + 0.4m_{\text{bol}})}$ (9.32)	$F_{\text{bol}}$ bolometric flux ( $\text{Wm}^{-2}$ ) $m_{\text{bol}}$ bolometric apparent magnitude
Bolometric correction	$BC = m_{\text{bol}} - m_V$ (9.33)	$BC$ bolometric correction
	$= M_{\text{bol}} - M_V$ (9.34)	$m_V$ $V$ -band apparent magnitude $M_V$ $V$ -band absolute magnitude
Colour index <sup>b</sup>	$B - V = m_B - m_V$ (9.35)	$B - V$ observed $B - V$ colour index
	$U - B = m_U - m_B$ (9.36)	$U - B$ observed $U - B$ colour index
Colour excess <sup>c</sup>	$E = (B - V) - (B - V)_0$ (9.37)	$E$ $B - V$ colour excess $(B - V)_0$ intrinsic $B - V$ colour index

<sup>a</sup>Neglecting extinction.<sup>b</sup>Using the  $UBV$  magnitude system. The bands are centred around 365 nm ( $U$ ), 440 nm ( $B$ ), and 550 nm ( $V$ ).<sup>c</sup>The  $U - B$  colour excess is defined similarly.

## Photometric wavelengths

Mean wavelength	$\lambda_0 = \frac{\int \lambda R(\lambda) d\lambda}{\int R(\lambda) d\lambda}$ (9.38)	$\lambda_0$ mean wavelength $\lambda$ wavelength $R$ system spectral response
Isophotal wavelength	$F(\lambda_i) = \frac{\int F(\lambda) R(\lambda) d\lambda}{\int R(\lambda) d\lambda}$ (9.39)	$F(\lambda)$ flux density of source (in terms of wavelength) $\lambda_i$ isophotal wavelength
Effective wavelength	$\lambda_{\text{eff}} = \frac{\int \lambda F(\lambda) R(\lambda) d\lambda}{\int F(\lambda) R(\lambda) d\lambda}$ (9.40)	$\lambda_{\text{eff}}$ effective wavelength

## Planetary bodies

Bode's law <sup>a</sup>	$D_{\text{AU}} = \frac{4 + 3 \times 2^n}{10}$	(9.41)	$D_{\text{AU}}$	planetary orbital radius (AU)
			$n$	index: Mercury = $-\infty$ , Venus = 0, Earth = 1, Mars = 2, Ceres = 3, Jupiter = 4, ...
Roche limit	$R \gtrsim \left( \frac{100M}{9\pi\rho} \right)^{1/3}$	(9.42)	$R$	satellite orbital radius
	$\gtrsim 2.46R_0$ (if densities equal)	(9.43)	$M$	central mass
			$\rho$	satellite density
			$R_0$	central body radius
Synodic period <sup>b</sup>	$\frac{1}{S} = \left  \frac{1}{P} - \frac{1}{P_{\oplus}} \right $	(9.44)	$S$	synodic period
			$P$	planetary orbital period
			$P_{\oplus}$	Earth's orbital period

<sup>a</sup>Also known as the "Titius–Bode rule." Note that the asteroid Ceres is counted as a planet in this scheme. The relationship breaks down for Neptune and Pluto.

<sup>b</sup>Of a planet.

## Distance indicators

Hubble law	$v = H_0 d$	(9.45)	$v$	cosmological recession velocity
			$H_0$	Hubble parameter (present epoch)
			$d$	(proper) distance
Annual parallax	$D_{\text{pc}} = p^{-1}$	(9.46)	$D_{\text{pc}}$	distance (parsec)
			$p$	annual parallax ( $\pm p$ arcsec from mean)
Cepheid variables <sup>a</sup>	$\log_{10} \frac{\langle L \rangle}{L_{\odot}} \simeq 1.15 \log_{10} P_d + 2.47$	(9.47)	$\langle L \rangle$	mean cepheid luminosity
	$M_V \simeq -2.76 \log_{10} P_d - 1.40$	(9.48)	$L_{\odot}$	Solar luminosity
			$P_d$	pulsation period (days)
			$M_V$	absolute visual magnitude
Tully–Fisher relation <sup>b</sup>	$M_I \simeq -7.68 \log_{10} \left( \frac{2v_{\text{rot}}}{\sin i} \right) - 2.58$	(9.49)	$M_I$	$I$ -band absolute magnitude
			$v_{\text{rot}}$	observed maximum rotation velocity ( $\text{kms}^{-1}$ )
			$i$	galactic inclination ( $90^\circ$ when edge-on)
Einstein rings	$\theta^2 = \frac{4GM}{c^2} \left( \frac{d_s - d_l}{d_s d_l} \right)$	(9.50)	$\theta$	ring angular radius
			$M$	lens mass
			$d_s$	distance from observer to source
			$d_l$	distance from observer to lens
Sunyaev–Zel'dovich effect <sup>c</sup>	$\frac{\Delta T}{T} = -2 \int \frac{n_e k T_e \sigma_T}{m_e c^2} dl$	(9.51)	$T$	apparent CMBR temperature
			$dl$	path element through cloud
			$R$	cloud radius
			$n_e$	electron number density
			$k$	Boltzmann constant
			$T_e$	electron temperature
... for a homogeneous sphere	$\frac{\Delta T}{T} = -\frac{4R n_e k T_e \sigma_T}{m_e c^2}$	(9.52)	$\sigma_T$	Thomson cross section
			$m_e$	electron mass
			$c$	speed of light

<sup>a</sup>Period–luminosity relation for classical Cepheids. Uncertainty in  $M_V$  is  $\pm 0.27$  (Madore & Freedman, 1991, Publications of the Astronomical Society of the Pacific, 103, 933).

<sup>b</sup>Galaxy rotation velocity–magnitude relation in the infrared  $I$  waveband, centred at  $0.90 \mu\text{m}$ . The coefficients depend on waveband and galaxy type (see Giovanelli *et al.*, 1997, The Astronomical Journal, 113, 1).

<sup>c</sup>Scattering of the cosmic microwave background radiation (CMBR) by a cloud of electrons, seen as a temperature decrement,  $\Delta T$ , in the Rayleigh–Jeans limit ( $\lambda \gg 1 \text{mm}$ ).

## 9.5 Stellar evolution

### Evolutionary timescales

Free-fall timescale <sup>a</sup>	$\tau_{\text{ff}} = \left( \frac{3\pi}{32G\rho_0} \right)^{1/2}$	(9.53)	$\tau_{\text{ff}}$ free-fall timescale $G$ constant of gravitation $\rho_0$ initial mass density
Kelvin–Helmholtz timescale	$\tau_{\text{KH}} = \frac{-U_{\text{g}}}{L}$	(9.54)	$\tau_{\text{KH}}$ Kelvin–Helmholtz timescale $U_{\text{g}}$ gravitational potential energy
	$\approx \frac{GM^2}{R_0L}$	(9.55)	$M$ body's mass $R_0$ body's initial radius $L$ body's luminosity

<sup>a</sup>For the gravitational collapse of a uniform sphere.

### Star formation

Jeans length <sup>a</sup>	$\lambda_{\text{J}} = \left( \frac{\pi}{G\rho} \frac{dp}{d\rho} \right)^{1/2}$	(9.56)	$\lambda_{\text{J}}$ Jeans length $G$ constant of gravitation $\rho$ cloud mass density $p$ pressure
Jeans mass	$M_{\text{J}} = \frac{\pi}{6} \rho \lambda_{\text{J}}^3$	(9.57)	$M_{\text{J}}$ (spherical) Jeans mass
Eddington limiting luminosity <sup>b</sup>	$L_{\text{E}} = \frac{4\pi GMm_{\text{p}}c}{\sigma_{\text{T}}}$	(9.58)	$L_{\text{E}}$ Eddington luminosity $M$ stellar mass
	$\approx 1.26 \times 10^{31} \frac{M}{M_{\odot}} \text{ W}$	(9.59)	$M_{\odot}$ solar mass $m_{\text{p}}$ proton mass $c$ speed of light $\sigma_{\text{T}}$ Thomson cross section

<sup>a</sup>Note that  $(dp/d\rho)^{1/2}$  is the sound speed in the cloud.

<sup>b</sup>Assuming the opacity is mostly from Thomson scattering.

### Stellar theory<sup>a</sup>

Conservation of mass	$\frac{dM_r}{dr} = 4\pi\rho r^2$	(9.60)	$r$ radial distance $M_r$ mass interior to $r$ $\rho$ mass density
Hydrostatic equilibrium	$\frac{dp}{dr} = \frac{-G\rho M_r}{r^2}$	(9.61)	$p$ pressure $G$ constant of gravitation
Energy release	$\frac{dL_r}{dr} = 4\pi\rho r^2 \epsilon$	(9.62)	$L_r$ luminosity interior to $r$ $\epsilon$ power generated per unit mass
Radiative transport	$\frac{dT}{dr} = \frac{-3}{16\sigma} \frac{\langle \kappa \rangle \rho}{T^3} \frac{L_r}{4\pi r^2}$	(9.63)	$T$ temperature $\sigma$ Stefan–Boltzmann constant $\langle \kappa \rangle$ mean opacity
Convective transport	$\frac{dT}{dr} = \frac{\gamma - 1}{\gamma} \frac{T}{p} \frac{dp}{dr}$	(9.64)	$\gamma$ ratio of heat capacities, $c_p/c_v$

<sup>a</sup>For stars in static equilibrium with adiabatic convection. Note that  $\rho$  is a function of  $r$ .  $\kappa$  and  $\epsilon$  are functions of temperature and composition.

Stellar fusion processes<sup>a</sup>

PP I chain	PP II chain	PP III chain
$p^+ + p^+ \rightarrow {}^2_1\text{H} + e^+ + \nu_e$ ${}^2_1\text{H} + p^+ \rightarrow {}^3_2\text{He} + \gamma$ ${}^3_2\text{He} + {}^3_2\text{He} \rightarrow {}^4_2\text{He} + 2p^+$	$p^+ + p^+ \rightarrow {}^2_1\text{H} + e^+ + \nu_e$ ${}^2_1\text{H} + p^+ \rightarrow {}^3_2\text{He} + \gamma$ ${}^3_2\text{He} + {}^4_2\text{He} \rightarrow {}^7_4\text{Be} + \gamma$ ${}^7_4\text{Be} + e^- \rightarrow {}^7_3\text{Li} + \nu_e$ ${}^7_3\text{Li} + p^+ \rightarrow 2{}^4_2\text{He}$	$p^+ + p^+ \rightarrow {}^2_1\text{H} + e^+ + \nu_e$ ${}^2_1\text{H} + p^+ \rightarrow {}^3_2\text{He} + \gamma$ ${}^3_2\text{He} + {}^4_2\text{He} \rightarrow {}^7_4\text{Be} + \gamma$ ${}^7_4\text{Be} + p^+ \rightarrow {}^8_5\text{B} + \gamma$ ${}^8_5\text{B} \rightarrow {}^8_4\text{Be} + e^+ + \nu_e$ ${}^8_4\text{Be} \rightarrow 2{}^4_2\text{He}$
CNO cycle	triple- $\alpha$ process	
${}^{12}_6\text{C} + p^+ \rightarrow {}^{13}_7\text{N} + \gamma$ ${}^{13}_7\text{N} \rightarrow {}^{13}_6\text{C} + e^+ + \nu_e$ ${}^{13}_6\text{C} + p^+ \rightarrow {}^{14}_7\text{N} + \gamma$ ${}^{14}_7\text{N} + p^+ \rightarrow {}^{15}_8\text{O} + \gamma$ ${}^{15}_8\text{O} \rightarrow {}^{15}_7\text{N} + e^+ + \nu_e$ ${}^{15}_7\text{N} + p^+ \rightarrow {}^{12}_6\text{C} + {}^4_2\text{He}$	${}^4_2\text{He} + {}^4_2\text{He} \rightleftharpoons {}^8_4\text{Be} + \gamma$ ${}^8_4\text{Be} + {}^4_2\text{He} \rightleftharpoons {}^{12}_6\text{C}^*$ ${}^{12}_6\text{C}^* \rightarrow {}^{12}_6\text{C} + \gamma$	$\gamma$ photon $p^+$ proton $e^+$ positron $e^-$ electron $\nu_e$ electron neutrino

<sup>a</sup>All species are taken as fully ionised.

## Pulsars

Braking index	$\dot{\omega} \propto -\omega^n$ (9.65) $n = 2 - \frac{P\dot{P}}{\dot{P}^2}$ (9.66)	$\omega$ rotational angular velocity $P$ rotational period ( $=2\pi/\omega$ ) $n$ braking index
Characteristic age <sup>a</sup>	$T = \frac{1}{n-1} \frac{P}{\dot{P}}$ (9.67)	$T$ characteristic age $L$ luminosity $\mu_0$ permeability of free space $c$ speed of light
Magnetic dipole radiation	$L = \frac{\mu_0  \dot{m} ^2 \sin^2 \theta}{6\pi c^3}$ (9.68) $= \frac{2\pi R^6 B_p^2 \omega^4 \sin^2 \theta}{3c^3 \mu_0}$ (9.69)	$m$ pulsar magnetic dipole moment $R$ pulsar radius $B_p$ magnetic flux density at magnetic pole $\theta$ angle between magnetic and rotational axes
Dispersion measure	$DM = \int_0^D n_e dl$ (9.70)	$DM$ dispersion measure $D$ path length to pulsar $dl$ path element $n_e$ electron number density
Dispersion <sup>b</sup>	$\frac{d\tau}{dv} = \frac{-e^2}{4\pi^2 \epsilon_0 m_e c v^3} DM$ (9.71) $\Delta\tau = \frac{e^2}{8\pi^2 \epsilon_0 m_e c} \left( \frac{1}{v_1^2} - \frac{1}{v_2^2} \right) DM$ (9.72)	$\tau$ pulse arrival time $\Delta\tau$ difference in pulse arrival time $v_i$ observing frequencies $m_e$ electron mass

<sup>a</sup>Assuming  $n \neq 1$  and that the pulsar has already slowed significantly. Usually  $n$  is assumed to be 3 (magnetic dipole radiation), giving  $T = P/(2\dot{P})$ .

<sup>b</sup>The pulse arrives first at the higher observing frequency.



## Compact objects and black holes

Schwarzschild radius	$r_s = \frac{2GM}{c^2} \simeq 3 \frac{M}{M_\odot} \text{ km}$ (9.73)	$r_s$ Schwarzschild radius $G$ constant of gravitation $M$ mass of body $c$ speed of light $M_\odot$ solar mass
Gravitational redshift	$\frac{v_\infty}{v_r} = \left(1 - \frac{2GM}{rc^2}\right)^{1/2}$ (9.74)	$r$ distance from mass centre $v_\infty$ frequency at infinity $v_r$ frequency at $r$
Gravitational wave radiation <sup>a</sup>	$L_g = \frac{32}{5} \frac{G^4}{c^5} \frac{m_1^2 m_2^2 (m_1 + m_2)}{a^5}$ (9.75)	$m_i$ orbiting masses $a$ mass separation $L_g$ gravitational luminosity
Rate of change of orbital period	$\dot{P} = -\frac{96}{5} (4\pi^2)^{4/3} \frac{G^{5/3}}{c^5} \frac{m_1 m_2 P^{-5/3}}{(m_1 + m_2)^{1/3}}$ (9.76)	$P$ orbital period
Neutron star degeneracy pressure (nonrelativistic)	$p = \frac{(3\pi^2)^{2/3}}{5} \frac{\hbar^2}{m_n} \left(\frac{\rho}{m_n}\right)^{5/3} = \frac{2}{3} u$ (9.77)	$p$ pressure $\hbar$ (Planck constant)/(2 $\pi$ ) $m_n$ neutron mass $\rho$ density
Relativistic <sup>b</sup>	$p = \frac{\hbar c (3\pi^2)^{1/3}}{4} \left(\frac{\rho}{m_n}\right)^{4/3} = \frac{1}{3} u$ (9.78)	$u$ energy density
Chandrasekhar mass <sup>c</sup>	$M_{\text{Ch}} \simeq 1.46 M_\odot$ (9.79)	$M_{\text{Ch}}$ Chandrasekhar mass
Maximum black hole angular momentum	$J_m = \frac{GM^2}{c}$ (9.80)	$J_m$ maximum angular momentum
Black hole evaporation time	$\tau_e \sim \frac{M^3}{M_\odot^3} \times 10^{66} \text{ yr}$ (9.81)	$\tau_e$ evaporation time
Black hole temperature	$T = \frac{\hbar c^3}{8\pi G M k} \simeq 10^{-7} \frac{M_\odot}{M} \text{ K}$ (9.82)	$T$ temperature $k$ Boltzmann constant

<sup>a</sup>From two bodies,  $m_1$  and  $m_2$ , in circular orbits about their centre of mass. Note that the frequency of the radiation is twice the orbital frequency.

<sup>b</sup>Particle velocities  $\sim c$ .

<sup>c</sup>Upper limit to mass of a white dwarf.

## 9.6 Cosmology

Cosmological parameters<sup>a</sup>

Hubble law $v_r = Hd$	(9.83)	$v_r$ radial velocity $H$ Hubble parameter $d$ proper distance
Hubble parameter <sup>b</sup> $H(t) = \frac{\dot{R}}{R}$	(9.84)	$R(t)$ cosmic scale parameter $t$ cosmic time
Deceleration parameter <sup>c</sup> $q_0 = -\frac{R_0 \ddot{R}_0}{\dot{R}_0^2} = \frac{\Omega_0}{2}$	(9.85)	$q_0$ deceleration parameter $\Omega_0$ density parameter
$kc^2 = R_0^2 H_0^2 (2q_0 - 1)$	(9.86)	$k$ curvature parameter
Redshift $z = \frac{\lambda_{\text{obs}} - \lambda_{\text{em}}}{\lambda_{\text{em}}} = \frac{R_0}{R(t_{\text{em}})} - 1$	(9.87)	$z$ redshift $\lambda_{\text{obs}}$ observed wavelength $\lambda_{\text{em}}$ emitted wavelength $t_{\text{em}}$ epoch of emission

<sup>a</sup>Variables with the subscript 0 are taken at the present epoch.

<sup>b</sup>Often called the Hubble "constant." At the present epoch,  $40 \lesssim H_0 \lesssim 100 \text{ km s}^{-1} \text{ Mpc}^{-1} \equiv 100h \text{ km s}^{-1} \text{ Mpc}^{-1}$ , where  $h$  is a dimensionless scaling parameter. The Hubble time is  $t_H = 1/H_0$ .

<sup>c</sup>Taking the cosmological constant,  $\Lambda$ , to be 0. If  $\Lambda \neq 0$  then  $q_0 = \Omega_0/2 - \Lambda/(3H_0^2)$ .

## Observational cosmology

Luminosity distance <sup>a</sup> $d_L(z) = \frac{c}{H_0 q_0^2} \{q_0 z + (q_0 - 1)[(2q_0 z + 1)^{1/2} - 1]\}$	(9.88)	$d_L$ luminosity distance $H$ Hubble parameter $q_0$ deceleration parameter
$\simeq \frac{cz}{H_0} \left[1 + \frac{z}{2}(1 - q_0)\right] \quad (q_0 z \ll 1)$	(9.89)	$z$ redshift $c$ speed of light
Flux density-redshift relation $F(\nu) = \frac{L(\nu')}{4\pi d_L^2(z)}$ where $\nu' = (1+z)\nu$	(9.90)	$F$ spectral flux density $\nu$ frequency $L(\nu)$ spectral luminosity <sup>b</sup>
Angular diameter-redshift relation <sup>c</sup> $\theta = \frac{w(1+z)^2}{d_L(z)}$	(9.91)	$\theta$ source angular size $\theta_{\text{min}}$ minimum $\theta$
$\theta_{\text{min}} = \frac{wH_0}{c} \frac{(1+z)^2}{z + (z^2/2)}$	(9.92)	$w$ linear (proper) width of source
Look-back time $t_{\text{lb}} = \frac{1}{H_0} \int_0^z \frac{dz}{(1+z)^2 (1+2q_0 z)^{1/2}}$	(9.93)	$t_{\text{lb}}$ look-back time (light travel time from an object at redshift $z$ )
$= \frac{2}{3H_0} \left[1 - \frac{1}{(1+z)^{3/2}}\right]$ if $q_0 = 1/2$	(9.94)	

<sup>a</sup>For Friedmann models.  $d_L$  is defined so that the apparent flux density from a point source varies as  $d_L^{-2}$ .

<sup>b</sup>Defined as the output power of the body per unit frequency interval.

<sup>c</sup> $\theta_{\text{min}}$  is the minimum angular size a source of proper width  $w$  can have at a redshift  $z$  in a Friedmann universe, occurring when  $q_0 = 0$ .

**Standard cosmological models**

Robertson-Walker metric <sup>a</sup>	$ds^2 = c^2 dt^2 - R^2(t) \left[ \frac{dr^2}{1 - kr^2} + r^2(d\theta^2 + \sin^2\theta d\phi^2) \right] \quad (9.95)$	$ds$ interval $c$ speed of light $t$ cosmic time $r, \theta, \phi$ comoving spherical polar coordinates
Friedmann equations <sup>b</sup>	$\ddot{R} = -\frac{4\pi}{3}GR \left( \rho + 3\frac{p}{c^2} \right) + \frac{\Lambda R}{3} \quad (9.96)$	$R(t)$ cosmic scale parameter $k$ curvature parameter $G$ constant of gravitation $p$ pressure $\Lambda$ cosmological constant
Critical density (closure density)	$\rho_{\text{crit}} = \frac{3H_0^2}{8\pi G} \quad (9.98)$	$\rho$ (mass) density $\rho_{\text{crit}}$ critical density $H_0$ $H$ at present epoch
Density parameter <sup>c</sup>	$\Omega_0 = \frac{\rho_0}{\rho_{\text{crit}}} \begin{cases} < 1 & \text{gives } k = -1 \\ = 1 & \text{gives } k = 0 \\ > 1 & \text{gives } k = +1 \end{cases} \quad (9.99)$	$\Omega_0$ density parameter $\rho_0$ $\rho$ at present epoch
Einstein-de Sitter model <sup>d</sup>	$R(t) = R_0(t/t_0)^{2/3} \quad (9.100)$	$R_0$ $R$ at present epoch
	$t = 2/(3H) \quad (9.101)$	$t_0$ present epoch
	$H = H_0(1+z)^{3/2} \quad (9.102)$	$H$ Hubble parameter
	$q_0 = 1/2 \quad (9.103)$ $\rho = (6\pi G t^2)^{-1} \quad (9.104)$	$z$ redshift $q_0$ deceleration parameter

<sup>a</sup>For a homogeneous, isotropic universe.  $r$  is scaled so that  $k=0, \pm 1$ . Note that  $ds^2 \equiv (ds)^2$  etc.  
<sup>b</sup> $\Lambda=0$  in a Friedmann universe. Note that the cosmological constant is sometimes defined as equalling the value used here divided by  $c^2$ .  
<sup>c</sup>The three regimes correspond to open, flat, or closed universes respectively.  
<sup>d</sup> $\Omega_0=1$ ,  $p=0$ , and  $\Lambda=0$ .

# Index<sup>1</sup>

## A

- aberration (relativistic) [3.24], 65
- absolute magnitude [9.29], 179
- absorption (Einstein coefficient) [8.118], 173
- absorption coefficient (linear) [5.175], 120
- accelerated point charge
  - bremsstrahlung, 160
  - Liénard–Wiechert potentials, 139
  - oscillating [7.132], 146
  - synchrotron, 159
- acceleration
  - constant, 68
  - dimensions, 16
  - due to gravity (value on Earth), 176
  - in a rotating frame [3.32], 66
- acceptance angle (optical fibre) [8.77], 169
- acoustic branch (phonon) [6.37], 129
- acoustic impedance [3.276], 83
- action (definition) [3.213], 79
- action (dimensions), 16
- addition of velocities
  - Galilean [3.3], 64
  - relativistic [3.15], 64
- adiabatic
  - bulk modulus [5.23], 107
  - compressibility [5.21], 107
  - expansion (ideal gas) [5.58], 110
  - lapse rate [3.294], 84
- adjoint matrix
  - definition 1 [2.71], 24
  - definition 2 [2.80], 25
- adjugate matrix [2.80], 25
- admittance (definition), 148
- advective operator [3.289], 84
- Airy
  - disk [8.40], 165
  - function [8.17], 163
  - resolution criterion [8.41], 165
- Airy's differential equation [2.353], 43
- albedo [5.193], 121
- Alfvén speed [7.277], 158
- Alfvén waves [7.284], 158
- alt–azimuth coordinates, 177
- alternating tensor ( $\epsilon_{ijk}$ ) [2.444], 50
- altitude coordinate [9.9], 177
- Ampère's law [7.10], 136
- ampere (unit), 4
- analogue formula [2.259], 36
- angle
  - aberration [3.24], 65
  - acceptance [8.77], 169
  - beam solid [7.210], 153
  - Brewster's [7.218], 154
  - Compton scattering [7.240], 155
  - contact (surface tension) [3.340], 88
  - Euler [2.101], 26
  - Faraday rotation [7.273], 157
  - hour (coordinate) [9.8], 177
  - Kelvin wedge [3.330], 87
  - Mach wedge [3.328], 87
  - of deviation [8.73], 169
  - of polarisation [8.81], 170
  - of separation [3.133], 73
  - principal range (inverse trig.), 34
  - refraction, 154
  - rotation, 26
  - Rutherford scattering [3.116], 72
  - spherical excess [2.261], 36
  - units, 4, 5

<sup>1</sup>Section headings are shown in boldface and panel labels in small caps. Equation numbers are contained within square brackets.

- ångström (unit), 5  
 angular diameter–redshift relation [9.92],  
 184  
**Angular momentum**, 98  
 angular momentum  
   conservation [4.113], 98  
   definition [3.66], 68  
   dimensions, 16  
   eigenvalues [4.109] [4.109], 98  
   ladder operators [4.108], 98  
   of rigid body [3.141], 74  
   operators  
     and other operators [4.23], 91  
     definitions [4.105], 98  
**ANGULAR MOMENTUM ADDITION**, 100  
**ANGULAR MOMENTUM COMMUTATION  
 RELATIONS**, 98  
 angular speed (dimensions), 16  
 antenna  
   beam efficiency [7.214], 153  
   effective area [7.212], 153  
   power gain [7.211], 153  
   temperature [7.215], 153  
**ANTENNAS**, 153  
 anticommutation [2.95], 26  
 anti-Hermitian symmetry, 53  
 antisymmetric matrix [2.87], 25  
**APERTURE DIFFRACTION**, 165  
 aperture function [8.34], 165  
 apocentre (of an orbit) [3.111], 71  
 apparent magnitude [9.27], 179  
 Appleton–Hartree formula [7.271],  
 157  
 arc length [2.280], 39  
 arccos  $x$   
   from arctan [2.234], 34  
   series expansion [2.142], 29  
 arcosh  $x$  (definition) [2.240], 35  
 arccot  $x$  (from arctan) [2.237], 34  
 arcoth  $x$  (definition) [2.242], 35  
 arccsc  $x$  (from arctan) [2.235], 34  
 arcsch  $x$  (definition) [2.244], 35  
 arcsec  $x$  (from arctan) [2.236], 34  
 arsech  $x$  (definition) [2.243], 35  
 arcsin  $x$   
   from arctan [2.233], 34  
   series expansion [2.142], 29  
 arsinh  $x$  (definition) [2.239], 35  
 arctan  $x$  (series expansion) [2.143], 29  
 artanh  $x$  (definition) [2.241], 35  
 area  
   of circle [2.263], 37  
   of cone [2.272], 37  
   of cylinder [2.270], 37  
   of ellipse [2.268], 37  
   of plane triangle [2.255], 36  
   of sphere [2.264], 37  
   of spherical cap [2.276], 37  
   of torus [2.274], 37  
 area (dimensions), 16  
 argument (of a complex number) [2.158],  
 30  
 arithmetic mean [2.109], 27  
 arithmetic progression [2.105], 27  
 associated Laguerre equation [2.349], 43  
 associated Laguerre polynomials, 96  
 associated Legendre equation  
   and polynomial solutions [2.429], 48  
   differential equation [2.345], 43  
**ASSOCIATED LEGENDRE FUNCTIONS**, 48  
 astronomical constants, 176  
**ASTRONOMICAL MAGNITUDES**, 179  
 Astrophysics, 175–185  
 asymmetric top [3.189], 77  
 atomic  
   form factor [6.30], 128  
   mass unit, 6, 9  
   numbers of elements, 124  
   polarisability [7.91], 142  
   weights of elements, 124  
**ATOMIC CONSTANTS**, 7  
 atto, 5  
 autocorrelation (Fourier) [2.492], 53  
 autocorrelation function [8.104], 172  
 availability  
   and fluctuation probability [5.131],  
   116  
   definition [5.40], 108  
 Avogadro constant, 6, 9  
 Avogadro constant (dimensions), 16  
 azimuth coordinate [9.10], 177  
**B**  
**BALLISTICS**, 69  
 band index [6.85], 134  
**BAND THEORY AND SEMICONDUCTORS**, 134  
 bandwidth  
   and coherence time [8.106], 172  
   and Johnson noise [5.141], 117  
   Doppler [8.117], 173

- natural [8.113], 173  
 of a diffraction grating [8.30], 164  
 of an LCR circuit [7.151], 148  
 of laser cavity [8.127], 174  
 Schawlow–Townes [8.128], 174
- bar (unit), 5
- barn (unit), 5
- BARRIER TUNNELLING, 94
- Bartlett window [2.582], 60
- base vectors (crystallographic), 126
- basis vectors [2.17], 20
- Bayes' theorem [2.570], 59
- BAYESIAN INFERENCE, 59
- bcc structure, 127
- beam bowing under its own weight [3.260], 82
- beam efficiency [7.214], 153
- beam solid angle [7.210], 153
- beam with end-weight [3.259], 82
- beaming (relativistic) [3.25], 65
- becquerel (unit), 4
- BENDING BEAMS, 82
- bending moment (dimensions), 16
- bending moment [3.258], 82
- bending waves [3.268], 82
- Bernoulli's differential equation [2.352], 43
- Bernoulli's equation  
   compressible flow [3.292], 84  
   incompressible flow [3.290], 84
- Bessel equation [2.346], 43
- BESSEL FUNCTIONS, 47
- beta (in plasmas) [7.278], 158
- binomial  
   coefficient [2.122], 28  
   distribution [2.548], 57  
   series [2.121], 28  
   theorem [2.123], 28
- binormal [2.286], 39
- Biot–Savart law [7.9], 136
- Biot–Fourier equation [5.95], 113
- black hole  
   evaporation time [9.81], 183  
   Kerr solution [3.62], 67  
   maximum angular momentum [9.80], 183  
   Schwarzschild radius [9.73], 183  
   Schwarzschild solution [3.61], 67  
   temperature [9.82], 183
- blackbody  
   energy density [5.192], 121  
   spectral energy density [5.186], 121  
   spectrum [5.184], 121
- BLACKBODY RADIATION, 121
- Bloch's theorem [6.84], 134
- Bode's law [9.41], 180
- body cone, 77
- body frequency [3.187], 77
- body-centred cubic structure, 127
- Bohr  
   energy [4.74], 95  
   magneton (equation) [4.137], 100  
   magneton (value), 6, 7  
   quantisation [4.71], 95  
   radius (equation) [4.72], 95  
   radius (value), 7
- Bohr magneton (dimensions), 16
- BOHR MODEL, 95
- boiling points of elements, 124
- bolometric correction [9.34], 179
- Boltzmann  
   constant, 6, 9  
   constant (dimensions), 16  
   distribution [5.111], 114  
   entropy [5.105], 114  
   excitation equation [5.125], 116
- Born collision formula [4.178], 104
- Bose condensation [5.123], 115
- Bose–Einstein distribution [5.120], 115
- boson statistics [5.120], 115
- BOUNDARY CONDITIONS FOR  $E$ ,  $D$ ,  $B$ , AND  $H$ , 144
- box (particle in a) [4.64], 94
- Box–Muller transformation [2.562], 58
- Boyle temperature [5.66], 110
- Boyle's law [5.56], 110
- bra vector [4.33], 92
- bra-ket notation, 91, 92
- Bragg's reflection law (in crystals) [6.29], 128
- Bragg's reflection law (in optics) [8.32], 164
- braking index (pulsar) [9.66], 182
- BRAVAIS LATTICES, 126
- Breit–Wigner formula [4.174], 104
- BREMSSTRAHLUNG, 160
- bremsstrahlung  
   single electron and ion [7.297], 160  
   thermal [7.300], 160

- Brewster's law [7.218], 154  
 brightness (blackbody) [5.184], 121  
 Brillouin function [4.147], 101  
 Bromwich integral [2.519], 55  
 Brownian motion [5.98], 113  
 bubbles [3.337], 88  
 bulk modulus  
   adiabatic [5.23], 107  
   general [3.245], 81  
   isothermal [5.22], 107  
 bulk modulus (dimensions), 16  
 BULK PHYSICAL CONSTANTS, 9  
 Burgers vector [6.21], 128
- C**
- calculus of variations [2.335], 42  
 candela, 119  
 candela (unit), 4  
 canonical  
   ensemble [5.111], 114  
   entropy [5.106], 114  
   equations [3.220], 79  
   momenta [3.218], 79  
 cap, *see* spherical cap  
 CAPACITANCE, 137  
 capacitance  
   current through [7.144], 147  
   definition [7.143], 147  
   dimensions, 16  
   energy [7.153], 148  
   energy of an assembly [7.134], 146  
   impedance [7.159], 148  
   mutual [7.134], 146  
 capacitance of  
   cube [7.17], 137  
   cylinder [7.15], 137  
   cylinders (adjacent) [7.21], 137  
   cylinders (coaxial) [7.19], 137  
   disk [7.13], 137  
   disks (coaxial) [7.22], 137  
   nearly spherical surface [7.16], 137  
   sphere [7.12], 137  
   spheres (adjacent) [7.14], 137  
   spheres (concentric) [7.18], 137  
 capacitor, *see* capacitance  
 capillary  
   constant [3.338], 88  
   contact angle [3.340], 88  
   rise [3.339], 88  
   waves [3.321], 86  
 capillary-gravity waves [3.322], 86  
 cardioid [8.46], 166  
 Carnot cycles, 107  
 Cartesian coordinates, 21  
 Catalan's constant (value), 9  
 Cauchy  
   differential equation [2.351], 43  
   distribution [2.556], 58  
   inequality [2.152], 30  
   integral formula [2.168], 31  
 Cauchy-Goursat theorem [2.166], 31  
 Cauchy-Riemann conditions [2.165], 31  
 cavity modes (laser) [8.124], 174  
 Celsius (unit), 4  
 Celsius conversion [1.1], 15  
 centi, 5  
 centre of mass  
   circular arc [3.173], 76  
   cone [3.175], 76  
   definition [3.68], 68  
   disk sector [3.172], 76  
   hemisphere [3.170], 76  
   hemispherical shell [3.171], 76  
   pyramid [3.175], 76  
   semi-ellipse [3.178], 76  
   spherical cap [3.177], 76  
   triangular lamina [3.174], 76  
 CENTRES OF MASS, 76  
 centrifugal force [3.35], 66  
 centripetal acceleration [3.32], 66  
 cepheid variables [9.48], 180  
 Cerenkov, *see* Cherenkov  
 chain rule  
   function of a function [2.296], 40  
   partial derivatives [2.332], 42  
 Chandrasekhar mass [9.79], 183  
 change of variable [2.334], 42  
 CHARACTERISTIC NUMBERS, 86  
 charge  
   conservation [7.39], 139  
   dimensions, 16  
   elementary, 6, 7  
   force between two [7.119], 145  
   Hamiltonian [7.138], 146  
   to mass ratio of electron, 8  
 charge density  
   dimensions, 16  
   free [7.57], 140  
   induced [7.84], 142  
   Lorentz transformation, 141

- charge distribution  
 electric field from [7.6], 136  
 energy of [7.133], 146  
 charge-sheet (electric field) [7.32], 138  
 Chebyshev equation [2.350], 43  
 Chebyshev inequality [2.151], 30  
 chemical potential  
 definition [5.28], 108  
 from partition function [5.119], 115  
 Cherenkov cone angle [7.246], 156  
 CHERENKOV RADIATION, 156  
 $\chi_E$  (electric susceptibility) [7.87], 142  
 $\chi_H, \chi_B$  (magnetic susceptibility) [7.103],  
 143  
 chi-squared ( $\chi^2$ ) distribution [2.554], 58  
 Christoffel symbols [3.49], 67  
 circle  
 (arc of) centre of mass [3.173], 76  
 area [2.263], 37  
 perimeter [2.262], 37  
 circular aperture  
 Fraunhofer diffraction [8.40], 165  
 Fresnel diffraction [8.50], 166  
 circular polarisation, 170  
 circulation [3.287], 84  
 civil time [9.4], 177  
 Clapeyron equation [5.50], 109  
 classical electron radius, 8  
**Classical thermodynamics**, 106  
 Clausius–Mossotti equation [7.93], 142  
 Clausius–Clapeyron equation [5.49], 109  
 CLEBSCH–GORDAN COEFFICIENTS, 99  
 Clebsch–Gordan coefficients (spin-orbit)  
 [4.136], 100  
 close-packed spheres, 127  
 closure density (of the universe) [9.98],  
 185  
 CNO cycle, 182  
 coaxial cable  
 capacitance [7.19], 137  
 inductance [7.24], 137  
 coaxial transmission line [7.181], 150  
 coefficient of  
 coupling [7.148], 147  
 finesse [8.12], 163  
 reflectance [7.227], 154  
 reflection [7.230], 154  
 restitution [3.127], 73  
 transmission [7.232], 154  
 transmittance [7.229], 154  
 coexistence curve [5.51], 109  
 coherence  
 length [8.106], 172  
 mutual [8.97], 172  
 temporal [8.105], 172  
 time [8.106], 172  
 width [8.111], 172  
**Coherence (scalar theory)**, 172  
 cold plasmas, 157  
 collision  
 broadening [8.114], 173  
 elastic, 73  
 inelastic, 73  
 number [5.91], 113  
 time (electron drift) [6.61], 132  
 colour excess [9.37], 179  
 colour index [9.36], 179  
 COMMON THREE-DIMENSIONAL COORDINATE  
 SYSTEMS, 21  
 commutator (in uncertainty relation)  
 [4.6], 90  
 COMMUTATORS, 26  
 COMPACT OBJECTS AND BLACK HOLES, 183  
 complementary error function [2.392], 45  
 COMPLEX ANALYSIS, 31  
 complex conjugate [2.160], 30  
 COMPLEX NUMBERS, 30  
 complex numbers  
 argument [2.158], 30  
 Cartesian form [2.154], 30  
 conjugate [2.160], 30  
 logarithm [2.163], 30  
 modulus [2.156], 30  
 polar form [2.155], 30  
**Complex variables**, 30  
 compound pendulum [3.182], 76  
 compressibility  
 adiabatic [5.21], 107  
 isothermal [5.20], 107  
 compression modulus, *see* bulk modulus  
 compression ratio [5.13], 107  
 Compton  
 scattering [7.240], 155  
 wavelength (value), 8  
 wavelength [7.240], 155  
 conditional probability [2.568], 59  
 conductance (definition), 148  
 conductance (dimensions), 16  
 conduction equation (and transport)  
 [5.96], 113



- conduction equation [2.341], 43  
 conductivity  
   and resistivity [7.142], 147  
   dimensions, 16  
   direct [7.279], 158  
   electrical, of a plasma [7.233], 155  
   free electron a.c. [6.63], 132  
   free electron d.c. [6.62], 132  
   Hall [7.280], 158  
 conductor refractive index [7.234], 155  
 cone  
   centre of mass [3.175], 76  
   moment of inertia [3.160], 75  
   surface area [2.272], 37  
   volume [2.273], 37  
 configurational entropy [5.105], 114  
 CONIC SECTIONS, 38  
 conical pendulum [3.180], 76  
 conservation of  
   angular momentum [4.113], 98  
   charge [7.39], 139  
   mass [3.285], 84  
 CONSTANT ACCELERATION, 68  
 constant of gravitation, 7  
 contact angle (surface tension) [3.340], 88  
 continuity equation (quantum physics) [4.14], 90  
 continuity in fluids [3.285], 84  
 CONTINUOUS PROBABILITY DISTRIBUTIONS, 58  
 contravariant components  
   in general relativity, 67  
   in special relativity [3.26], 65  
 convection (in a star) [9.64], 181  
 convergence and limits, 28  
 CONVERSION FACTORS, 10  
**Converting between units**, 10  
 convolution  
   definition [2.488], 53  
   derivative [2.499], 53  
   discrete [2.581], 60  
   Laplace transform [2.517], 55  
   rules [2.490], 53  
   theorem [2.491], 53  
 coordinate systems, 21  
 coordinate transformations  
   astronomical, 177  
   Galilean, 64  
   relativistic, 64  
   rotating frames [3.31], 66  
**Coordinate transformations (astronomical)**, 177  
 coordinates (generalised) [3.213], 79  
 coordination number (cubic lattices), 127  
 Coriolis force [3.33], 66  
 CORNU SPIRAL, 167  
 Cornu spiral and Fresnel integrals [8.54], 167  
 correlation coefficient  
   multinomial [2.560], 58  
   Pearson's  $r$  [2.547], 57  
 correlation intensity [8.109], 172  
 correlation theorem [2.495], 53  
 $\cos x$   
   and Euler's formula [2.217], 34  
   series expansion [2.136], 29  
 cosec, *see* csc  
 csch  $x$  [2.232], 34  
 cosh  $x$   
   definition [2.218], 34  
   series expansion [2.144], 29  
 cosine formula  
   planar triangles [2.250], 36  
   spherical triangles [2.258], 36  
 cosmic scale factor [9.95], 185  
 cosmological constant [9.97], 185  
 COSMOLOGICAL PARAMETERS, 184  
**Cosmology**, 184  
 $\cos^{-1} x$ , *see* arccos  $x$   
 cot  $x$   
   definition [2.227], 34  
   series expansion [2.141], 29  
 coth  $x$  [2.228], 34  
 Couette flow [3.306], 85  
 coulomb (unit), 4  
 Coulomb gauge condition [7.42], 139  
 Coulomb logarithm [7.254], 156  
 Coulomb's law [7.119], 145  
 couple  
   definition [3.67], 68  
   dimensions, 16  
   electromagnetic, 145  
   for Couette flow [3.306], 85  
   on a current loop [7.127], 145  
   on a magnetic dipole [7.126], 145  
   on a rigid body, 77

- on an electric dipole [7.125], 145
    - twisting [3.252], 81
  - coupling coefficient [7.148], 147
  - covariance [2.559], 58
  - covariant components [3.26], 65
  - cracks (critical length) [6.25], 128
  - critical damping [3.199], 78
  - critical density (of the universe) [9.98], 185
  - critical frequency (synchrotron) [7.293], 159
  - critical point
    - Dieterici gas [5.75], 111
    - van der Waals gas [5.70], 111
  - cross-correlation [2.494], 53
  - cross-product [2.2], 20
  - cross section
    - absorption [5.175], 120
    - Breit-Wigner [4.174], 104
    - Mott scattering [4.180], 104
    - Rayleigh scattering [7.236], 155
    - Rutherford scattering [3.124], 72
    - Thomson scattering [7.238], 155
  - CRYSTAL DIFFRACTION, 128
  - CRYSTAL SYSTEMS, 127
  - Crystalline structure**, 126
  - csc *x*
    - definition [2.231], 34
    - series expansion [2.140], 29
  - csch *x* [2.232], 34
  - cube
    - electrical capacitance [7.17], 137
    - mensuration, 38
  - CUBIC EQUATIONS, 51
  - cubic expansivity [5.19], 107
  - CUBIC LATTICES, 127
  - cubic system (crystallographic), 127
  - Curie temperature [7.114], 144
  - Curie's law [7.113], 144
  - Curie-Weiss law [7.114], 144
  - CURL, 22
  - curl
    - cylindrical coordinates [2.34], 22
    - general coordinates [2.36], 22
    - rectangular coordinates [2.33], 22
    - spherical coordinates [2.35], 22
  - current
    - dimensions, 16
    - electric [7.139], 147
    - law (Kirchhoff's) [7.161], 149
    - magnetic flux density from [7.11], 136
    - probability density [4.13], 90
    - thermodynamic work [5.9], 106
    - transformation [7.165], 149
  - current density
    - dimensions, 16
    - four-vector [7.76], 141
    - free [7.63], 140
    - free electron [6.60], 132
    - hole [6.89], 134
    - Lorentz transformation, 141
    - magnetic flux density [7.10], 136
  - curvature
    - in differential geomtry [2.287], 39
    - parameter (cosmic) [9.95], 185
    - radius of
      - and curvature [2.288], 39
      - plane curve [2.283], 39
  - curve length (plane curve) [2.280], 39
  - CURVE MEASURE, 39
  - CYCLE EFFICIENCIES (THERMODYNAMIC), 107
  - cyclic permutation [2.97], 26
  - cyclotron frequency [7.265], 157
  - cylinder
    - area [2.270], 37
    - capacitance [7.15], 137
    - moment of inertia [3.155], 75
    - torsional rigidity [3.253], 81
    - volume [2.271], 37
  - cylinders (adjacent)
    - capacitance [7.21], 137
    - inductance [7.25], 137
  - cylinders (coaxial)
    - capacitance [7.19], 137
    - inductance [7.24], 137
  - cylindrical polar coordinates, 21
- ## D
- d* orbitals [4.100], 97
  - D'Alembertian [7.78], 141
  - damped harmonic oscillator [3.196], 78
  - damping profile [8.112], 173
  - day (unit), 5
  - day of week [9.3], 177
  - daylight saving time [9.4], 177
  - de Boer parameter [6.54], 131

- de Broglie relation [4.2], 90  
de Broglie wavelength (thermal) [5.83], 112  
de Moivre's theorem [2.215], 34  
Debye  
 $T^3$  law [6.47], 130  
frequency [6.41], 130  
function [6.49], 130  
heat capacity [6.45], 130  
length [7.251], 156  
number [7.253], 156  
screening [7.252], 156  
temperature [6.43], 130  
DEBYE THEORY, 130  
Debye-Waller factor [6.33], 128  
deca, 5  
decay constant [4.163], 103  
decay law [4.163], 103  
deceleration parameter [9.86], 184  
deci, 5  
decibel [5.144], 117  
declination coordinate [9.11], 177  
decrement (oscillating systems) [3.202], 78  
DEFINITE INTEGRALS, 46  
degeneracy pressure [9.77], 183  
degree (unit), 5  
degree Celsius (unit), 4  
degree kelvin [5.2], 106  
degree of freedom (and equipartition), 113  
degree of mutual coherence [8.99], 172  
degree of polarisation [8.96], 171  
degree of temporal coherence, 172  
deka, 5  
del operator, 21  
del-squared operator, 23  
DELTA FUNCTIONS, 50  
delta-star transformation, 149  
densities of elements, 124  
density (dimensions), 16  
density of states  
electron [6.70], 133  
particle [4.66], 94  
phonon [6.44], 130  
density parameter [9.99], 185  
depolarising factors [7.92], 142  
DERIVATIVES (GENERAL), 40  
determinant [2.79], 25  
deviation (of a prism) [8.73], 169  
diamagnetic moment (electron) [7.108], 144  
diamagnetic susceptibility (Landau) [6.80], 133  
DIAMAGNETISM, 144  
DIELECTRIC LAYERS, 162  
DIETERICI GAS, 111  
Dieterici gas law [5.72], 111  
DIFFERENTIAL EQUATIONS, 43  
differential equations (numerical solutions), 62  
DIFFERENTIAL GEOMETRY, 39  
DIFFERENTIAL OPERATOR IDENTITIES, 23  
differential scattering cross section [3.124], 72  
**Differentiation**, 40  
differentiation  
hyperbolic functions, 41  
numerical, 61  
of a function of a function [2.296], 40  
of a log [2.301], 40  
of a power [2.293], 40  
of a product [2.294], 40  
of a quotient [2.295], 40  
of exponential [2.302], 40  
of integral [2.300], 40  
of inverse functions [2.305], 40  
trigonometric functions, 41  
under integral sign [2.299], 40  
diffraction from  
1 slit [8.37], 165  
2 slits [8.24], 164  
 $N$  slits [8.25], 164  
circular aperture [8.40], 165  
crystals, 128  
infinite grating [8.26], 164  
rectangular aperture [8.39], 165  
diffraction grating  
finite [8.25], 164  
general, 164  
infinite [8.26], 164  
diffusion coefficient (semiconductor) [6.88], 134  
diffusion equation  
differential equation [2.341], 43  
Fick's first law [5.93], 113  
diffusion length (semiconductor) [6.94], 134  
diffusivity (magnetic) [7.282], 158

- dilatation (volume strain) [3.236], 80
- Dimensions**, 16
- diode (semiconductor) [6.92], 134
- diopre number [8.68], 168
- dipole
- antenna power
    - flux [7.131], 146
    - gain [7.213], 153
    - total [7.132], 146
  - electric field [7.31], 138
  - energy of
    - electric [7.136], 146
    - magnetic [7.137], 146
  - field from
    - magnetic [7.36], 138
  - moment (dimensions), 17
  - moment of
    - electric [7.80], 142
    - magnetic [7.94], 143
  - potential
    - electric [7.82], 142
    - magnetic [7.95], 143
  - radiation
    - field [7.207], 153
    - magnetic [9.69], 182
  - radiation resistance [7.209], 153
- dipole moment per unit volume
- electric [7.83], 142
  - magnetic [7.97], 143
- Dirac bracket, 92
- Dirac delta function [2.449], 50
- Dirac equation [4.183], 104
- Dirac matrices [4.185], 104
- DIRAC NOTATION, 92
- direct conductivity [7.279], 158
- directrix (of conic section), 38
- disc, *see* disk
- discrete convolution, 60
- DISCRETE PROBABILITY DISTRIBUTIONS, 57
- DISCRETE STATISTICS, 57
- disk
- Airy [8.40], 165
  - capacitance [7.13], 137
  - centre of mass of sector [3.172], 76
  - coaxial capacitance [7.22], 137
  - drag in a fluid, 85
  - electric field [7.28], 138
  - moment of inertia [3.168], 75
- DISLOCATIONS AND CRACKS, 128
- dispersion
- diffraction grating [8.31], 164
  - in a plasma [7.261], 157
  - in fluid waves, 86
  - in quantum physics [4.5], 90
  - in waveguides [7.188], 151
  - intermodal (optical fibre) [8.79], 169
  - measure [9.70], 182
  - of a prism [8.76], 169
  - phonon (alternating springs) [6.39], 129
  - phonon (diatomic chain) [6.37], 129
  - phonon (monatomic chain) [6.34], 129
  - pulsar [9.72], 182
- displacement,  $D$  [7.86], 142
- DISTANCE INDICATORS, 180
- distance-redshift relation [9.89], 184
- DIVERGENCE, 22
- divergence
- cylindrical coordinates [2.30], 22
  - general coordinates [2.32], 22
  - rectangular coordinates [2.29], 22
  - spherical coordinates [2.31], 22
  - theorem [2.59], 23
- dodecahedron, 38
- Doppler
- effect (nonrelativistic), 87
  - effect (relativistic) [3.22], 65
  - line broadening [8.116], 173
  - width [8.117], 173
- DOPPLER EFFECT, 87
- dot product [2.1], 20
- double factorial, 48
- double pendulum [3.183], 76
- DRAG, 85
- drag
- on a disk  $\parallel$  to flow [3.310], 85
  - on a disk  $\perp$  to flow [3.309], 85
  - on a sphere [3.308], 85
- drift velocity (electron) [6.61], 132
- Dulong and Petit's law [6.46], 130
- Dynamics and Mechanics, 63-88
- DYNAMICS DEFINITIONS, 68
- E**
- e (exponential constant), 9
- e TO 1 000 DECIMAL PLACES, 18
- Earth (motion relative to) [3.38], 66

- EARTH DATA, 176
- eccentricity  
 of conic section, 38  
 of orbit [3.108], 71  
 of scattering hyperbola [3.120], 72
- ECLIPTIC COORDINATES, 178
- ecliptic latitude [9.14], 178
- ecliptic longitude [9.15], 178
- Eddington limit [9.59], 181
- edge dislocation [6.21], 128
- effective  
 area (antenna) [7.212], 153  
 distance (Fresnel diffraction) [8.48], 166  
 mass (in solids) [6.86], 134  
 wavelength [9.40], 179
- efficiency  
 heat engine [5.10], 107  
 heat pump [5.12], 107  
 Otto cycle [5.13], 107  
 refrigerator [5.11], 107
- Ehrenfest's equations [5.53], 109
- Ehrenfest's theorem [4.30], 91
- eigenfunctions (quantum) [4.28], 91
- Einstein  
 $A$  coefficient [8.119], 173  
 $B$  coefficients [8.118], 173  
 diffusion equation [5.98], 113  
 field equation [3.59], 67  
 lens (rings) [9.50], 180  
 tensor [3.58], 67
- EINSTEIN COEFFICIENTS, 173
- Einstein-de Sitter model [9.104], 185
- elastic  
 collisions, 73  
 media (isotropic), 81  
 modulus (longitudinal) [3.241], 81  
 modulus [3.234], 80  
 potential energy [3.235], 80
- elastic scattering, 72
- ELASTIC WAVE VELOCITIES, 82
- Elasticity**, 80
- ELASTICITY DEFINITIONS (GENERAL), 80
- ELASTICITY DEFINITIONS (SIMPLE), 80
- electric current [7.139], 147
- electric dipole, *see* dipole
- electric displacement (dimensions), 16
- electric displacement,  $D$  [7.86], 142
- electric field  
 around objects, 138  
 energy density [7.128], 146  
 static, 136  
 thermodynamic work [5.7], 106  
 wave equation [7.193], 152
- electric field from  
 $A$  and  $\phi$  [7.41], 139  
 charge distribution [7.6], 136  
 charge sheet [7.32], 138  
 dipole [7.31], 138  
 disk [7.28], 138  
 line charge [7.29], 138  
 point charge [7.5], 136  
 sphere [7.27], 138  
 waveguide [7.190], 151  
 wire [7.29], 138
- electric field strength (dimensions), 16
- ELECTRIC FIELDS, 138
- electric polarisability (dimensions), 16
- electric polarisation (dimensions), 16
- electric potential  
 from a charge density [7.46], 139  
 Lorentz transformation [7.75], 141  
 of a moving charge [7.48], 139  
 short dipole [7.82], 142
- electric potential difference (dimensions), 16
- electric susceptibility,  $\chi_E$  [7.87], 142
- electrical conductivity, *see* conductivity
- ELECTRICAL IMPEDANCE, 148
- electrical permittivity,  $\epsilon$ ,  $\epsilon_r$  [7.90], 142
- electromagnet (magnetic flux density) [7.38], 138
- electromagnetic  
 boundary conditions, 144  
 constants, 7  
 fields, 139  
 wave speed [7.196], 152  
 waves in media, 152
- electromagnetic coupling constant, *see* fine structure constant
- ELECTROMAGNETIC ENERGY, 146
- Electromagnetic fields (general)**, 139
- ELECTROMAGNETIC FORCE AND TORQUE, 145
- ELECTROMAGNETIC PROPAGATION IN COLD PLASMAS, 157
- Electromagnetism, 135–160

- electron  
 charge, 6, 7  
 density of states [6.70], 133  
 diamagnetic moment [7.108], 144  
 drift velocity [6.61], 132  
*g*-factor [4.143], 100  
 gyromagnetic ratio [4.140], 100  
 heat capacity [6.76], 133  
 intrinsic magnetic moment [7.109], 144  
 mass, 6  
 radius (equation) [7.238], 155  
 radius (value), 8  
 scattering cross section [7.238], 155  
 spin magnetic moment [4.143], 100  
 thermal velocity [7.257], 156  
 velocity in conductors [6.85], 134
- ELECTRON CONSTANTS, 8
- ELECTRON SCATTERING PROCESSES,  
 155
- Electrons in solids**, 132
- electron volt (unit), 5  
 electron volt (value), 6  
 electrostatic potential [7.1], 136
- ELECTROSTATICS, 136
- elementary charge, 6, 7  
 elements (periodic table of), 124
- ellipse, 38  
 (semi) centre of mass [3.178], 76  
 area [2.268], 37  
 moment of inertia [3.166], 75  
 perimeter [2.267], 37  
 semi-latus-rectum [3.109], 71  
 semi-major axis [3.106], 71  
 semi-minor axis [3.107], 71
- ellipsoid  
 moment of inertia of solid [3.163],  
 75  
 the moment of inertia [3.147], 74  
 volume [2.269], 37
- elliptic integrals [2.398], 45  
 elliptical orbit [3.104], 71
- ELLIPTICAL POLARISATION, 170
- elliptical polarisation [8.80], 170  
 ellipticity [8.82], 170  
 $E = mc^2$  [3.72], 68  
 emission coefficient [5.174], 120  
 emission spectrum [7.291], 159  
 emissivity [5.193], 121
- energy  
 density  
 blackbody [5.192], 121  
 dimensions, 16  
 elastic wave [3.281], 83  
 electromagnetic [7.128], 146  
 radiant [5.148], 118  
 spectral [5.173], 120  
 dimensions, 16  
 dissipated in resistor [7.155], 148  
 distribution (Maxwellian) [5.85], 112  
 elastic [3.235], 80  
 electromagnetic, 146  
 equipartition [5.100], 113  
 Fermi [5.122], 115  
 first law of thermodynamics [5.3],  
 106  
 Galilean transformation [3.6], 64  
 kinetic, *see* kinetic energy  
 Lorentz transformation [3.19], 65  
 loss after collision [3.128], 73  
 mass relation [3.20], 65  
 of capacitive assembly [7.134], 146  
 of capacitor [7.153], 148  
 of charge distribution [7.133], 146  
 of electric dipole [7.136], 146  
 of inductive assembly [7.135], 146  
 of inductor [7.154], 148  
 of magnetic dipole [7.137], 146  
 of orbit [3.100], 71  
 potential, *see* potential energy  
 relativistic rest [3.72], 68  
 rotational kinetic  
 rigid body [3.142], 74  
 w.r.t. principal axes [3.145], 74  
 thermodynamic work, 106
- ENERGY IN CAPACITORS, INDUCTORS,  
 AND RESISTORS, 148
- energy–time uncertainty relation [4.8], 90
- ENSEMBLE PROBABILITIES, 114
- enthalpy  
 definition [5.30], 108  
 Joule–Kelvin expansion [5.27], 108
- entropy  
 Boltzmann formula [5.105], 114  
 change in Joule expansion [5.64],  
 110  
 experimental [5.4], 106  
 fluctuations [5.135], 116  
 from partition function [5.117], 115

- entropy (*cont.*)  
 Gibbs formula [5.106], 114  
 of a monatomic gas [5.83], 112  
 entropy (dimensions), 16  
 $\epsilon$ ,  $\epsilon_r$  (electrical permittivity) [7.90], 142  
 EQUATION CONVERSION: SI TO GAUSSIAN  
 UNITS, 135  
 equation of state  
 Dieterici gas [5.72], 111  
 ideal gas [5.57], 110  
 monatomic gas [5.78], 112  
 van der Waals gas [5.67], 111  
 equipartition theorem [5.100], 113  
 error function [2.391], 45  
 errors, 60  
 escape velocity [3.91], 70  
 estimator  
 kurtosis [2.546], 57  
 mean [2.542], 57  
 skewness [2.545], 57  
 standard deviation [2.544], 57  
 variance [2.543], 57  
 Euler  
 angles [2.101], 26  
 constant  
 expression [2.120], 27  
 value, 9  
 differential equation [2.351], 43  
 formula [2.217], 34  
 relation, 38  
 strut [3.261], 82  
 Euler's equation (fluids) [3.289], 84  
 Euler's equations (rigid bodies) [3.186],  
 77  
 Euler's method (for ordinary differential  
 equations) [2.597], 62  
 Euler-Lagrange equation  
 and Lagrangians [3.214], 79  
 calculus of variations [2.335], 42  
 even functions, 53  
 EVOLUTIONARY TIMESCALES, 181  
 exa, 5  
 exhaust velocity (of a rocket) [3.93], 70  
 exitance  
 blackbody [5.191], 121  
 luminous [5.162], 119  
 radiant [5.150], 118  
 $\exp(x)$  [2.133], 29  
 expansion coefficient [5.19], 107  
 EXPANSION PROCESSES, 108  
 expansivity [5.19], 107  
 EXPECTATION VALUE, 91  
 expectation value  
 Dirac notation [4.37], 92  
 from a wavefunction [4.25], 91  
 explosions [3.331], 87  
 exponential  
 distribution [2.552], 58  
 integral [2.395], 45  
 series expansion [2.133], 29  
 exponential constant (e), 9  
 extraordinary modes [7.271], 157  
 extrema [2.336], 42
- ## F
- f*-number [8.69], 168  
 Fabry-Perot etalon  
 chromatic resolving power [8.21],  
 163  
 free spectral range [8.23], 163  
 fringe width [8.19], 163  
 transmitted intensity [8.17], 163  
 FABRY-PEROT ETALON, 163  
 face-centred cubic structure, 127  
 factorial [2.410], 46  
 factorial (double), 48  
 Fahrenheit conversion [1.2], 15  
 faltung theorem [2.517], 55  
 farad (unit), 4  
 Faraday constant, 6, 9  
 Faraday constant (dimensions), 16  
 Faraday rotation [7.273], 157  
 Faraday's law [7.55], 140  
 fcc structure, 127  
 Feigenbaum's constants, 9  
 femto, 5  
 Fermat's principle [8.63], 168  
 Fermi  
 energy [6.73], 133  
 temperature [6.74], 133  
 velocity [6.72], 133  
 wavenumber [6.71], 133  
 fermi (unit), 5  
 Fermi energy [5.122], 115  
 FERMI GAS, 133  
 Fermi's golden rule [4.162], 102  
 Fermi-Dirac distribution [5.121], 115  
 fermion statistics [5.121], 115  
 fibre optic  
 acceptance angle [8.77], 169

- dispersion [8.79], 169  
   numerical aperture [8.78], 169  
 Fick's first law [5.92], 113  
 Fick's second law [5.95], 113  
 field equations (gravitational) [3.42], 66  
 FIELD RELATIONSHIPS, 139  
 fields  
   depolarising [7.92], 142  
   electrochemical [6.81], 133  
   electromagnetic, 139  
   gravitational, 66  
   static  $E$  and  $B$ , 136  
   velocity [3.285], 84  
**Fields associated with media**, 142  
 film reflectance [8.4], 162  
 fine-structure constant  
   expression [4.75], 95  
   value, 6, 7  
 finesse (coefficient of) [8.12], 163  
 finesse (Fabry-Perot etalon) [8.14], 163  
 first law of thermodynamics [5.3], 106  
 fitting straight lines, 60  
 fluctuating dipole interaction [6.50], 131  
 fluctuation  
   of density [5.137], 116  
   of entropy [5.135], 116  
   of pressure [5.136], 116  
   of temperature [5.133], 116  
   of volume [5.134], 116  
   probability (thermodynamic) [5.131], 116  
   variance (general) [5.132], 116  
**Fluctuations and noise**, 116  
**Fluid dynamics**, 84  
 fluid stress [3.299], 85  
 FLUID WAVES, 86  
 flux density [5.171], 120  
 flux density-redshift relation [9.90], 184  
 flux linked [7.149], 147  
 flux of molecules through a plane [5.91], 113  
 flux-magnitude relation [9.32], 179  
 focal length [8.64], 168  
 focus (of conic section), 38  
 force  
   and acoustic impedance [3.276], 83  
   and stress [3.228], 80  
   between two charges [7.119], 145  
   between two currents [7.120], 145  
   between two masses [3.40], 66  
   central [4.113], 98  
   centrifugal [3.35], 66  
   Coriolis [3.33], 66  
   critical compression [3.261], 82  
   definition [3.63], 68  
   dimensions, 16  
   electromagnetic, 145  
   Newtonian [3.63], 68  
   on  
     charge in a field [7.122], 145  
     current in a field [7.121], 145  
     electric dipole [7.123], 145  
     magnetic dipole [7.124], 145  
     sphere (potential flow) [3.298], 84  
     sphere (viscous drag) [3.308], 85  
   relativistic [3.71], 68  
   unit, 4  
**Force, torque, and energy**, 145  
 FORCED OSCILLATIONS, 78  
 form factor [6.30], 128  
 formula (the) [2.456], 50  
 Foucault's pendulum [3.39], 66  
 four-parts formula [2.260], 36  
 four-scalar product [3.27], 65  
 four-vector  
   electromagnetic [7.79], 141  
   momentum [3.21], 65  
   spacetime [3.12], 64  
 FOUR-VECTORS, 65  
 Fourier series  
   complex form [2.479], 52  
   real form [2.477], 52  
 FOURIER SERIES, 52  
**Fourier series and transforms**, 52  
 FOURIER SYMMETRY RELATIONSHIPS, 53  
 Fourier transform  
   cosine [2.510], 54  
   definition [2.483], 52  
   derivatives  
     and inverse [2.503], 54  
     general [2.499], 53  
   Gaussian [2.508], 54  
   Lorentzian [2.506], 54  
   shah function [2.511], 54  
   shift theorem [2.502], 54  
   similarity theorem [2.501], 54  
   sine [2.509], 54  
   step [2.511], 54  
   top hat [2.512], 54  
   triangle function [2.513], 54



- FOURIER TRANSFORM, 52  
 FOURIER TRANSFORM PAIRS, 54  
 FOURIER TRANSFORM THEOREMS, 53  
 Fourier's law [5.94], 113  
**Frames of reference**, 64  
**Fraunhofer diffraction**, 164  
 Fraunhofer integral [8.34], 165  
 Fraunhofer limit [8.44], 165  
 free charge density [7.57], 140  
 free current density [7.63], 140  
 FREE ELECTRON TRANSPORT PROPERTIES,  
     132  
 free energy [5.32], 108  
 free molecular flow [5.99], 113  
 FREE OSCILLATIONS, 78  
 free space impedance [7.197], 152  
 free spectral range  
     Fabry Perot etalon [8.23], 163  
     laser cavity [8.124], 174  
 free-fall timescale [9.53], 181  
 Frenet's formulas [2.292], 39  
 frequency (dimensions), 16  
 Fresnel diffraction  
     Cornu spiral [8.54], 167  
     edge [8.56], 167  
     long slit [8.58], 167  
     rectangular aperture [8.62], 167  
**Fresnel diffraction**, 166  
 Fresnel Equations, 154  
 Fresnel half-period zones [8.49], 166  
 Fresnel integrals  
     and the Cornu spiral [8.52],  
         167  
     definition [2.393], 45  
     in diffraction [8.54], 167  
 FRESNEL ZONES, 166  
 Fresnel–Kirchhoff formula  
     plane waves [8.45], 166  
     spherical waves [8.47], 166  
 Friedmann equations [9.97], 185  
 fringe visibility [8.101], 172  
 fringes (Moiré), 35  
 Froude number [3.312], 86
- G**  
 g-factor  
     electron, 8  
     Landé [4.146], 100  
     muon, 9  
 gain in decibels [5.144], 117
- galactic  
     coordinates [9.20], 178  
     latitude [9.21], 178  
     longitude [9.22], 178  
 GALACTIC COORDINATES, 178  
 Galilean transformation  
     of angular momentum [3.5], 64  
     of kinetic energy [3.6], 64  
     of momentum [3.4], 64  
     of time and position [3.2], 64  
     of velocity [3.3], 64  
 GALILEAN TRANSFORMATIONS, 64  
 GAMMA FUNCTION, 46  
 gamma function  
     and other integrals [2.396], 45  
     definition [2.408], 46  
 gas  
     adiabatic expansion [5.58], 110  
     adiabatic lapse rate [3.294], 84  
     constant, 6, 9, 86, 110  
     Dieterici, 111  
     Doppler broadened [8.116], 173  
     flow [3.292], 84  
     giant (astronomical data), 176  
     ideal equation of state [5.57], 110  
     ideal heat capacities, 113  
     ideal, or perfect, 110  
     internal energy (ideal) [5.62], 110  
     isothermal expansion [5.63], 110  
     linear absorption coefficient [5.175],  
         120  
     molecular flow [5.99], 113  
     monatomic, 112  
     paramagnetism [7.112], 144  
     pressure broadened [8.115], 173  
     speed of sound [3.318], 86  
     temperature scale [5.1], 106  
     Van der Waals, 111  
 GAS EQUIPARTITION, 113  
**Gas laws**, 110  
 gauge condition  
     Coulomb [7.42], 139  
     Lorenz [7.43], 139  
 Gaunt factor [7.299], 160  
 Gauss's  
     law [7.51], 140  
     lens formula [8.64], 168  
     theorem [2.59], 23  
 Gaussian  
     electromagnetism, 135

Fourier transform of [2.508], 54  
 integral [2.399], 46  
 light [8.110], 172  
 optics, 168  
 probability distribution  
   1-dimensional [2.553], 58  
   *k*-dimensional [2.557], 58  
 Geiger's law [4.169], 103  
 Geiger–Nuttall rule [4.170], 103  
 GENERAL CONSTANTS, 7  
 GENERAL RELATIVITY, 67  
 generalised coordinates [3.213], 79  
**Generalised dynamics**, 79  
 generalised momentum [3.218], 79  
 geodesic deviation [3.56], 67  
 geodesic equation [3.54], 67  
 geometric  
   distribution [2.549], 57  
   mean [2.110], 27  
   progression [2.108], 27  
**Geometrical optics**, 168  
 Gibbs  
   constant (value), 9  
   distribution [5.113], 114  
   entropy [5.106], 114  
   free energy [5.35], 108  
 Gibbs's phase rule [5.54], 109  
 Gibbs–Duhem relation [5.38], 108  
 GIBBS–HELMHOLTZ EQUATIONS, 109  
 giga, 5  
 golden mean (value), 9  
 golden rule (Fermi's) [4.162], 102  
 GRADIENT, 21  
 gradient  
   cylindrical coordinates [2.26], 21  
   general coordinates [2.28], 21  
   rectangular coordinates [2.25], 21  
   spherical coordinates [2.27], 21  
 grand canonical ensemble [5.113], 114  
 grand partition function [5.112], 114  
 grand potential  
   definition [5.37], 108  
   from grand partition function  
     [5.115], 115  
 grating  
   dispersion [8.31], 164  
   formula [8.27], 164  
   resolving power [8.30], 164  
 GRATINGS, 164  
**Gravitation**, 66

gravitation  
   field from a sphere [3.44], 66  
   general relativity, 67  
   Newton's law [3.40], 66  
   Newtonian, 71  
   Newtonian field equations [3.42], 66  
 gravitational  
   collapse [9.53], 181  
   constant, 6, 7, 16  
   lens [9.50], 180  
   potential [3.42], 66  
   redshift [9.74], 183  
   wave radiation [9.75], 183  
 GRAVITATIONALLY BOUND ORBITAL  
   MOTION, 71  
 gravity  
   and motion on Earth [3.38], 66  
   waves (on a fluid surface) [3.320],  
     86  
 gray (unit), 4  
 GREEK ALPHABET, 18  
 Green's first theorem [2.62], 23  
 Green's second theorem [2.63], 23  
 Greenwich sidereal time [9.6], 177  
 greybody [5.193], 121  
 group speed (wave) [3.327], 87  
 Grüneisen parameter [6.56], 131  
 gyro-frequency [7.265], 157  
 gyro-radius [7.268], 157  
 gyromagnetic ratio  
   definition [4.138], 100  
   electron [4.140], 100  
   proton (value), 8  
 gyroscopes, 77  
 gyroscopic  
   limit [3.193], 77  
   nutation [3.194], 77  
   precession [3.191], 77  
   stability [3.192], 77

## H

***H*** (magnetic field strength) [7.100], 143  
 half-life (nuclear decay) [4.164], 103  
 half-period zones (Fresnel) [8.49], 166  
 Hall  
   coefficient (dimensions), 16  
   conductivity [7.280], 158  
   effect and coefficient [6.67], 132  
   voltage [6.68], 132  
 Hamilton's equations [3.220], 79

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